

# Current and future kaon experiments

M. S. Sozzi  
University of Pisa  
and INFN



CKM  
2010

Warwick

# Nicola Cabibbo (1935-2010)



Physicist  
member of the NA48 and NA62  
collaborations

M.S. Sozzi

CKM10

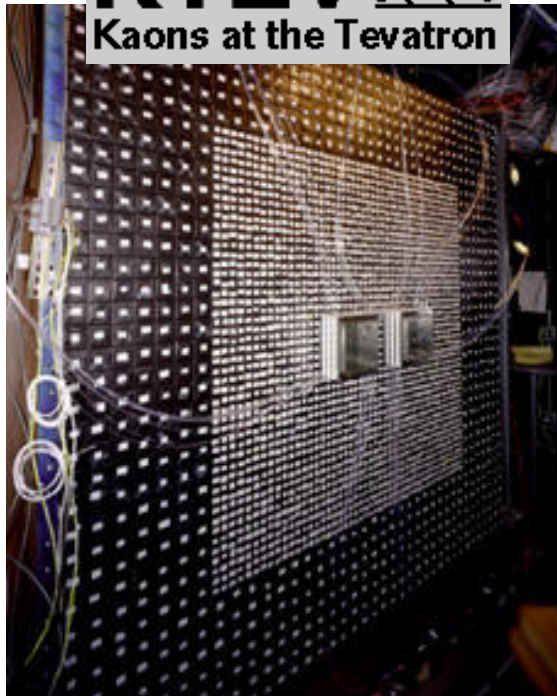


Warwick, Sep. 7<sup>th</sup>, 2010



# Fixed-target high-energy hadron beams: KTeV and NA48

**KTeV**   
Kaons at the Tevatron



100 physicists  
12 USA/Japan institutions  
1997-1999

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Physics with K in the 90s  
driven by  $\epsilon'/\epsilon$  experiments

Their legacy:

**The first confirmation of  
the CKM picture of CPV**

A 12% **measurement** of  $\epsilon'/\epsilon$   
(and much more:  $\epsilon_K$ , CPT...)

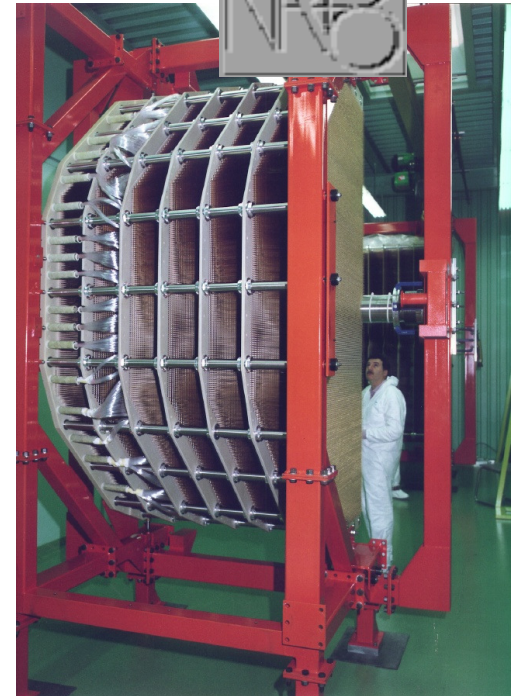
Innovative detection and  
analysis **techniques**

Two state-of-the-art EM  
**calorimeters**

Much more physics:  
**50 papers each** and  
counting...

CKM10

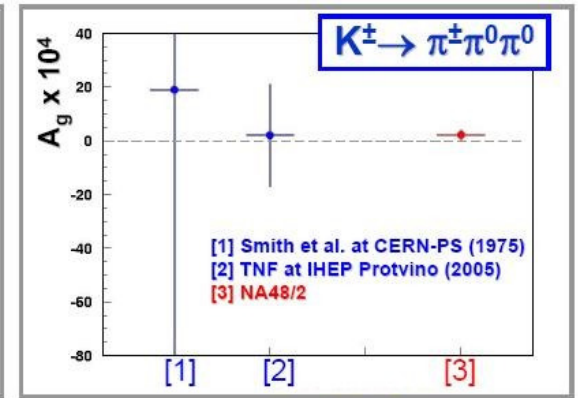
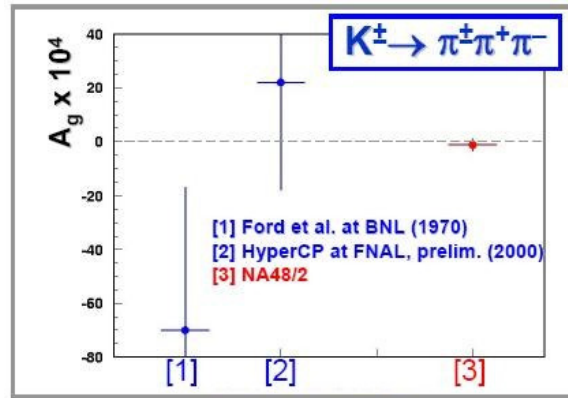
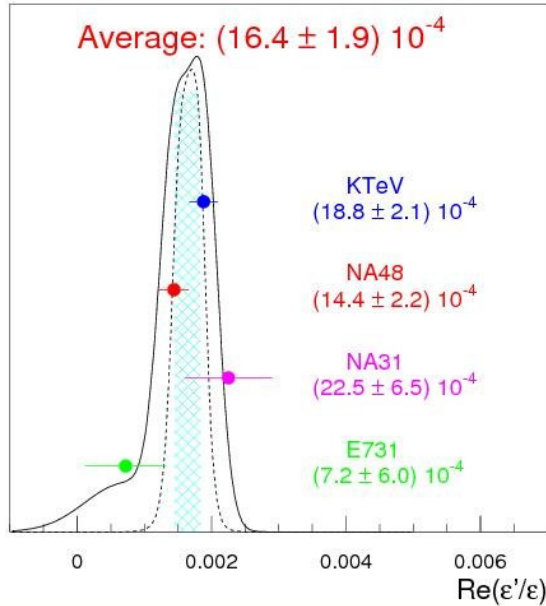
**NA48**



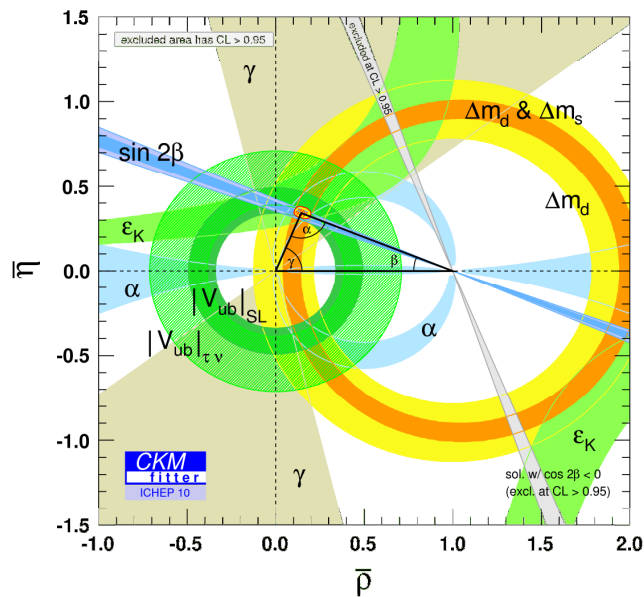
130 physicists  
16 European institutions  
1998-2003

Warwick, Sep. 7<sup>th</sup>, 2010

# Kaons: the qualitative phase



Reasonably precise experimental data but...

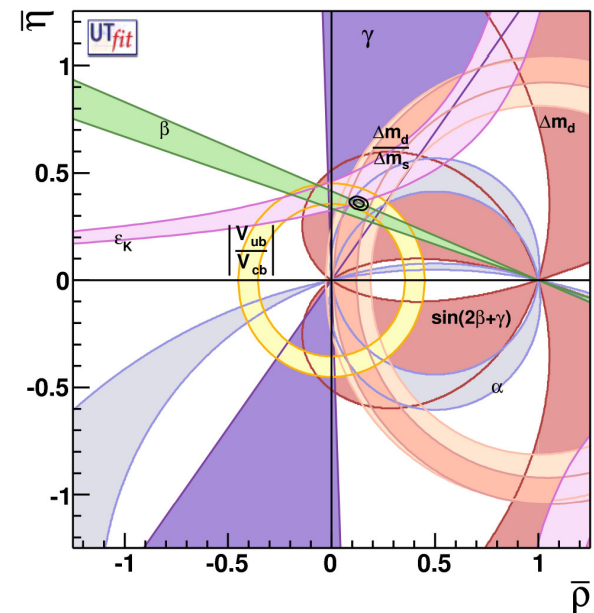


Not an impressive impact on the Unitarity Triangles

Actually *training ground* for LQCD

Waiting for Lattice breakthrough...

CKM10



# $\Phi$ -factories: KLOE

Not the original  $\varepsilon'/\varepsilon$  goal  
but lots of physics:

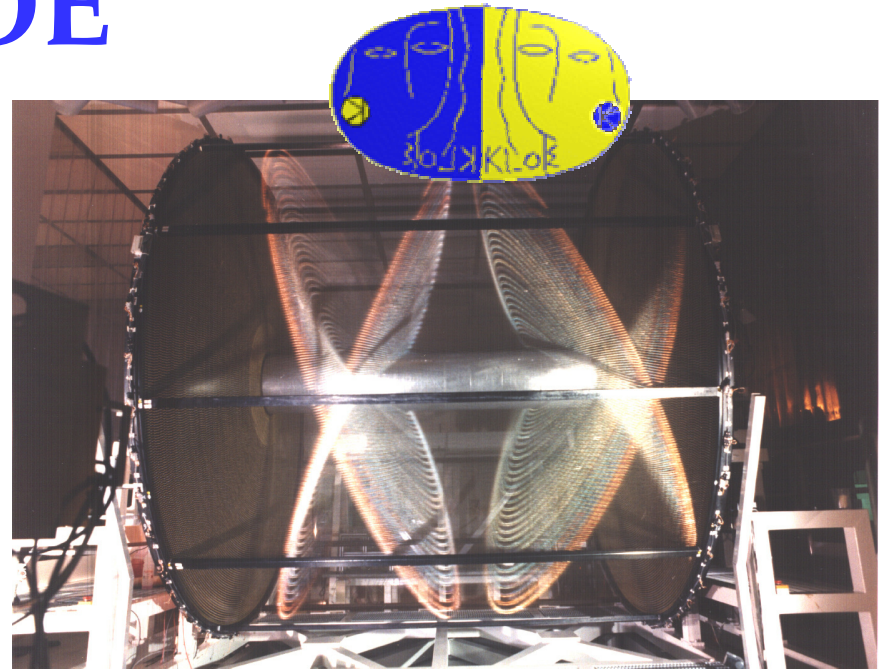
Integrated luminosity:  $\sim 2.5 \text{ fb}^{-1}$   
( $\sim 2.5 \cdot 10^9 \text{ K}_S \text{K}_L$  events)  
Peak:  $1.6 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$

Approach with unique potential  
for  **$\text{K}_S$  physics**, absolute  
normalizations

Campaign of **BR and lifetimes**  
measurements

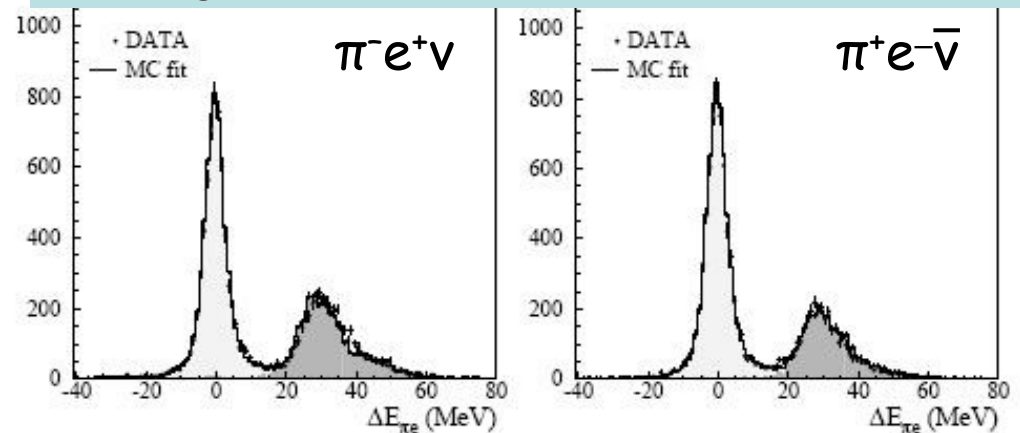
Beginning of **K interferometry**  
physics,  
CPT and QM tests

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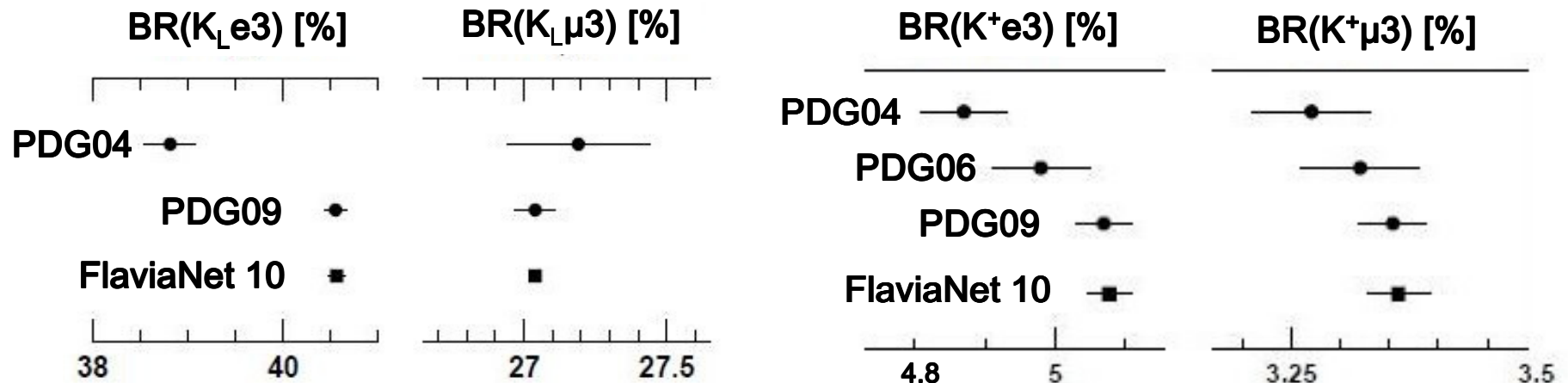
93 physicists - 15 institutions - 2000-2006

$$\text{BR}(\text{K}_S \rightarrow \pi e \nu) = (7.028 \pm 0.092) \times 10^{-4}$$





# Kaons: the quantitative phase



The Kaon BR revolution (2003-2010):  
 large (several %, several  $\sigma$ ) variations in world data  
 (higher statistics, radiative corrections)

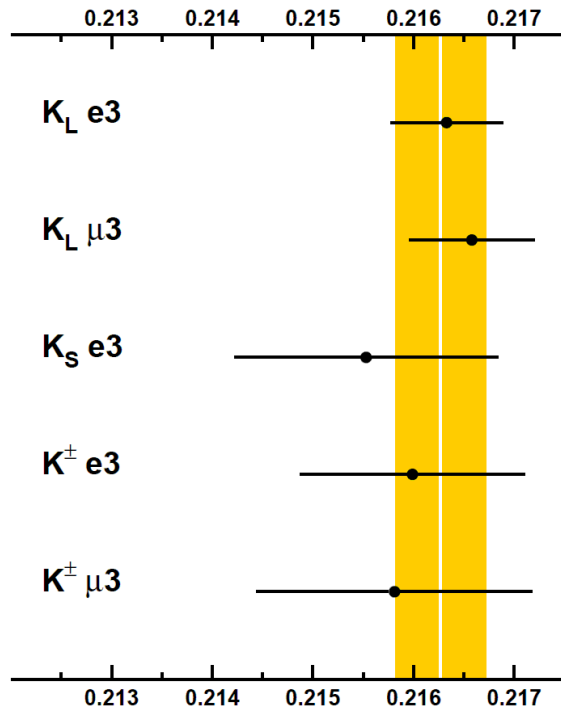
10<sup>5</sup>-10<sup>7</sup> events samples  
 ~0.1% background  
 (for K<sup>+</sup>, K<sub>L</sub>)

Cabibbo angle from Kℓ3 (K<sub>L</sub>, K<sub>S</sub>, K<sup>+</sup>) and LQCD:

$$\Gamma(K_{l3(\gamma)}) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi}(0)|^2 I_{KI}(\lambda) (1 + 2\Delta_K^{SU(2)} + 2\Delta_{KI}^{EM})$$

$$\frac{\Gamma_{K\ell 2}}{\Gamma_{\pi\ell 2}} = \frac{|V_{us}|^2}{|V_{ud}|^2} \frac{f_K^2}{f_\pi^2} \frac{m_K(1 - m_\ell^2/m_K^2)^2}{m_\pi(1 - m_\ell^2/m_\pi^2)^2} (1 + \delta_{EM}) \quad |V_{us}|/|V_{ud}| \text{ from } (K/\pi)\ell 2 \text{ and LQCD}$$

# Kaons confront CKM



FlaviaNet 2010 global fit:

$$|V_{us}| f_+(0) = 0.2163(5)$$

$$\chi^2/\text{ndf} = 0.77/4$$

(P=94%)

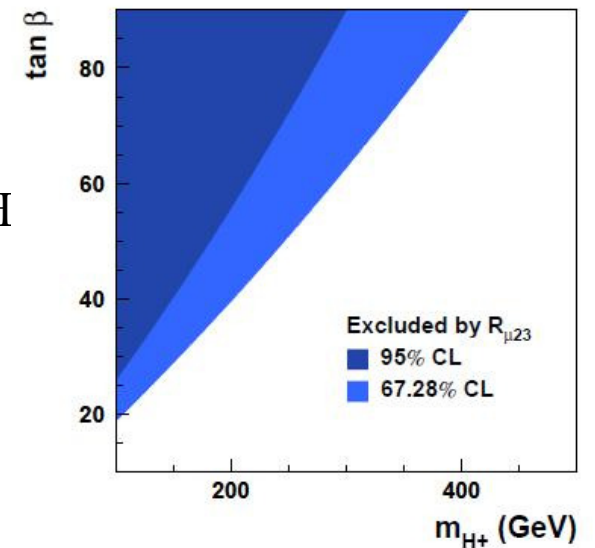
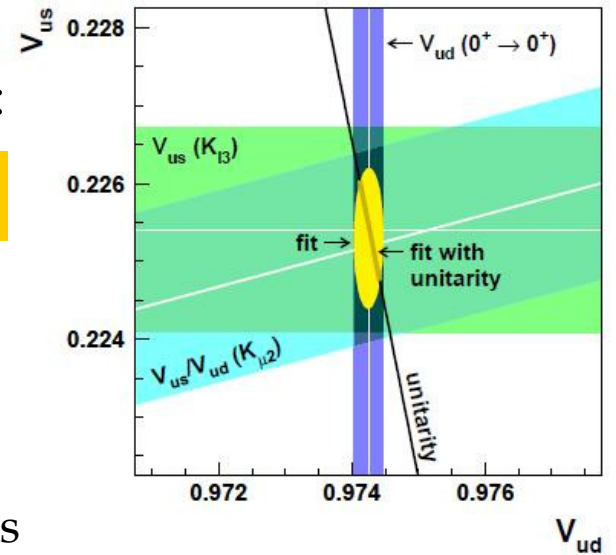
0.23% relative precision

No hint of unitarity violations

Lepton universality test:

$$r_{\mu e} = 1.002(5)$$

**U(3)<sup>5</sup> symmetry** test:  
 Deviation from V-A by H exchange contribution in 2HDM:  
 K $\mu$ 2/K $l$ 3 comparison



# Leptonic K decays

Helicity-suppressed  $K\ell 2$  decays:  $K^\pm \rightarrow e^\pm \nu$   $K^\pm \rightarrow \mu^\pm \nu$

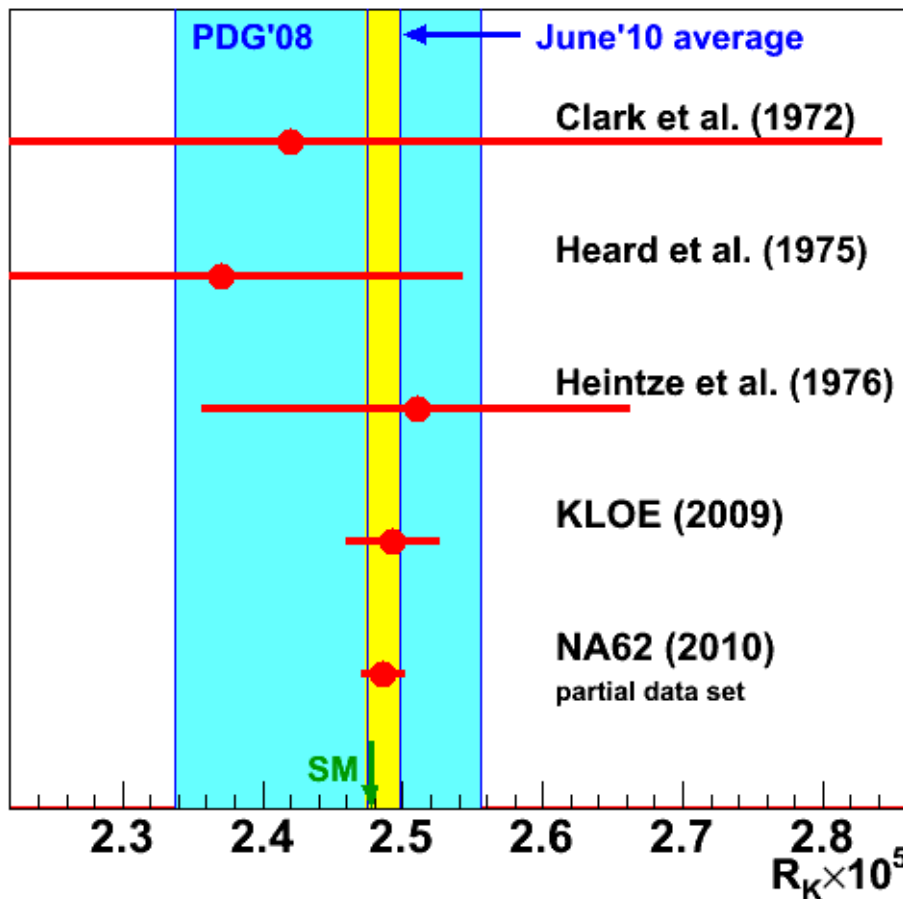
Axial current in SM, hadronic physics in normalization  $f_K$   
 (ChPT and lattice matching). Sub per-mille precision on  $R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)}$

$$R_K(SM) = (2.477 \pm 0.001) \cdot 10^{-5}$$

BSM: scalar densities or RH currents  
 $H^+$  affects rates and possibly RK !

**NA62** (40% data set)  
 60K candidates, 9% background

**KLOE** (final)  
 14K candidates, 15% background



$$R_K = (2.487 \pm 0.012) \cdot 10^{-5}$$



# DaΦne and KLOE: a new marriage



Starting 2008 new crab-waist interaction scheme reached  **$4.5 \cdot 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$**  luminosity

**Step 0 (2010):** collect  **$5 \text{ fb}^{-1}$**  running at  $10^{33}$  luminosity, with 2 pairs of new  $e^+e^-$  taggers for  $\gamma\gamma$  physics  
 Resonance physics, hadronic  $\sigma$ ,  
 precision CKM measurements:  
 $|V_{us}| f_+(0) \rightarrow \mathbf{0.14\%}$

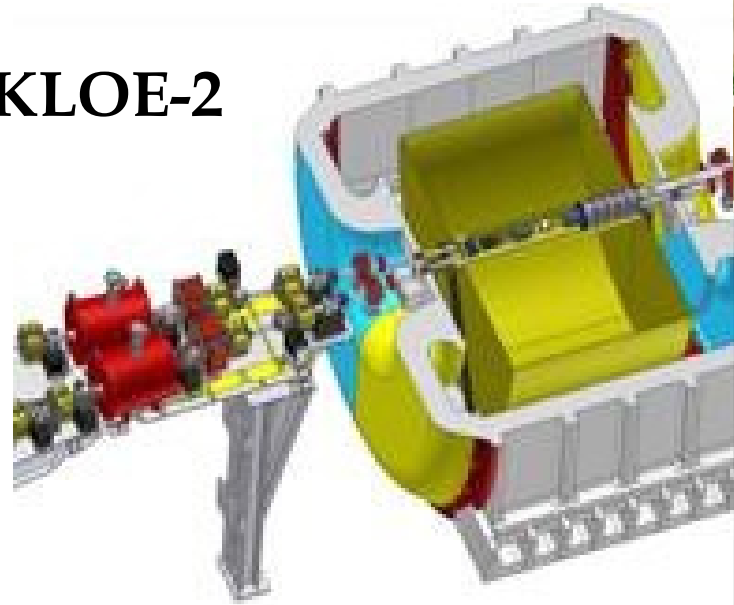
**Step 1 (late 2011):** collect  **$20 \text{ fb}^{-1}$**  with

- Small-angle crystal calorimeters
- Tile calorimeters on beam quadrupoles
- GEM light tracker for improved vertex resolution

CPT and Lorentz violation tests  
 Dark matter searches  
 Marginal for  $K_S \rightarrow \pi^0 \ell^+ \ell^-$

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## KLOE-2



Mode	$\delta V_{us}  \times f_+(0)$ (%)	$\mathcal{B}$	$\tau$	$\delta$	$I_{Kl}$
$K_L e3$	0.21	0.09	0.13	0.11	0.09
$K_L \mu3$	0.25	0.10	0.13	0.11	0.15
$K_S e3$	0.33	0.30	0.03	0.11	0.09
$K^\pm e3$	0.37	0.25	0.05	0.25	0.09
$K^\pm \mu3$	0.40	0.27	0.05	0.25	0.15

KLOE-2 Step0: **few  $10^{-4}$**  CKM univ. test

# The role of Lattice

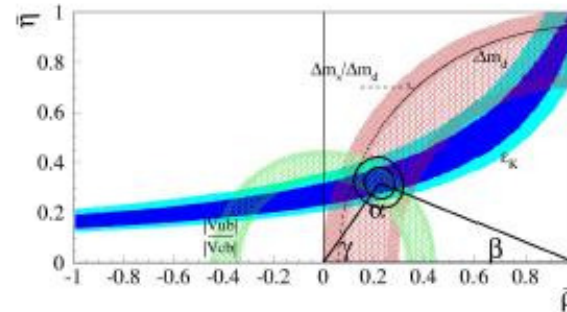


Roma, Italy  
September 9-13, 2008

## Lattice QCD and the Unitarity Triangle

Three of the five determinations of the UT parameters depend in a critical way from Lattice QCD results.

We would like measurements that are as far as possible independent from details of the hadron physics. The answer:  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  and  $K_L \rightarrow \pi^0 \nu \bar{\nu}$ .



Measurement	$V_{CKM} \times \text{other}$	Constraint
$b \rightarrow u/b \rightarrow c$	$ V_{ub}/V_{cb} ^2$	$\bar{\rho}^2 + \bar{\eta}^2$
$\Delta m_d$	$ V_{td} ^2 f_{B_d}^2 B_{B_d} f(m_t)$	$(1 - \bar{\rho})^2 + \bar{\eta}^2$
$\frac{\Delta m_d}{\Delta m_s}$	$\frac{ V_{td} ^2 f_{B_d}^2 B_{B_d}}{ V_{ts} ^2 f_{B_s}^2 B_{B_s}}$	$(1 - \bar{\rho})^2 + \bar{\eta}^2$
$\epsilon_K$	$f(A, \bar{\eta}, \bar{\rho}, B_K)$	$\propto \bar{\eta}(1 - \bar{\rho})$

A. Stocchi, from analysis by M. Ciuchini et al.

# Why ultra-rare K decays?

Let's face it:

**the flavour structure of "TeV scale" BSM physics is not too weird**

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The easy (SM) stuff has been done

**"When the going gets tough,  
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# Why ultra-rare K decays?

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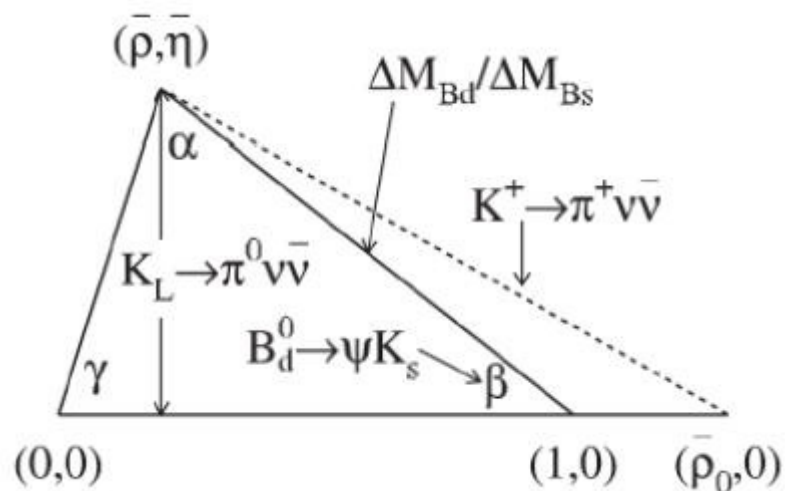


K **theoretical cleanliness** unmatched, simple system, few decay channels

Extreme **hard-GIM SM-suppressed** FCNC decays: room for NP up to 10x SM

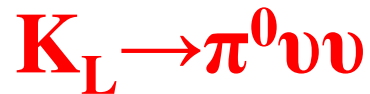
Unique sensitivity to **flavour couplings** of BSM physics about to be produced at LHC...  
... or sensitivity to **extremely high NP scales** in the unfortunate case that...

(10% measurement of  $K \rightarrow \pi \nu \bar{\nu}$  BR can probe **1000 TeV** NP scale)



# $K \rightarrow \pi \nu \nu$ BR predictions

The experimental challenges stimulated a flurry of theoretical improvements

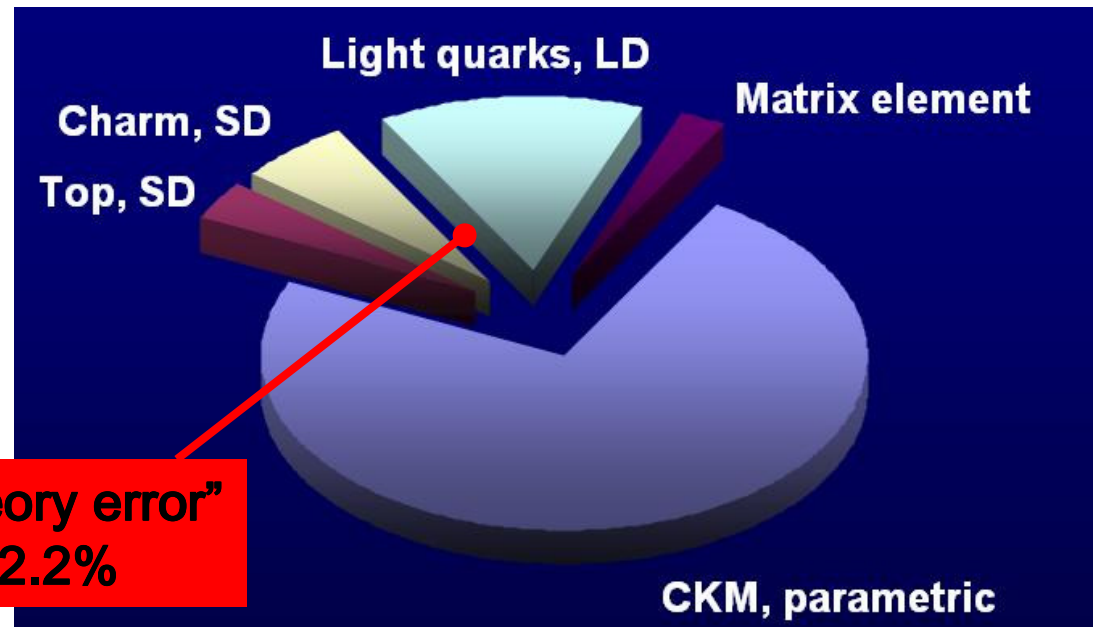
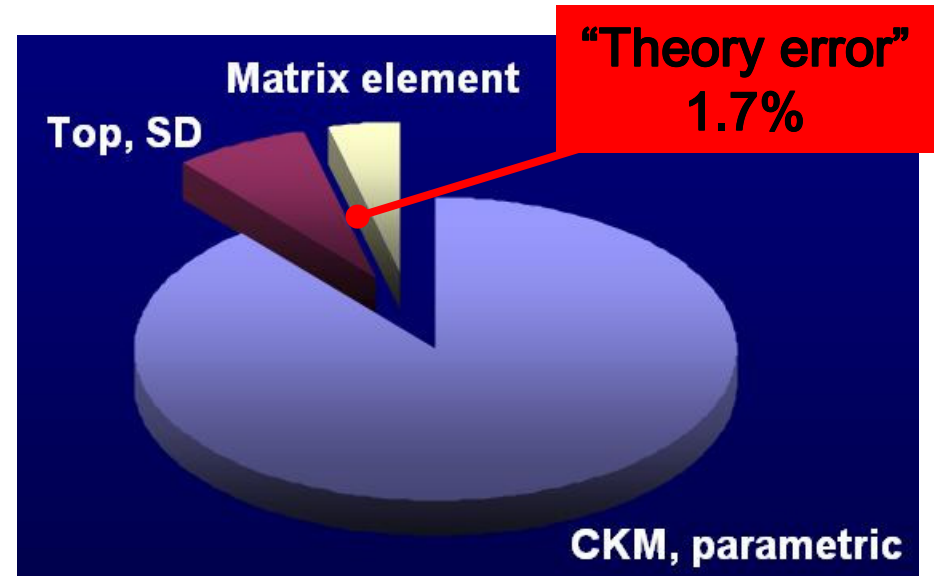


$$BR_{SM} = (0.26 \pm 0.04) \cdot 10^{-10}$$

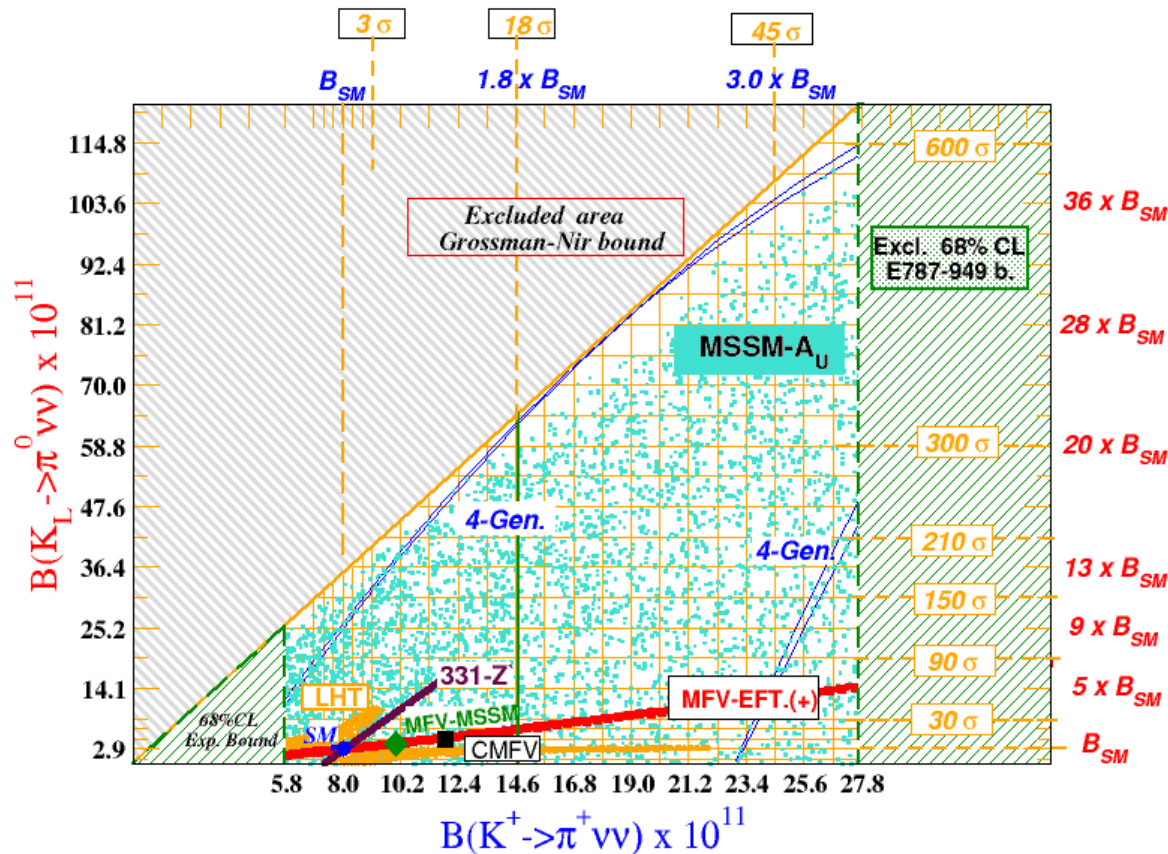


$$BR_{SM} = (0.85 \pm 0.07) \cdot 10^{-10}$$

Comparable,  
unprecedented,  
*tiny* theoretical errors



# $K \rightarrow \pi \nu \nu$ beyond SM



BR( $K^+ \rightarrow \pi^+ \nu \nu$ ) $\times 10^{10}$ : some examples	
SM	$0.85 \pm 0.07$
MFV (hep-ph/0310208)	1.91
EEWP (NPB697 (2004) 133, hep-ph/0402112)	$0.75 \pm 0.21$
EDSQ (PRD70 (2004) 093003, hep-ph/0407021)	up to 1.5
MSSM (NPB713 (2005) 103, hep-ph/0408142)	up to 4.0

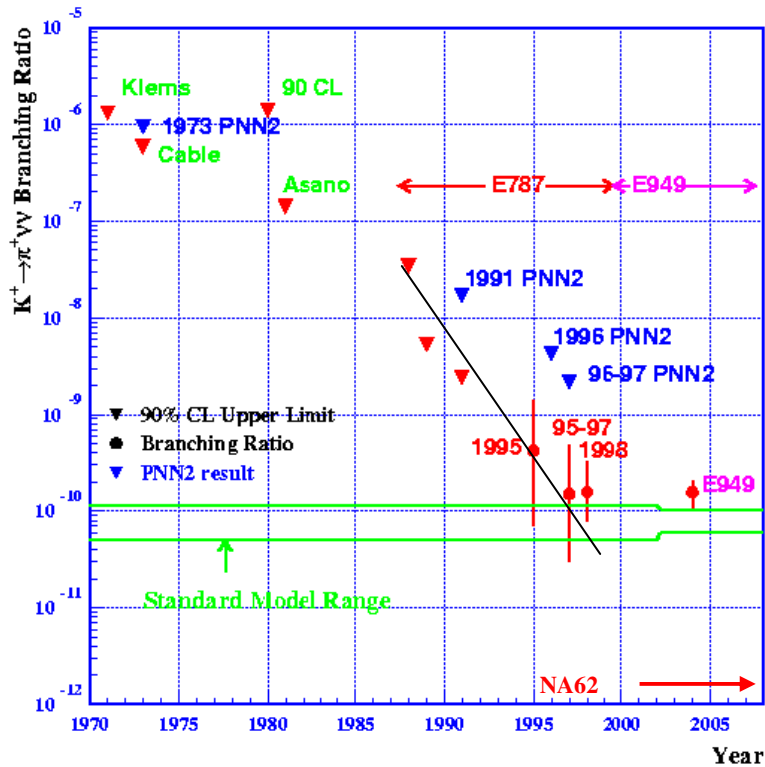
$K \rightarrow \pi \nu \nu$  **remains clean** also beyond SM:  
single effective  $\nu \nu$  operator, calculable Wilson coeff., no long-distance effects



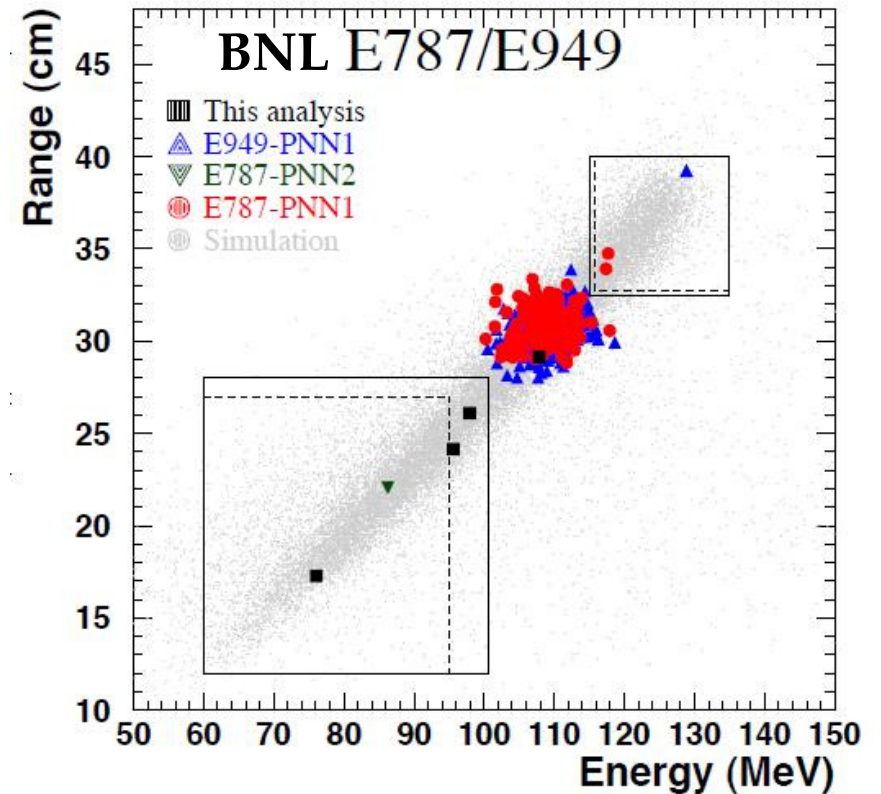
# The long $K^+ \rightarrow \pi^+ \nu \nu$ march



First search: 1969 ( $10^{-4}$ ) Observation: 1997 ( $10^{-10}$ )



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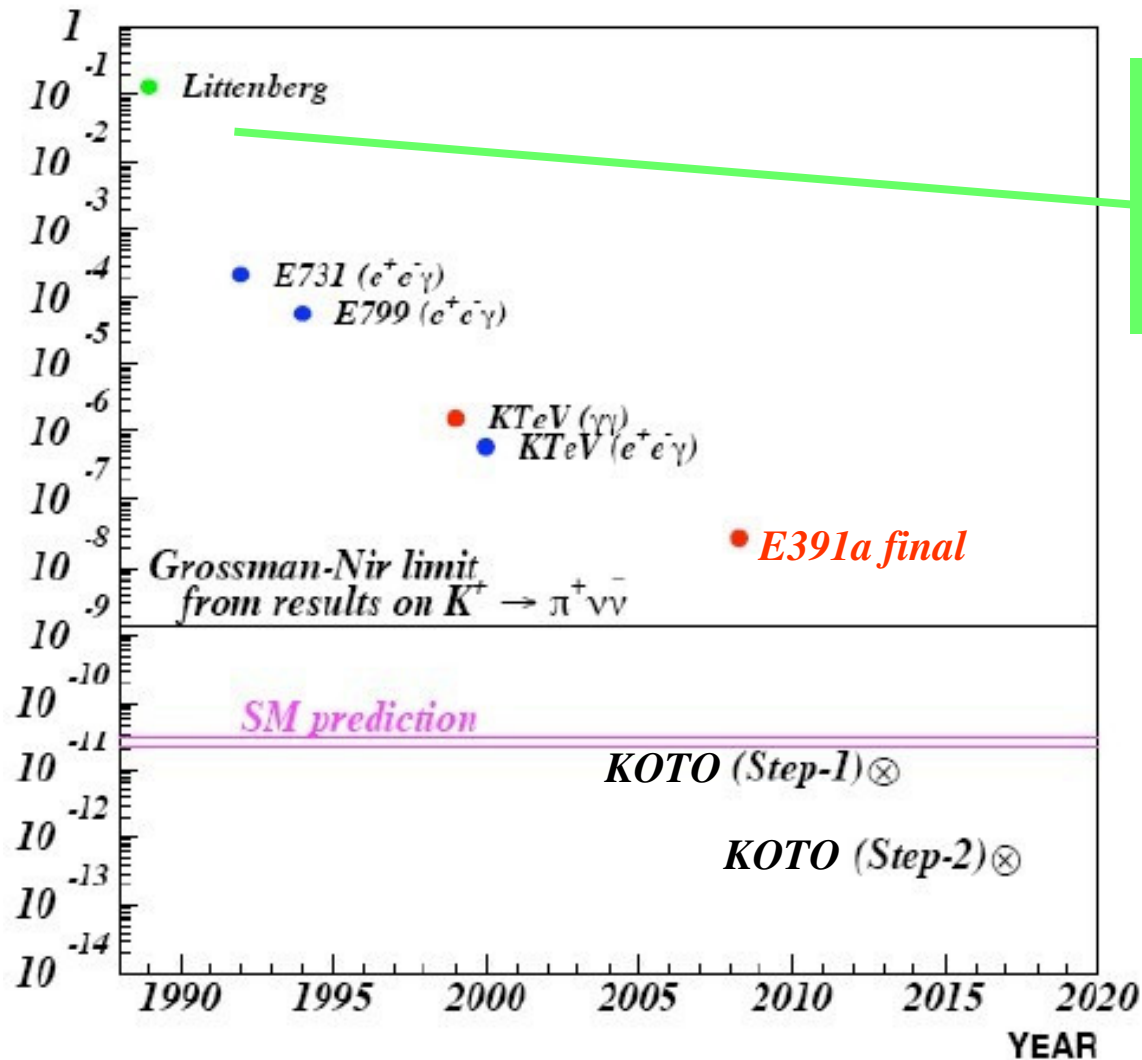


CKM10

Warwick, Sep. 7<sup>th</sup>, 2010



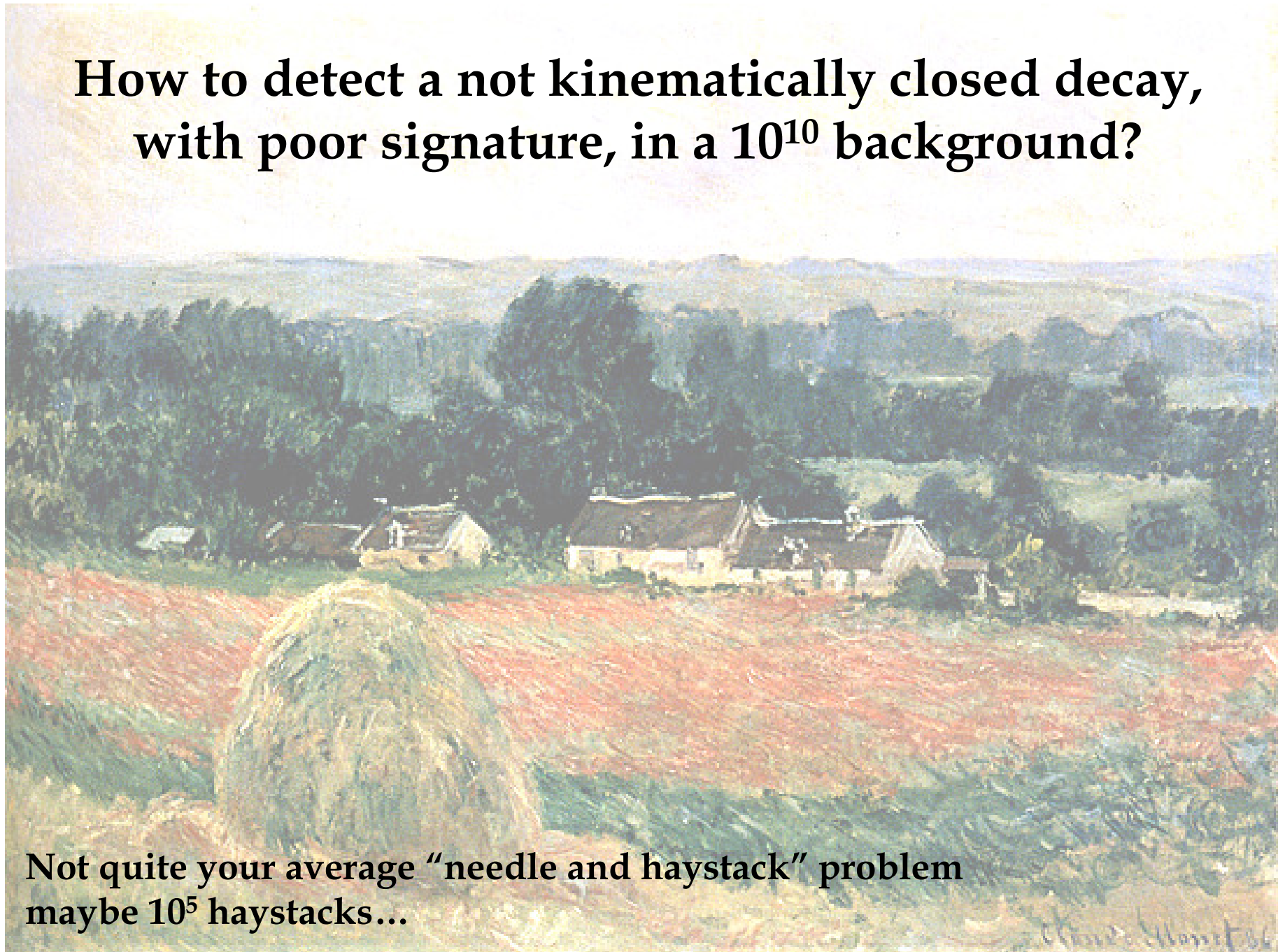
# The new $K_L \rightarrow \pi^0 \nu \bar{\nu}$ enterprise

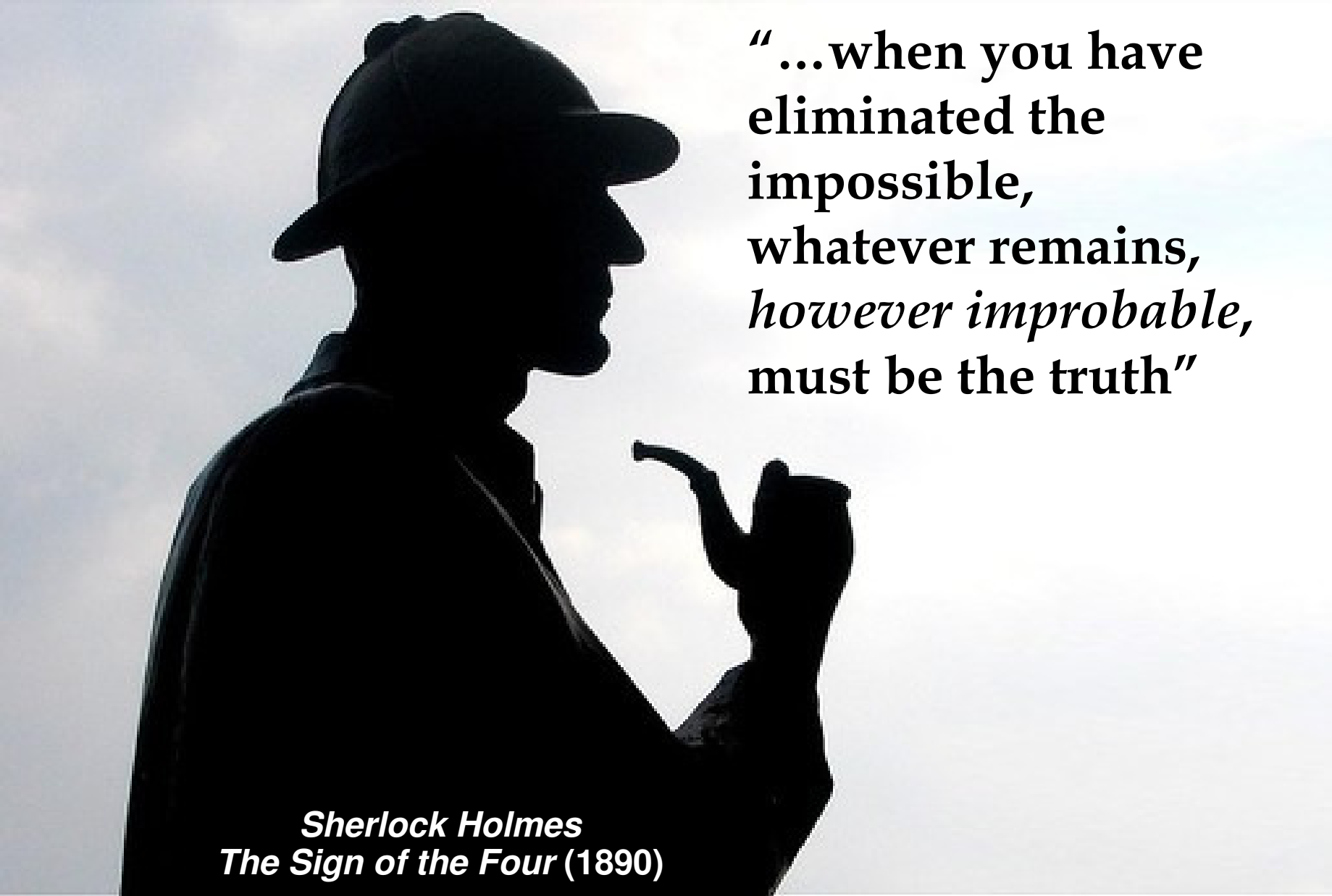


“The best it can be said is that so far nobody demonstrated conclusively that the measurement is impossible”.

**How to detect a not kinematically closed decay,  
with poor signature, in a  $10^{10}$  background?**

**Not quite your average “needle and haystack” problem  
maybe  $10^5$  haystacks...**

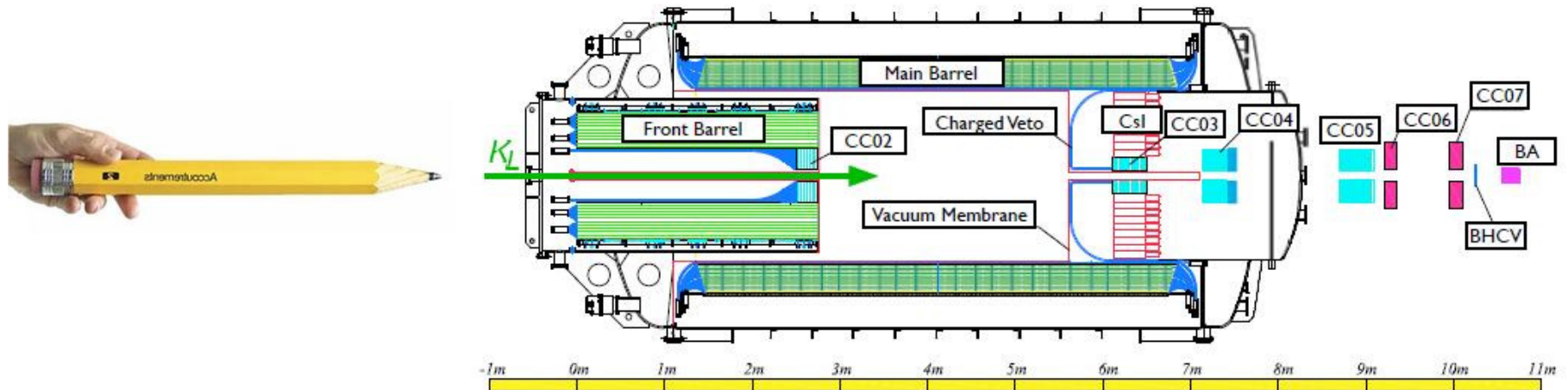




**“...when you have  
eliminated the  
impossible,  
whatever remains,  
*however improbable,*  
must be the truth”**

***Sherlock Holmes  
The Sign of the Four (1890)***

# KEK E391a experiment



First dedicated pilot experiment to search for  $K_L \rightarrow \pi^0 \nu \bar{\nu}$  at the KEK-PS  
Improve over KTeV (Dalitz) limit:  $BR < 5.9 \cdot 10^{-7}$

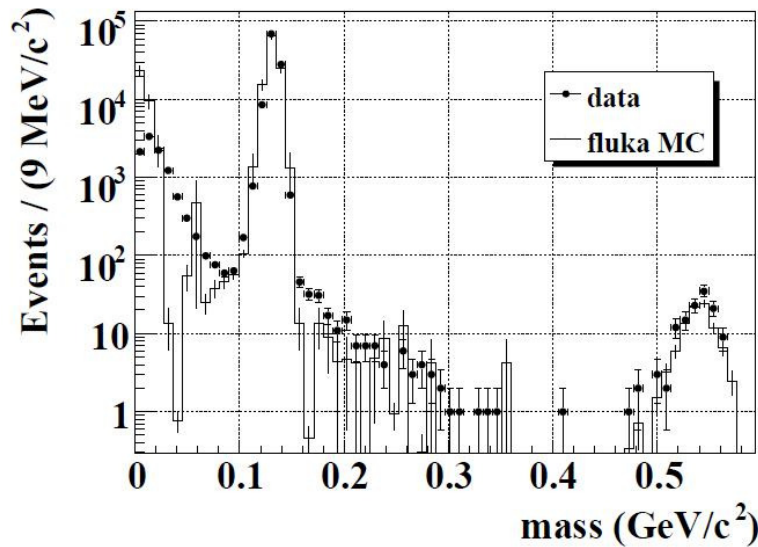
- High intensity:  $2 \cdot 10^{12}$  ppp 12 GeV/c (50% DC)
- “Pencil” beam as transverse constraint:  $\sim 2$  GeV/c  $K_L$  at  $4^\circ$  and 11m
- Photon veto hermeticity down to 1-2 MeV: Pb/scint in high vacuum
- Good EM calorimetry:  $\sim 500$  pure CsI  $7 \times 7$  cm<sup>2</sup>, with central hole

Three runs (2004-2005): 12 month total

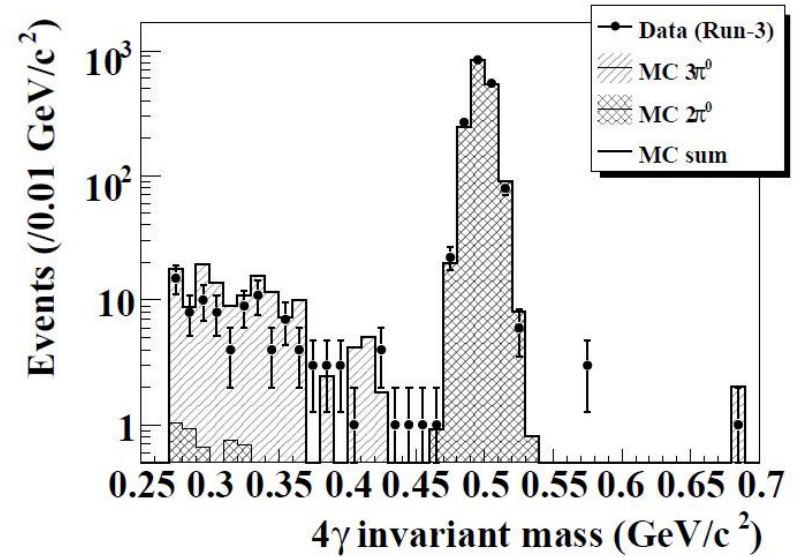
**GOAL...**



# KEK E391a results



2γ mass with 5mm Al plate run



4γ mass in vacuum run

Detailed understanding of backgrounds

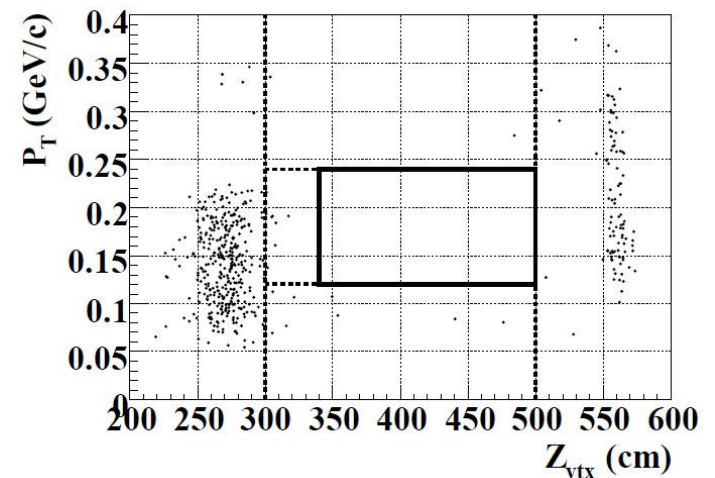
Bkg estimate:  $0.87 \pm 0.41$

3 flux normalizations

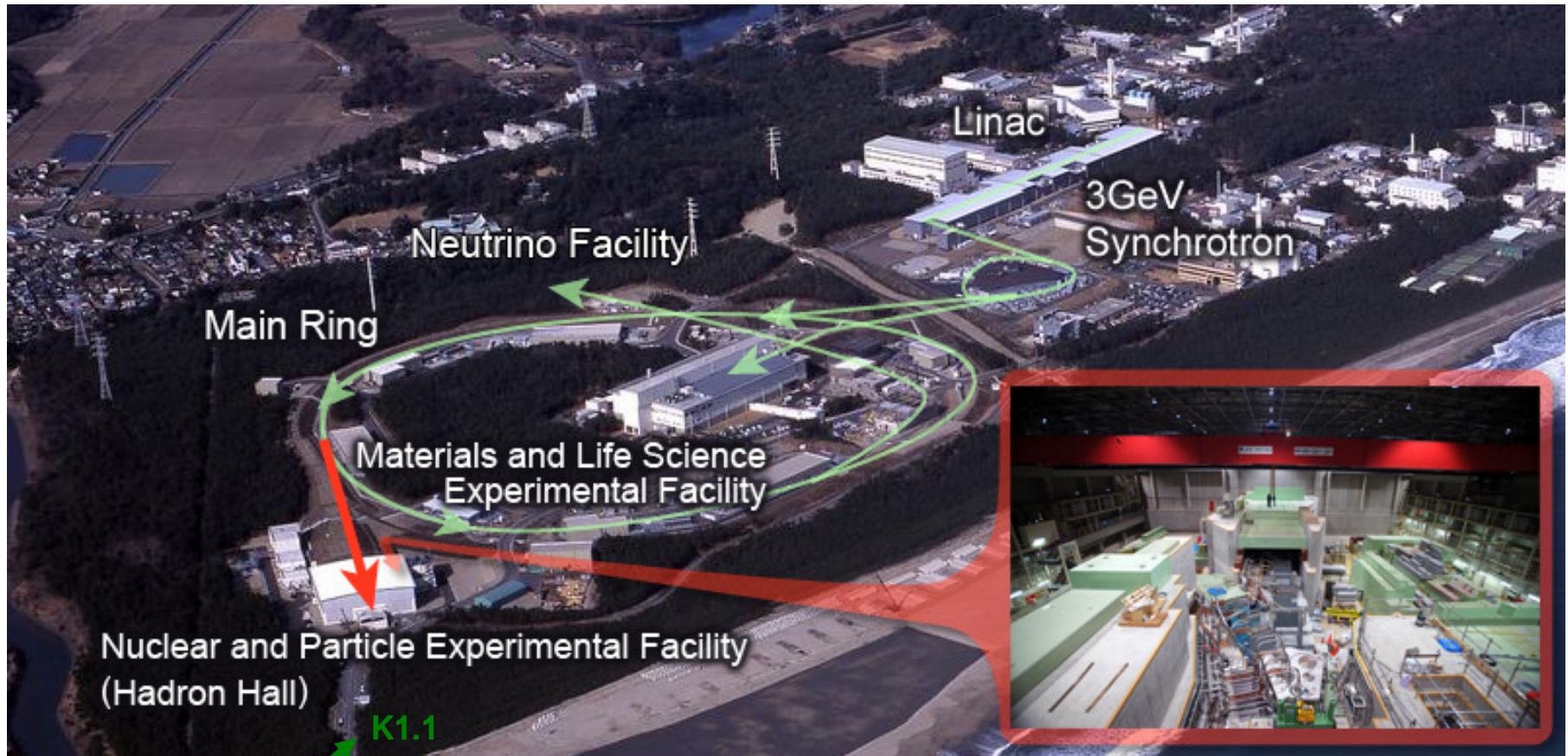
~1% total acceptance

SES  $(1.11 \pm 0.02 \pm 0.10) \cdot 10^{-8}$

**$BR(K_L \rightarrow \pi^0 \nu \nu) < 2.6 \cdot 10^{-8}$  (90% CL)**



# J-PARC

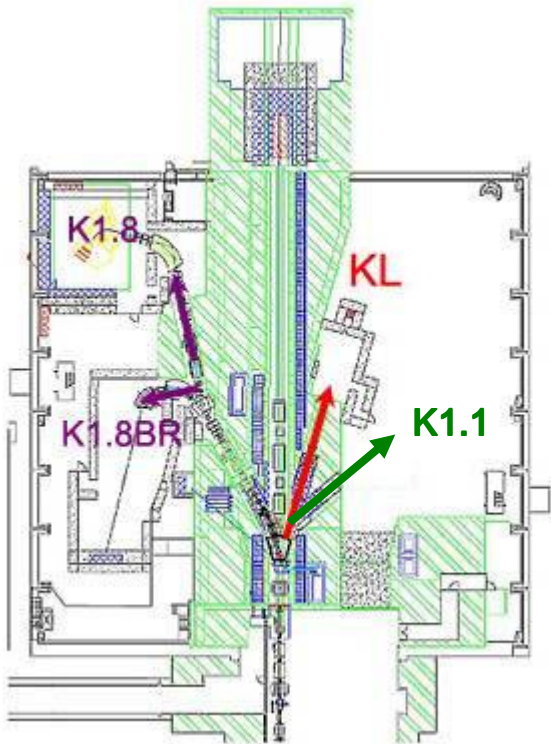
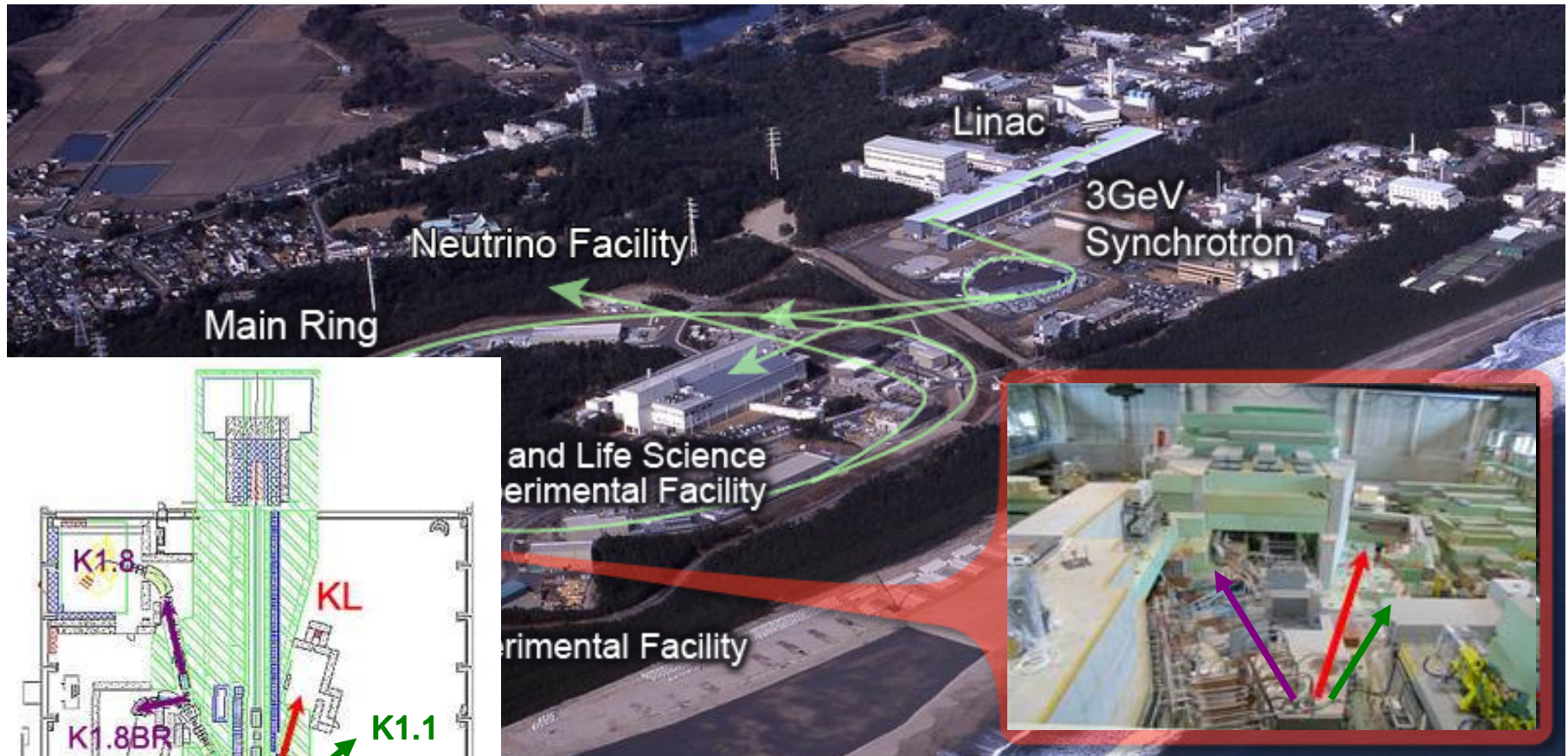


30 GeV/ $c$ , 100 kW reached, upgrade to 1 MW

3 Kaon lines (two separated  $K^+$ , one  $K^0$ )



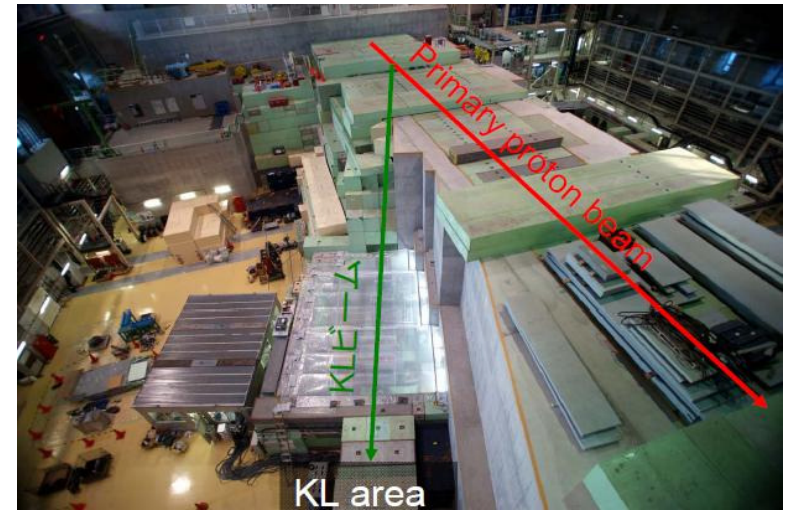
# J-PARC



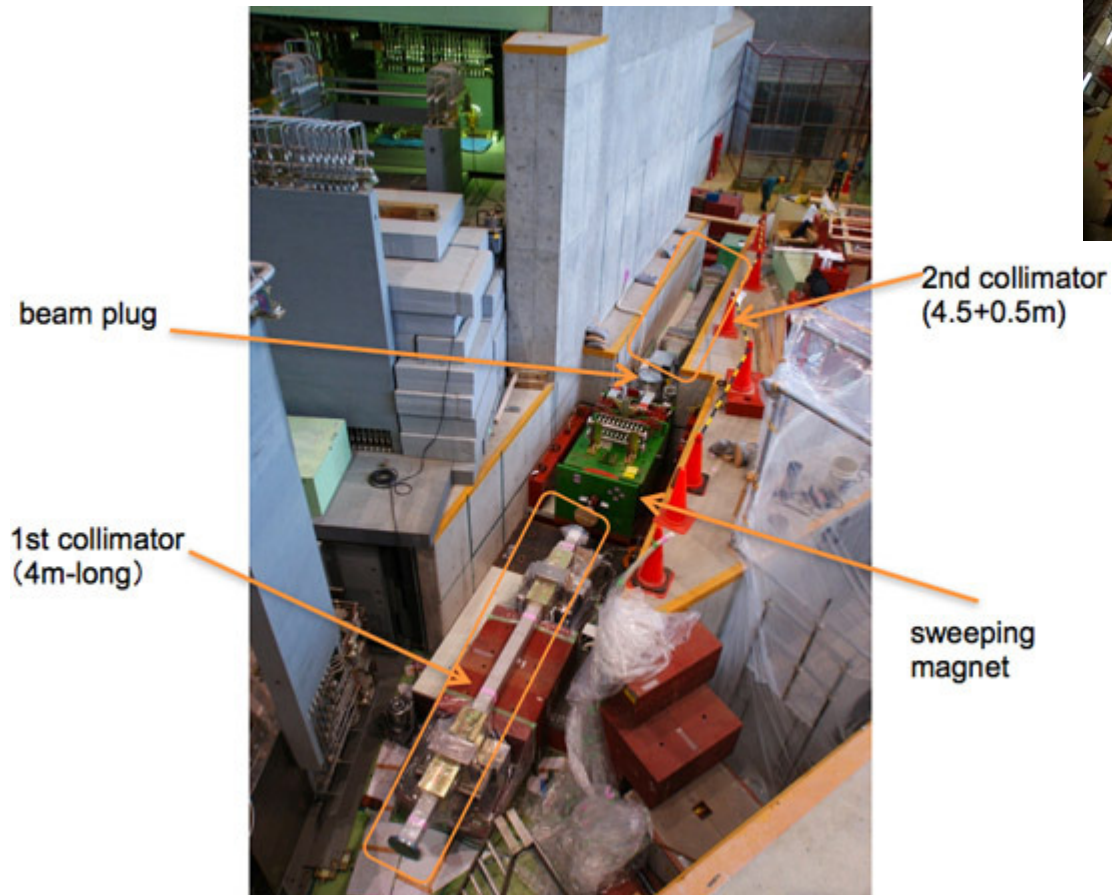
30 GeV/c, 100 kW reached, upgrade to 1 MW

3 Kaon lines (two separated  $K^+$ , one  $K^0$ )

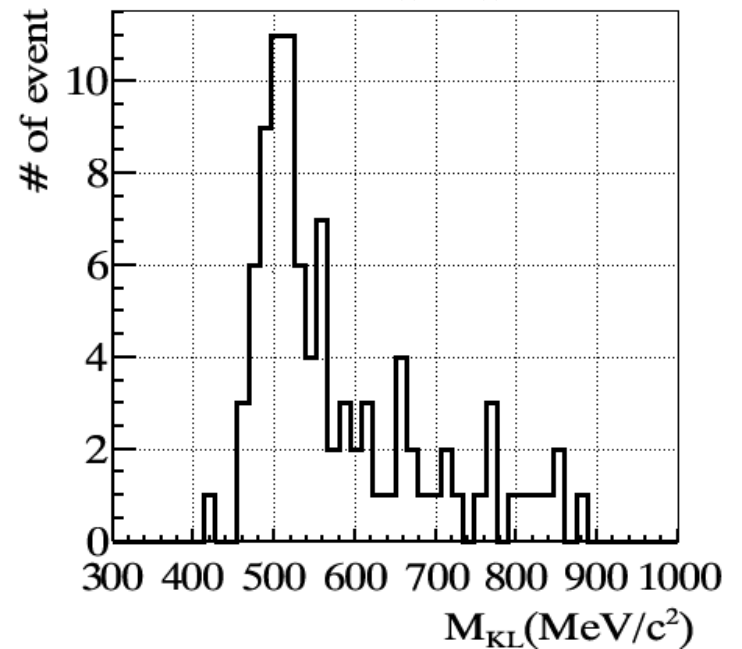
# J-PARC $K_L$ beam



$K_L \rightarrow \pi^+\pi^-\pi^0$  detected  
 1-2 GeV/c  
 Yield: 2.3 x proposal

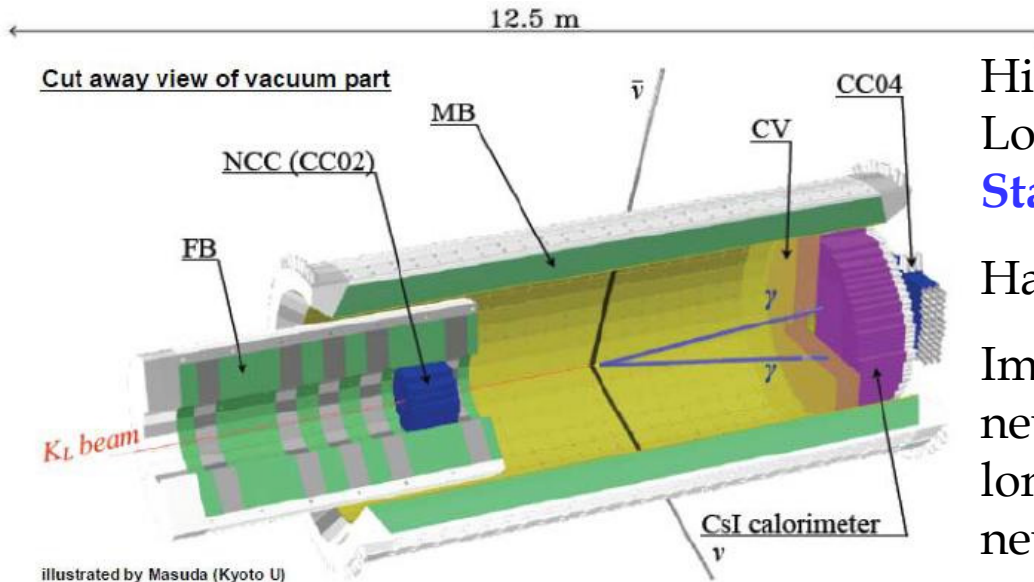


$0.2 \div 4 \text{ GeV}/c K_L$





# KOTO experiment



Higher beam intensity, acceptance  
Lower DC, yield (angle):

Statistics: **3000 x E391a**

Halo  $n/K$ : **240x E391a**: new beam line

Improved **background** control:  
new EM calorimeter (> granularity,  
longer), new backside charged veto,  
new beam-hole  $\gamma$  veto (25x Pb/aerogel)

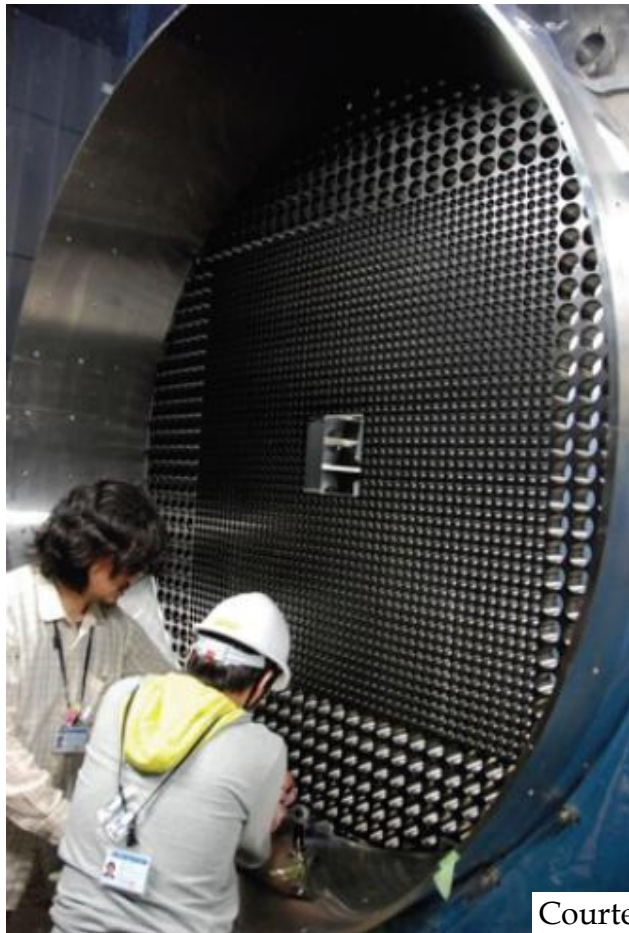
Step 1: SES = **2.7 SM events** (3 Snowmass years) with **2.2 background**

Step 2 upgrade: **100 SM events**  
(dedicated, smaller targeting angle beam line, larger detector)

66 people, 16 institutions (Japan, Korea, USA, Russia, Taiwan)  
Stage 2 approval, beam line commissioned, in preparation

# KOTO experiment

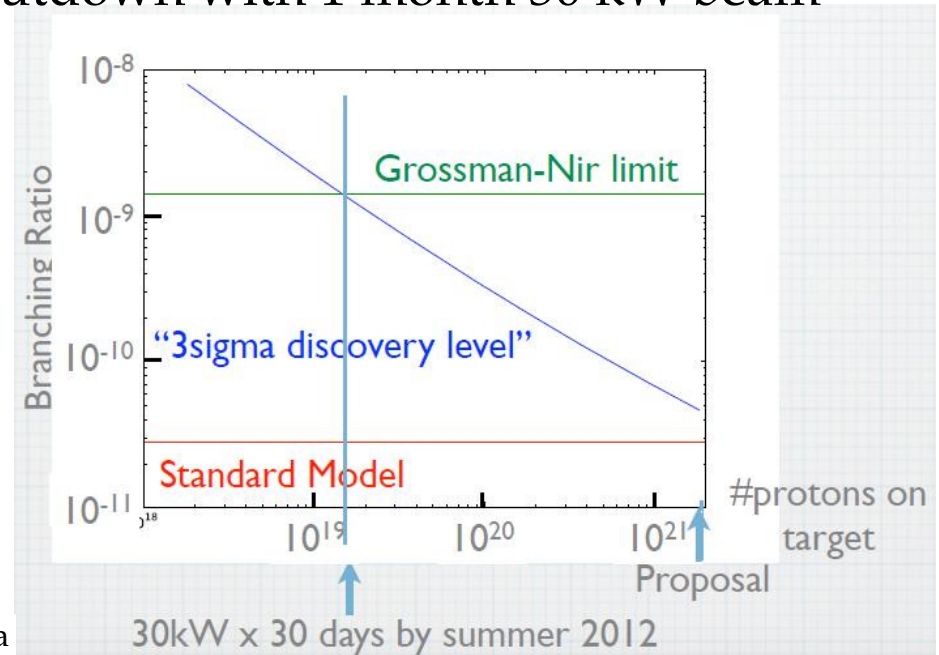
2700 CsI crystal EM calorimeter (KTeV)  
with new electronics, in vacuum. 144 ch.  
prototype tested OK, now stacking.



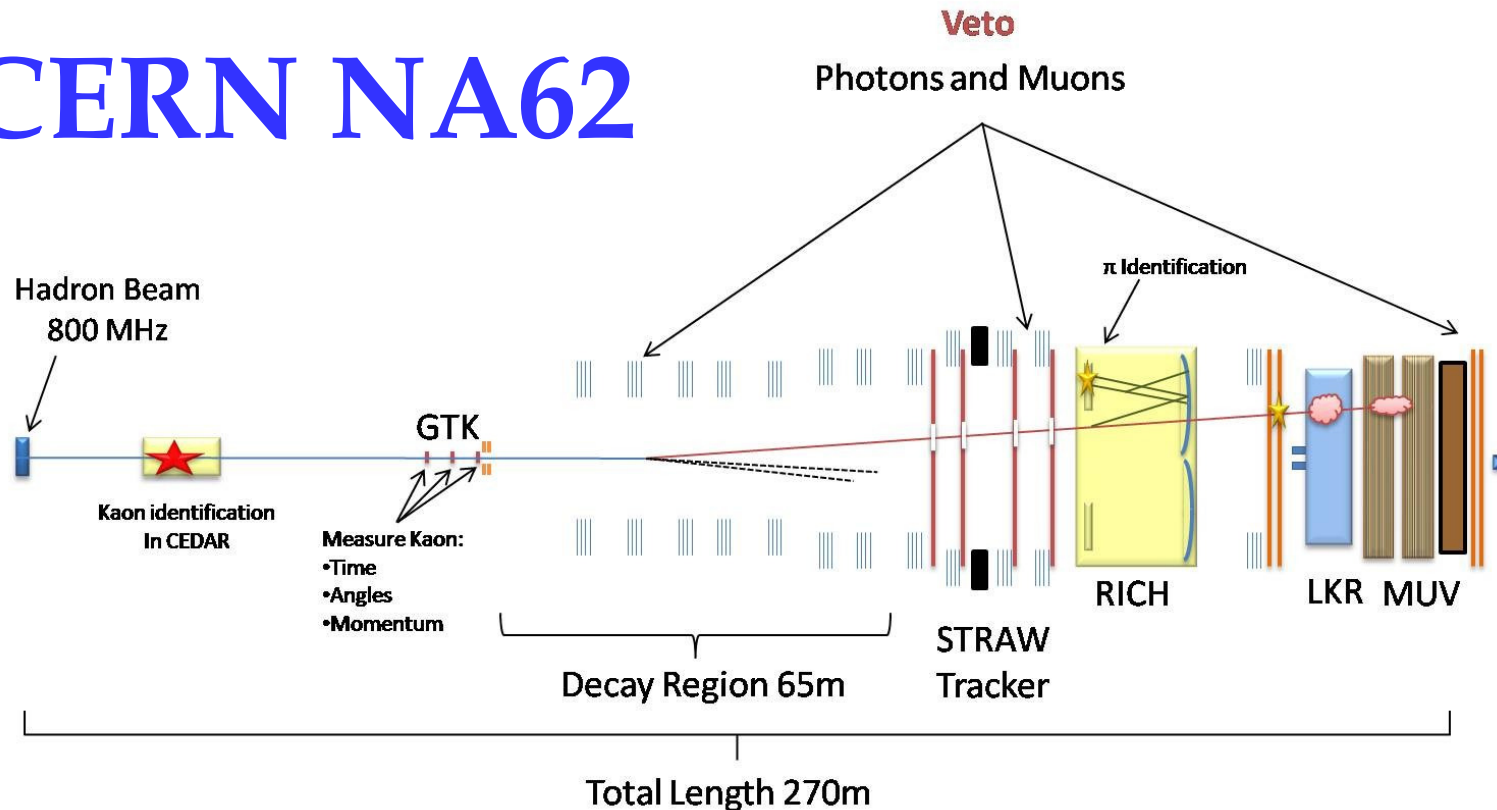
Courtesy T. Yamanaka

Beam in October to test calorimeter  
Engineering run & first physics run fall 2011

Goal: reach GN limit before summer 2012  
shutdown with 1 month 30 kW beam



# CERN NA62



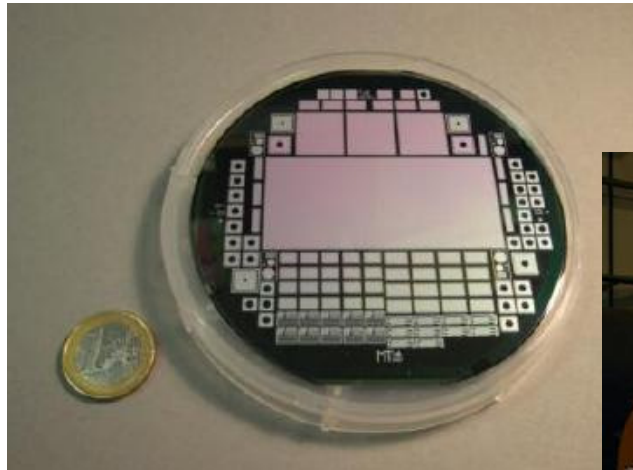
Measurement of  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  with new decay in-flight technique  
 Intense unseparated (6%  $K^+$ ) 75 GeV/c hadron beam:  $5 \cdot 10^{12}$  ppp  
 High-energy: high yield, large decay volume, more powerful vetoing  
 Track incoming  $K^+$  in 800MHz beam, particle ID, photon vetoing

**$5 \cdot 10^{12}$**   $K^+$  decays/year

**55** SM events/ (<Snowmass) year,  $S/B \approx 5$



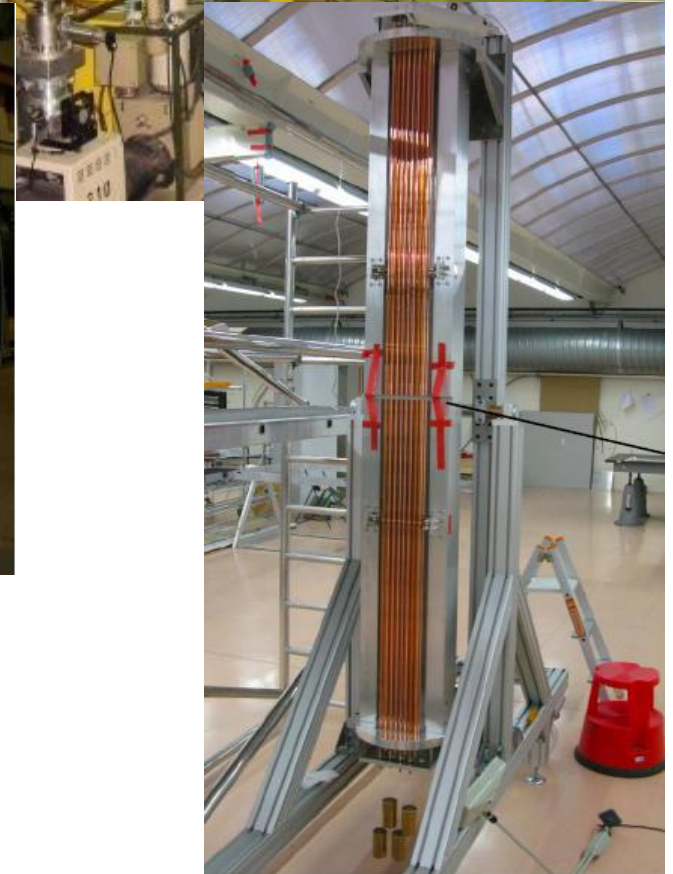
# CERN NA62



Completing R&D  
Starting construction



Partial engineering test 2011  
First physics run 2013





# US: strong interest and many casualties



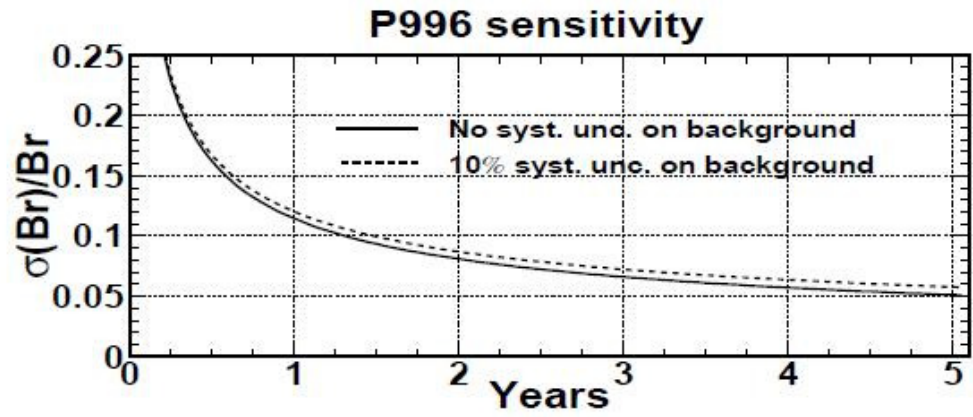
# FNAL P996 proposal

5% measurement of  $\text{BR}(\text{K}^+ \rightarrow \pi^+ \nu \nu)$  with (proven) **stopped beam** technique, improving **x100** over BNL E949 by using:

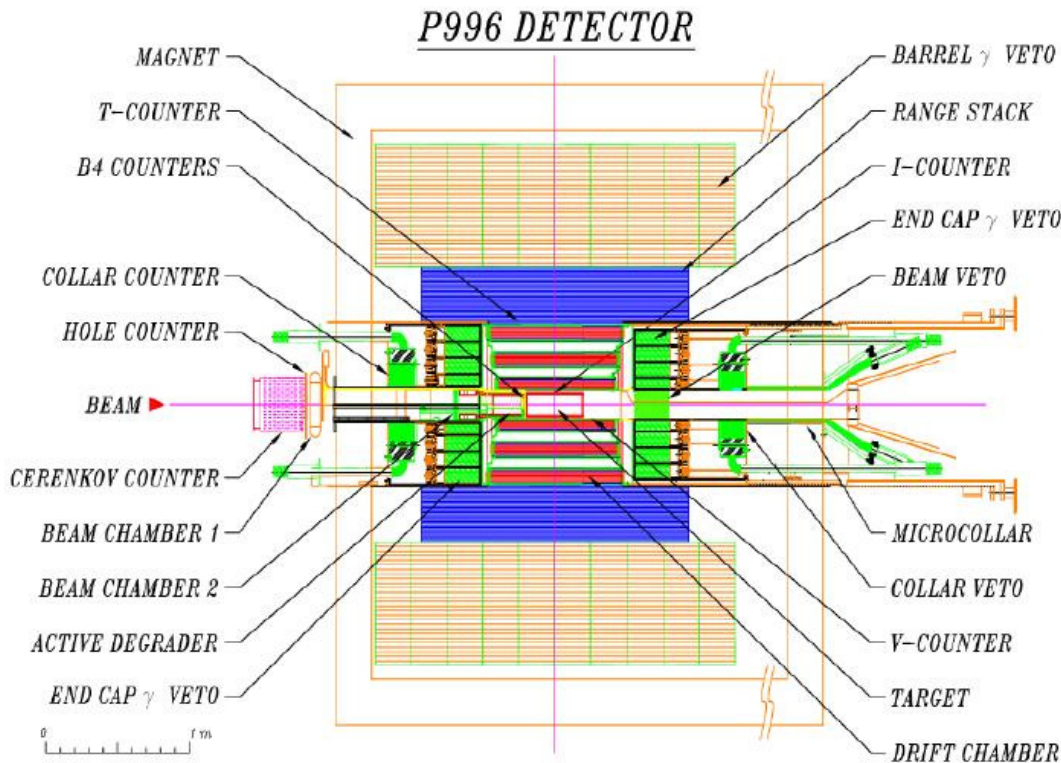
- 10% of MI protons:  $9.6 \cdot 10^{13}$  150 GeV/c p (kaon yield x7)
- TeVatron as a stretcher ring (**95% DC**), same detector rates ( $\approx 8$  MHz)
- Separated 550 MeV/c  $\text{K}^+$  beam ( $\text{K}/\pi \approx 2.5$ , 13.5 m long,  $\text{K}^+$  stops x4.5)

Goal:  $194^{+89}_{-79}$  events/year  
 (1 year = 1.8 Snowmass years)  
 with  $\text{S}/\text{N} \approx 4$

Ratio P996/E949	
$11.3^{+3.3}_{-2.3}$	Detector acceptance
$6.3 \pm 2.1$	Stopped kaons per hour
5.3	Hours per year



# FNAL P996 proposal



New detector in CDF hall  
based on E949 concept,  
CDF/CLEO solenoid

Acceptance x11:  
many 10-50% improvements

Component	Acceptance factor
$\pi \rightarrow \mu \rightarrow e$	$2.24 \pm 0.07$
Deadtimeless DAQ	1.35
Larger solid angle	1.38
1.25-T B field	$1.12 \pm 0.05$
Range stack segmentation	$1.12 \pm 0.06$
Photon veto	$1.65^{+0.39}_{-0.18}$
Improved target	$1.06 \pm 0.06$
Macro-efficiency	$1.11 \pm 0.07$
Delayed coincidence	$1.11 \pm 0.05$
Product ( $R_{acc}$ )	$11.28^{+3.25}_{-2.22}$

13 institutes, PAC endorsement  
Cost: 33.3 MUSD+contingency  
(cost for TeVatron running...)

Schedule: want to compete with NA62  
TeVatron run II end ?...

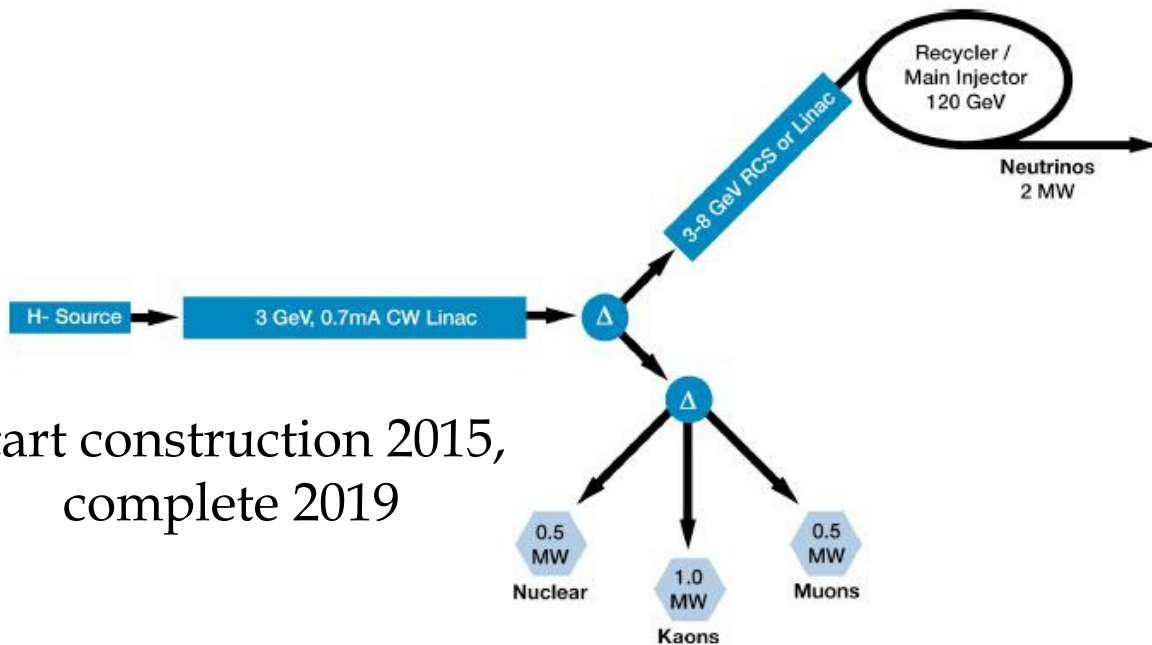
# FNAL Project-X

(megatron, intensitron,...)



Ultimate proton driver for the next decade  
50-120 GeV for  $\nu$ , K,  $\mu$ , n(EDM)

Slow extraction limited from circular machines (10s of kW):  
Continuous-Wave LINAC (p or H<sup>-</sup>), **2 MW** at 2 GeV,  $2 \cdot 10^{15}$  p/s  
**10x** AGS K yield (1/30 K/p, 300x flux)



Start construction 2015,  
complete 2019

9&10 November 2009  
Project X Physics Workshop  
Fermilab Batavia, Illinois USA

4th Workshop on Physics with a high intensity proton source  
Fermilab © ENERGY www.fnal.gov/projectx



# Kaons at Project-X

Flux potential for **ultimate** ultra-rare K decay measurements

**~500  $K^+ \rightarrow \pi^+ \nu \nu$  events/year** (S/B ~ 4)

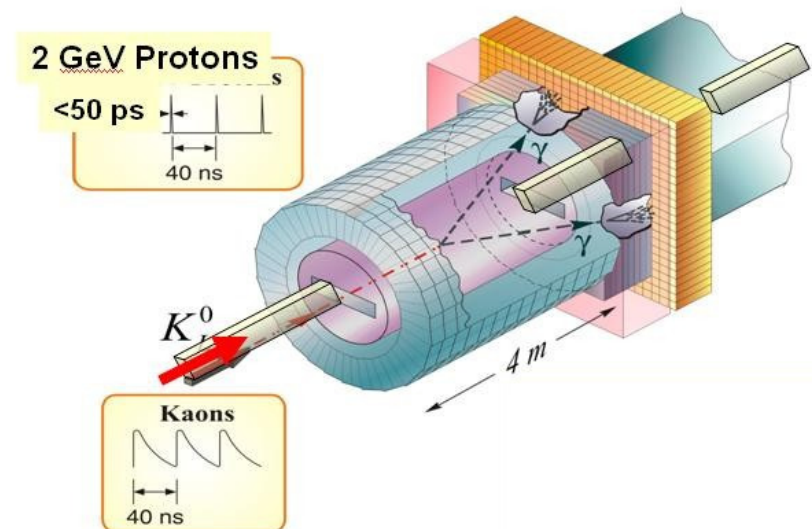
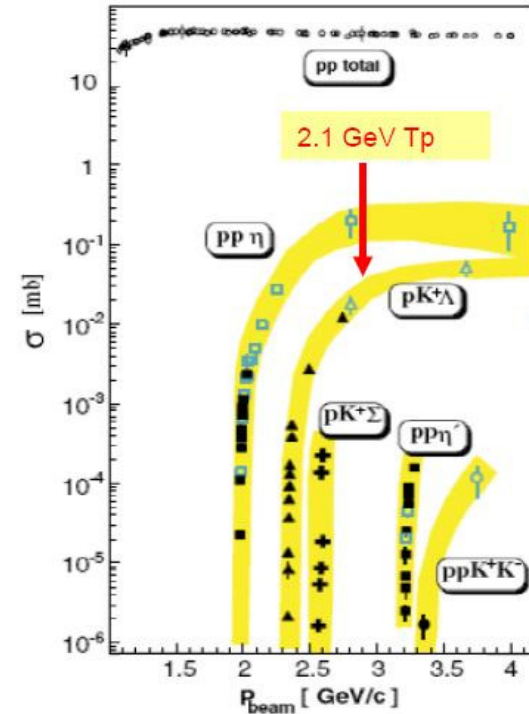
$K_L \rightarrow \pi^0 \nu \nu$  experiment: the best of both worlds

- Intrinsic high-precision timing: TOF approach (KOPIO)
- beam microbunching 50ps/40ns

- Round and small beam (acceptance and bkg rejection)

**~200  $K_L \rightarrow \pi^0 \nu \nu$  evts/year** (S/B ~ 5-10)

Ultimate CPT test at **Planck scale**: interference from pure  $K^0$  beam



# Time-Reversal Violation

**Transverse  $\mu^+$  polarization** in  $K^+ \rightarrow \pi^0 \mu^+ \nu$  decay  
CPV not suppressed by  $\Delta I=1/2$  (can be  $20 \times \epsilon'/\epsilon \approx 10^{-4}$ )  
Tiny SM contribution ( $\approx 10^{-7}$ ), small FSI ( $\approx 10^{-5}$ ):  
good window for New Physics search  
Relative phase of scalar coupling FF

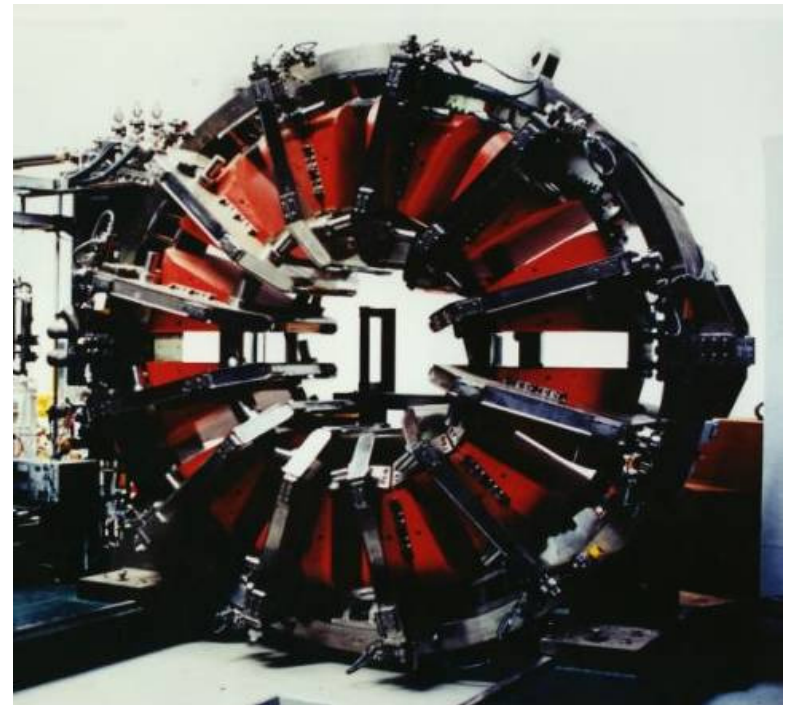
40 years of experimental history

**KEK E246** experiment (final 2006):

$$P_T = -0.0017 \pm 0.0023 \pm 0.0011$$

$$P_T < 5 \cdot 10^{-3} \quad (90\% \text{ CL})$$

No sign of TRV  
Statistically limited



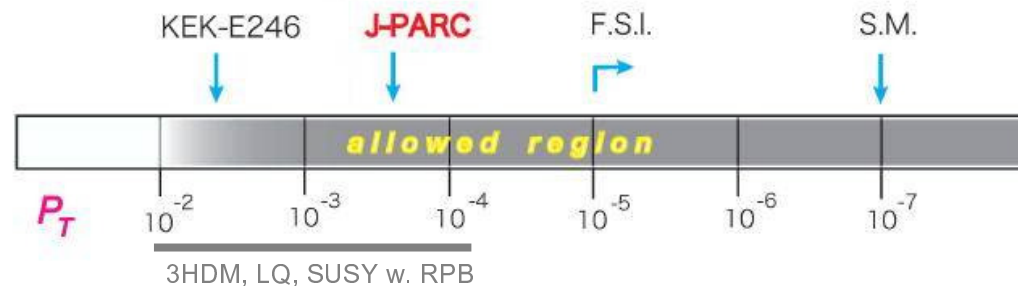
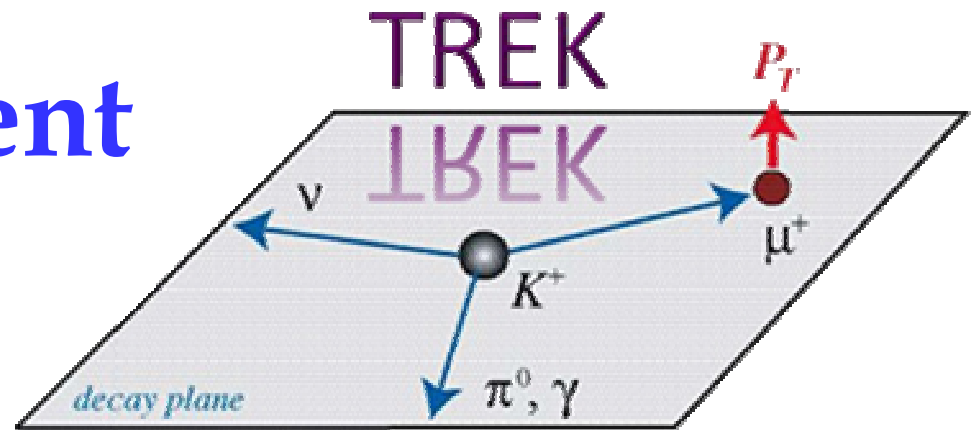
# TREK experiment

J-PARC experiment

Goal:

$$\sigma(P_T) \approx 10^{-4}$$

in 1 year



Factor **20** over E246:

0.8 GeV/c separated K<sup>+</sup> branch line (K/π ≈ 2)

Higher beam **intensity** (2 MHz K<sup>+</sup>), 1 year (300 kW beam)

**Active polarimeter** (lower systematics, higher acceptance)

**New tracking** (w. thinner target and He bags: higher background rejection)

45 people, 20 institutions (Japan, Russia, USA, Canada, Vietnam, Thailand)

Stage 1 approval, R&D, beam line commissioned, 1 polarimeter sector in 2009

# Kaons?

K experiments **complementary** to proton experiments (LHC)  
after all Higgs (or his lookalike) is the source of flavour effects...

Measured BRs and sensitivities in the  **$10^{-12}$**  BR range

New Physics might already be there:  $\epsilon_K$ ?  $\epsilon'/\epsilon$ ?

Only Lattice knows... (at least LQCD *can* be done...)

From discovery tool to **quantitative probe** (CKM) field...

... working even beyond the SM: ultra-rare K decays are the holy grail



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... working even beyond the SM: ultra-rare K decays are the holy grail

Effects seen with **10s of kW**, need **100s of kW** now



(and improved  $|V_{cb}|$ ,  $|V_{ub}|$  would help)

A flourishing of **challenging computations**  
and **ultra-challenging experimental enterprises**

# Kaons!

# Conclusions ?

A photograph of a sunset over a landscape. The sun is low on the horizon, partially obscured by a range of dark hills. The sky is filled with soft, orange and yellow clouds, with the sun's light creating a bright glow and lens flare effects. The overall mood is serene and contemplative.

After 64 years of honorable service to physics,  
kaons, as the *minimal flavour laboratory*,  
are active as ever in offering *new ways*  
to explore the mysteries of the flavour sector,  
and to answer “Who ordered that?”



**KAON FEVER**  
THERE IS NO CURE

Thank-you

M.S. Sozzi

CKM10

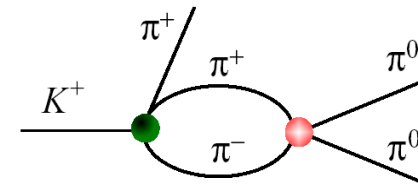
Warwick, Sep. 7<sup>th</sup>, 2010

# Spares

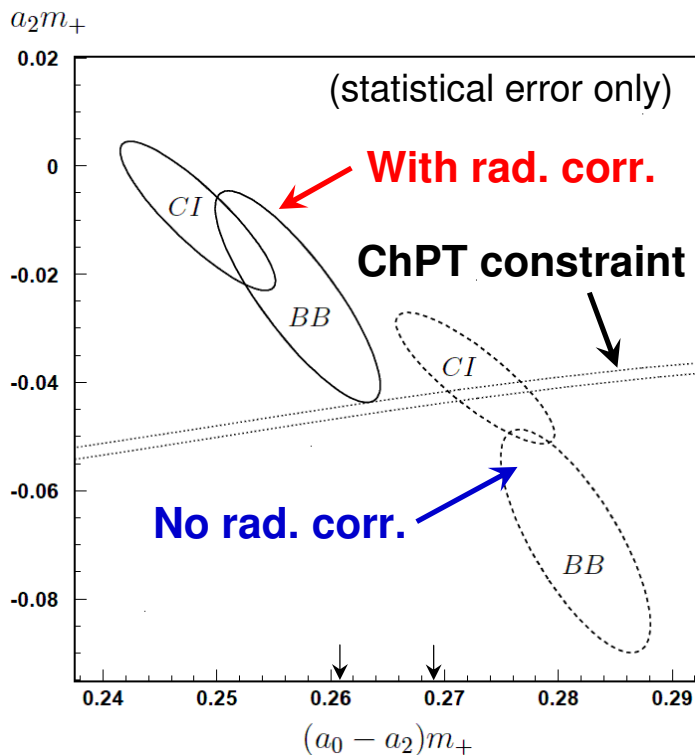
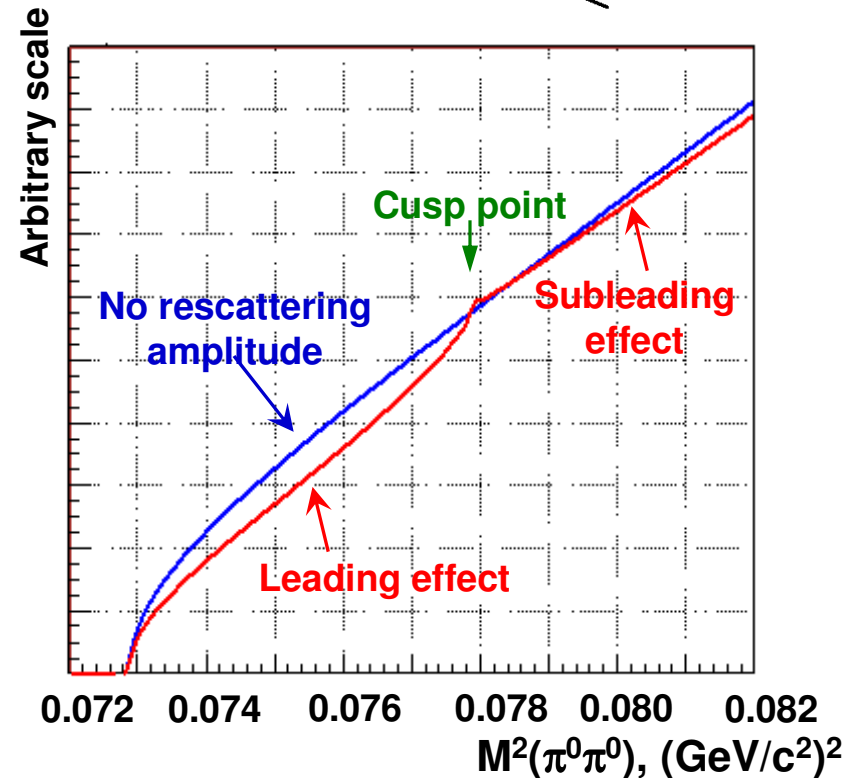
Warwick, Sep. 7<sup>th</sup>, 2010



# QCD from K: new way

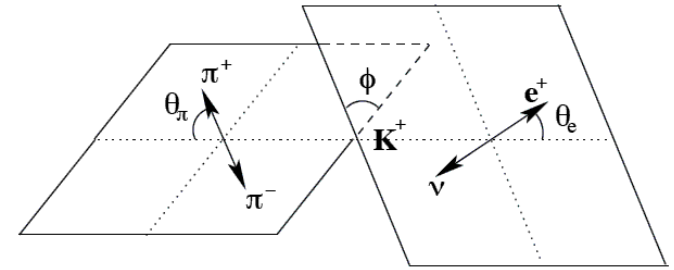


$\Pi^+ \Pi^- \rightarrow \pi^0 \pi^0$  rescattering sensitive to S-wave pion scattering lengths  
 (Budini, Fonda 1961 - Cabibbo 2004,  
 Improvements: Isidori *et al.*, Colangelo *et al.*,  
 Bissinger *et al.*



NA48/2 huge statistics

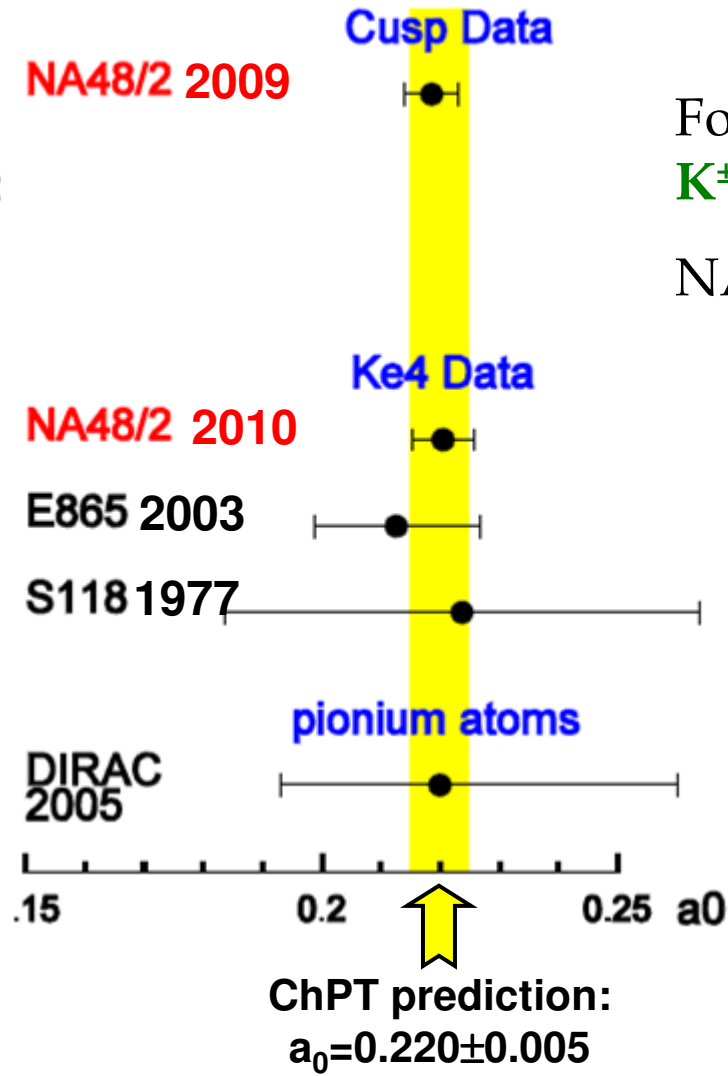
# QCD from K: old way



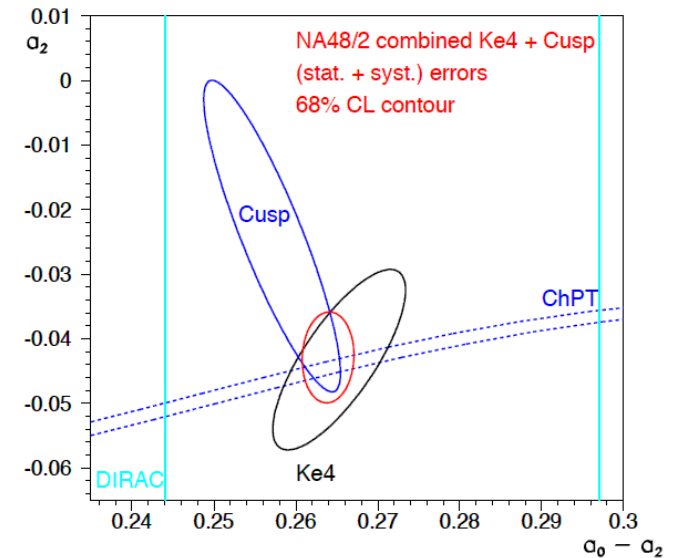
Form factor analysis of  $K^\pm \rightarrow \pi^+ \pi^- e^\pm \nu$  (Ke4) decays

NA48/2 largest world statistics:  $1.13 \cdot 10^6$  events

$$\begin{aligned}
 a_0 &= 0.2210 \pm 0.0047_{\text{stat}} \pm 0.0040_{\text{syst}} \\
 a_2 &= -0.0429 \pm 0.0044_{\text{stat}} \pm 0.0028_{\text{syst}} \\
 a_0 - a_2 &= 0.2639 \pm 0.0020_{\text{stat}} \pm 0.0015_{\text{syst}}
 \end{aligned}$$



Combined NA48/2 results: Striking test of QCD



# Two Protvino projects

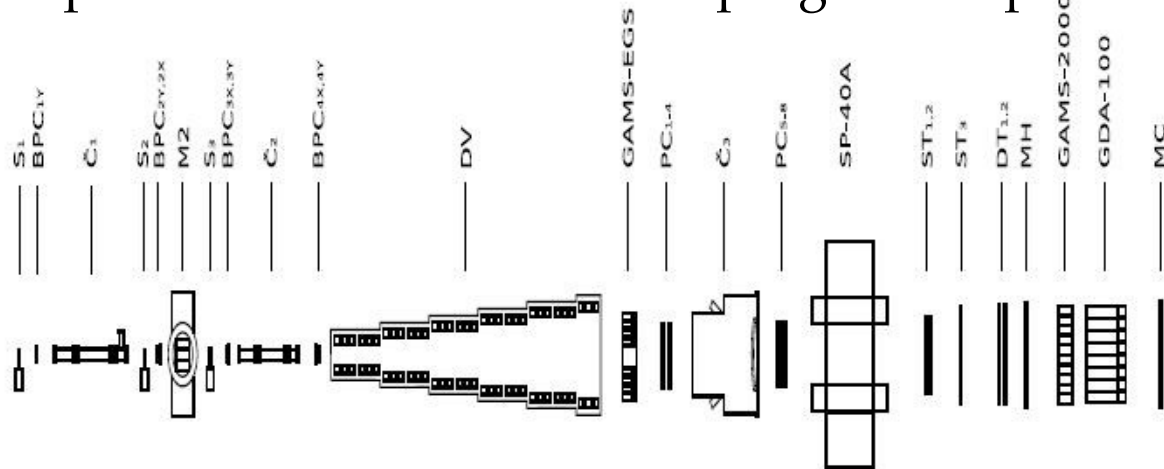
SPHINX+GAMS+ISTRA → **OKA** at Protvino:

65-70 GeV  $10^{13}$  ppp at U-70 (38% DC)

**12.5 GeV** RF-separated  $K^+$  beam  **$5 \cdot 10^6$  Kpp** ( $K/\pi \approx 4$ )

Commissioning beam and detector with runs started 2009

10-100x improvement on ISTRA Kaon program + spectroscopy



Ongoing R&D for a  $K_L \rightarrow \pi^0 \nu \nu$  experiment **KLOD**

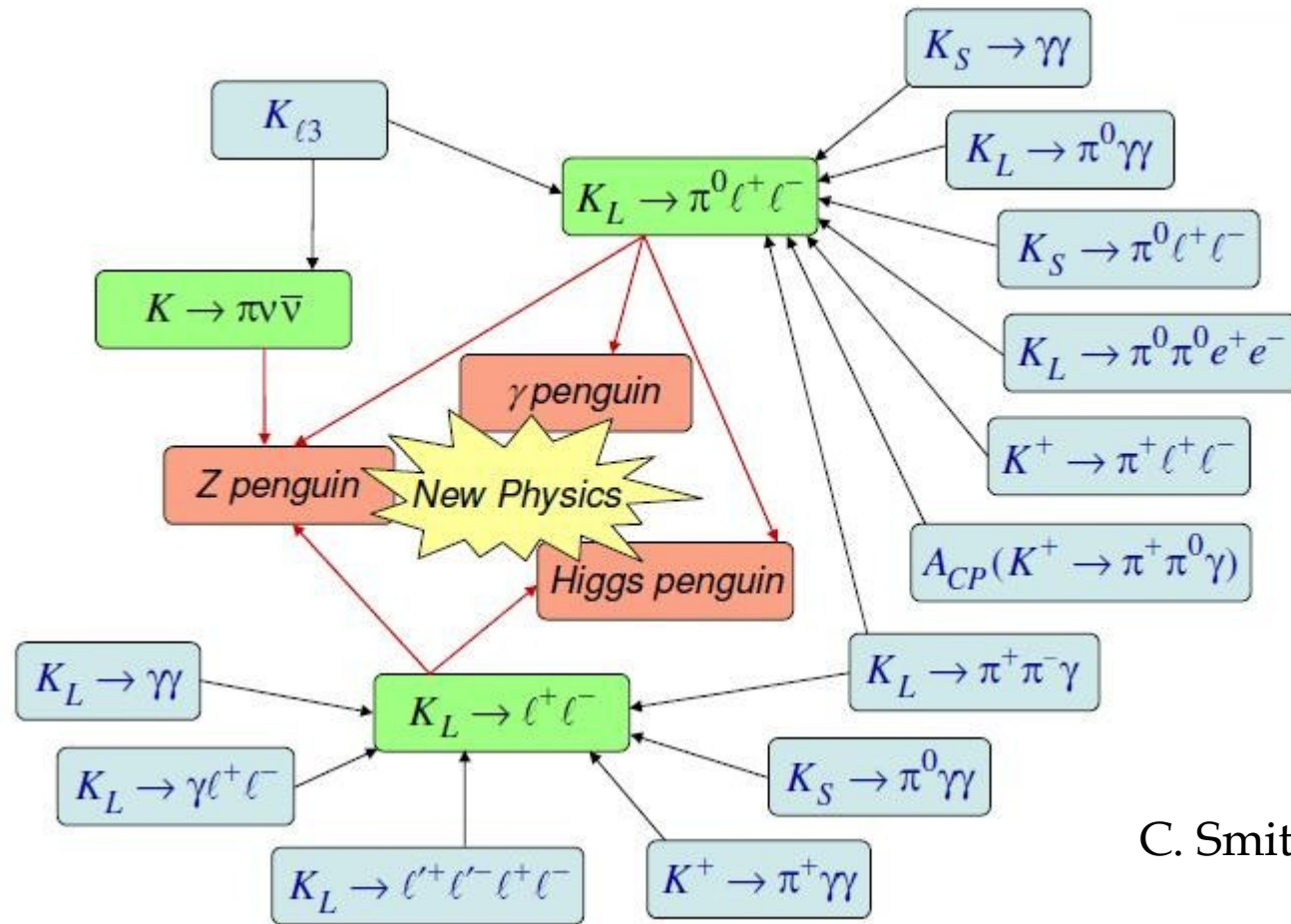
Neutral pencil beam extracted @ 35 mrad, 10 GeV/c  $K^0$

300 MHz n background: dual-readout spaghetti calorimeter

Aim at **1 SM event** ( $S/B \approx 3$ ) with 10 days of beam



# Rare K decays: the full picture



C. Smith