

A photograph of Warwick Castle at dusk. The castle's stone walls and towers are silhouetted against a deep blue sky with scattered white clouds. The foreground shows a green lawn and a path. The overall mood is serene and historical.

6<sup>th</sup> International Workshop on the CKM  
Unitarity Triangle  
University of Warwick – 6<sup>th</sup>-10<sup>th</sup> Sept 2010

# Vus and precise SM tests

*Barbara Sciascia, LNF - INFN  
for the FlaviaNet Kaon WG*

A photograph of Warwick Castle in Warwick, England, taken at dusk. The castle's stone walls and towers are silhouetted against a deep blue sky with scattered white clouds. The foreground shows a green lawn and a path leading towards the castle.

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# The Cabibbo angle and precise SM tests

*Barbara Sciascia, LNF - INFN  
for the FlaviaNet Kaon WG*

## *Introduction*

Recent kaon physics results came from many

Experimental (ISTRA+, KTeV, KLOE, NA48...)

and Theoretical (Lattice groups, CHPT groups...) efforts

### **A KAON WG to**

Officially combine results including preliminaries, suggest new measurements, compare parametrizations, compare MC generators, update theoretical inputs

Incomplete list

semi-leptonic related:  $V_{us}$ ,  $V_{us}/V_{ud}$ , Form Factor slopes, universality of lepton coupling, test of r.h. Currents...

CP, CPT related:  $\epsilon_K$ , Bell-Steinberger Test, ...

Others:  $\delta_0$ - $\delta_2$ ,....

**A WG on Precise SM tests in K decays** M.Antonelli LNF-INFN – FLAVIANET meeting Barcelona

- Review of leptonic and semileptonic kaon decay data including recent results (determined by experiments with very different techniques):
  - E865@BNL**: rare  $K^+$  decays in flight;  $\pi^0$  Dalitz decay in final states.
  - KLOE@DaΦne**: pure K beams, lifetimes, absolute BR
  - NA48@CERN**: intense  $K^0$ ,  $K^+$  beams from SPS proton beam, ratio of BR's
  - KTeV@FermiLab**: intense  $K_L$  beam from Tevatron proton beam, ratio of BR's
  - ISTRA+@IHEP (Protvino)**: ratio of  $K^{*13}$  BR's
- Substantial progress made in lattice calculations of the hadronic matrix elements
- Precise analytic calculations (ChPT) of radiative correction and isospin-breaking effects.
- **Very precise determination of  $V_{us}$**
- **Stringent tests of the Standard Model**
- First outcome: FlaviaNet Kaon WG note (0801.1817[hep-ph]).

## An evaluation of $|V_{us}|$ and precise tests of the Standard Model from world data on leptonic and semileptonic kaon decays

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All results presented are from this paper

# Leptonic and semileptonic K decays

- Within the SM leptonic and semileptonic K decays can be used to obtain the most accurate determination of the element  $V_{us}$  of the CKM matrix

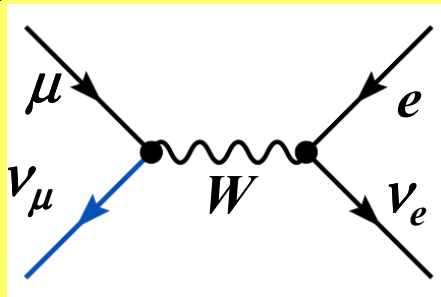
$$\Gamma(K_{\ell 3}(\gamma)) = \frac{G_F^2 m_K^5}{192\pi^3} C_K S_{\text{ew}} |V_{us}|^2 f_+(0)^2 I_K^\ell(\lambda_{+,0}) \left(1 + \delta_{SU(2)}^K + \delta_{\text{em}}^{K\ell}\right)^2$$

$$\frac{\Gamma(K_{\ell 2}^\pm(\gamma))}{\Gamma(\pi_{\ell 2}^\pm(\gamma))} = \left|\frac{V_{us}}{V_{ud}}\right|^2 \frac{f_K^2 m_K}{f_\pi^2 m_\pi} \left(\frac{1 - m_\ell^2/m_K^2}{1 - m_\ell^2/m_\pi^2}\right)^2 \times (1 + \delta_{\text{em}})$$

- Test unitarity of the quark mixing matrix ( $V_{\text{CKM}}$ ):

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 + \epsilon_{\text{NP}} \quad \epsilon_{\text{NP}} \sim M_W^2/\Lambda_{\text{NP}}^2$$

$\mu$  decay

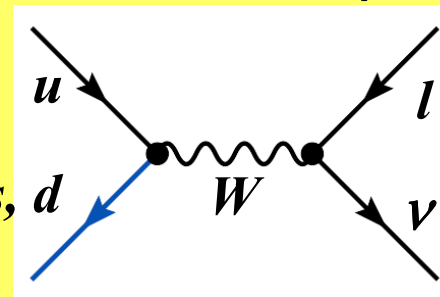


$$(g_\mu g_e)^2 / M_W^4 = G_F^2$$

?

=

K,  $\pi$  and nuclear  $\beta$  decays



$$(g_q g_l)^2 (|V_{ud}|^2 + |V_{us}|^2) / M_W^4 = G_{\text{CKM}}^2$$

Study within a **model-independent effective theory approach** the implications of precise measurements of K12 and K13 decays for SM extension [Cirigliano, Gonzalez-Alonso, and Jenkins, arXiv:0908.1754 hep-ph]

## Phenomenology in $U(3)^5$ flavor symmetry limit

- Taking into account all the Precision Electroweak constraints, the maximal deviation of  $|\Delta_{\text{CKM}}|$  allowed is:

$$-9.5 \times 10^{-3} \leq \Delta_{\text{CKM}} \leq 0.1 \times 10^{-3};$$

→ deviation from CKM unitarity at  $-1\%$  level not ruled out by PEW tests.

- Even a % level test of CKM unitarity would provide information not available through other precision tests at low- and high-energy.

- $\delta V_{us}=0.5\%$  combined with  $\delta V_{ud}=0.02\%$  (nuclear beta decays) allow to probe NP effective scales of the **order of 10 TeV**.

Study within a **model-independent effective theory approach** the implications of precise measurements of K12 and K13 decays for SM extension [Cirigliano, Gonzalez-Alonso, and Jenkins, arXiv:0908.1754 hep-ph]

## Beyond U(3)<sup>5</sup> limit.

- Corrections to the U(3)<sup>5</sup> limit can be introduced within MFV and via generic flavor structures (pseudoscalar and tensor structures).
- A high sensitive probe of U(3)<sup>5</sup> violating structures is provided by comparing the  $V_{us}$  value extracted by the helicity suppressed  $K\mu 2$  decays and the helicity allowed K13 modes, using the ratio

$$R_{\mu 23} = \left( \frac{f_K/f_\pi}{f_+(0)} \right)^{-1} \left( \left| \frac{V_{us}}{V_{ud}} \right| \frac{f_K}{f_\pi} \right)_{\mu 2} \frac{|V_{ud}|_{0^+ \rightarrow 0^+}}{[|V_{us}| f_+(0)]_{\ell 3}} \quad \begin{array}{l} \text{(minimize impact of } f_K \\ \text{and e.m. corrections)} \end{array}$$

Within SM,  $R_{\mu 23}=1$ ; the inclusion of Higgs-mediated scalar currents leads to

$$R_{\mu 23} \approx \left| 1 - \frac{m_{K^+}^2}{m_{H^+}^2} \frac{\tan^2 \beta}{1 + \epsilon_0 \tan \beta} \right|$$



# FlaviaNet Kaon WG Determination of $V_{us}$ from $K_{l2}$ decays

Within SM, the ratio of photon inclusive  $K_{l2}$  to  $\pi_{l2}$  decay rates is:

$$\frac{\Gamma(K_{\mu 2(\gamma)})}{\Gamma(\pi_{\mu 2(\gamma)})} = \frac{|V_{us}|^2}{|V_{ud}|^2} \frac{|f_K|^2}{|f_\pi|^2} \times \frac{M_K(1-m_\mu^2/M_K^2)^2}{m_\pi(1-m_\mu^2/m_\pi^2)^2} \times (1+\delta_{em})$$

Obtain  $|V_{us}|$  from:

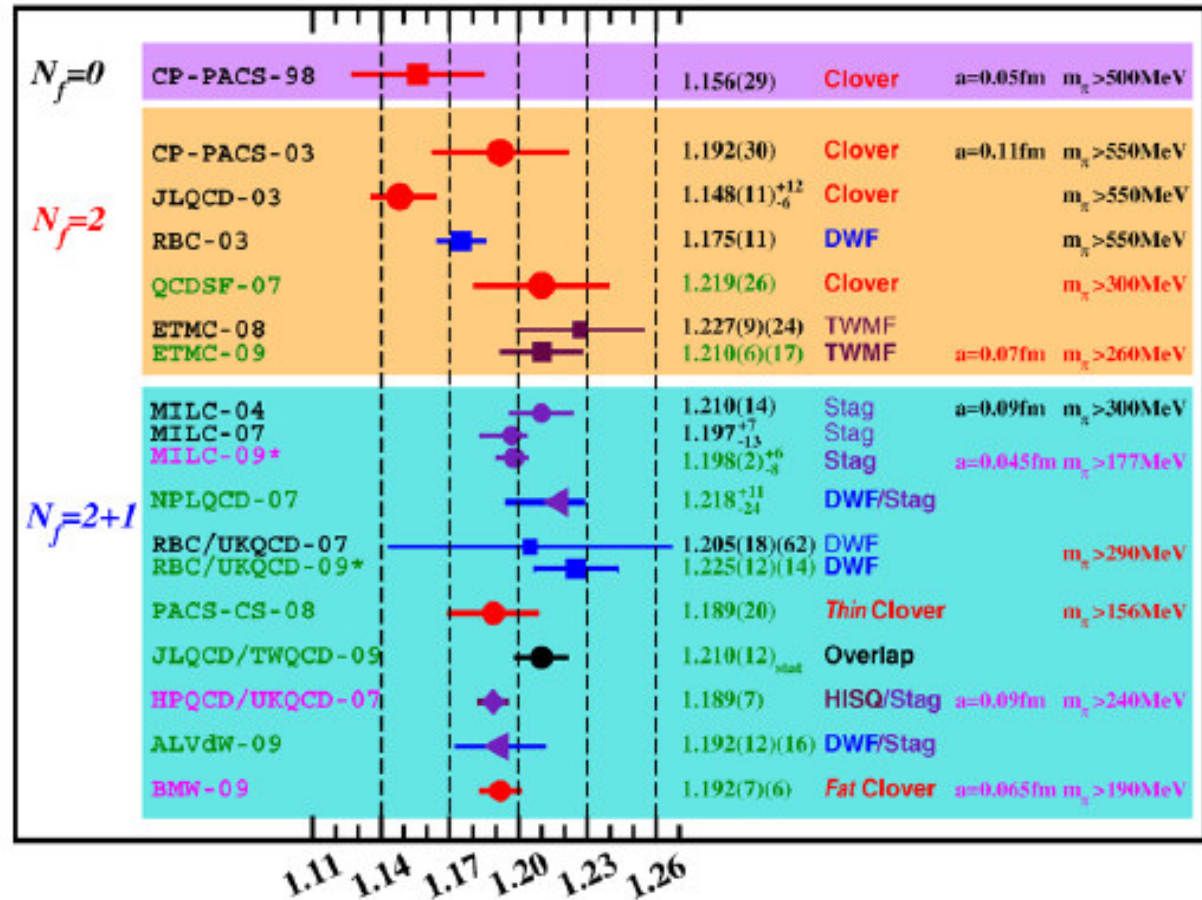
- measurements of the inclusive  $K_{l2}$  and  $\pi_{l2}$  decay widths;
- $|V_{ud}|=0.97425(22)$  from super-allowed  $0^+ \rightarrow 0^+$  nuclear beta decays  
[Hardy and Towner, Phys. Rev. C79(2009) 055502]

Use precise evaluation of long-distance e.m. corrections  $\delta_{em} = -0.0070(18)$ .

$f_K/f_\pi$  not protected by the Ademollo-Gatto theorem: only lattice.

(lattice calculation of  $f_K/f_\pi$  and radiative corrections benefit of cancellations).

Summary of lattice QCD determinations of  $f_K/f_\pi$ .



$f_K/f_\pi$  (our choice): average (stat. error only + smallest syst. error) of results with analysis of all systematics [BMW, MILC09, HPQCD/UKQCD]: **1.193(6)**  
 - consistent with other choices, e.g.: **1.196(10)** [LAT2009] and **1.190(10)** [FLAG].

# Determination of $V_{us}$ from $Kl3$ decays

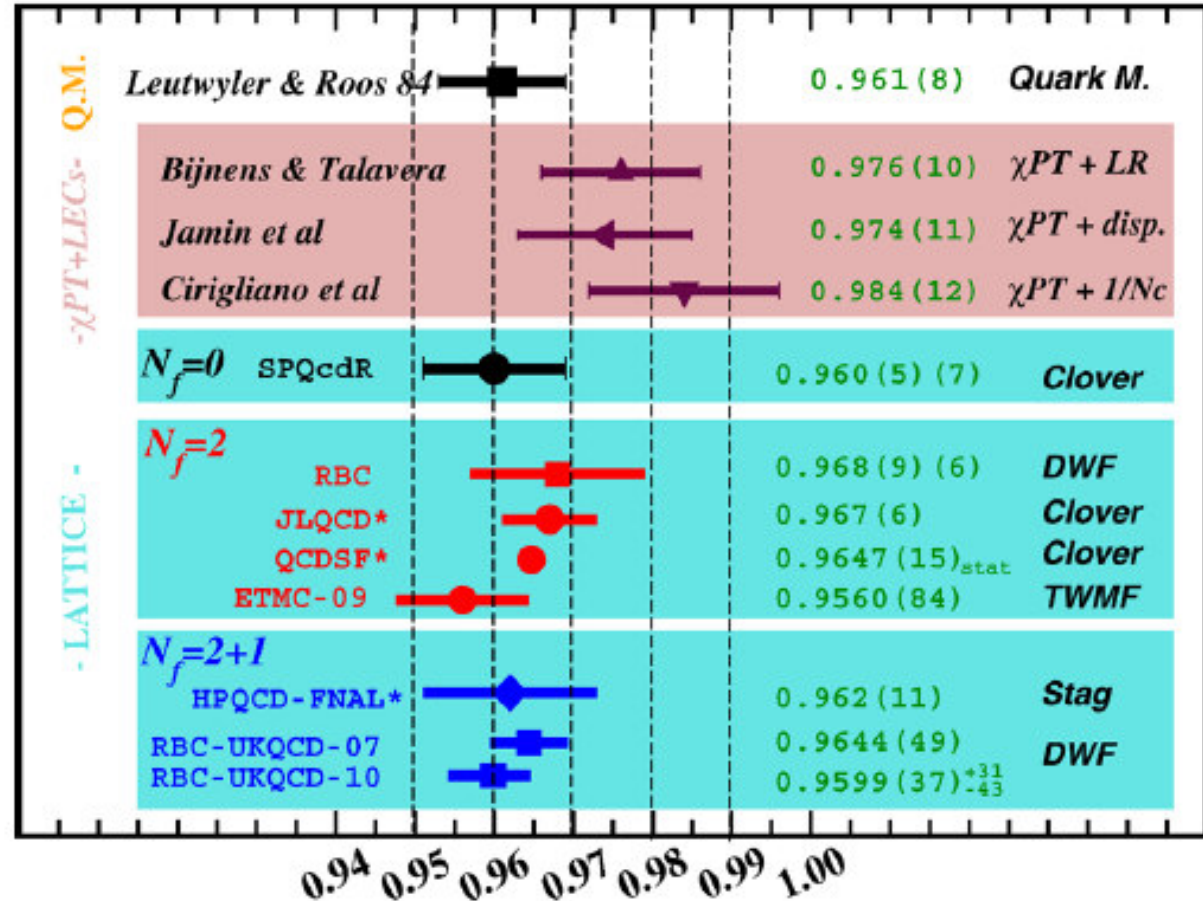
$$\Gamma(K_{l3}(\gamma)) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+(0)|^2 I_{K,l}(\lambda) (1 + \delta_K^{SU(2)} + \delta_{K,l}^{EM})^2$$

(with  $K = K^+, K^0$ ;  $l = e, \mu$  and  $C_K^2 = 1/2$  for  $K^+$ , 1 for  $K^0$ )

	Theory			Experiment									
Decay Rate				$\Gamma(K_{l3}(\gamma))$ BR and lifetimes									
Form Factor	$f_+(0)$ hadronic matrix element at zero momentum transfer			$I_{K,l}(\lambda)$ Phase space: $\lambda$ param. form factor dependence on t									
Corrections	$S_{EW}$ short distance EW	$\delta_K^{SU(2)}$ strong SU(2) breaking	$\delta_{K,l}^{EM}$ long distance EM										
				<table border="1"> <thead> <tr> <th>Mode</th> <th><math>\delta_{EM}^{K\ell}</math> (%)</th> </tr> </thead> <tbody> <tr> <td><math>K_{e3}^0</math></td> <td><math>0.495 \pm 0.110</math></td> </tr> <tr> <td><math>K_{e3}^\pm</math></td> <td><math>0.050 \pm 0.125</math></td> </tr> <tr> <td><math>K_{\mu3}^0</math></td> <td><math>0.700 \pm 0.110</math></td> </tr> <tr> <td><math>K_{\mu3}^\pm</math></td> <td><math>0.008 \pm 0.125</math></td> </tr> </tbody> </table>	Mode	$\delta_{EM}^{K\ell}$ (%)	$K_{e3}^0$	$0.495 \pm 0.110$	$K_{e3}^\pm$	$0.050 \pm 0.125$	$K_{\mu3}^0$	$0.700 \pm 0.110$	$K_{\mu3}^\pm$
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	$\delta_{SU(2)} = 0.029(4)$ EPJC 04, 006 (2008)			JHEP 11, 006(2008)									

# Theoretical estimate of $f_+(0)$

Present determinations of  $f_+(0)=f_+^{K^0\pi^-}(0)$  from analytical or semi-analytical approaches, and lattice QCD,

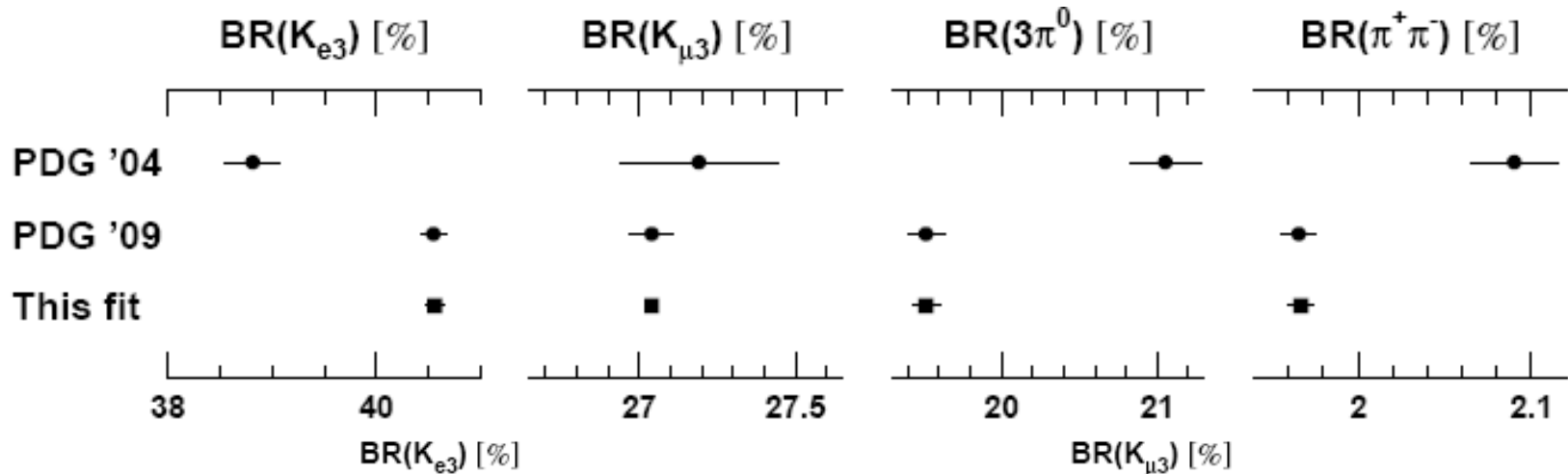


- $f_+(0)$  (our choice): **0.959(5)**, our error symmetrization of RBC/UKQCD-10
- fairly representative of results and spread of values
  - consistent with  $N_f=2$  and  $N_f=2+1$  average: **0.962(5)** [PoS LAT2009, 013(2009)].

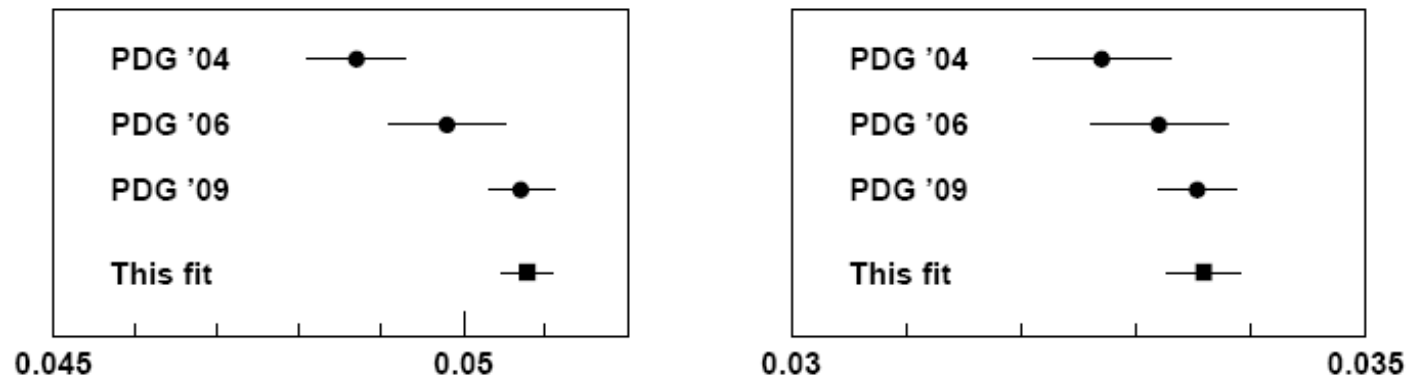
# Note on BR and lifetime data set

Careful reading of the original papers → definition of **different data set** and/or parameters wrt to PDG

$K_L$



$K^\pm$



Wrt to PDG09: minor differences on the fit results

$|V_{us}f_+(0)|$  extraction needs calculation of the phase space integrals:

$$I_K^\ell = \int_{m_\ell^2}^{t_0} dt \frac{1}{m_K^8} \lambda^{3/2} \left(1 + \frac{m_\ell^2}{2t}\right) \left(1 - \frac{m_\ell^2}{2t}\right)^2 \left(\bar{f}_+^2(t) + \frac{3m_\ell^2 \Delta_{K\pi}^2}{(2t + m_\ell^2)\lambda} \bar{f}_0^2(t)\right)$$

- **Class II:** based on a systematic mathematical expansion (e.g. Taylor, “z-par.”)
  - freedom to determine high-order terms from data
  - **strong par. correlation** → no sensitivity to high order terms ( $\lambda_0''$ ) [PoS 2008(KAON)002]
  - accurate description in physical region **needs at least 2<sup>nd</sup> Taylor exp.** [PLB638(2009)480]
  - test of low-energy dynamics involving Callan-Treiman th. **needs orders > 2<sup>nd</sup>.**
- **Class I:** to reduce the number of parameters, impose additional physical constraints
  - **pole:** dominance of single resonance  $M_{V,S}$  (one free parameter)
    - vector:  $K^*(892)$  ok; scalar: **no obvious dominance.**
  - **dispersive:** ff analytic (except real  $t > (m_K + m_\pi)^2$ ) functions in the complex  $t$ -plane.
    - vector: numerically similar to pole ( $K^*(892)$  dominance);
    - scalar: **necessary without dominant one-particle intermediate state.**

Results from

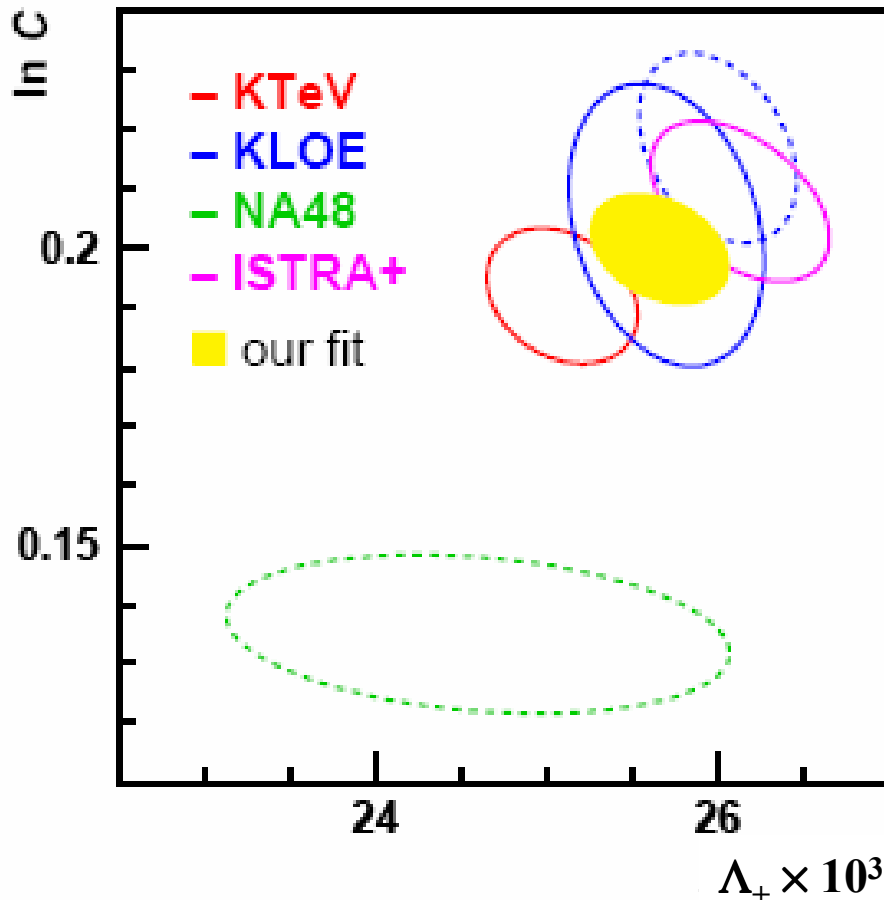
KTeV

KLOE

ISTRA+

NA48

This fit



Dashed lines show **NA48\*** and preliminary **KLOE** data **not in fit**

$$\Lambda_+ \times 10^3 = 25.66 \pm 0.41$$

$$\ln C = 0.2004(91)$$

$$\rho(\Lambda_+, \ln C) = -0.33$$

$$\chi^2/\text{ndf} = 5.6/5 \text{ (34\%)}$$

## Integrals

Mode	Quad-lin	Disp
$K^0_{e3}$	0.15457(20)	<b>0.15476(18)</b>
$K^+_{e3}$	0.15894(21)	<b>0.15922(18)</b>
$K^0_{\mu3}$	0.10266(20)	<b>0.10253(16)</b>
$K^+_{\mu3}$	0.10564(20)	<b>0.10559(17)</b>

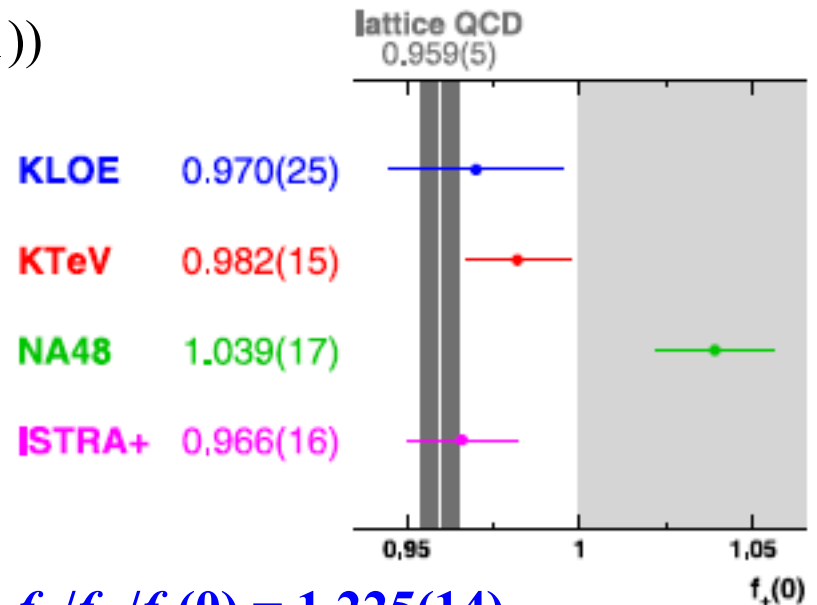
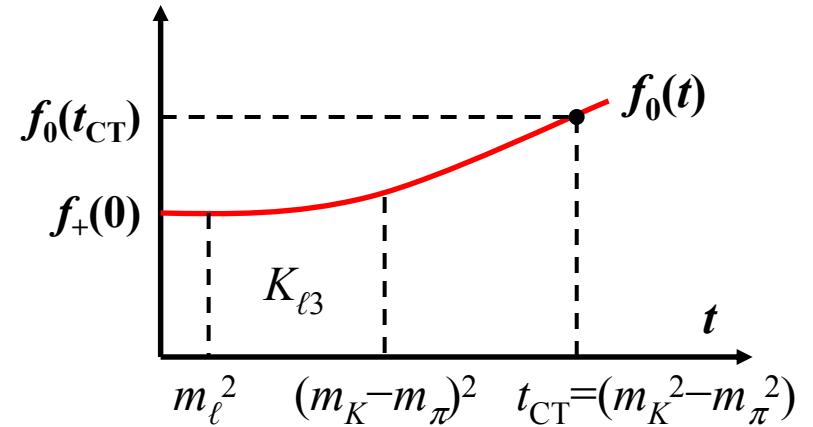
Maximum change **0.2%** if same data used as for quad-lin fits

Dispersive parameterization for  $f_0(t)$  plus Callan-Treiman relation

$$C \equiv \tilde{f}_0(\Delta_{K\pi}) = \frac{f_K}{f_\pi} \frac{1}{f_+(0)} + \Delta_{CT}$$

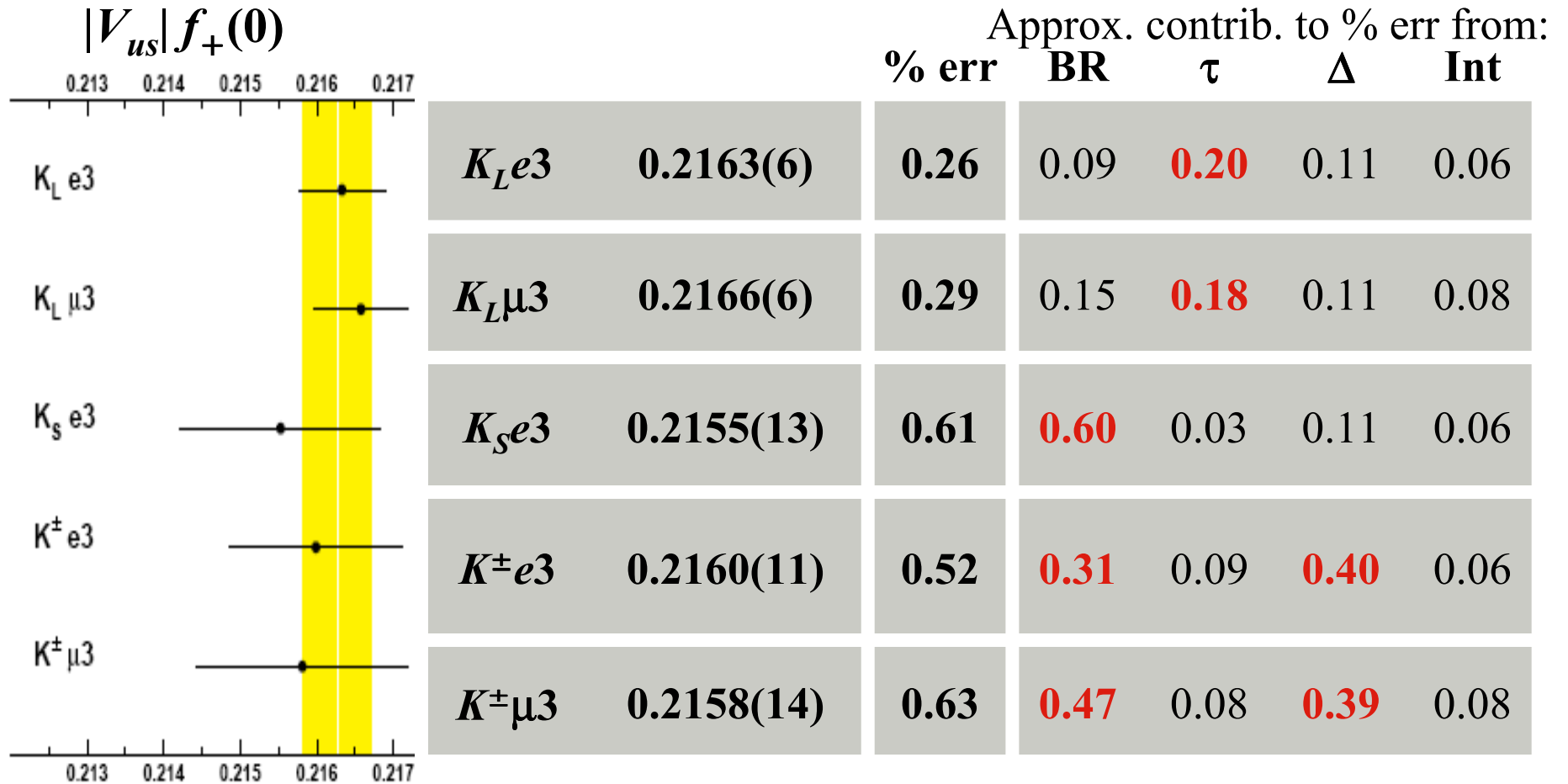
- Assuming a  $f_K/f_\pi$  value, obtain a value for  $f_+(0)$ .
- Consistency test between scalar ff measurement and lattice calculations: WA for  $\ln C$  (0.2004(91)) gives:  $f_+(0) = 0.974(12)$

- NA48 value is inconsistent with theoretical expectations:  $f_+(0) < 1 \rightarrow$  exclude NA48  $K\mu 3$  ff from averages used for  $V_{us}$ .



**WA exp. data on  $\ln C$  alone gives  $f_K/f_\pi / f_+(0) = 1.225(14)$   
completely independent of any information from lattice estimates**





Average:  $|V_{us}|f_+(0) = 0.2163(5)$      $\chi^2/\text{ndf} = 0.77/4$  (94%)

# FlaviaNet Kaon WG Accuracy of $SU(2)$ -breaking corrections

Fit 5 modes with separate values of  $|V_{us}|f_+(0)$  for  $K^\pm$  and  $K_{L,S}$  modes;  $K^\pm$  modes are corrected for the isospin-breaking using  $\delta^{SU(2)}_{\text{theory}} = 2.9(4)\%$ .

When fit performed without  $SU(2)$  corrections for  $K^\pm$  modes; from ratio of neutral- charged-modes, obtains an **experimental estimate of  $\delta^{SU(2)}$** :

$$\delta^{SU(2)}_{\text{exp}} = 2.7(4)\%$$

- Check of the  $\delta^{SU(2)}$  estimate from  $\chi$ PT; the uncertainty on  $\delta^{SU(2)}_{\text{theory}}$  contributes significantly on the overall uncertainty of  $|V_{us}|f_+(0)$  from charged modes.
- Since  $\delta^{SU(2)}$  can be expressed in terms of the quark mass ratio (at LO):

$$\delta^{SU(2)}_{K^\pm\pi^0} = \frac{3}{4} \frac{1}{R}, \quad \text{with} \quad R = \frac{m_s - \hat{m}}{m_d - m_u}$$

its phenomenological determination can be **used to derive constraints on the ratio of quark masses**.

For each state of kaon charge, evaluate:

$$r_{\mu e} = \frac{[|V_{us}| f_+(0)]_{\mu 3, \text{exp}}^2}{[|V_{us}| f_+(0)]_{e 3, \text{exp}}^2} = \frac{\Gamma_{K\mu 3} I_{e 3} (1 + 2\delta_{\text{EM}}^{Ke})}{\Gamma_{Ke 3} I_{\mu 3} (1 + 2\delta_{\text{EM}}^{K\mu})}$$

Modes	2004 BRs*	World data
$K_{L,S}$	<b>1.040(13)</b>	<b>1.003(5)</b>
$K^{\pm}$	<b>1.013(12)</b>	<b>0.998(9)</b>
<b>Avg</b>	<b>1.034(10)</b>	<b>1.002(5)</b>

\*Assuming current values for form-factor parameters and  $\Delta^{\text{EM}}$ ;  $K_S$  not included

## As statement on lepton universality

- compare to results from world data:

$$\pi \rightarrow l\nu \quad (r_{\mu e}) = \mathbf{1.0042(33)}$$

Ramsey-Musolf, Su & Tulin '07

$$\tau \rightarrow l\nu\nu \quad (r_{\mu e}) = \mathbf{1.000(4)}$$

Davier, Hoecker & Zhang '06

## As statement on calculation of $\delta^{\text{EM}}$

- highly successful
- results confirmed at per-mil level

Determine  $|V_{us}|$  and  $|V_{ud}|$  from a **fit to the results**:

$$|V_{us} f_+(0)| = 0.2163(5), \quad f_+(0) = 0.959(5);$$

$$|V_{us}|/|V_{ud}| f_K/f_\pi = 0.2758(5), \quad f_K/f_\pi = 1.193(6)$$

$$|V_{us}| = 0.2254(13) \quad [K_{\ell 3} \text{ only}],$$

$$|V_{us}/V_{ud}| = 0.2312(13) \quad [K_{\ell 2} \text{ only}]$$

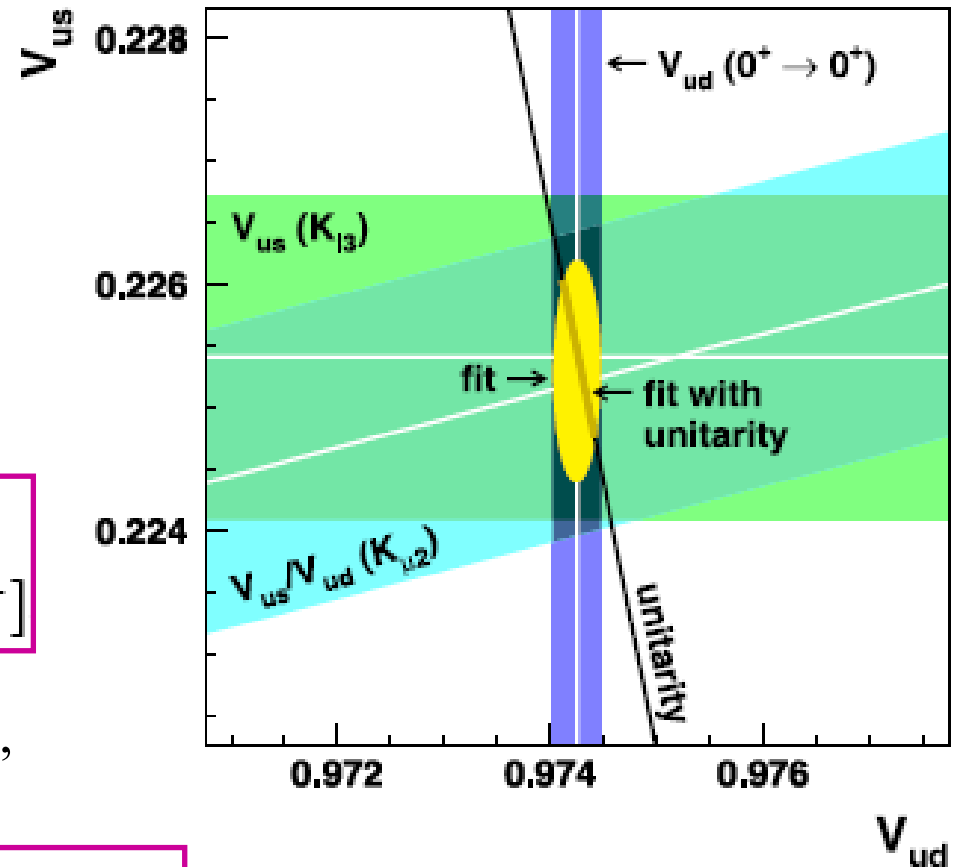
Adding  $|V_{ud}| = 0.97425(22)$ , obtains  
( $\chi^2/\text{ndf} = 0.014/1$ ,  $P = 91\%$ , negligible  
correlation between  $V_{us}$  and  $V_{ud}$ ):

$$|V_{ud}| = 0.97425(22),$$

$$|V_{us}| = 0.2253(9) \quad [K_{\ell 3}, K_{\ell 2}, 0^+ \rightarrow 0^+]$$

Including in the fit the unitarity constraint,  
obtains ( $\chi^2/\text{ndf} = 0.024/2$ ,  $P = 99\%$ ):

$$|V_{us}| = \sin \theta_C = \lambda = 0.2254(6) \quad [\text{with unitarity}]$$



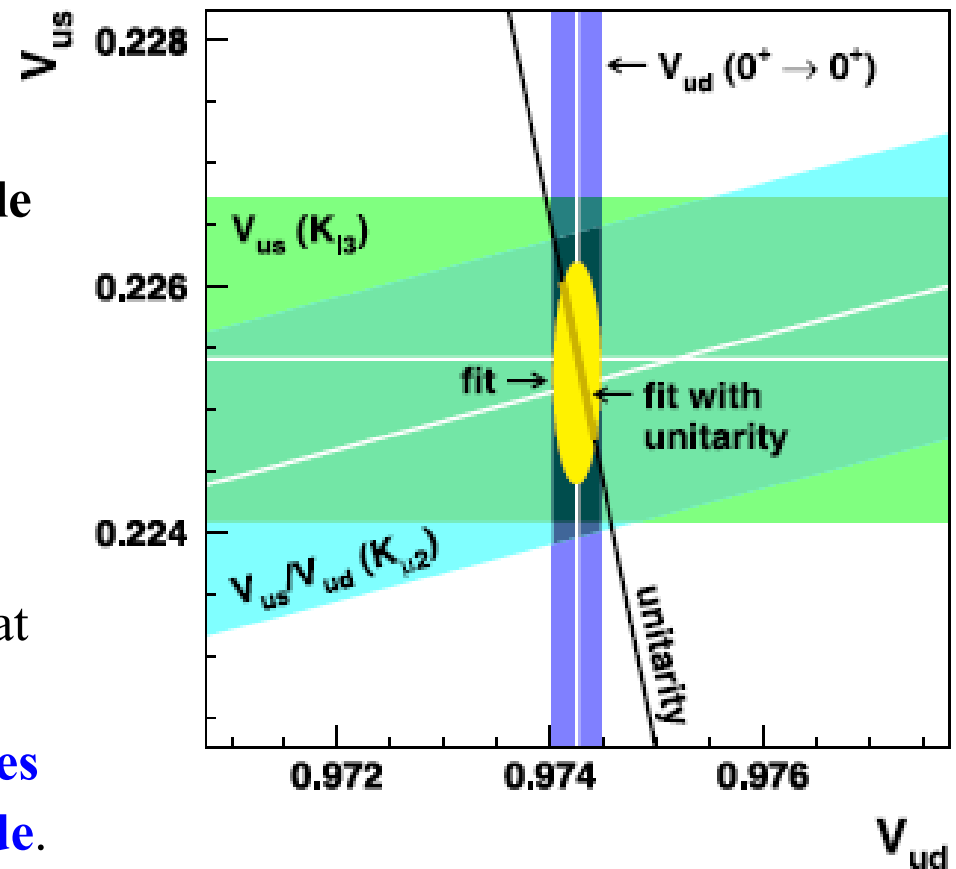
Using the current WA value

$|V_{ub}|=0.00393(36)$ , the first-row unitarity sum is  $\Delta_{\text{CKM}}=-0.0001(6)$ , in striking agreement with unitarity hypothesis.

Allow to set bounds on the effective scale of the operators that parametrize NP contributions to  $\Delta_{\text{CKM}}$ :

$$\Lambda > 11 \text{ TeV (90\% C.L.)}$$

For three operators ( $ll$ ,  $\phi l$ ,  $\phi q$ ), constraint at the same level as Z-pole measurements; for the 4-fermion operator ( $lq$ ), improves LEP2 bounds by one order of magnitude.



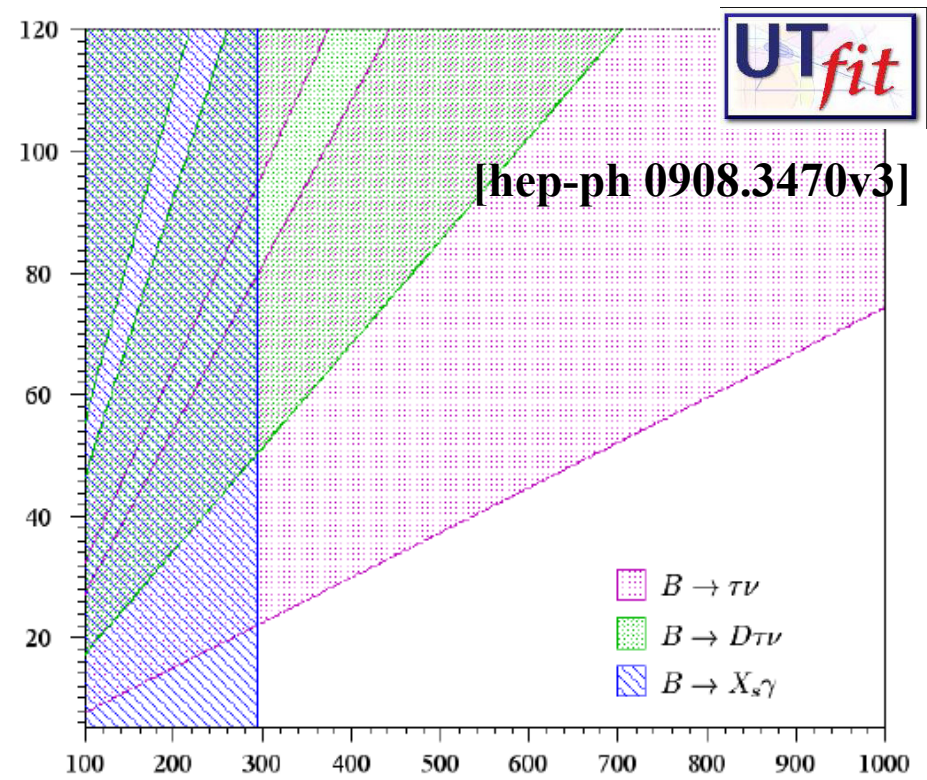
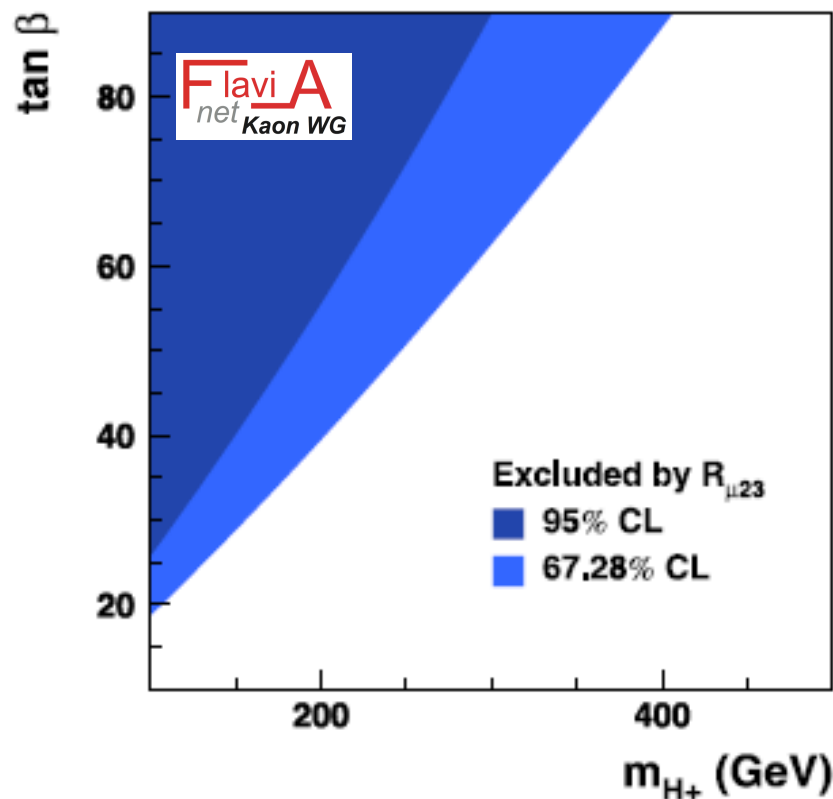
# FlaviaNet Kaon WG Bounds on non helicity-suppressed amps

With a 3-parameter fit ( $V_{us}$  from  $K_{l3}$ ,  $V_{us}/V_{ud}$  from  $K_{\mu 2}$ ,  $V_{ud}$ ) with 1 constraint:  
 $[V_{us}(K_{l3})]^2 + [V_{ud}(0^+ \rightarrow 0^+)]^2 + [V_{ub}]^2 = 1$ , obtains ( $\chi^2/\text{ndf} = 0.0003/1$  P=99%,  $\rho = -0.55$ ):

$$|V_{us}| = 0.2254(8) \quad [K_{\ell 3}, 0^+ \rightarrow 0^+, \text{unitarity}],$$

$$R_{\mu 23} = 0.999(7) \quad [K_{\mu 2}].$$

this excludes the region at low  $m_{H^+}$  and large  $\tan \beta$  favoured by  $B \rightarrow \tau \nu$ .



$$Q_{\ell 2} = \frac{(|V_{us}| f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+(0)^2} \times \frac{f_K^2}{f_\pi^2}$$

From decay rates  
and rad. corr.

From nucl.  
 $\beta$ -decay

From Kl3

**Straight calculation** from  $K\mu 2/\pi\mu 2$  relation and **assuming SM**:

- Use  $Q_{\ell 2} = \frac{\Gamma_{K_{\ell 2}^\pm(\gamma)}}{\Gamma_{\pi_{\ell 2}^\pm(\gamma)}} \frac{1}{(1 + \delta_{\text{em}})} = 0.07604(26)$

- Obtain  $f_K/f_\pi/f_+(0) = 1.242(4)$

depends on decay rate data, radiative corrections; **unitarity not assumed**, although  $V_{us}$  equality in  $K\mu 2$  and  $Kl3$  decays is.

- using  $f_+(0) = 0.959(5)$  obtain  $f_K/f_\pi = 1.192(8)$

- using  $f_K/f_\pi = 1.193(6)$  obtain  $f_+(0) = 0.960(6)$

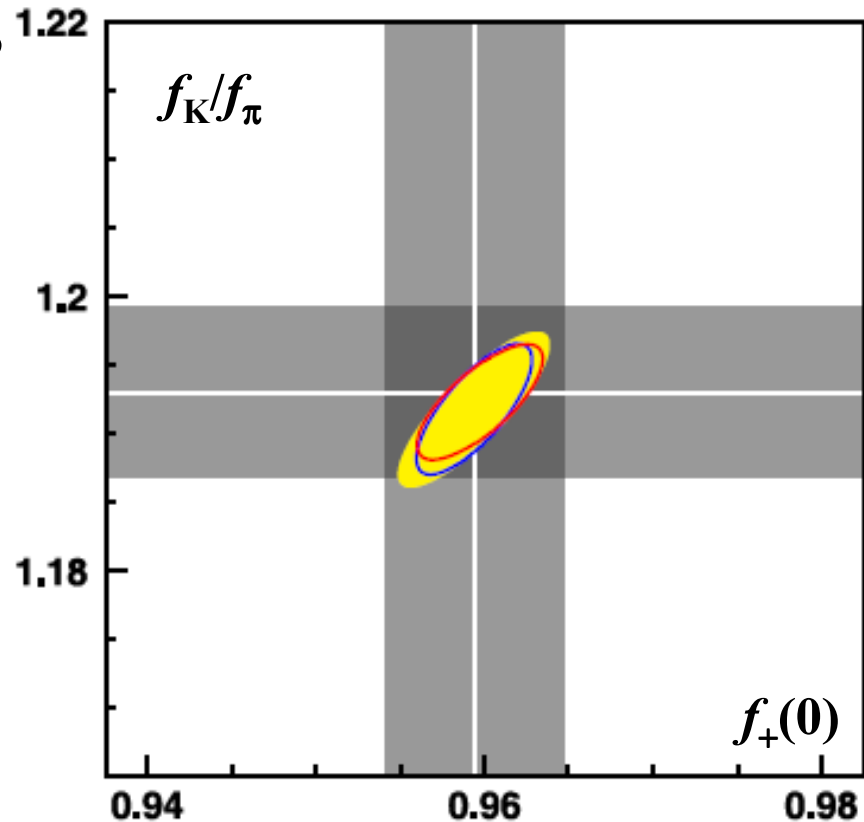
$$Q_{l2} = \frac{(|V_{us}| f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+(0)^2} \times \frac{f_K^2}{f_\pi^2}$$

From decay rates  
and rad. corr.

From nucl.  
 $\beta$ -decay

From K13

**Assuming SM**



Lattice estimations

- $f_K/f_\pi$  and  $f_+(0)$  values from a fit.
- 5 parameters:  $V_{ud}$ ,  $V_{us}f_+(0)$ ,  $Q_{l2}$ ,  $f_K/f_\pi$ , and  $f_+(0)$ .
  - 3 inputs:  $V_{ud}$ ,  $V_{us}f_+(0)$ ,  $Q_{l2}$
  - 2 constraints:
    - $\Gamma(K\mu 2)/\Gamma(\pi\mu 2)$  relation and Unitarity
- Obtain (correlation  $\rho=0.84$ ) :

$$f_+(0) = 0.959(5),$$

$$f_K/f_\pi = 1.192(6) \quad [\text{with unitarity}]$$



$$Q_{\ell 2} = \frac{(|V_{us}| f_+(0))^2}{|V_{ud}|^2} \times \frac{1}{f_+(0)^2} \times \frac{f_K^2}{f_\pi^2}$$

From decay rates  
and rad. corr.

From nucl.  
 $\beta$ -decay

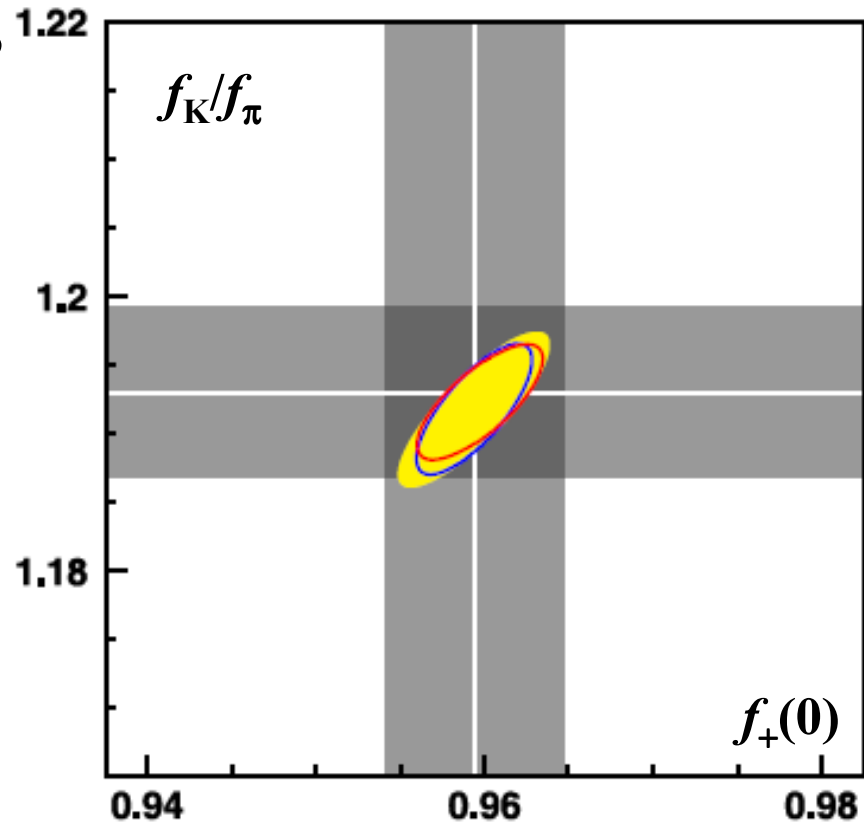
From K13

**Assuming SM**

With either of reference values of  $f_K/f_\pi$  or  $f_+(0)$  as an **additional** input:

- with input  $f_+(0)=0.959(5)$ , obtain  
 $f_+(0)=0.9594(34)$  and  $f_K/f_\pi = 1.192(5)$

- with input  $f_K/f_\pi = 1.193(6)$ , obtain  
 $f_+(0)=0.960(4)$  and  $f_K/f_\pi = 1.192(4)$ .



Lattice estimations

Reference values are a near-perfect match  
with experimental data and SM assumptions

# Conclusions

**Experimental precision on K leptonic and semileptonic decays nicely matched below per cent level by theoretical precision**

- perform very precise measurements of SM parameters
- results:  $|V_{us}f_+(0)|=0.2163(5)$  and  $|V_{us}|/|V_{ud}|f_K/f_\pi=0.2758(5)$
- set stringent bounds on beyond-SM physics

**In FlaviaNet Kaon WG (1005.2323[hep-ph]) updated analysis of:**

- overall determination of  $V_{us}$ , with and w/o imposing CKM unitarity
- $V_{us}(K\ell 3)$  vs  $V_{us}(K\mu 2)$ : constraints on deviation from V–A structure
- test of lepton universality in  $K\ell 3$  decays

**Moreover:**

- CKM matrix unitarity tested at 0.06%:  $O(10\text{TeV})$  bound on NP-scale represents one of the most stringent constraints on beyond-SM physics.
- cross-checks of  $\delta_{SU(2)}$  and  $f_+(0)$  theoretical results (within SM only)

**Kaons can push fundamental principles at severe test, continuing to shed light on physics on and beyond SM**