

*ENLIGHT for SEEIIST in South-East Europe*

*Berkeley, Where It All Started*

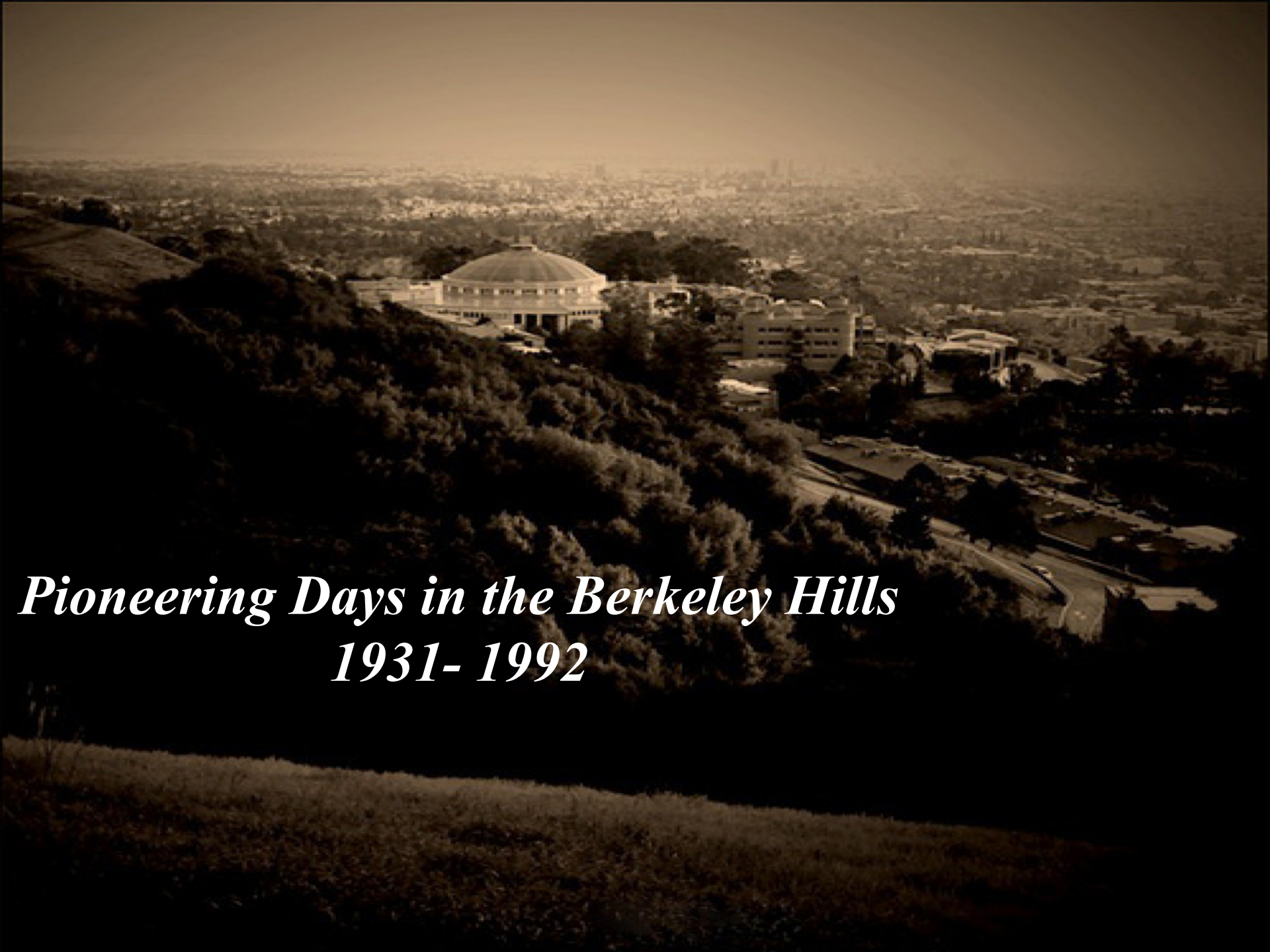
*Eleanor A. Blakely, Ph.D.*

*Lawrence Berkeley National Laboratory  
Berkeley, CA  
July 23, 2020*



# *Goal of Presentation*

- *To provide an historical perspective on the early Phase I/II trials using charged particle beams for the treatment of cancer in Berkeley, California 1940-1993*

An aerial photograph of the Berkeley Hills, showing a large domed building (likely the Campanile) in the center, surrounded by dense trees and other university buildings. The city of Berkeley is visible in the background under a hazy sky.

*Pioneering Days in the Berkeley Hills*  
*1931- 1992*



*Ernest Orlando Lawrence*  
*Physicist, UC Berkeley*

# *The Radiation Laboratory, 1933*



*The Rad Lab was established within the UC Berkeley Physics Department with Ernest O. Lawrence as Director. Eventually the Rad Lab became the EO Lawrence Berkeley National Laboratory.*

# *Invention of the Cyclotron*

*Ernest Orlando Lawrence  
1931- Invented the cyclotron  
1939-Nobel Prize in Physics*



*Prof. E. O. Lawrence and M. Stanley Livingston of UC Berkeley, constructed a 13-cm diameter cyclotron, which accelerated protons to 80,000 volts using less than 1,000 volts.*



*EO Lawrence and MS Livingstone, Phys. Rev 37: 1707 (1931); and MS Livingston, The Production of High-Velocity Hydrogen Ions Without the Use of High Voltages, PhD thesis, University of California, Berkeley (1931).*

# TIME

*The Weekly Newsmagazine*



*Color Photograph for TIME by Greer Colvert Underhill*



Volume XXX

**ERNEST ORLANDO LAWRENCE**

*He creates and destroys.  
(See SCIENCE)*

Number 18

**1931  
Nobel Prize in  
Physics**

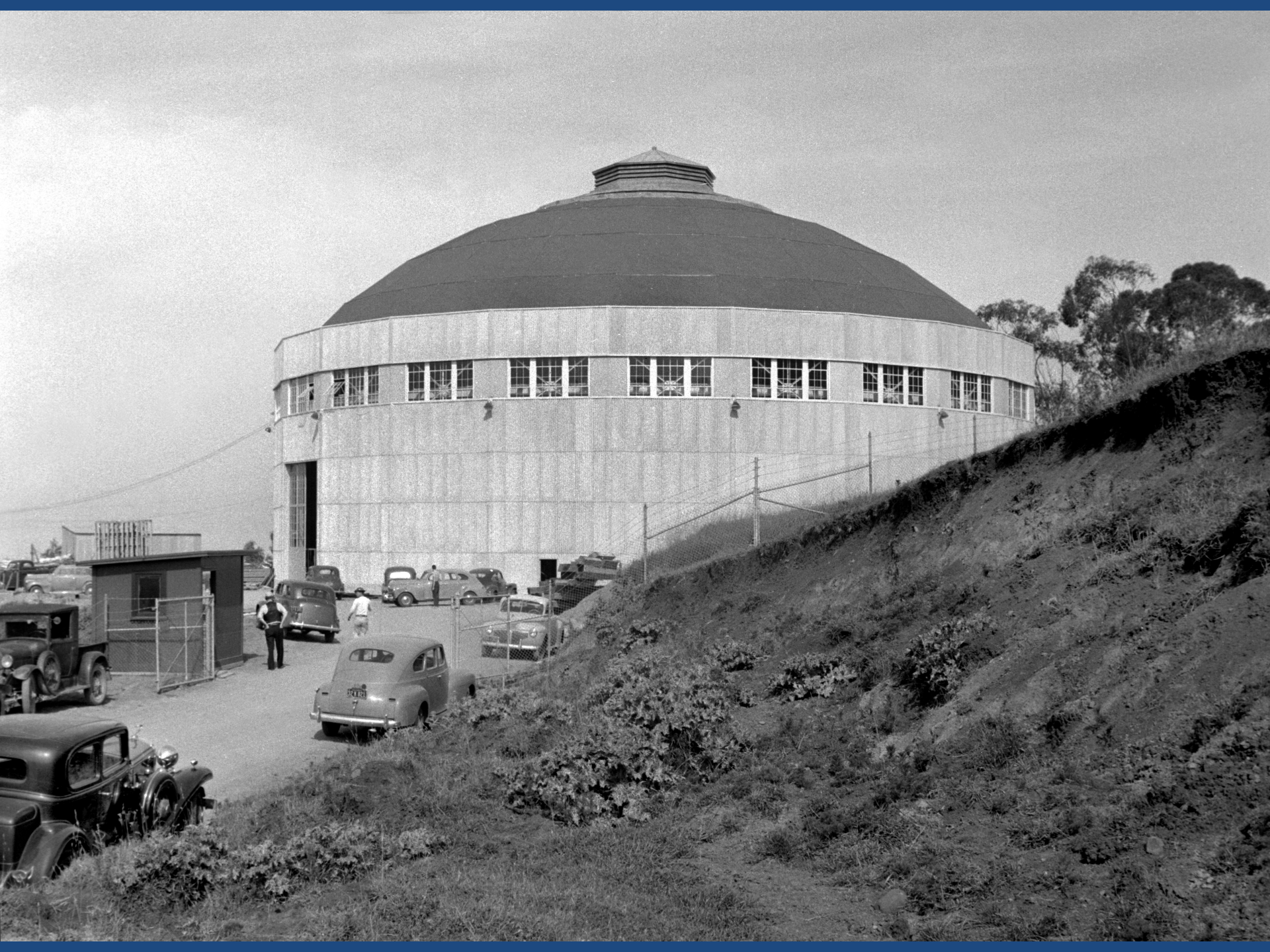
*Before the 184" Cyclotron was Built in Berkeley*













A  
#2710

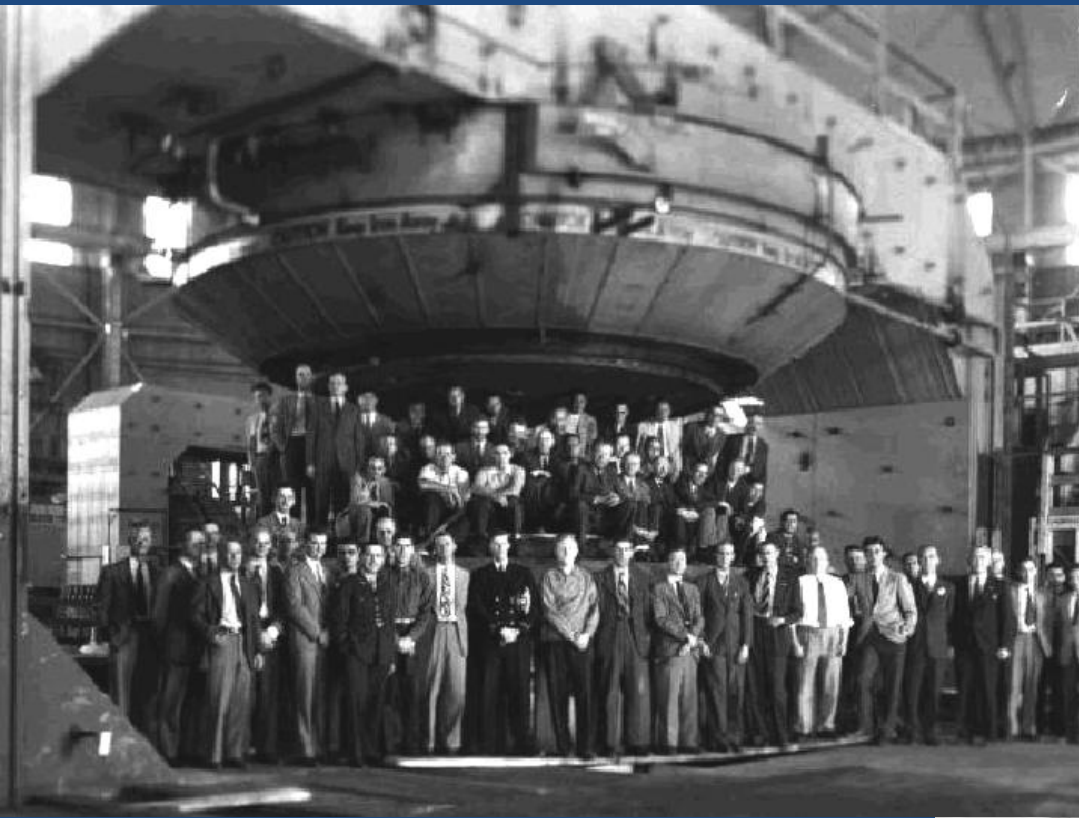
TAP  
DEEP

Outside

12717 10730-6580  
500

Vertical text on the right side of the metal structure, possibly a list of names or specifications.

# *184-Inch Cyclotron (1947)*



*The first beam,  
Nov 1, 1947*





*Ernest and John Lawrence who started Donner Biomedical Laboratory at Berkeley Lab that is now known as the Biosciences Area of LBNL*







*Donner Laboratory Dedication 14 March 1941*

THE DONNER LABORATORY

A GIFT OF

THE DONNER FOUNDATION

MARCH 14, 1941

IN MEMORY OF

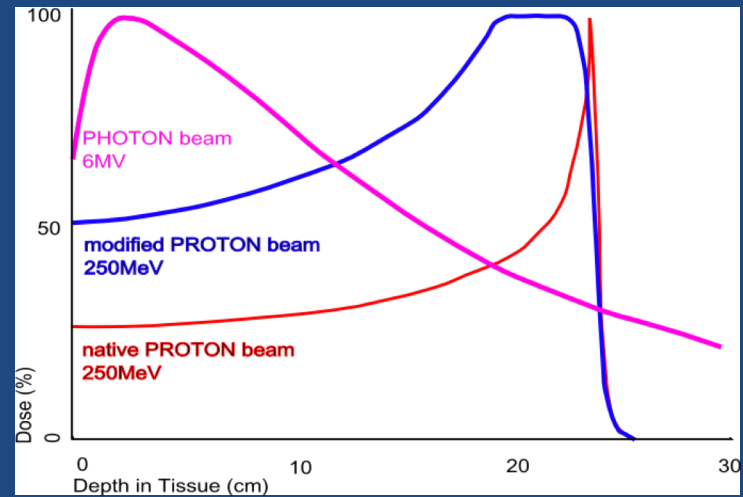
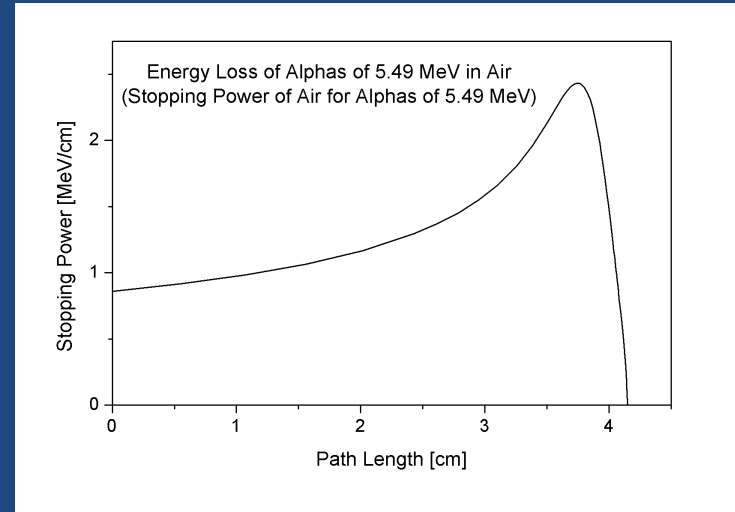
JOSEPH WILLIAM DONNER

FOR THE APPLICATION OF PHYSICS,  
CHEMISTRY, AND THE NATURAL SCIENCES  
TO BIOLOGY AND MEDICINE

# *Hadron Therapy*

- *First begun in 1938 when neutron beams were used in cancer therapy.*
- *Charged hadron beams (protons & carbon ions) have more favorable depth-dose interaction which is maximal at the end of their range.*
- *Initially in Europe “hadron” therapy meant proton therapy, but “charged particles” includes protons, carbon or any charged ion beam.*
- *Both macroscopic & microscopic differences exist in the physical properties of various charged ion beams.*

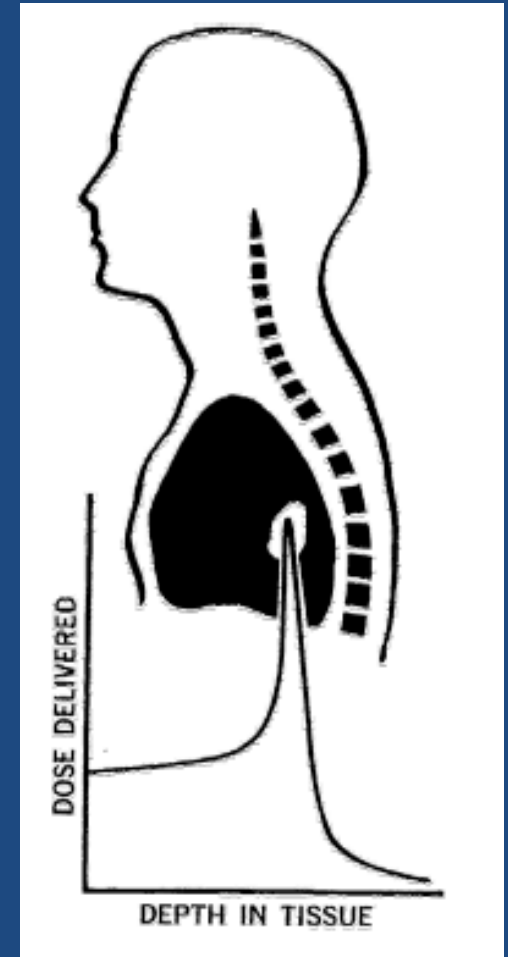
# Sir William Henry Bragg first reported "Bragg Curve" 1903



# *R.R. Wilson and Rationale for Bragg Peak Therapy*



*In 1946, Prof. Robert Wilson proposed the use of the Bragg Peak for radiation therapy*  
*R.R. Wilson, "Radiological use of fast protons,"*  
*Radiology. 1946; 47: 487-491. \**



- *Dose localization*
- *Lower entrance dose*
- *No or low exit dose*

# *FIRST PROTON THERAPY PATIENT TREATED*

## *September 1954*

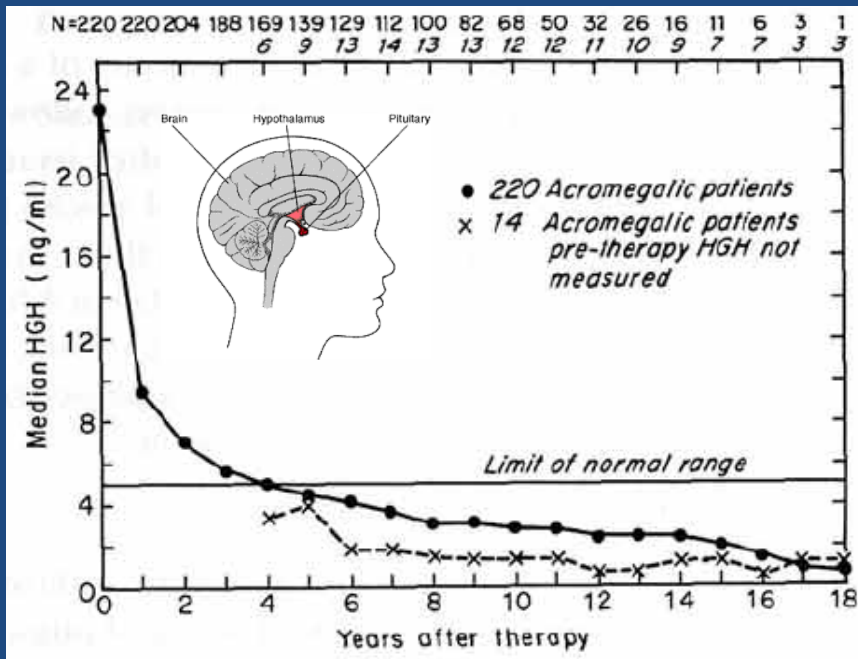


*Dr. John  
Lawrence*



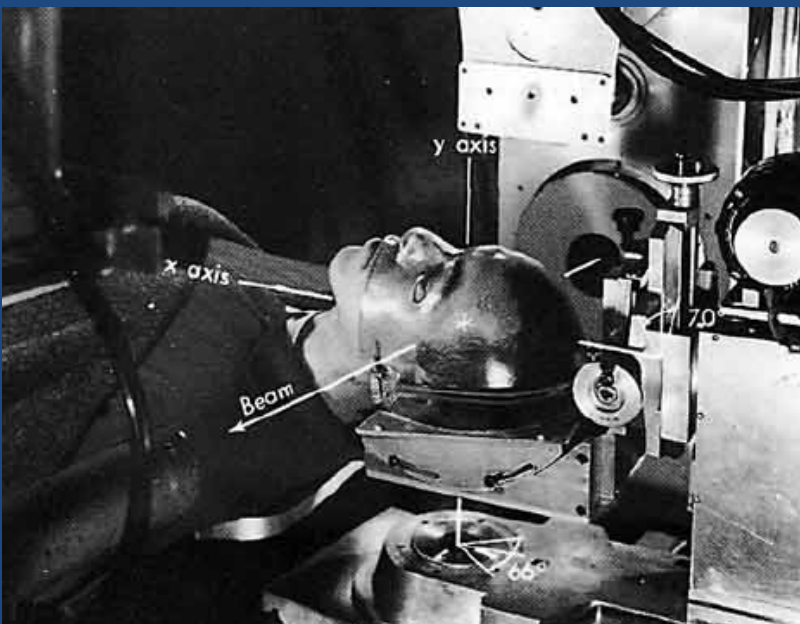
*Prof. Cornelius A. Tobias*

- 1948: Biology experiments using protons*
- 1952: Human exposure to deuteron & helium ion beams.*
- 1954: Human exposure to accelerated protons.*
- 1956-1986: Clinical Trials– 1500 patients*



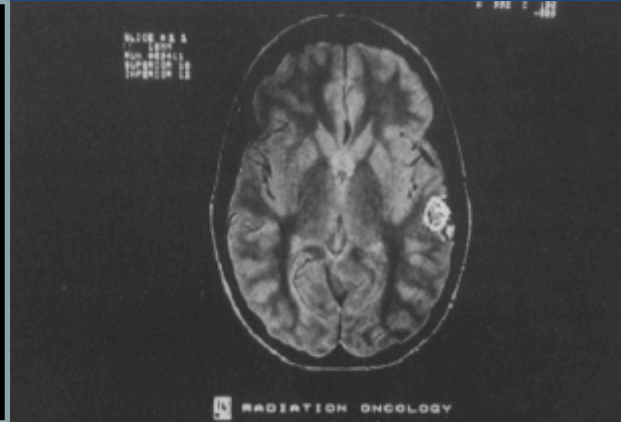
## Heavy-Charged Particle Radiosurgery of the Pituitary Gland: Clinical Results of 840 Patients

- Initial 30 Pts. Treated with Protons
- Subsequent 820 were treated with He plateau, 30-36 Gy in 3-4 Fx over 5 days.
- Marked and sustained biochemical & clinical improvement observed in majority of the Pts.
- Focal necrosis/nerve injury in only 1%

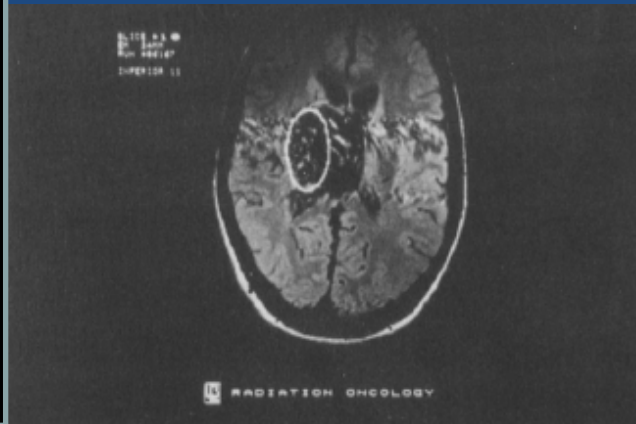
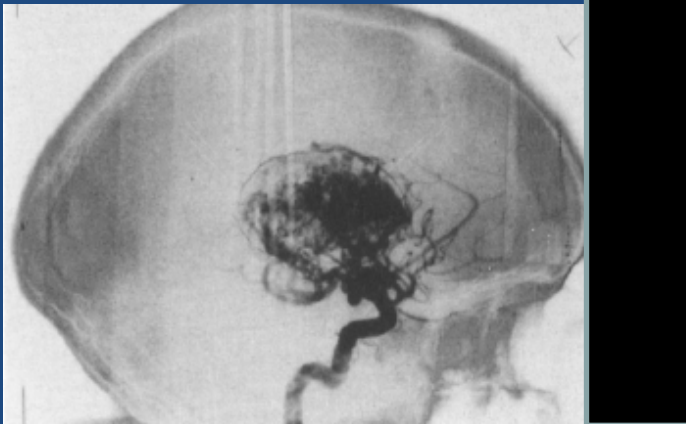


*Levy, Fabrikant, Frankel,  
Phillips, Lyman, Lawrence,  
Tobias, Stereotact Funct  
Neurosurg, 1991*

# *Intracranial Arteriovenous Malformations (AVMs)*



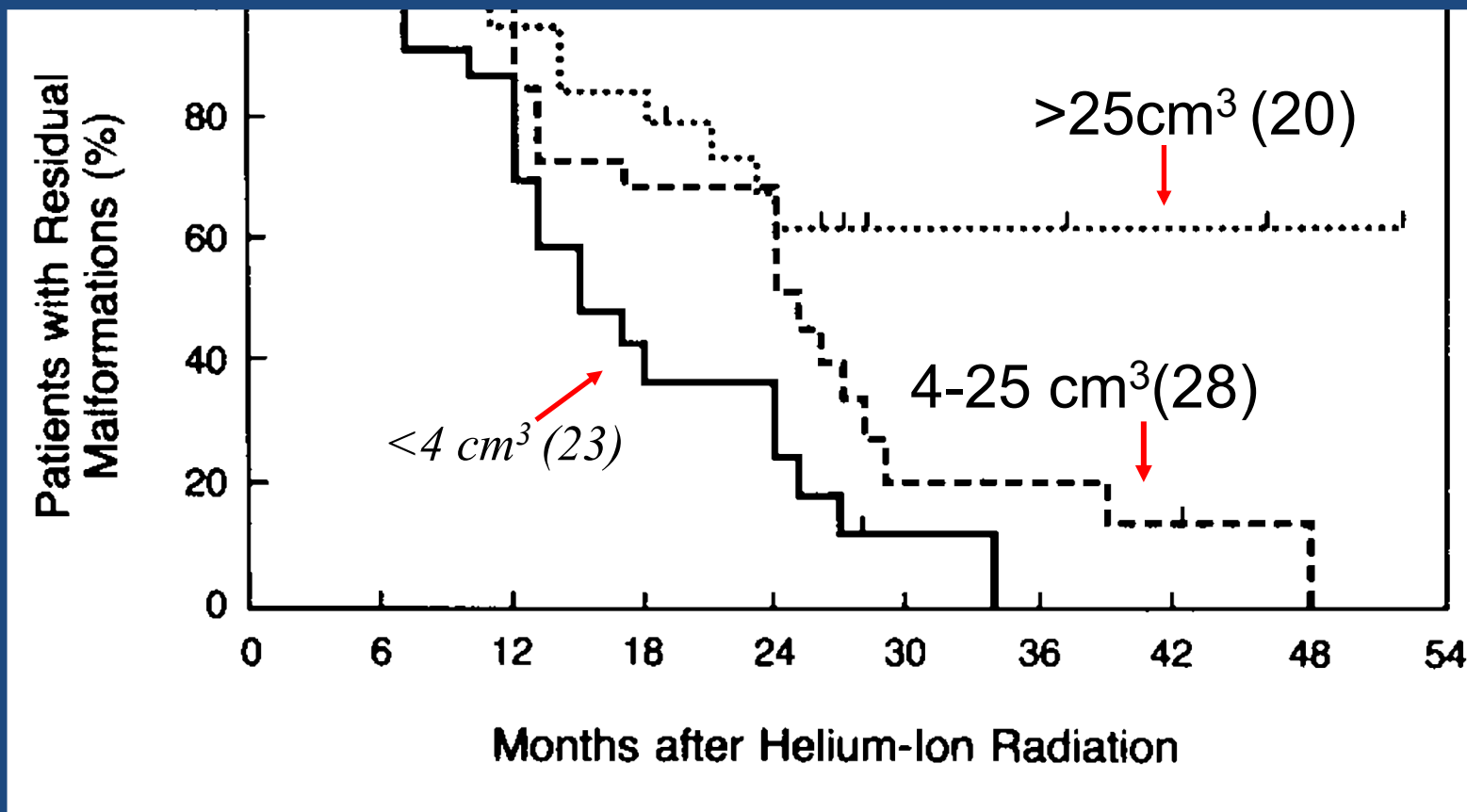
*26-yr old  
Female-2.5 cm<sup>2</sup>  
AVM temporal  
lobe*



*21-yr old Male  
45 cm<sup>3</sup> AVM  
Basal ganglia  
And thalamus*

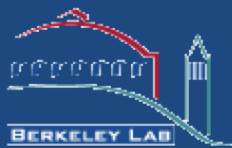
*Phillips, Kessler, Chuang, Frankel,  
Lyman, Fabrikant, and Levy, Int. J. Radiat Bio*

*Kaplan-Meier Cumulative Obliteration Plots for 71 Patients with Intracranial AVM with Angiography Before and After Treatment with a Single 7.7-19.2 Gy dose of 225 MeV/u Helium*

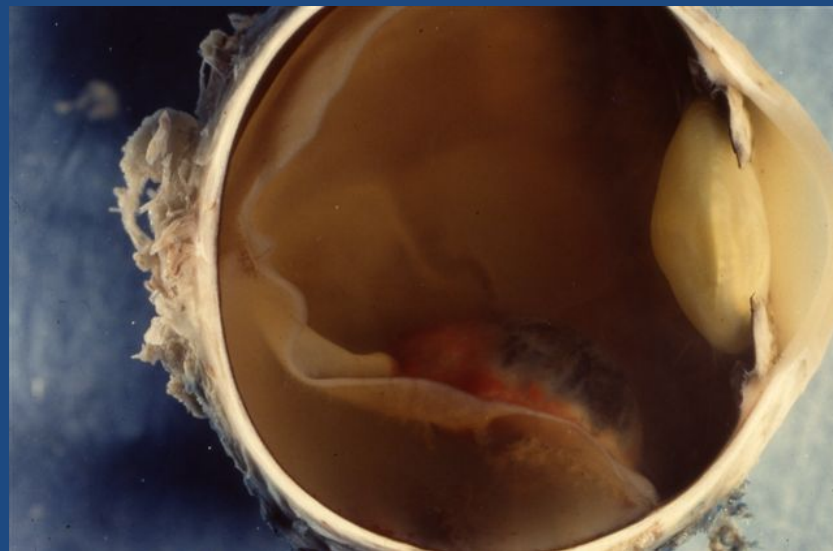
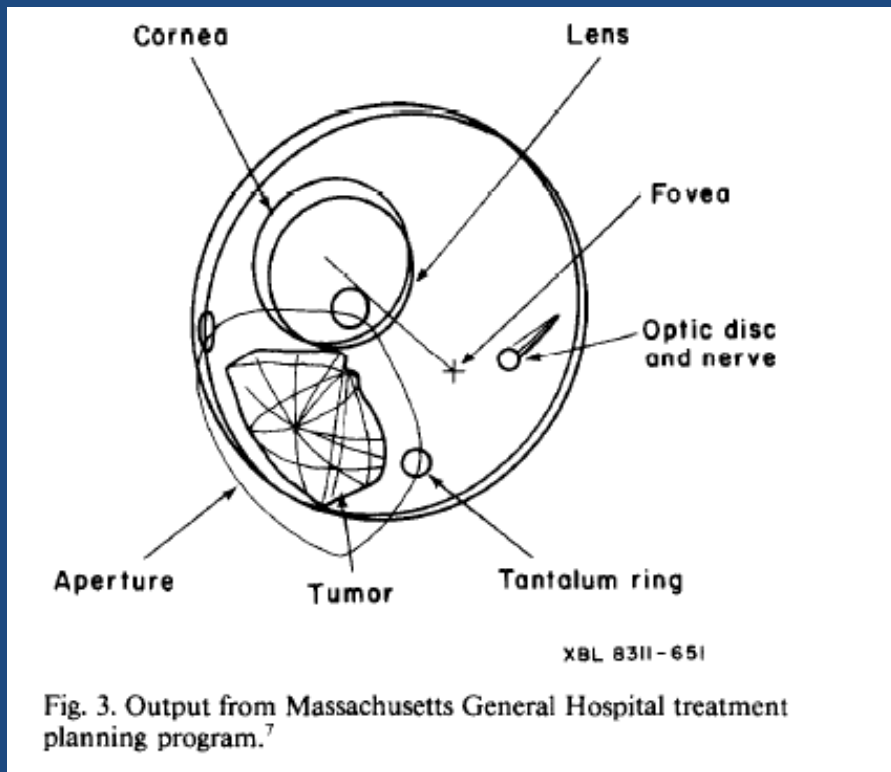


*Steinberg, Fabrikant, Mark, Levy, Frankel, Phillips, Shuer, and Silverberg, NEJM, 1990*



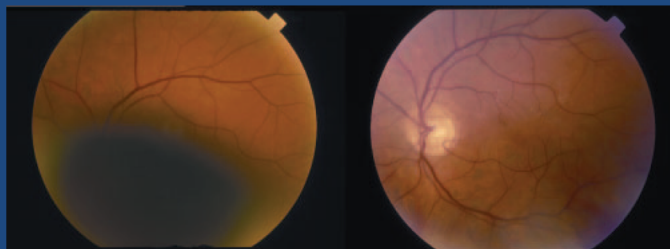


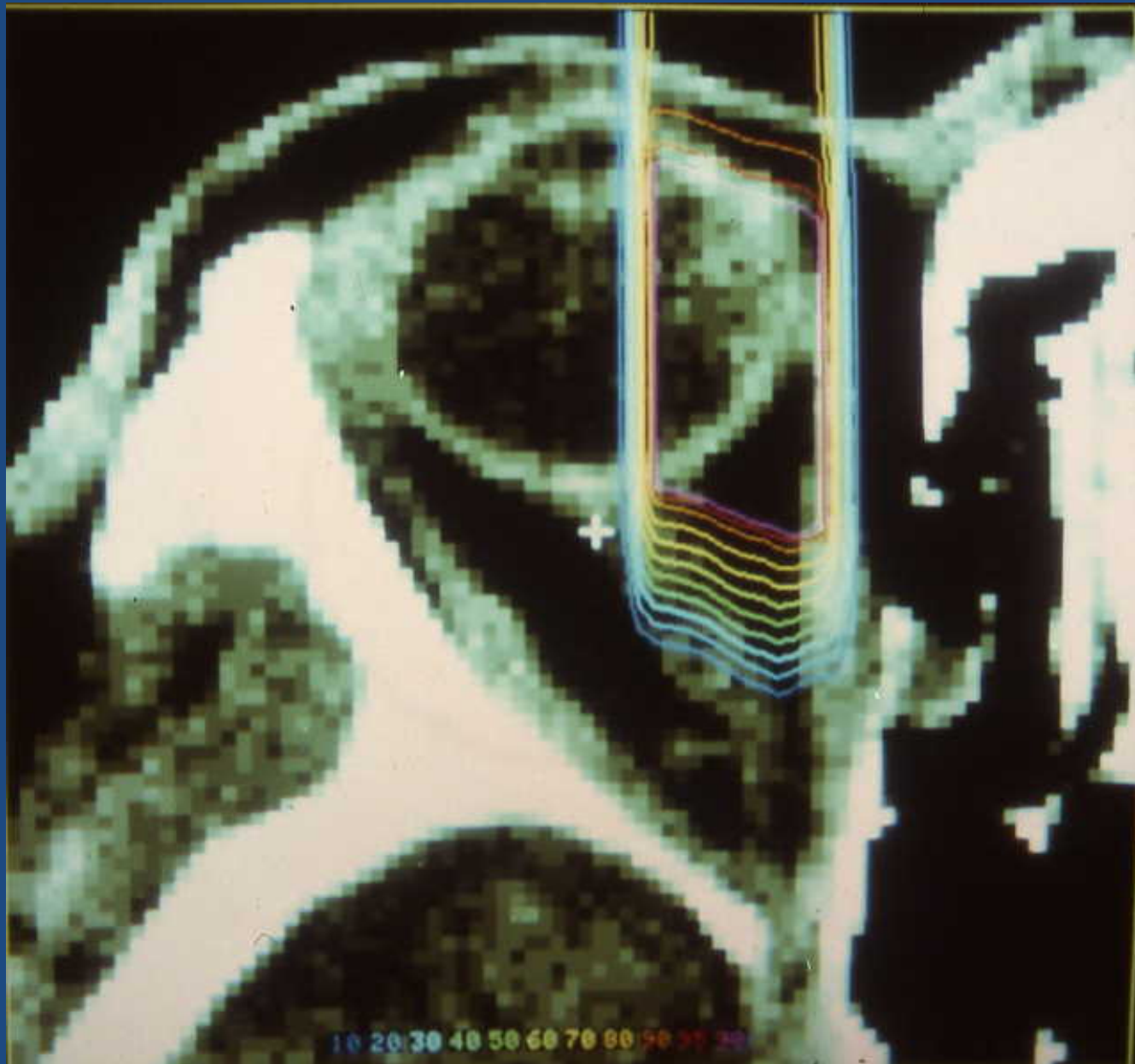
# Precision, He High Dose Radiotherapy: Treatment of Uveal Melanoma



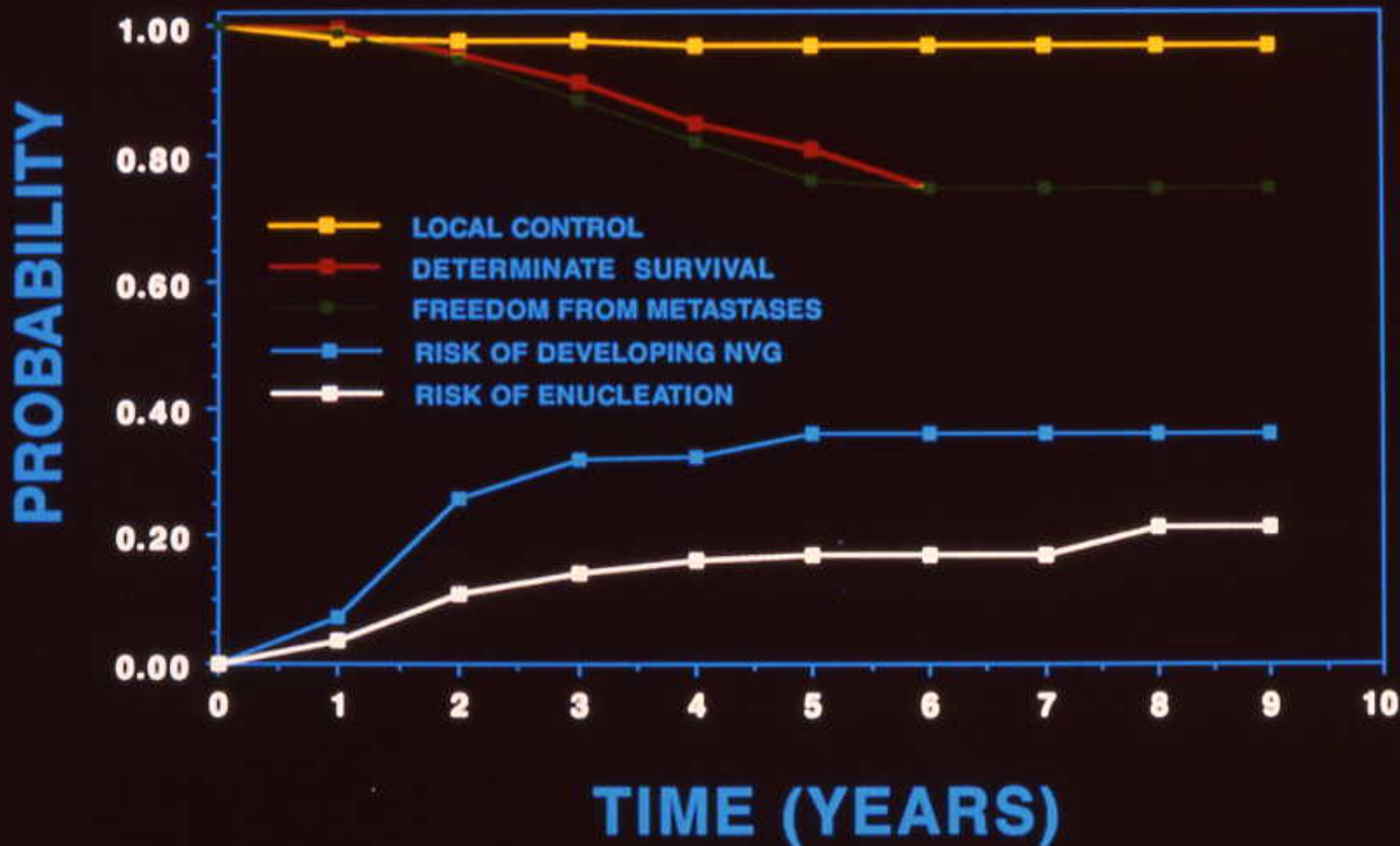
*Saunders, Char, Quivey, Castro, Chen, Collier, Cartigny, Blakely, Lyman, Zink and Tobias, Int, J Radiat Oncol Biol Phys 1985,*

*Gragoudas, Weisenfield Lecture, IOVS, 2006  
1975-1<sup>st</sup> Proton treatment of Uveal Melanoma*

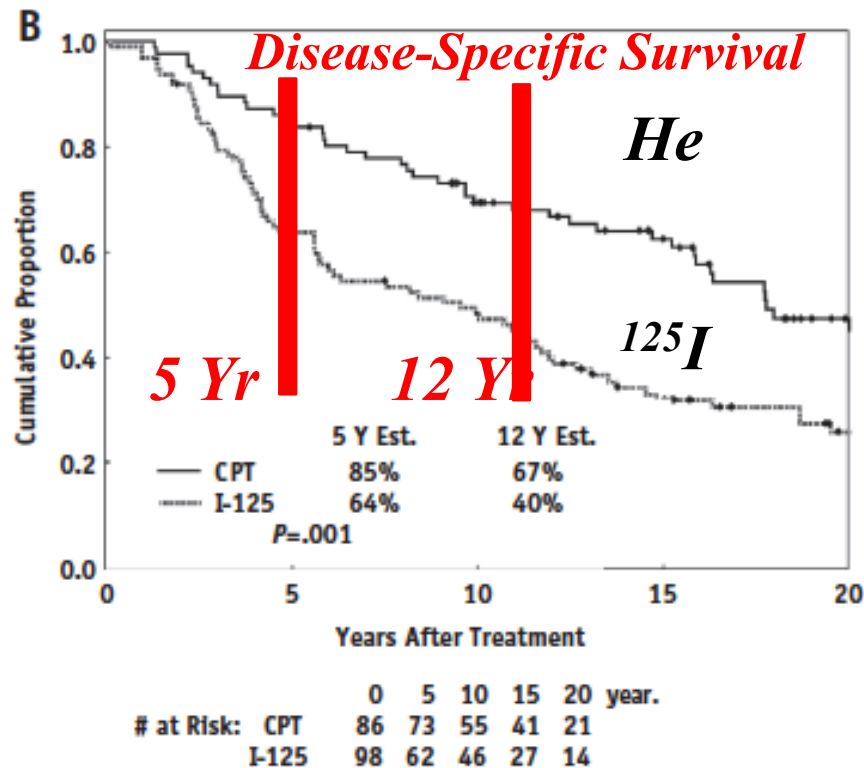
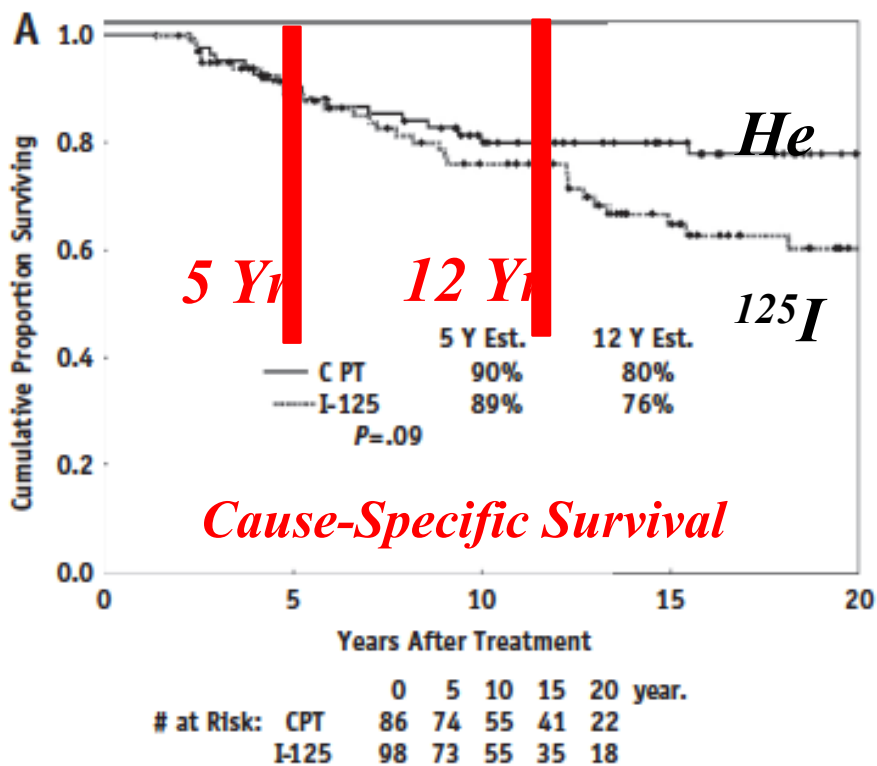




## LBL HELIUM BEAM RESULTS: UVEAL MELANOMA

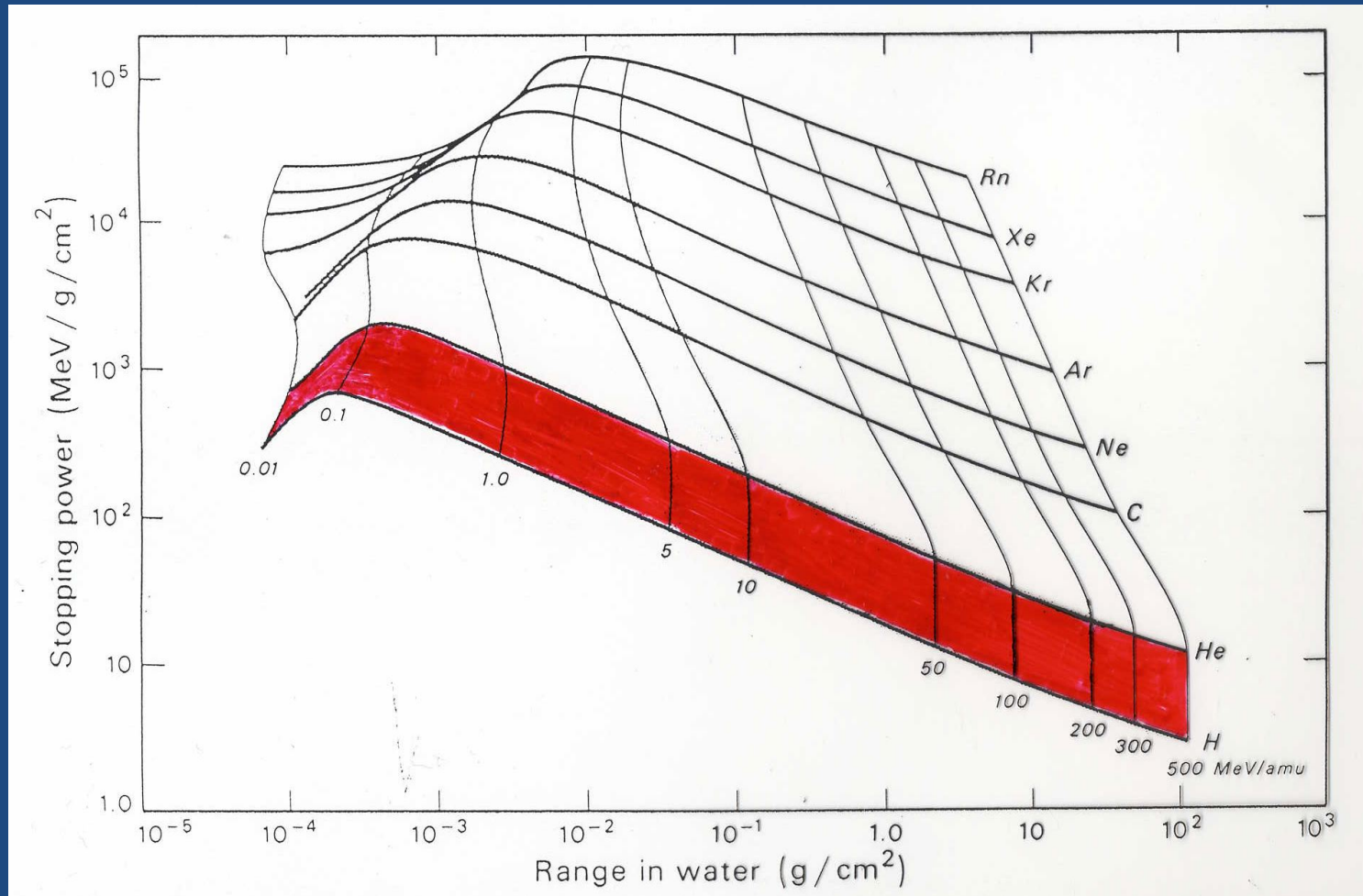


# 20-Yr. Follow-Up of Phase III Randomized Trial-- Helium vs. <sup>125</sup>Iodine Plaque for Choroidal & Ciliary Body Melanoma



Mishra, Quivey, Daftari, Weinberg, Cole, Patel, Castro Phillips, and Char, *Int. J. Radiat. Oncol Biol Phys*, 2015

# *Theoretical range energy and stopping power for various heavy ions in water*



Steward, 1968

## *Why Heavier Hadron Beams?*

*Precision Therapy Conformed to Tumor*

*Sparing of Normal Tissues*

*Increased DNA Damage in Tumor*

*Increased Effect on Hypoxic Tumors*

*Less Repair of Sublethal and Potentially Lethal  
Damage in Cell Cycle*

*Short Overall Treatment Course*

*Use of Radioactive Beam Component for Treatment  
Verification*

# Clinical Trials at LBNL-UCSF, 1975–1992



*Prof. Joseph Castro,  
UC San Francisco  
conducted the LBNL  
clinical trials.*

*Total He ions  
1952-1992 2054*

1975-1992	Total treated	NCOG/RTOG
He ions	858 patients	700 patients
Neon ions	433 patients	300patients

*1st He patient 6/75  
1st C patient 5/77  
1st Ne patient 11/77  
1st Ar patient 3/79  
1st Si patient 11/82  
  
Total patient treated 1314  
1977–1992*

*He patients 858  
Heavier ions 456*



Prof. J. Phillips



Prof. J. Quivey

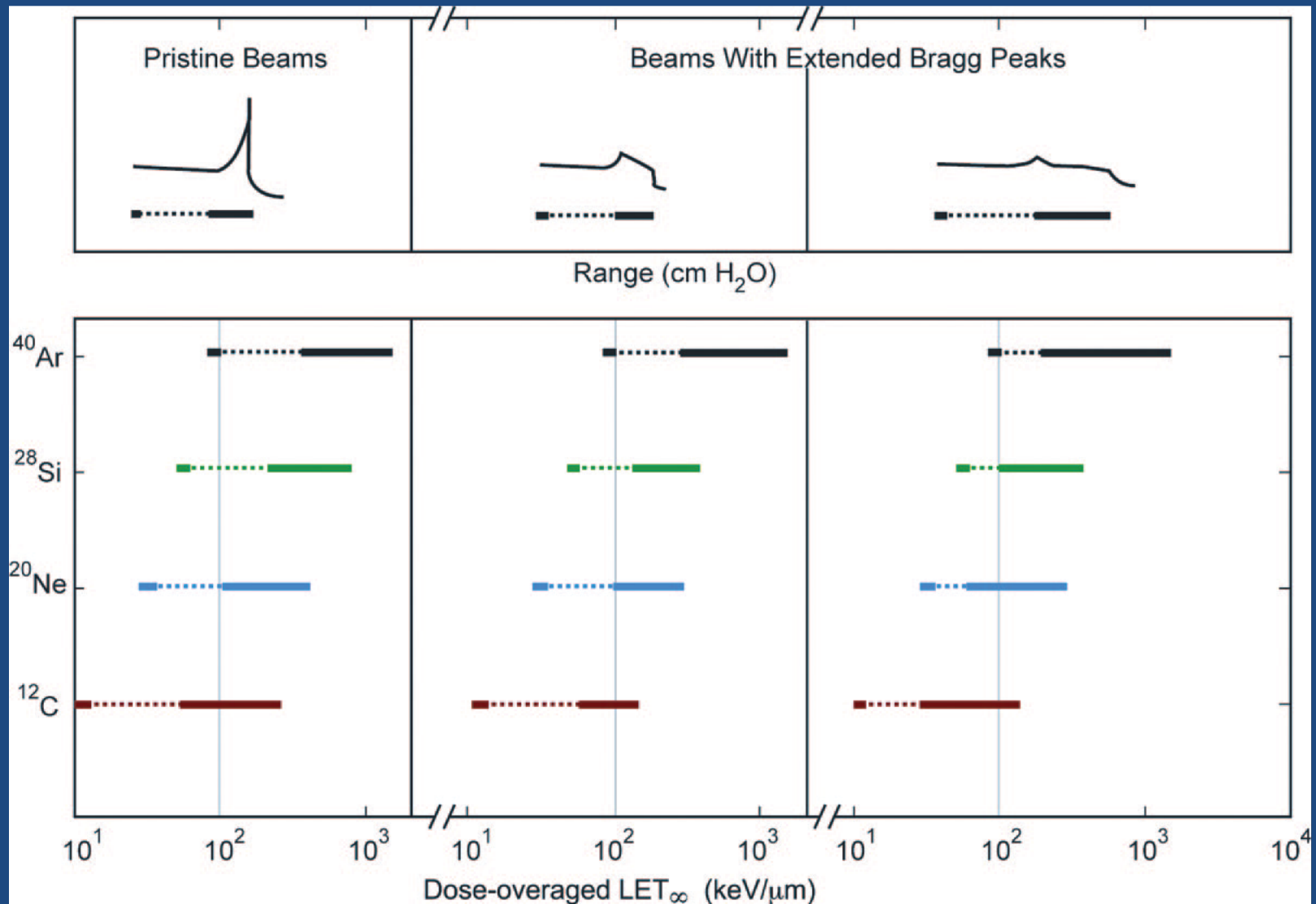


Prof. G. Chen



Dr. E. Blakely

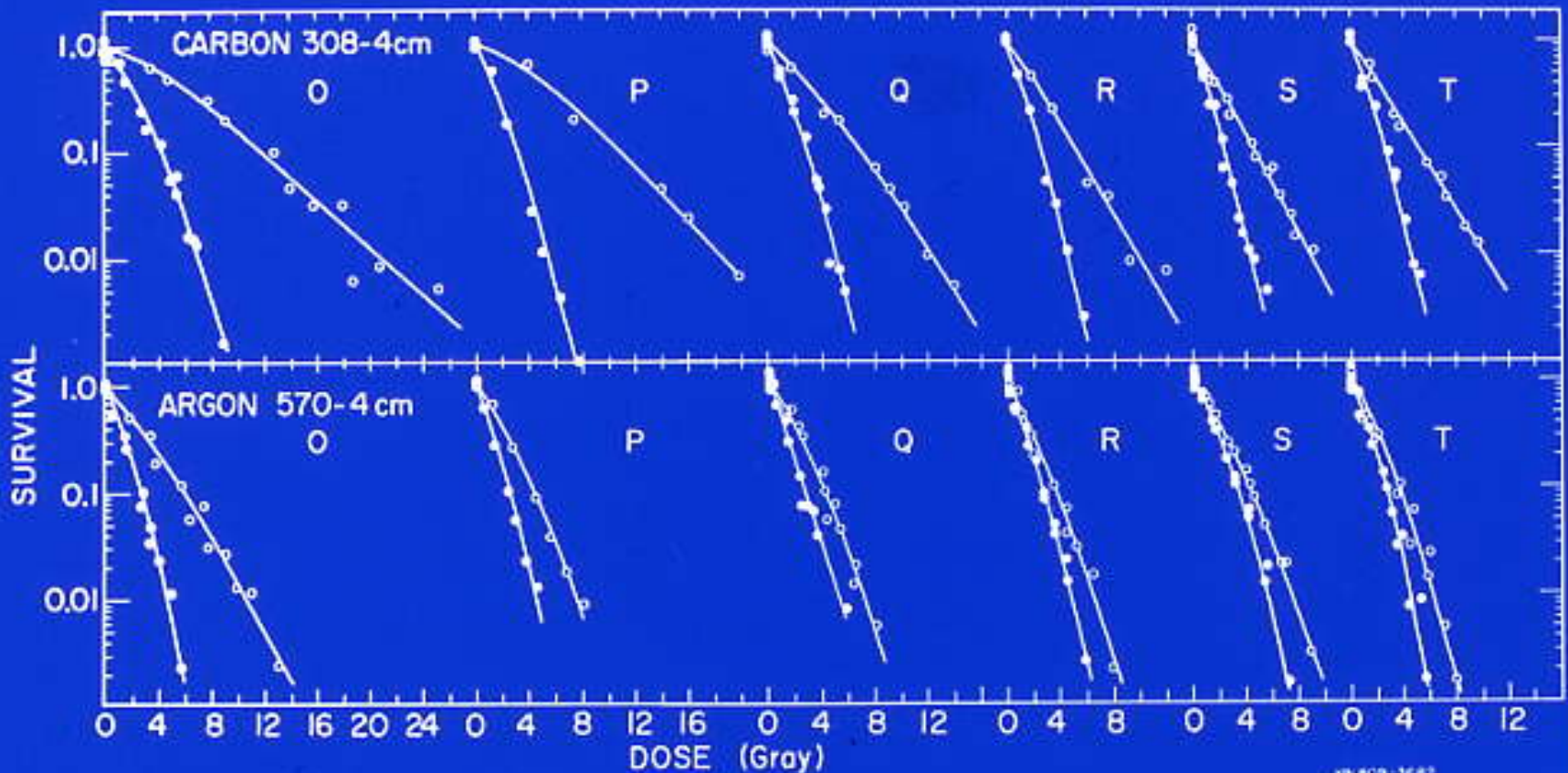
# LET Ranges for Pristine and Extended SOBP



*Blakely & Chang, The Cancer J, 2009*

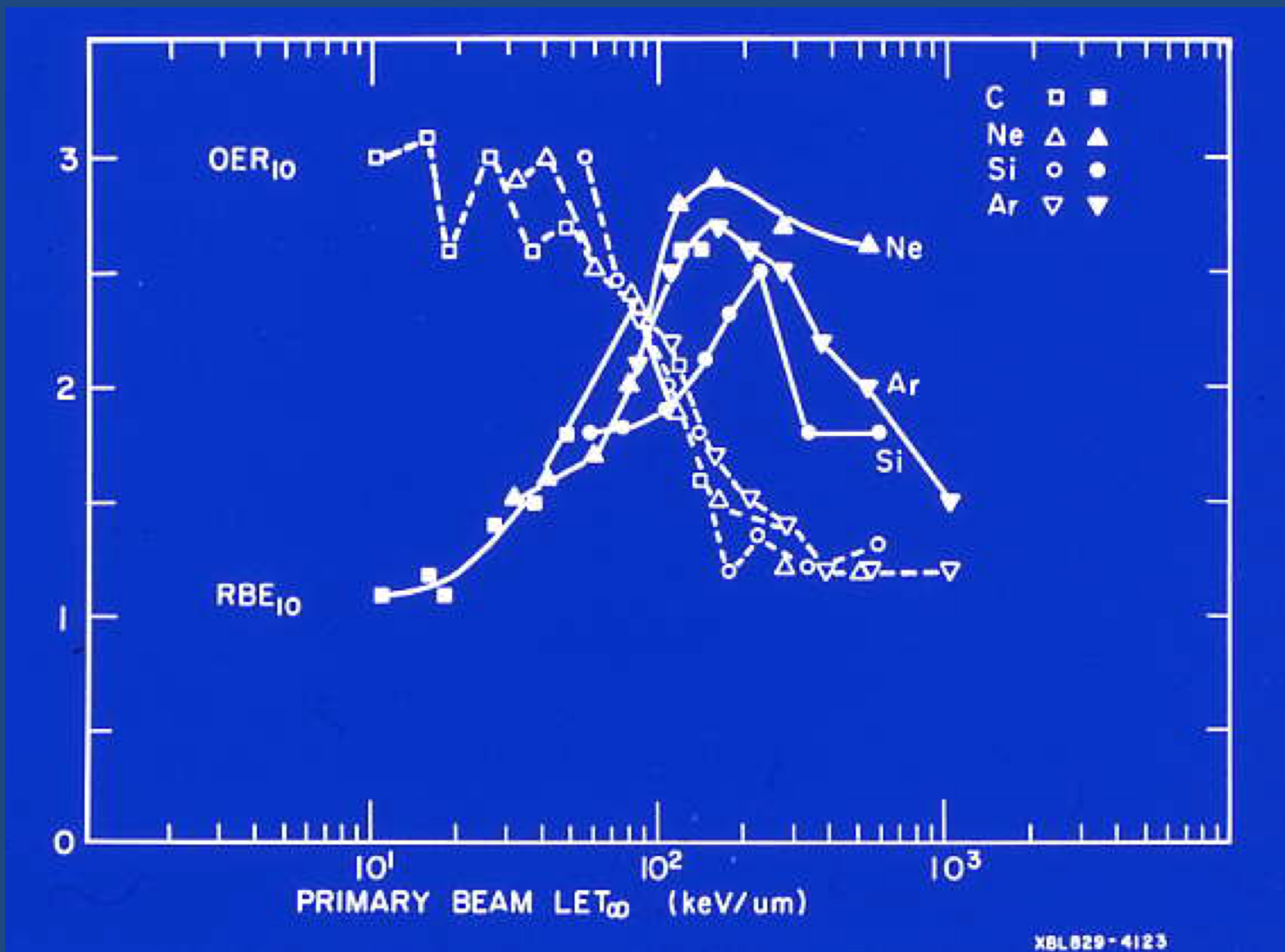


# Aerobic & Hypoxic Cell Killing with Carbon or Argon Beams



*Blakely et al.*

# LET-Dependence of HZE RBE & OER is Maximal Near 150 keV/ $\mu\text{m}$



XBL829-4123

Blakely et al.

# Summary Table Comparing Radiation Modalities

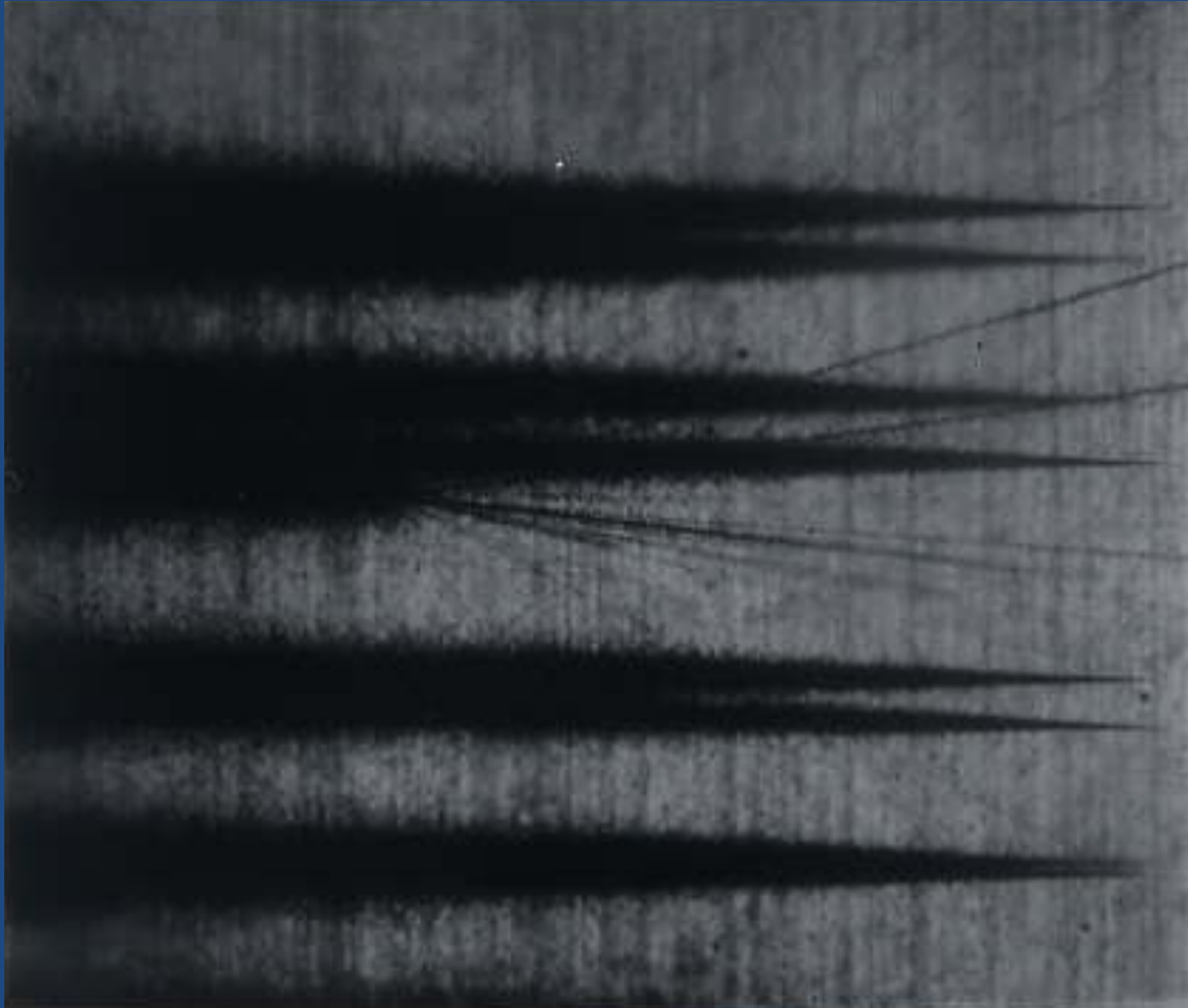
HIGH LET ADVANTAGE??	Protons	Helium	Pions	Neutrons	Heavy Ions			
					C	Ne	Si	Ar
PHYSICAL DEPTH-DOSE	+++	+++	+++	no	+++	+++	++	+
RBE	no	+	+	++	++	++	+++	+++
OER	no	+	+	+++	+	++	+++	+++

## Treatment Outcome Comparing Neon, Neutrons and Conventional Xray Therapy for Selected Types of Tumors

<u>Tumor and Endpoint</u>	<u>Neon</u>	<u>Neutrons</u>	<u>Xray</u>
<b>Macroscopic Salivary Gland Ca</b> (Long term local control) N=18	61%	60-70%	25-36%
<b>Macroscopic Paranasal Sinus Ca</b> (Long term survival) (Long term local control) N=10	69% 69%	30+% 50-86%	32-40% N/A
<b>Macroscopic Soft Tissue Sarc</b> (Long term local control) N=12	56%	50-54%	30-50%
<b>Macroscopic Sarcoma of Bone</b> (Long term local control) N=18	59%	49-55%	21-33%
<b>Locally Advanced Prostate Ca</b> (5 yr actuarial local control) N=12	75%	77%	30-50%

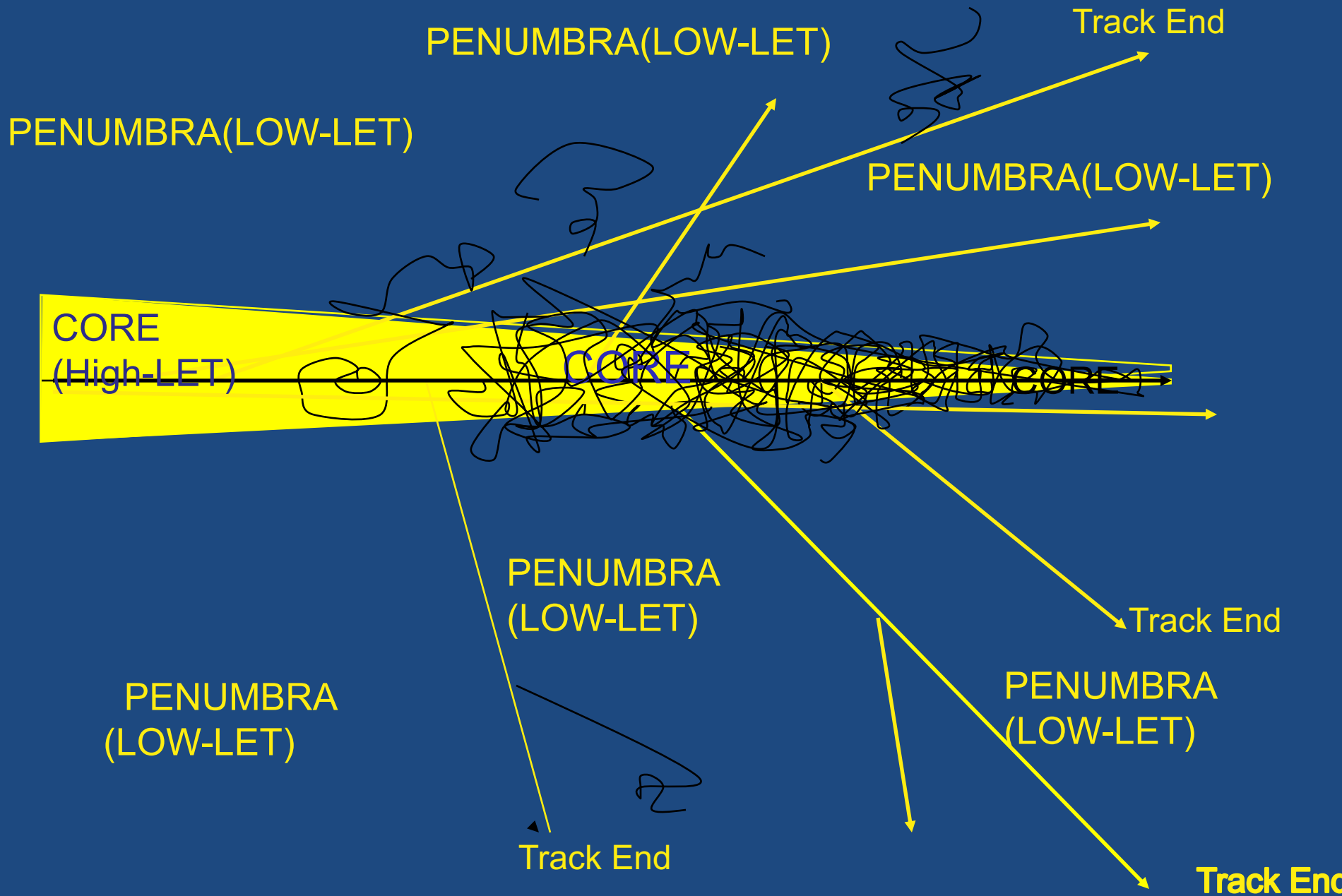
Reprinted from: Linstadt, Castro and Phillips: Neon Ion Radiotherapy: Results of the Phase I-II Clinical Trial. Submitted to Int. J. Rad. Onc. Bio. Phys.

# *HZE particle tracks in emulsion*

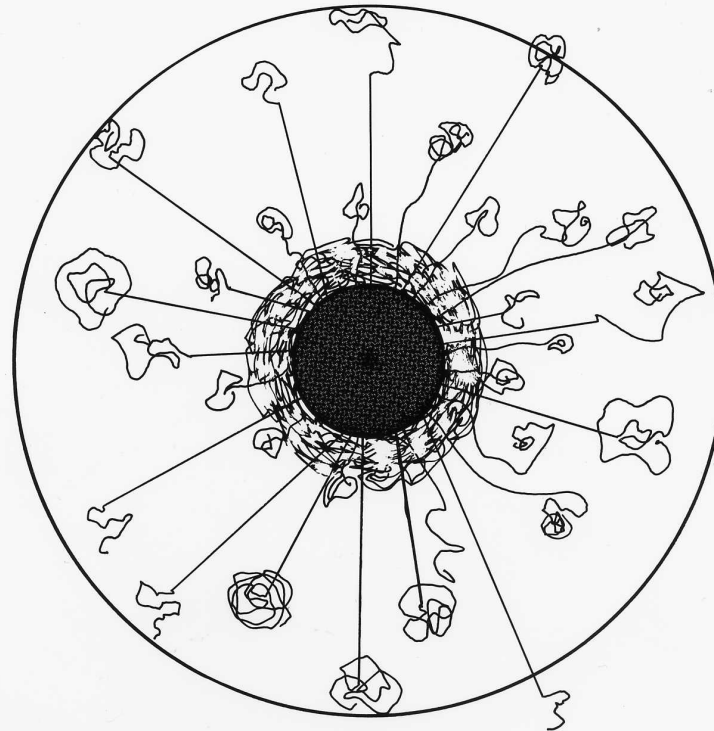


*Heckman et al.*

# Track Structure of HZE Particles



# *Schematic Cross-Sectional View of a Heavy Particle Track*

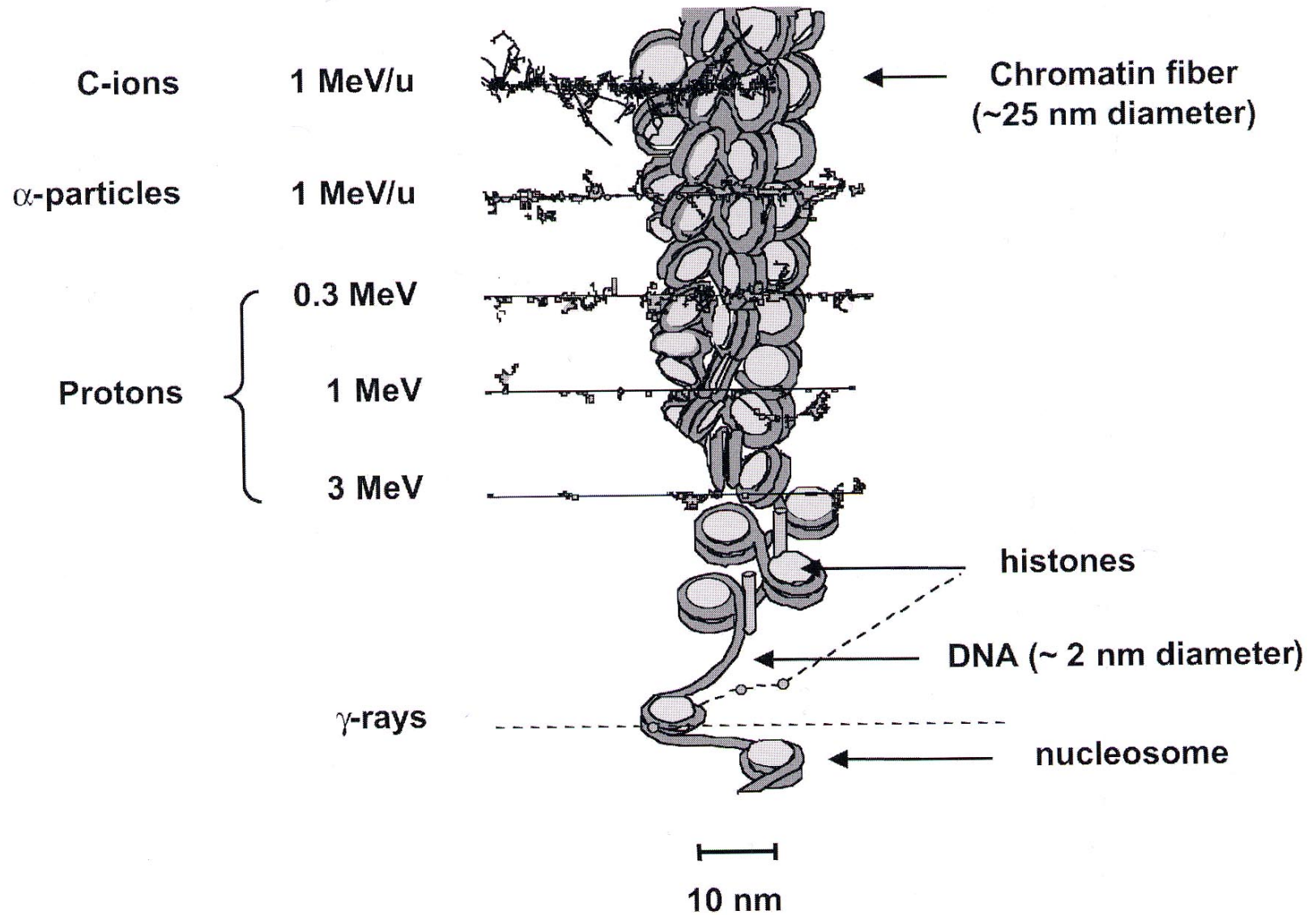


XBL 778-3712

*Chatterjee,*  
*1980*



# Track-Dependent DNA Targets of Particle Radiation



*Belli et al, 2002*



# ***IT IS ALL ABOUT THE TRACKS!!***

- *If you compare protons and neon ions at the same LET ( $\sim 30 \text{ keV}/\mu\text{m}$ ):*
  - *The ion beam with the lower charge ( $\sim 1 \text{ MeV}$  protons) has lower velocity and smaller track radii compared to the beam with the higher atomic number ( $\sim 377 \text{ MeV/u}$  Ne)*
  - *More energy is deposited by the lower energy ion (H) in a small target volume.*
  - *But more target molecules are hit by the higher energy (Ne) ion beam due to the delta ray dose*
  - *This leads to both qualitative and quantitative differences between H and Ne.*

## ***Radiation-Induced Oxidative Species***

- *Heavy ions and other high-LET ions produce oxidative species that are distinctive from those produced by low-LET radiations*
- *This leads to:*
  - *Decreased Oxygen Enhancement Ratios*
  - *Decreased Cell Cycle Dependence*
  - *Activation/Deregulation of transcriptional gene pathways different from low-LET radiations*
  - *Decreased dependence on tumor cancer promoters*
  - *Development of distinct protective mechanisms*
  - *Unknown role for chronic inflammation*
  - *Uncertainties at low dose*

# ***What makes particle radiation so effective?***

*Track structure*

*Clustered damage*

*Production of short DNA fragments*

*Slower repair*

*Evidence of misrepair*

*Genomic instabilities*

*Microenvironmental changes*

*LET-dependent gene responses*

# *A Personal Perspective on Contributions of the Berkeley Ion Beam Program*

- *New scientific approaches:*
  - *To investigate underlying mechanisms of action of densely ionizing radiations on different biological systems*
  - *To investigate improvements in anatomical and functional imaging of normal and tumor treatments,*
  - *To develop novel ion beam delivery and treatment planning tools and mathematical and biophysical models to personalize medical care and treatment of disease.*
- *Opportunities to train other scientists, students, technologists to share the technology*

# *Charged Particle Radiobiology Needs Continue*

- *What are the risks of secondary cancers & late effects?*
- *Can we identify the radiosensitive patient who should be treated with a more conservative treatment plan?*
- *How can we reduce unnecessary dose outside of treatment volume?*
- *Are there pediatric tumors we should not consider treating?*
- *Can specific chemotherapies enhance charged particle therapy?*
- *Can we further optimize with hypofractionation?*
- *What is the best biological model for validating dose effectiveness?*

# *Factors Hampering Heavy Hadrons*

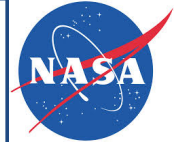
- *Lack of Level 1 Evidence (e.g., Phase III Randomized Clinical Trials)*
- *Cost to build carbon ion clinical facilities*
- *Current lack of insurance reimbursement to maintain a carbon facility*

# SUMMARY

- *Hadron radiations have unique physical deposition patterns, and some novel characteristics of the biological response depending on the radiation type and quality*
- *There is a need for further basic biological investigations to clarify the significance of these unique lesions at the molecular, cellular & tissue level.*
- *There are many powerful new technical tools and genomic and proteomic resources available to radiobiologists to study these effects.*
- *Theoretical modeling of expected hadron biological effects is important.*
- *The future scientific opportunities for hadron therapy are promising. Congratulations to the SEEIIIST program.*



# Acknowledgments



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NASA Grant #NNJ16HP22I  
NCI P20CA183640  
and contract DE-AC02-05CH11231 with the US  
Department of Energy  
Special Thanks to Prof. Manjit Dosanjh*

