



Search for Heavy Stable Charged Particles in CMS

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Outline

- Introduction
- The CMS detector at the LHC
- Analysis strategy
- Online selection
- Offline reconstruction and selection
 - Ionization energy loss
 - Mass measurement
- Background estimation
- Systematic uncertainties
- Results
- Summary



Introduction

- Theoretical motivation:
 - Heavy Stable Charged Particles (HSCP) are predicted by many BSM theories
 - Some SUSY flavors predict long living gluino, stop, stau, etc.
 - Hidden valley models, extra dimensions, certain GUTs, etc.
 - Two main classes of particles:
 - Lepton-like, no strong interactions
 - Hadron-like, color-charged – hadronize to form “R-hadrons”
 - Strongly interacting particles form stable states with quarks/gluons
- Detector signature:
 - Slowly moving high momentum particle, typically reconstructed and identified as a muon
 - High momentum track
 - Anomalously high ionization energy loss (dE/dx)
 - High time-of-flight (currently not used)



Compact Muon Solenoid Detector

Superconducting
Coil, 3.8 Tesla

CALORIMETERS

ECAL

76k scintillating
PbWO₄ crystals

HCAL

Plastic scintillator/brass
sandwich

IRON YOKE

TRACKER

Pixels
Silicon Microstrips
210 m² of silicon sensors
9.6M channels

MUON BARREL

MUON
ENDCAPS

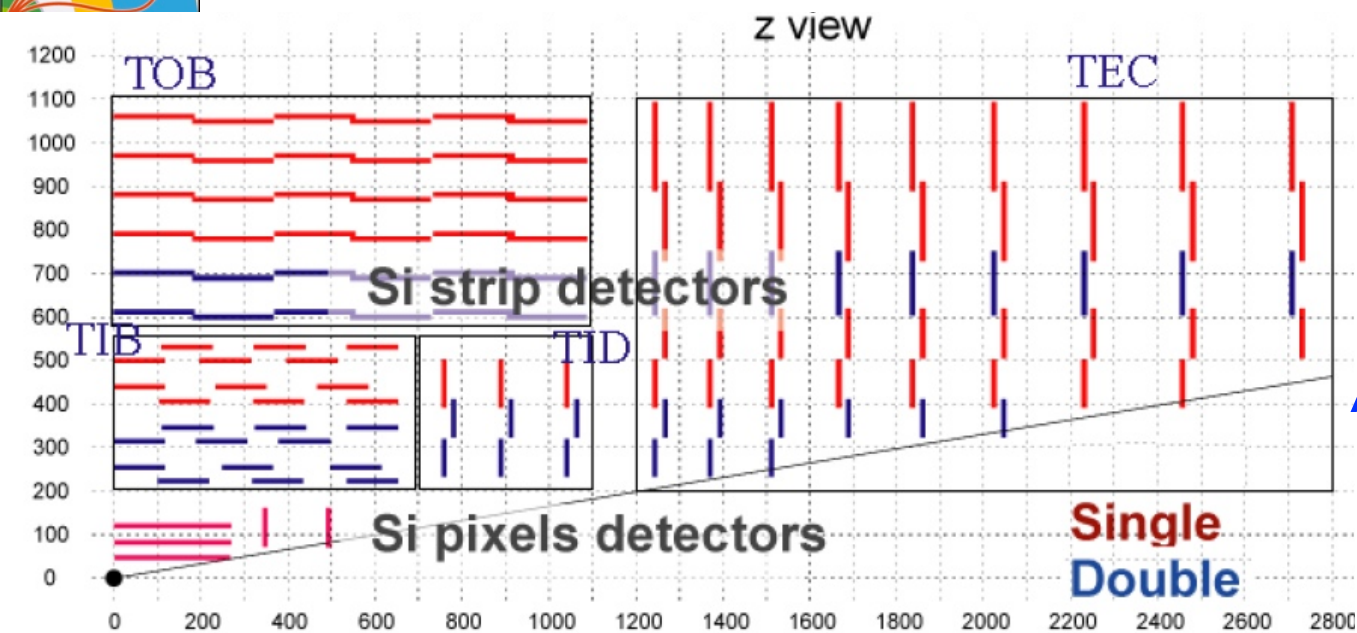
Total weight	12500 t
Overall diameter	15 m
Overall length	21.6 m

Drift Tube
Chambers (**DT**)

Resistive Plate
Chambers (**RPC**)

Cathode Strip Chambers (**CSC**)
Resistive Plate Chambers (**RPC**)

The CMS Tracker

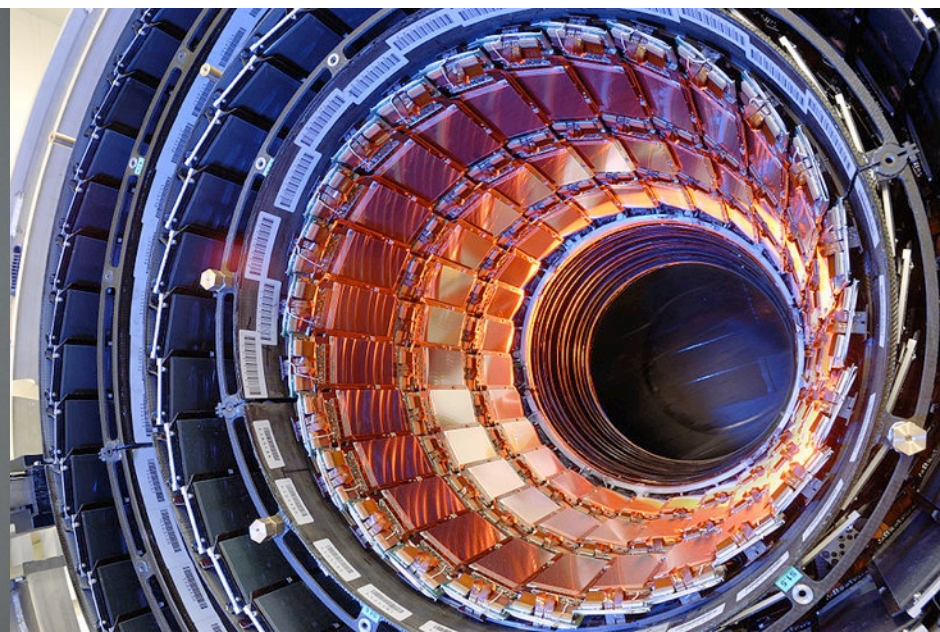
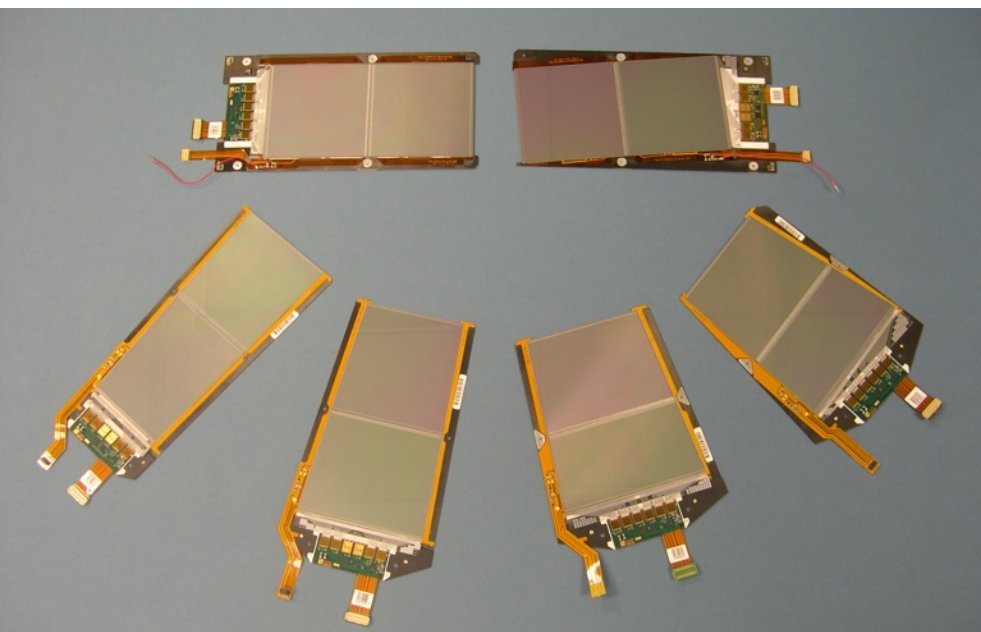


Strip Detector:

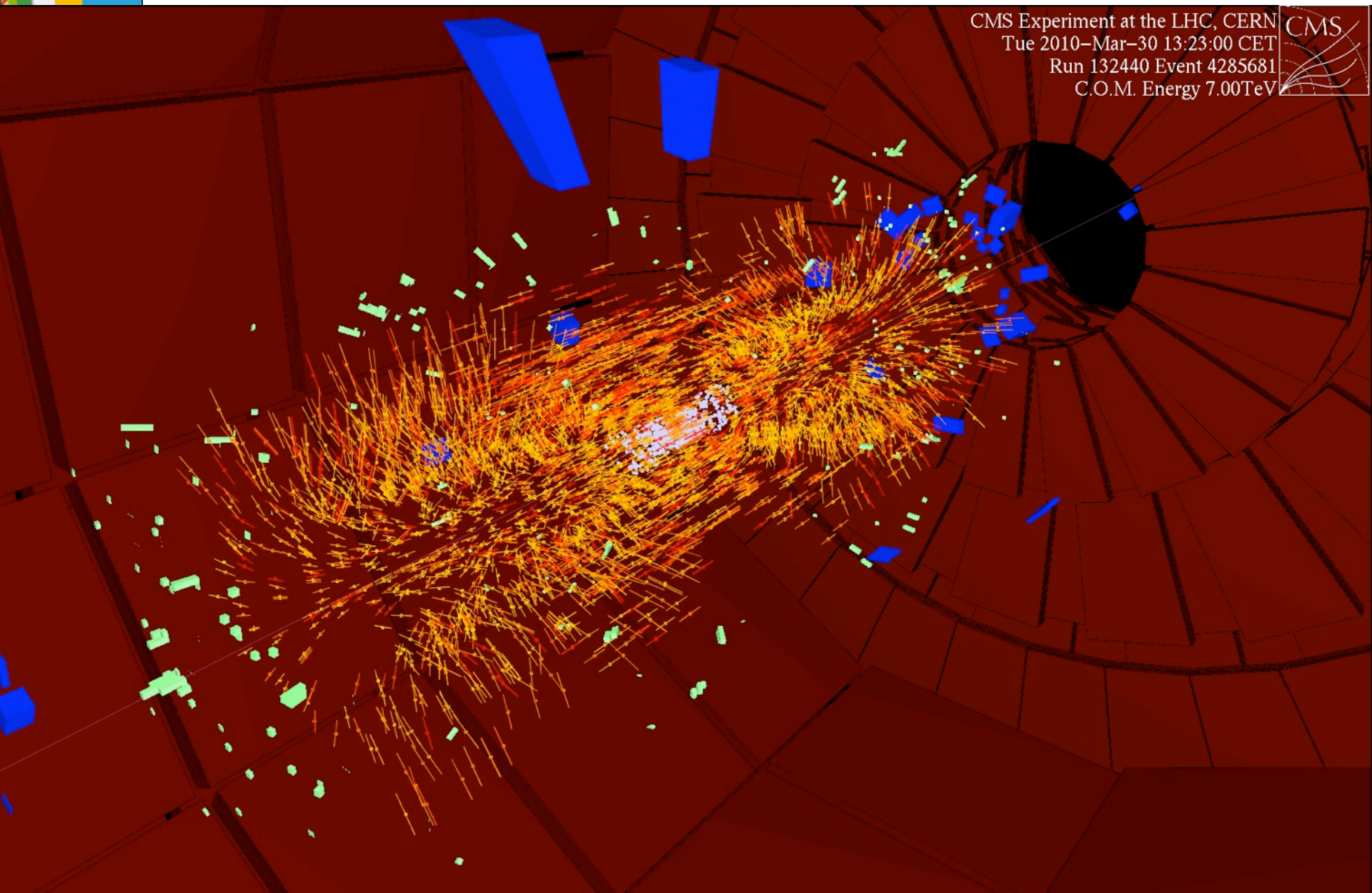
15148 modules

9.7M channels

A particle crosses ~20
modules

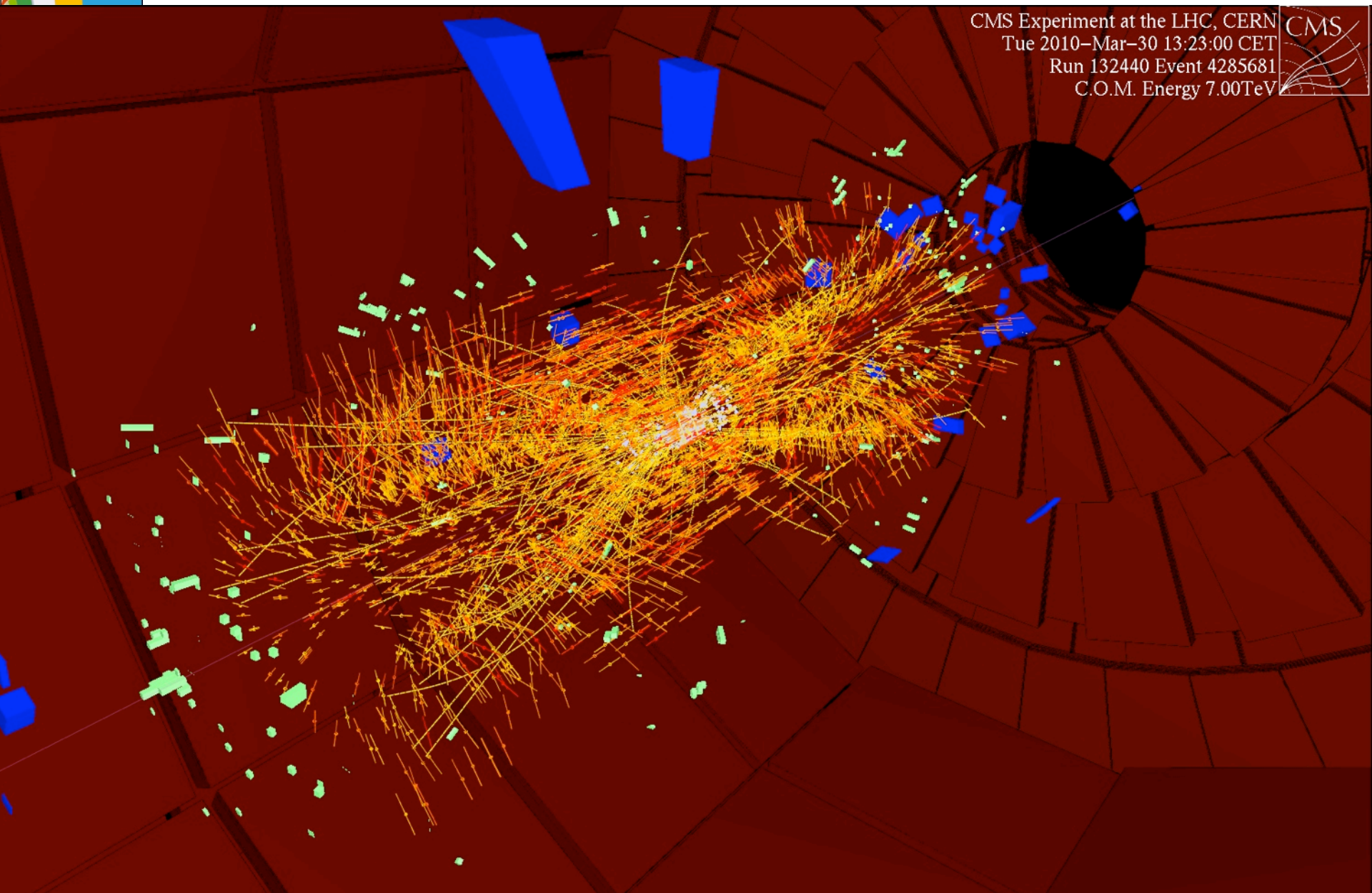


CMS Tracker in Operation



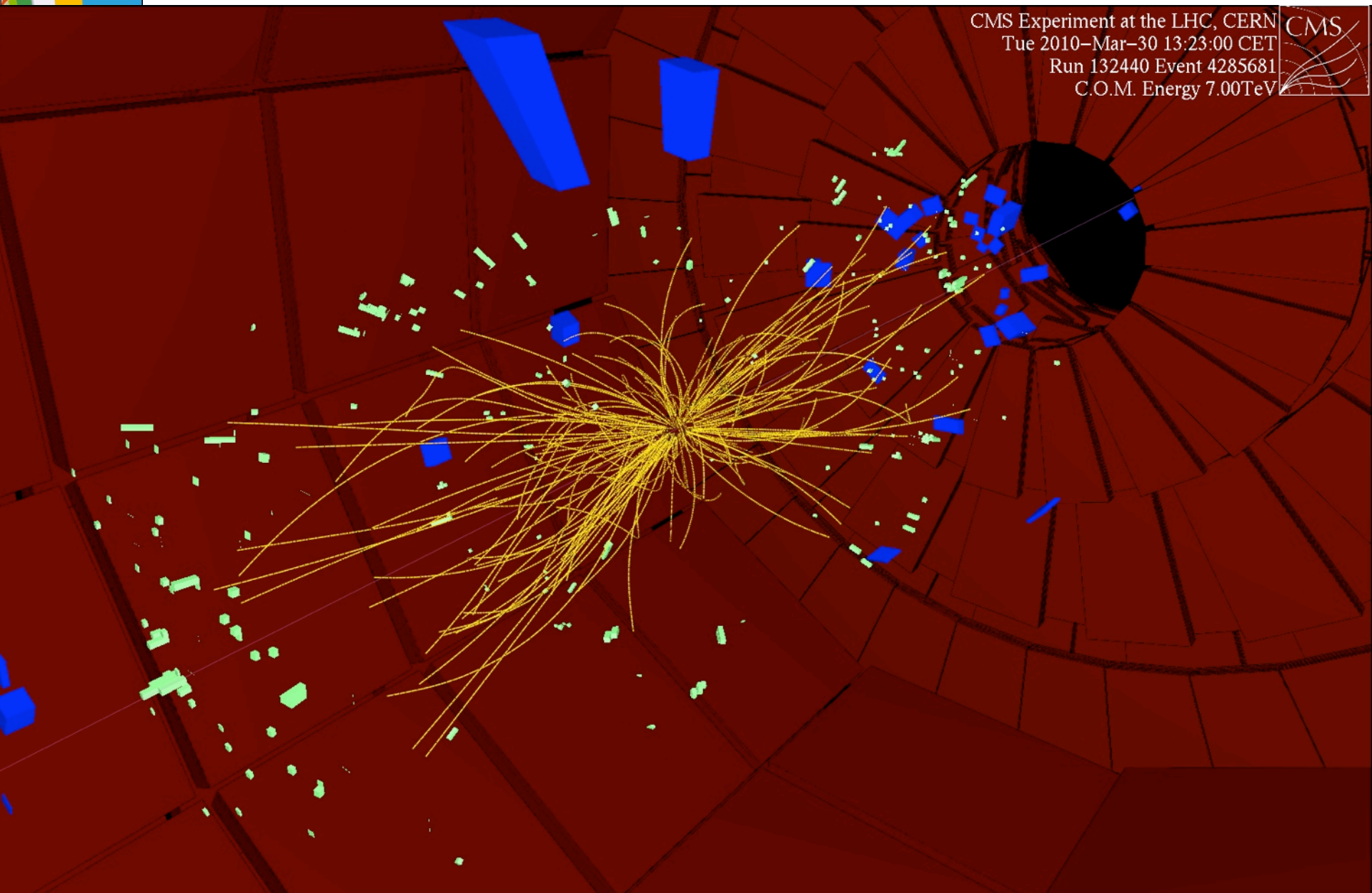
CMS Experiment at the LHC, CERN
Tue 2010-Mar-30 13:23:00 CET
Run 132440 Event 4285681
C.O.M. Energy 7.00TeV

CMS Tracker in Operation



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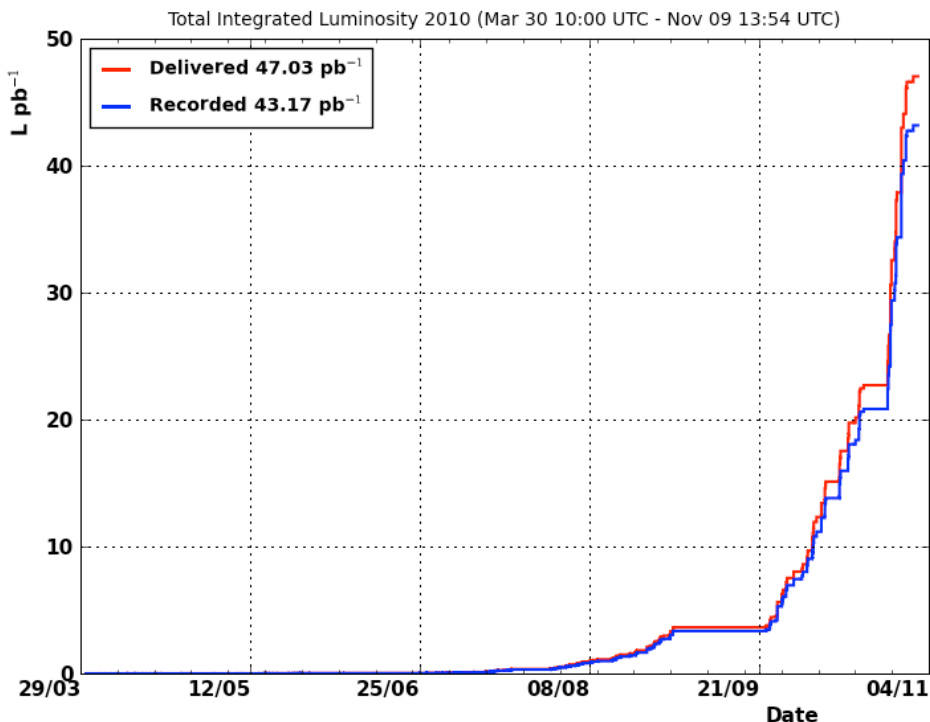
CMS Tracker in Operation





Data

- CMS recorded 43.17 pb^{-1} at $\sqrt{s} = 7 \text{ TeV}$ in 2010
- Data recording efficiency exceeds 90%
- Only highest quality data used for physics analyses
- Results shown today use a partial sample:
 - April to July 2010
 - Corresponding to 198 nb^{-1}
- Publication based on 3 pb^{-1} in preparation



Phenomenology

M. Fairbairn et al, Phys. Rept. 438 (2007) 1-63

➤ Properties

- Very Heavy: $O(100 \text{ GeV}/c^2)$ or more
- $c\tau \sim O(m)$ or larger
- Have electric and/or strong charge

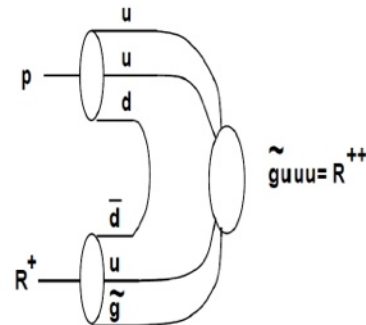
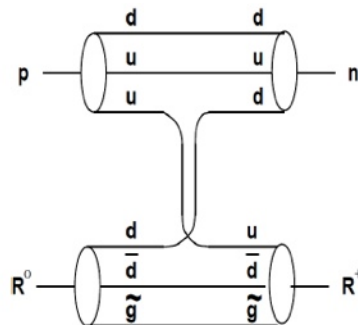
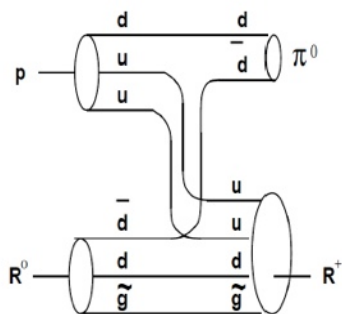
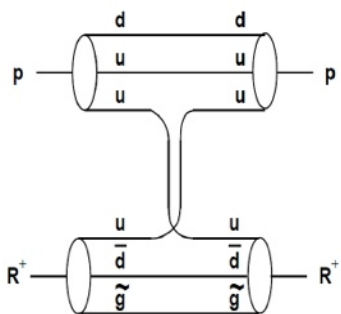
→ In general non-relativistic

→ Usually, do not decay in detector

➤ Allowed by many models beyond SM (mGMSB, Split SUSY, MSSM, UED)

- In general, long lifetime is a consequence of a quantum number conservation
 - e.g. : SUSY with R-parity or UED with KK-parity
 - Heavier states could also be quasi stable if decay phase space is small
- If coloured, HSCP will hadronize and form an “R-Hadron”
 - Fraction of gluino-balls is a relevant unknown parameter from the experimental point of view.

Baryons $\sim gqqq, t_1qq$
 Mesons $\sim gqq\bar{q}, t_1q\bar{q}$
 Gluino-balls (pure neutral state) gg





Benchmark Models

- Lepton-like (tracker+muon analysis)
 - mGSMB staus on SPS Line 7 [100 - 300] GeV
 - PYTHIA
- R-Hadrons (tracker-only analysis)
 - Direct pair-production of stops
 - PYTHIA and MadGraph; K-factors from PROSPINO (NLO)
 - Direct pair-production of gluinos
 - PYTHIA, K-factors from PROSPINO (NLO+NLL)
- Masses: $\sim 130 - 900$ GeV
- Cross sections: $[10^{-3}, 10^3]$ pb
- Hadronization performed by PYTHIA
 - For gluinos : gluino-ball fraction = 10%
- R-Hadron interaction with matter simulated by Geant4

R.Mackeprang and A.Rizzi, Eur.Phys.J.C50 (2007) p.353

Cross Sections

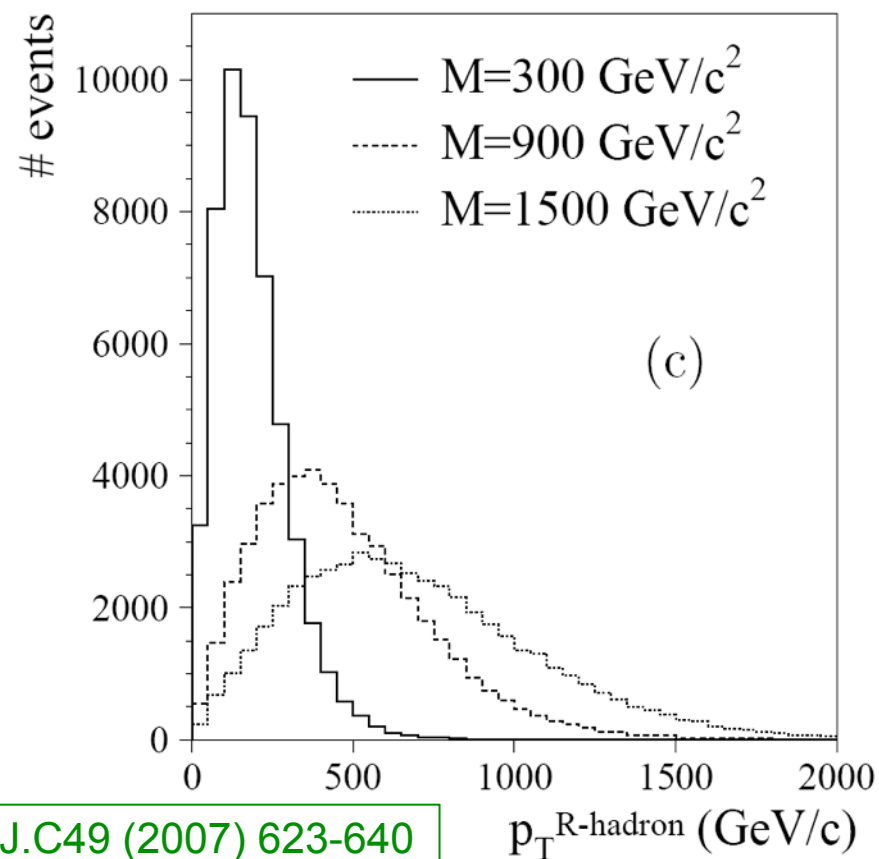
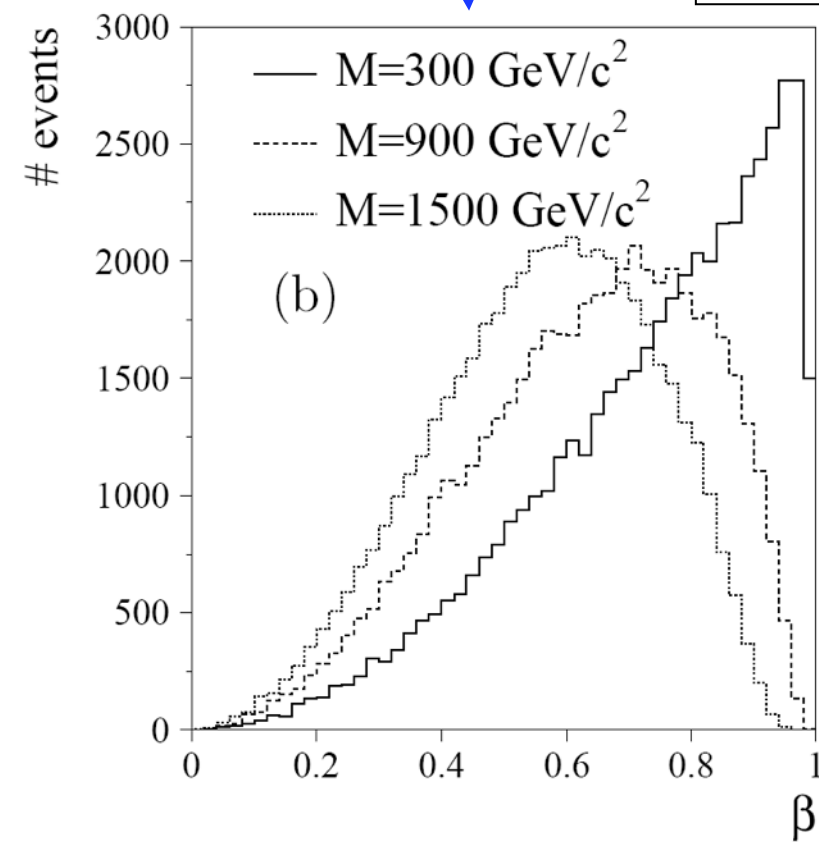
Theoretical Model	HSCP	Mass (GeV)	Expected Cross Section (Pb)		
			$\sqrt{s} = 14 \text{ TeV}$	$\sqrt{s} = 10 \text{ TeV}$	$\sqrt{s} = 7 \text{ TeV}$
mGMSB	τ_1	156	$1.19 \times 10^{+0}$	3.60×10^{-1}	1.00×10^{-1}
mGMSB	τ_1	247	9.70×10^{-2}	3.00×10^{-2}	8.24×10^{-3}
mUED	τ_{kk}	300	2.15×10^{-2}	1.19×10^{-2}	5.70×10^{-3}
split SUSY	\tilde{g}	200	$2.20 \times 10^{+3}$	$9.22 \times 10^{+2}$	$3.27 \times 10^{+2}$
split SUSY	\tilde{g}	300	$1.00 \times 10^{+2}$	$9.89 \times 10^{+1}$	$2.77 \times 10^{+1}$
split SUSY	\tilde{g}	600	$5.00 \times 10^{+0}$	$1.09 \times 10^{+0}$	1.71×10^{-1}
split SUSY	\tilde{g}	900	4.60×10^{-1}	4.47×10^{-2}	3.94×10^{-3}
split SUSY	\tilde{g}	1200	6.10×10^{-2}	3.26×10^{-3}	1.69×10^{-4}
split SUSY	\tilde{g}	1500	1.00×10^{-2}	3.24×10^{-4}	1.11×10^{-5}
MSSM	\tilde{t}_1	130	$1.11 \times 10^{+3}$	$4.84 \times 10^{+2}$	$1.81 \times 10^{+2}$
MSSM	\tilde{t}_1	200	$1.77 \times 10^{+2}$	$6.92 \times 10^{+1}$	$2.22 \times 10^{+1}$
MSSM	\tilde{t}_1	300	$2.74 \times 10^{+1}$	$9.30 \times 10^{+0}$	$2.47 \times 10^{+0}$
MSSM	\tilde{t}_1	500	$1.27 \times 10^{+0}$	3.42×10^{-1}	6.39×10^{-2}
MSSM	\tilde{t}_1	800	7.80×10^{-2}	1.49×10^{-2}	1.56×10^{-3}

Cross sections up to $\sim 300 \text{ pb}$ @ 7TeV

Signature

Non-relativistic track with High Momentum

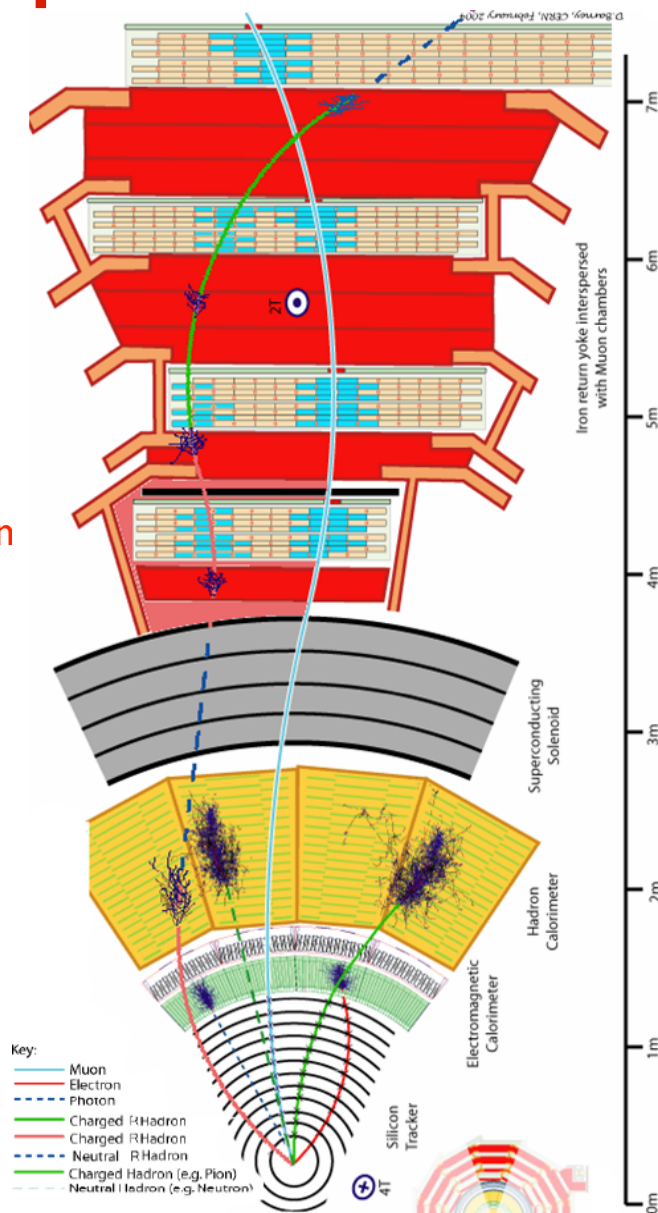
Gluino pair production from
PYTHIA: R hadron p_T and β
normalized differential
distributions



Eur.Phys.J.C49 (2007) 623-640

Detection Techniques

- Typical signature of an HSCP particle in CMS detector is quite similar to a muon with some differences:
 - Low velocity ($\beta < 1$): so late arrival in outer detectors
 - Low velocity: so higher ionization compared to SM particles in the same momentum range
- Methods:
 - p measured from track bending in inner tracker/muon system
 - β from
 - Energy loss in inner tracking system
 - Time of Flight in muon system (not used in this analysis)
 - m from $p / (\beta\gamma c)$
 - if m is heavier than any stable SM particle \rightarrow HSCP
- Issues:
 - Neutral R-Hadrons will give no signal in the detectors
 - Charge flipping when suffering hadronic interactions (gluino or stop hadrons)
 - Makes tracking more difficult





Analysis Overview

- Signature based search
 - look for high p_T tracks with high dE/dx
- Two analysis paths:
 - **Track+muon:**
 - Muon Id + dE/dx in silicon strip tracker
 - HSCP that get reconstructed as muons
 - Lepton-like and R-hadrons without charge suppression
 - **Track-only:**
 - dE/dx in silicon strip tracker
 - R-hadrons that become neutral, etc.
 - R-hadrons with charge suppression



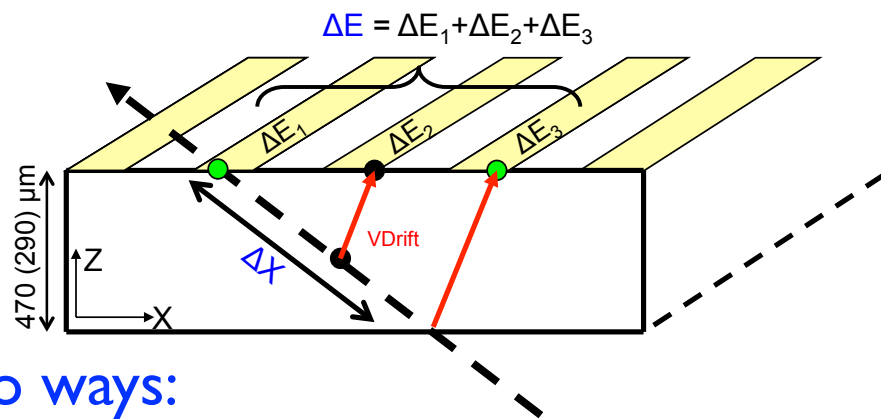
Trigger Strategy

- Muon triggers:
 - Useful for most models
 - Efficiency depends on the HSCP mass and model
 - Very robust with respect to the p_T threshold
 - single μ : $p_T > 3$ GeV
 - double μ : $p_T > 0$ GeV
 - 15 - 45% efficiency for R-Hadrons (low mass-high mass)
 - >90% efficiency for staus
- Jet /Missing E_T triggers:
 - Useful for certain models (in particular for mGMSB)
 - Less sensitive to timing/ β issues
 - Jet $p_T > 30$ GeV
 - MET > 45 GeV
 - 25 - 85% efficiency for R-Hadrons (low mass-high mass)
 - >60% efficiency for staus
- Combined trigger efficiency: >50% for R-Hadrons, >95% for staus

Ionization Energy Loss (I)

- Energy loss is measured in the Silicon Strip Tracker

- $\sim O(10)$ $\Delta E/\Delta x$ measurements (with large statistical fluctuation)
- can be combined to estimate the Most Probable $\Delta E/\Delta x$

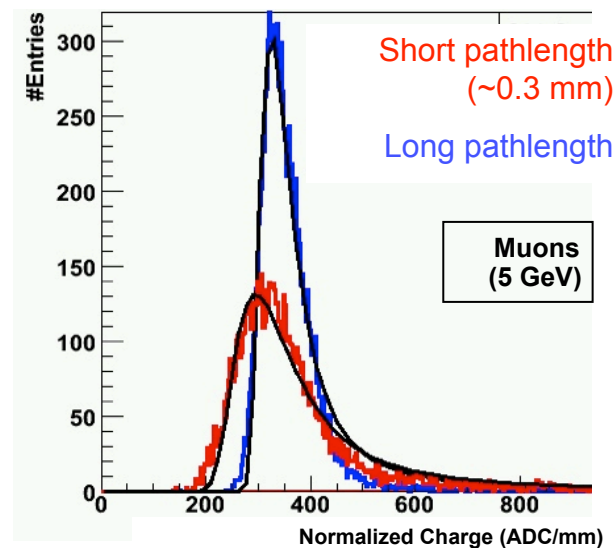


- Cluster charge interpreted in two ways:

1. dE/dx discriminator
2. dE/dx harmonic estimator

- Assume that all measurements are extracted from a unique Landau distribution

- Need accurate strip detector inter-calibration



Ionization Energy Loss (II)

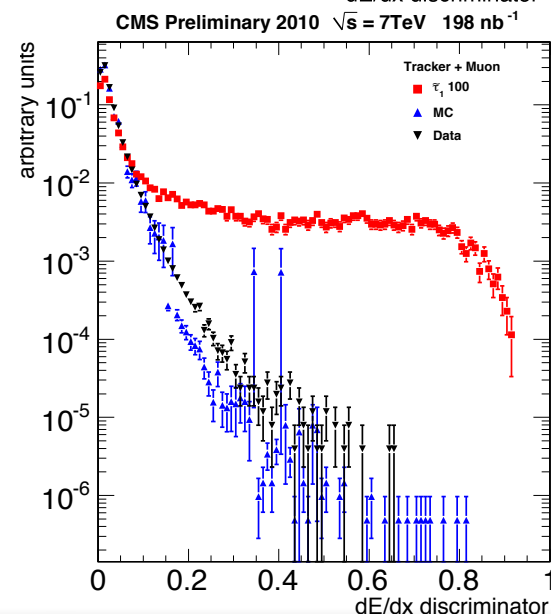
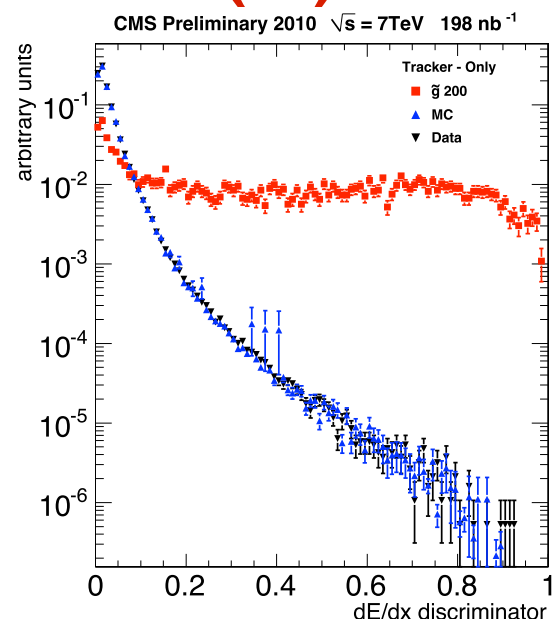
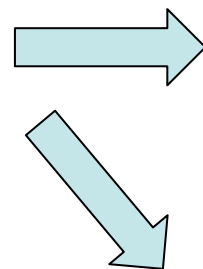
• dE/dx MPV estimator

- Harmonic-2 estimator: $I_h = \left(\frac{1}{N} \sum_i c_i^k \right)^{1/k}$ with $k = -2$
- Measuring ionization MPV to be used in HSCP mass reconstruction

• dE/dx discriminators

- Full use of charge information
 - Tail prob. depends on the path-length
 - ADC cut-off
- Optimal discrimination → candidate selection
- Test statistic $f(P_h)$
 - P_h = Probability for a MIP to release as much or less charge than observed
 - Modified Smirnov-Cramer-von Mises:

$$I_{as} = \frac{3}{N} \times \left(\frac{1}{12N} + \sum_{i=1}^N \left[P_i \times \left(P_i - \frac{2i-1}{2N} \right) \right]^2 \right)$$



Mass Reconstruction (I)

- Mass reconstruction tuned on high quality tracks from a minimum bias sample

- ≥ 12 strip hits, good primary vertex

- dE/dx estimator

$$I_h = K \frac{m^2}{p^2} + C$$

(approximation of the Bethe-Bloch formula, good to 1% in the range $0.4 < \beta < 0.9$)

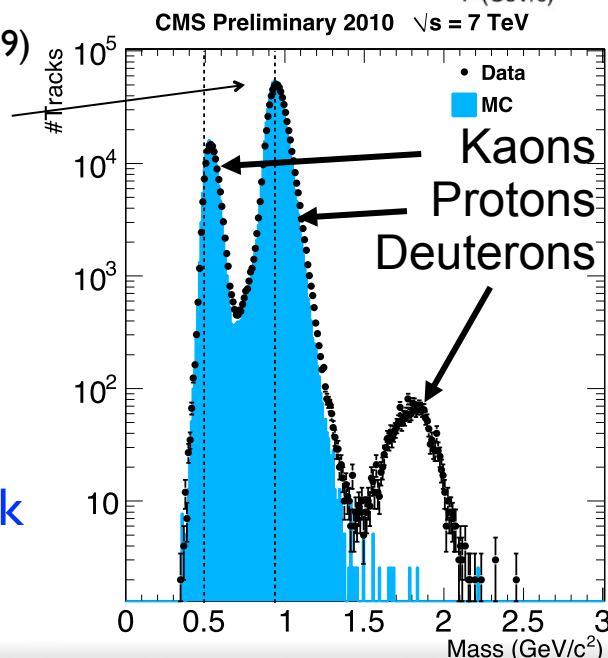
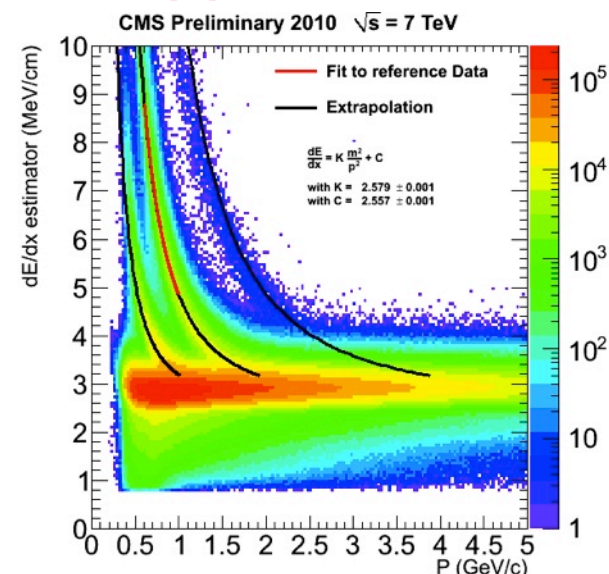
- K and C parameters extracted from proton mass line

- $K = 2.579 \pm 0.001$

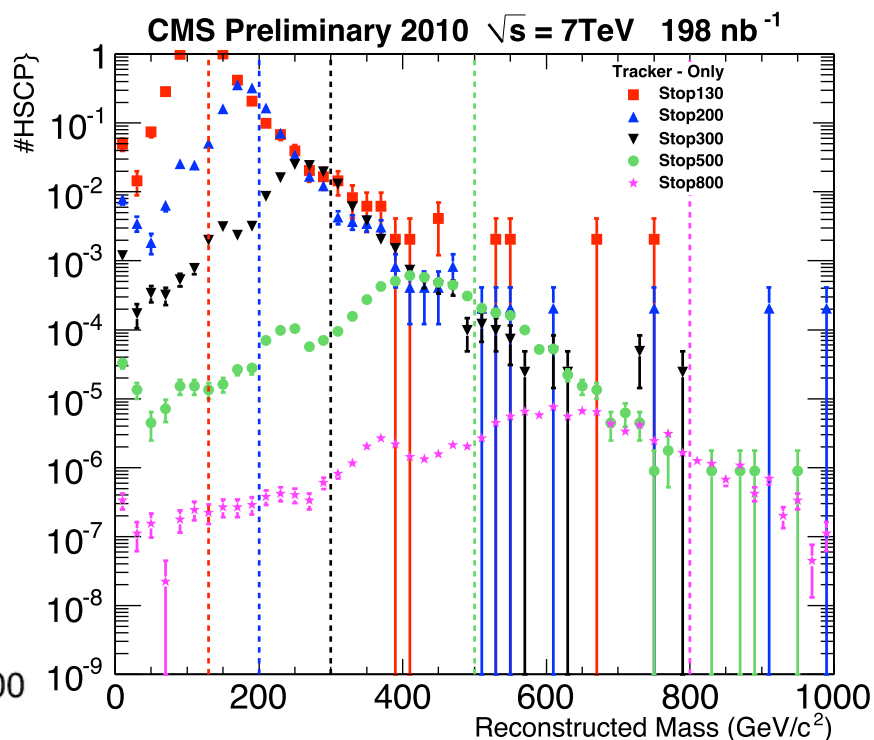
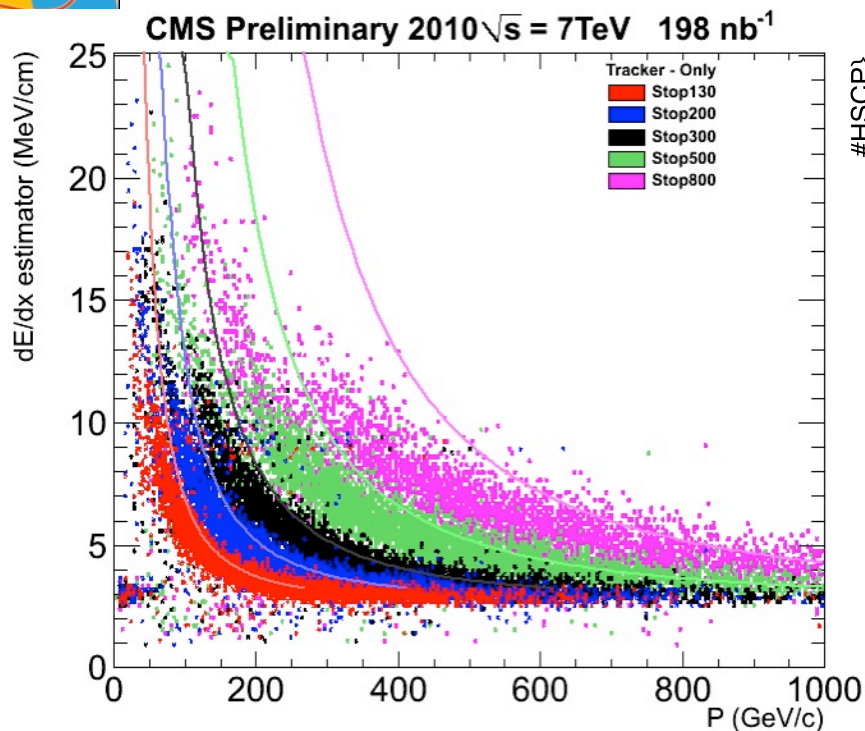
- $C = 2.557 \pm 0.001$

- Approximate Bethe-Bloch Formula before minimum ($0.2 < \beta < 0.9$), few % agreement

- Reverse the relation to compute the mass of any track from dE/dx estimator and p



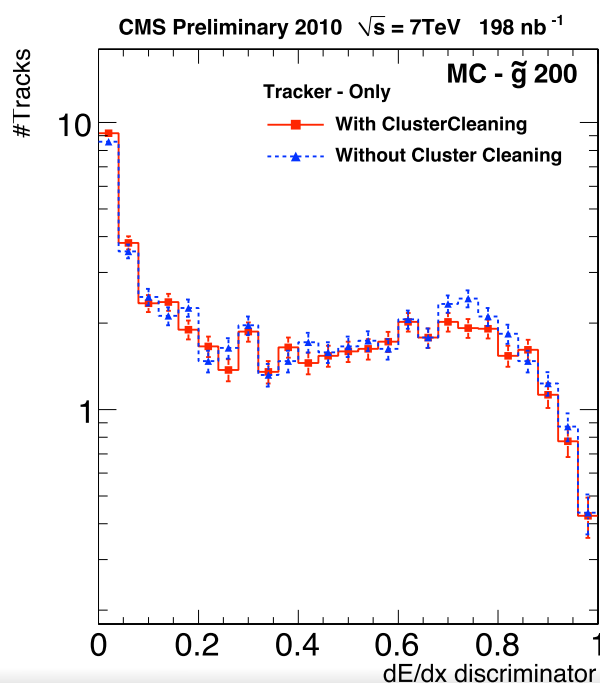
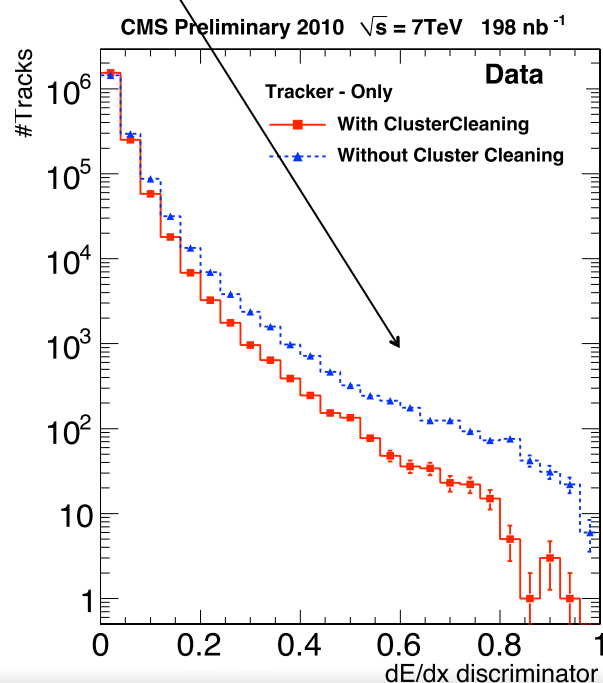
Mass Reconstruction (II)



- At high masses the reconstructed is biased due to an ADC cut-off
- ADC Range is limited to [0,253] counts
 - 254 indicates a charge in [254,1023]
 - 255 indicates a charge above 1023
- Second peak at lower mass also due to this effect... (>1 strip saturating / cluster)
- **This effect has no impact on this analysis (counting experiment)**

Cluster Cleaning

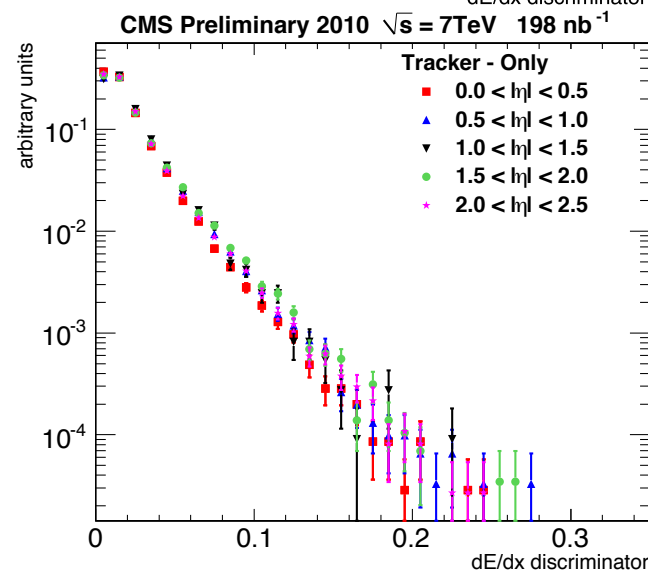
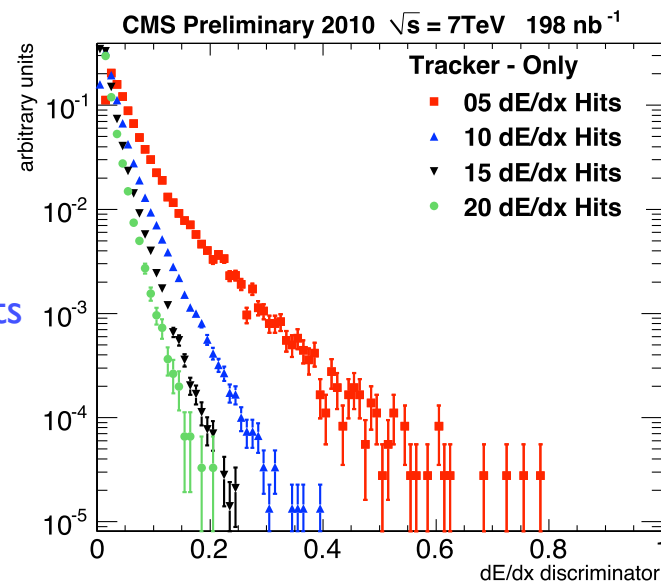
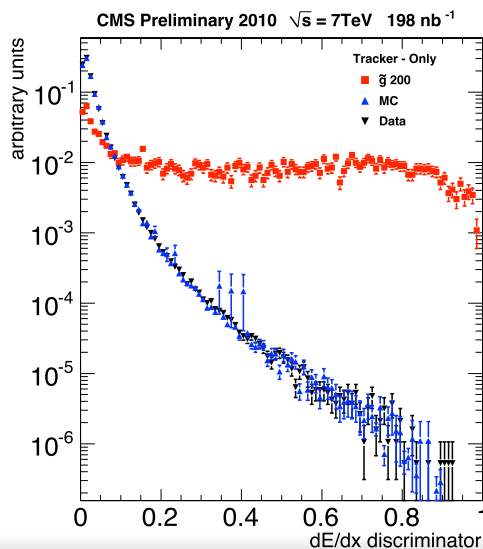
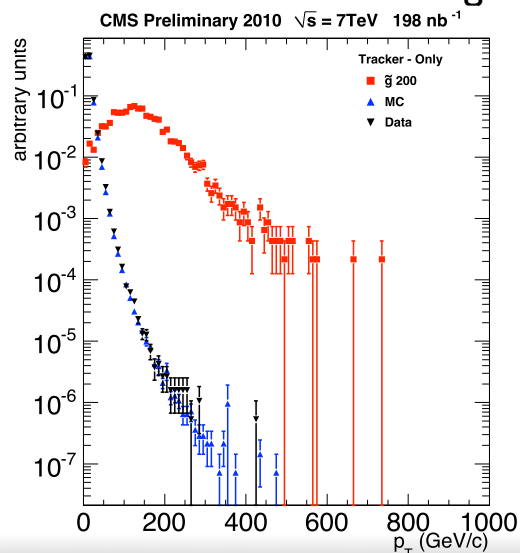
- Single tracks produce clusters distributed over 1-2 strips
- Cluster cleaning: discard clusters likely to be produced by overlapping tracks, nuclear interactions, etc.
 - multiple maxima from the dE/dx computation
 - >2 consecutive strips with comparable charge
- dE/dx tail (data) highly reduced
- No significant modification of the signal dE/dx distribution





Event Selection

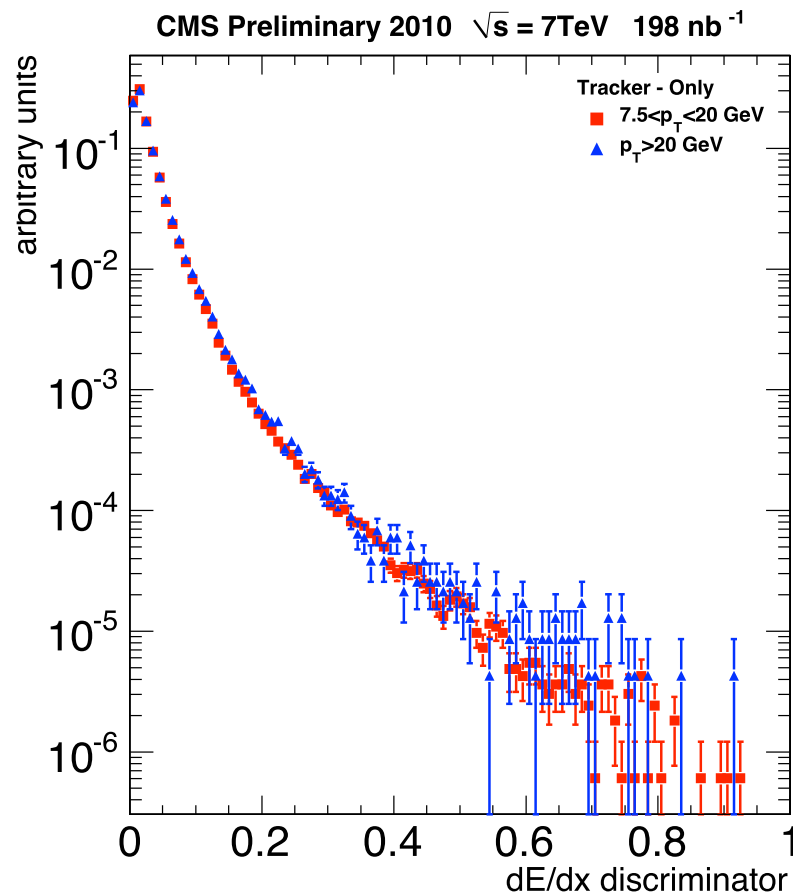
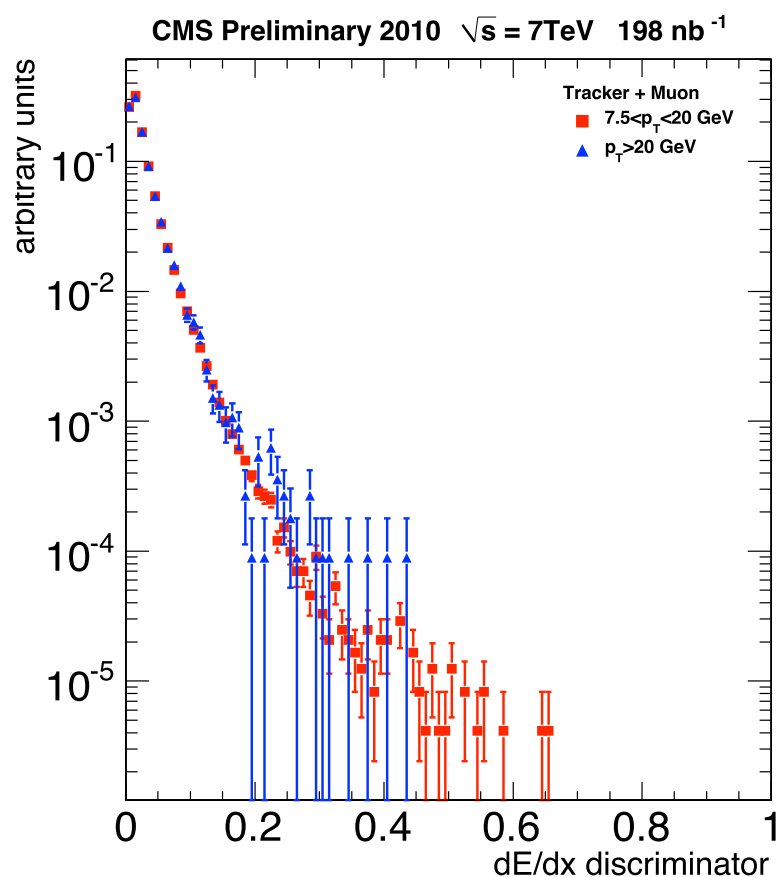
- **Preselect tracks:**
 - $p_T > 7.5$ GeV
 - $\delta p_T/p_T < 15\%$
 - Impact parameter: $|d_Z| < 2$ cm, $|d_{xy}| < 0.25$ cm
 - Number of dE/dx measurements: at least 3 Silicon Strips hits
- **Apply cluster cleaning**
- **Split into subsamples by η and nHits**
- **Cut on p_T and dE/dx discriminator**
- **Tracker+Muon analysis:**
 - Inner track from Global muons and Tracker muons
 - No inner track sharing allowed



Cuts chosen per subsample

→ 2x S/B ratio improvement

Background Estimation (I)



- dE/dx discriminator distribution for pre-selected tracks
 - Control ($7.5 < p_T < 20 \text{ GeV}$) and signal-like samples ($p_T > 20 \text{ GeV}$)
- No significant correlation between dE/dx and p_T

Background Estimation (II)

- Independence of p_T and dE/dx selection cuts allows a data-driven background estimation
 - Using ABCD method method to estimate background in the signal region
 - # entries in signal region $D = (B \cdot C) / A$
 - Can also predict shape of mass distribution
- | | |
|---|--|
| B
Pre-Selected track failing the P_t cut but passing the I cut. | D
Pre-Selected track passing the P_t and I cut. |
| A
Pre-Selected track failing the P_t and I cuts. | C
Pre-Selected track failing the I cuts but passing the P_t cut. |
- Cut placement does not impact signal yield
 - optimize for constant background rejection across n_{Hits} and η subsamples
 - Procedure is applied in every n_{Hit}/η sub-samples and results are combined
 - Two sets of selections
 - Tight (signal search)
 - Loose (control sample)

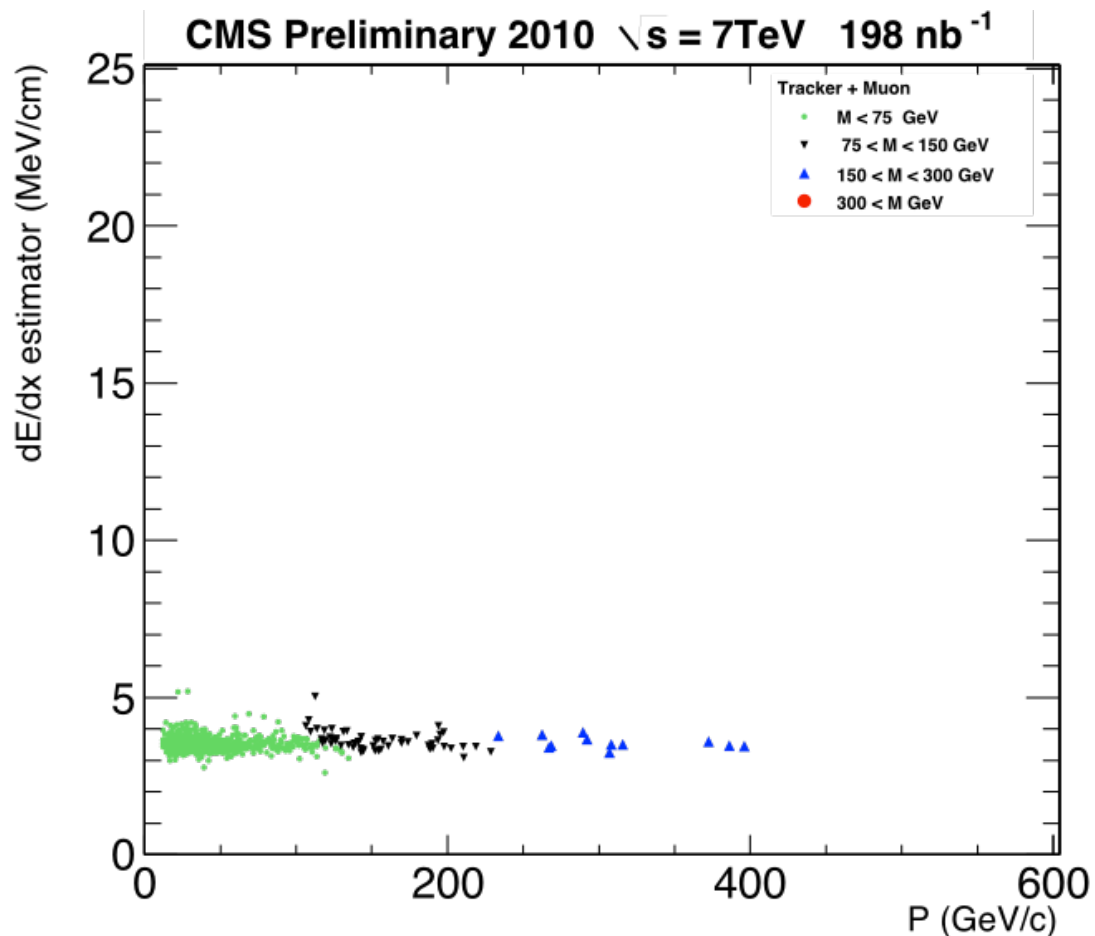
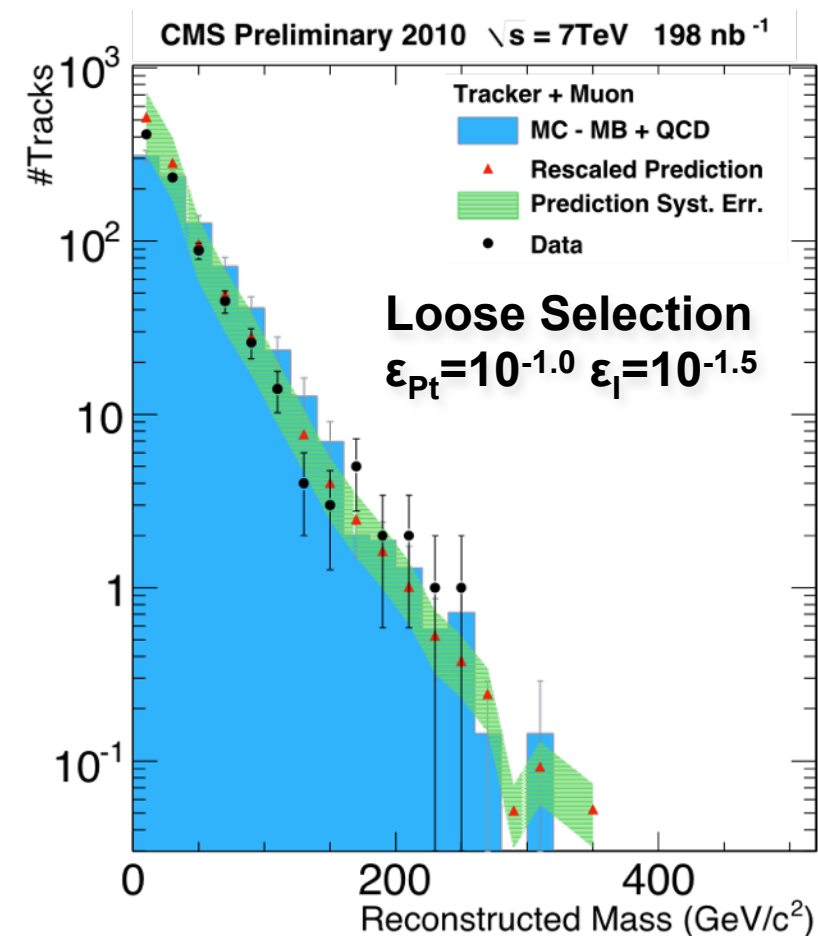


Tracker+Muon: Loose Selection

- Good agreement between data and MC

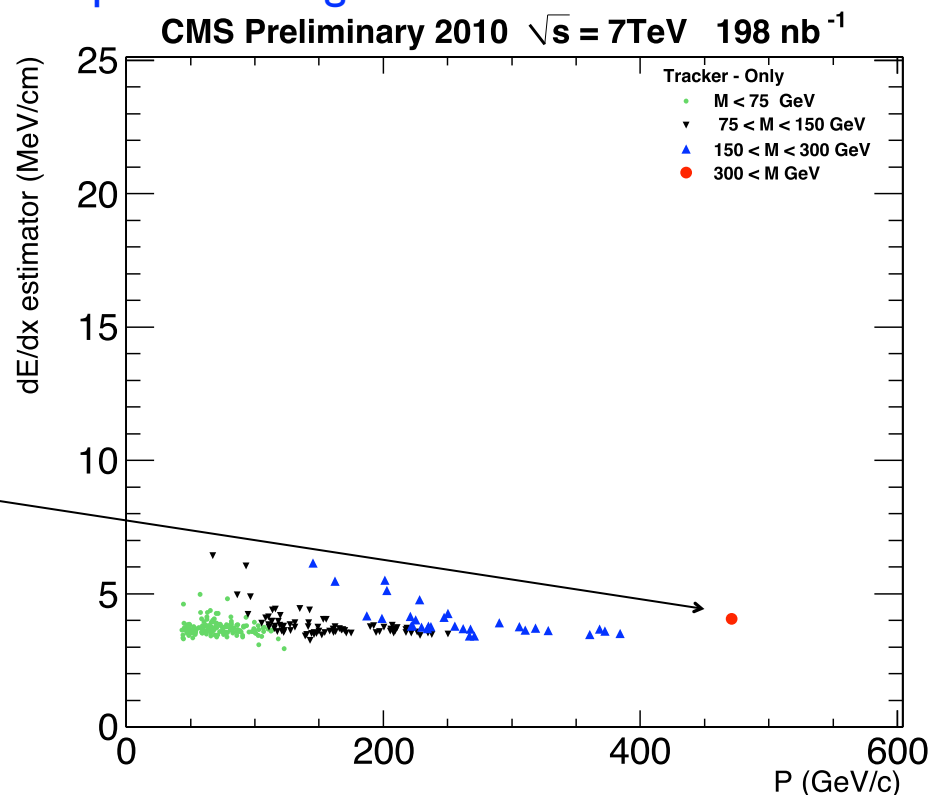
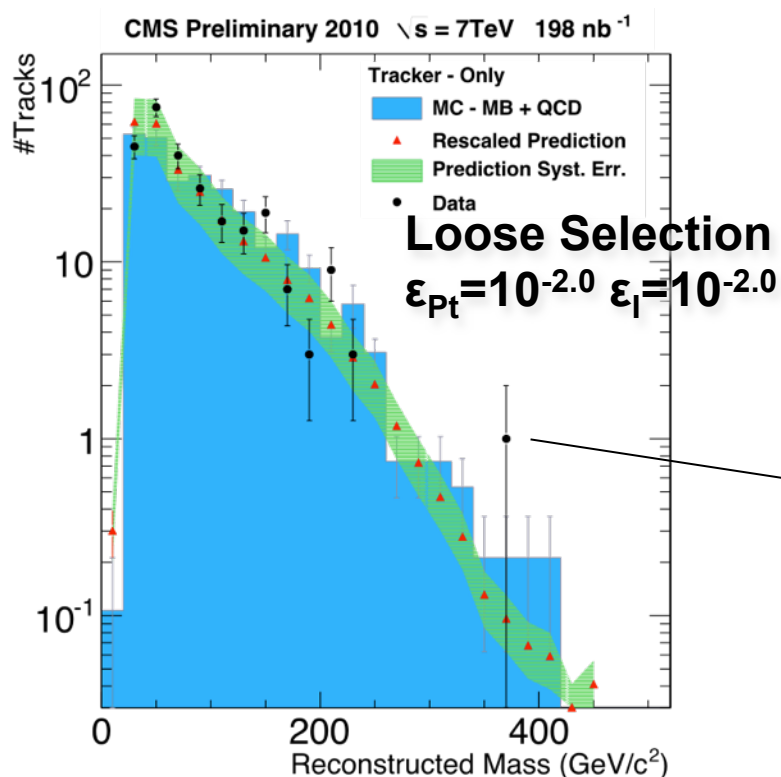
LOOSE	Exp.	Obs.	Exp. in full spectrum	Obs. in full spectrum
Tracker+Muon	82 ± 33	77	1007 ± 200	838
Tracker Only	108 ± 38	122	184 ± 250	260

LOOSE	ϵ_{p_T}	p_T^{cut}	ϵ_I	I_{as}^{cut}
Tracker+Muon	$10^{-1.0}$	7.7 - 25.9	$10^{-1.5}$	0.0036 - 0.4521
Tracker only	$10^{-2.0}$	7.9 - 67.4	$10^{-2.0}$	0.0037 - 0.5293



Tracker-Only Loose Selection

- High mass ($M > 300$) candidate have a relatively small ionization and a large momentum, not a strong candidate
- All points with $I_h > 5$ MeV/cm are small tracks (< 5 hits) at high eta, with generally few of their SiStrip clusters having at least one saturating strip
- None of them are real candidates, but well expected background





Search Strategy

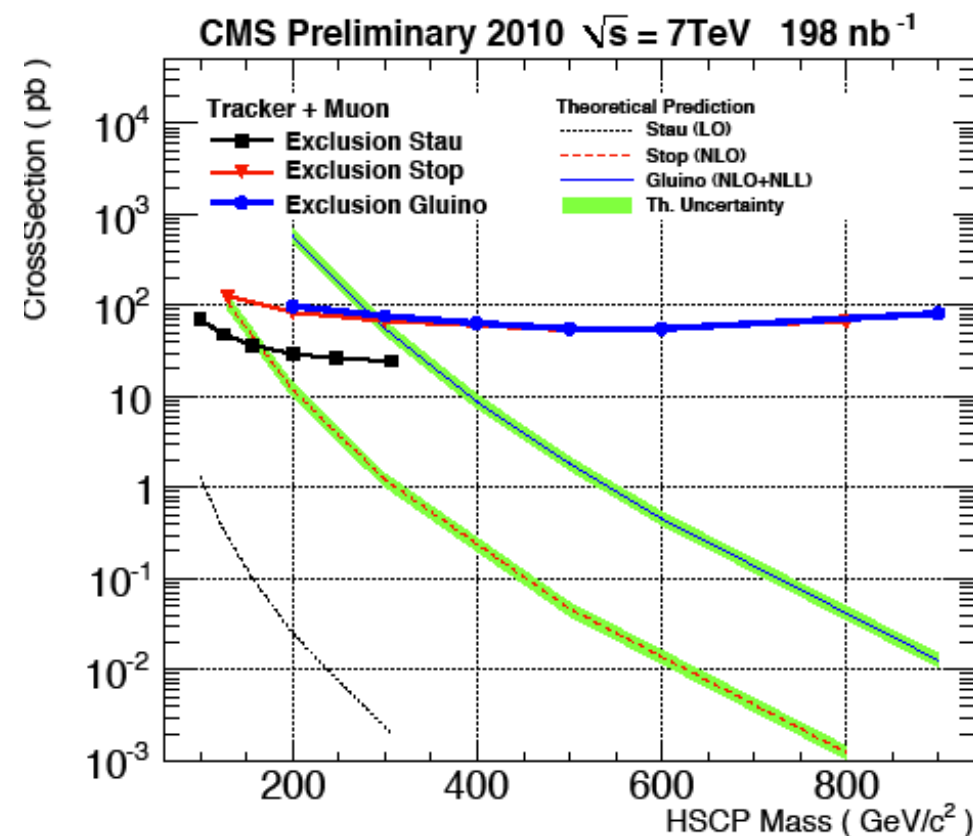
- Define a mass region for signal search: [75, 1200] GeV
- Choose optimal selection from data-driven background prediction (~ 0.05 events) and simulated signal samples
- Count events in signal region
- If compatible with expected background, set 95% C.L. upper limit on cross section for benchmark signals
- Statistical methods
 - Full Bayesian method with lognormal prior for integration over nuisance parameters
- Signal region:
 - ~ 0.05 events expected for both analyses
 - No events are observed for chosen selections

Systematics

- Search performed as a counting experiment in the reconstructed mass range of 75 - 1200 GeV
- 95% C.L. limits computed with a fully Bayesian method with lognormal prior for nuisance parameter integration; assuming zero expected background events

Source of Systematic Error	Relative Uncertainty (%)
Theoretical cross section	15
Expected background	36(Tk) ; 40 (Tk+Mu)
Integrated luminosity	11
Trigger efficiency	15
Muon reconstruction efficiency	5
Track reconstruction efficiency	< 5
Momentum scale	< 5
Ionization energy loss scale	< 3 (8 for 100 GeV/c ² $\tilde{\tau}_1$)
<div style="display: flex; align-items: center; justify-content: center;"> <div style="font-size: 4em; margin-right: 10px;">}</div> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold;">Signal Acceptance</div> </div>	
Total uncertainty on signal acceptance	20

Tracker+Muon Results



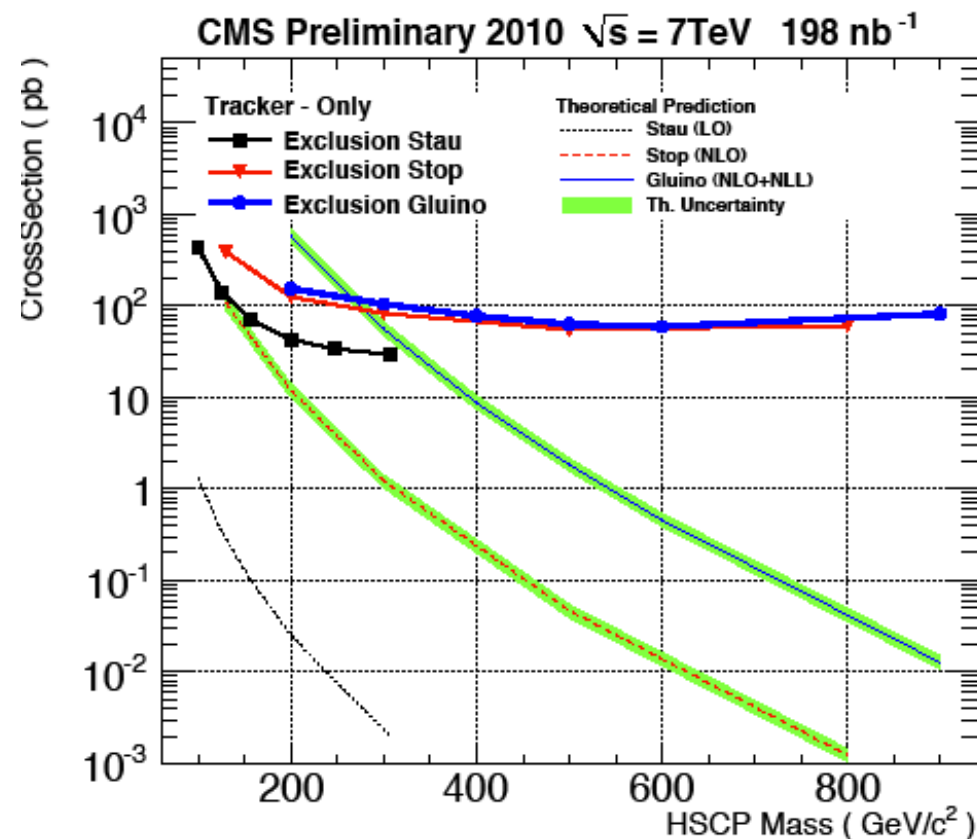
gluino mass (GeV/c^2)	200	300	400	500	600	900
Total acceptance (%)	17	21	25	29	29	20
Expected 95% C.L. limit (pb)	106	84	69	60	60	89
Observed 95% C.L. limit (pb)	98	77	64	56	52	83
Theoretical cross section (pb)	606	57.2	8.98	1.87	0.46	0.013

stop mass (GeV/c^2)	130	200	300	500	800
Total acceptance (%)	12	19	24	30	25
Expected 95% C.L. limit (pb)	139	91	74	58	72
Observed 95% C.L. limit (pb)	128	85	68	53	67
Theoretical cross section (pb)	109	11.9	1.23	0.047	0.00123

stau mass (GeV/c^2)	100	126	156	200	247	308
Total acceptance (%)	23	34	44	55	63	67
Expected 95% C.L. limit (pb)	76	53	40	32	28	27
Observed 95% C.L. limit (pb)	70	49	37	30	26	25
Theoretical cross section (pb)	1.32	0.33	0.105	0.025	0.008	0.002

- Gluino masses **< 284 GeV/c^2** are excluded (under 15% TH-uncertainty hypothesis)
- Systematic errors already incorporated in Cross-Section limits

Tracker-Only Results



gluino mass (GeV/c^2)	200	300	400	500	600	900
Total acceptance (%)	11	16	21	26	28	20
Expected 95% C.L. limit (pb)	161	109	81	66	61	85
Observed 95% C.L. limit (pb)	156	105	78	63	59	83
Theoretical cross section (pb)	606	57.2	8.98	1.87	0.46	0.013

stop mass (GeV/c^2)	130	200	300	500	800
Total acceptance (%)	4	13	20	29	27
Expected 95% C.L. limit (pb)	409	131	87	57	63
Observed 95% C.L. limit (pb)	395	127	84	55	61
Theoretical cross section (pb)	109	11.9	1.23	0.047	0.00123

stau mass (GeV/c^2)	100	126	156	200	247	308
Total acceptance (%)	4	12	23	38	48	56
Expected 95% C.L. limit (pb)	461	146	74	45	35	31
Observed 95% C.L. limit (pb)	445	141	72	43	34	29
Theoretical cross section (pb)	1.32	0.33	0.105	0.025	0.008	0.002

- Gluino masses **< 271 GeV/c^2** are excluded (under 15% TH-uncertainty hypothesis)
- Systematic errors already incorporated in Cross-Section limits



Conclusions

- Search for both hadron- and lepton-like HSCP performed in CMS with 198 nb^{-1} of 7 TeV LHC data
- Signature-based analysis looking for highly ionizing, high momentum tracks in the Silicon Tracker
- Two versions of the analysis, with and without the requirement of having the track identified as a muon in the Muon System
- Obtained 95% C.L. limits on benchmark model cross sections
- Tracker-only analysis excluded Gluino masses below $284 \text{ GeV}/c^2$ under the 15% theoretical uncertainty hypothesis
- Tracker-muon analysis excluded Gluino masses below $271 \text{ GeV}/c^2$ under the 15% theoretical uncertainty hypothesis