



# Search for Pair Production of Scalar Leptoquarks with the CMS Experiment

Paolo Rumerio, University of Maryland  
Kruger 2010 – Workshop on Discovery Physics at the LHC  
December 9<sup>th</sup>, 2010



# Overview



- The CMS detector in brief
- Introduction to leptoquarks (LQ)
- Search for pair production of 1<sup>st</sup> and 2<sup>nd</sup> generation LQs
  - In the final states  $eejj$  ( $33 \text{ pb}^{-1}$ ) and  $\mu\mu jj$  ( $34 \text{ pb}^{-1}$ )
  - Analysis strategy
  - Data vs. Monte Carlo at pre-selection level
  - Backgrounds
  - Final selection
  - Results
- Conclusions

# The Detector

## CMS Detector

Pixels  
 Tracker  
 ECAL  
 HCAL  
 Solenoid  
 Steel Yoke  
 Muons

**SILICON TRACKER**  
 Pixels (100 x 150  $\mu\text{m}^2$ )  
 ~1m<sup>2</sup> ~66M channels  
 Microstrips (80-180 $\mu\text{m}$ )  
 ~200m<sup>2</sup> ~9.6M channels

**CRYSTAL ELECTROMAGNETIC CALORIMETER (ECAL)**  
 ~76k scintillating PbWO<sub>4</sub> crystals

**PRESHOWER**  
 Silicon strips  
 ~16m<sup>2</sup> ~137k channels

**STEEL RETURN YOKE**  
 ~13000 tonnes

**SUPERCONDUCTING SOLENOID**  
 Niobium-titanium coil  
 carrying ~18000 A

**FORWARD CALORIMETER**  
 Steel + quartz fibres  
 ~2k channels

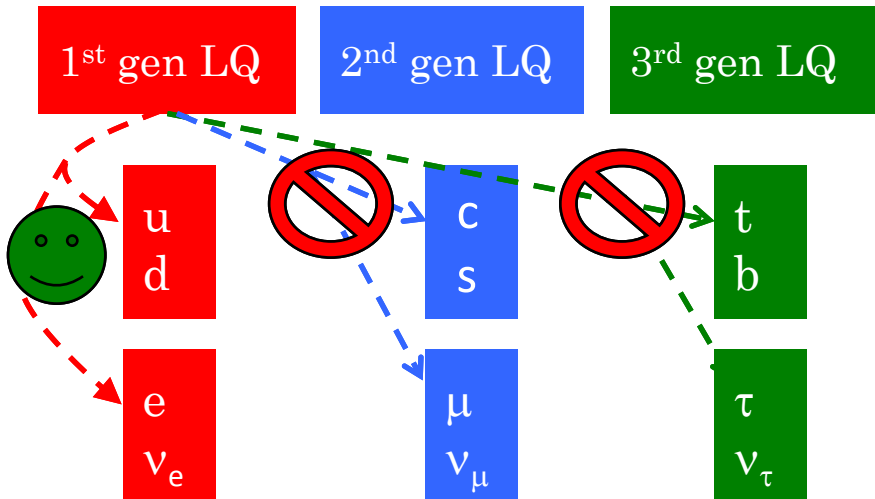
**HADRON CALORIMETER (HCAL)**  
 Brass + plastic scintillator  
 ~7k channels

**MUON CHAMBERS**  
 Barrel: 250 Drift Tube & 480 Resistive Plate Chambers  
 Endcaps: 468 Cathode Strip & 432 Resistive Plate Chambers

**Total weight : 14000 tonnes**  
**Overall diameter : 15.0 m**  
**Overall length : 28.7 m**  
**Magnetic field : 3.8 T**

# Introduction to Leptoquarks

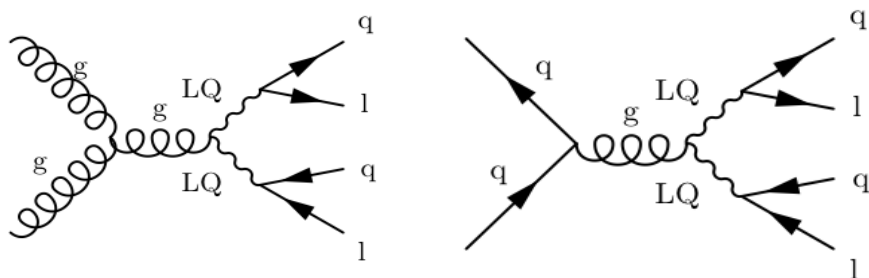
- An apparent symmetry between quark and lepton generations of the Standard Model (SM) is unexplained within the SM itself. This symmetry may imply the existence of a more fundamental theory interrelating the SM quarks and leptons (GUT, Technicolor, Composite models, ...)
  - Leptoquarks (LQs) naturally appear in such theories
- LQs are conjectured particles that couple to a lepton-quark pair and carry SU(3) color charge, fractional electric charge, baryon and lepton numbers
- LQs can be scalar or vector particles. Scalar LQs are considered in this talk.



- Experimental limits on lepton number violation, flavor-changing neutral currents, and proton decay favor (for LQ masses directly accessible at the current colliders) three generations of LQs with no inter-generational mixing.
- In the model considered here, a LQ couples to a lepton and a quark from only one SM generation.

# LQ Production at the LHC

- In hadron-hadron collisions, scalar LQs are pair-produced mainly through gluon-gluon fusion and quark-antiquark annihilation.



Scalar LQs are characterized by two free parameters

$M_{LQ}$

LQ mass

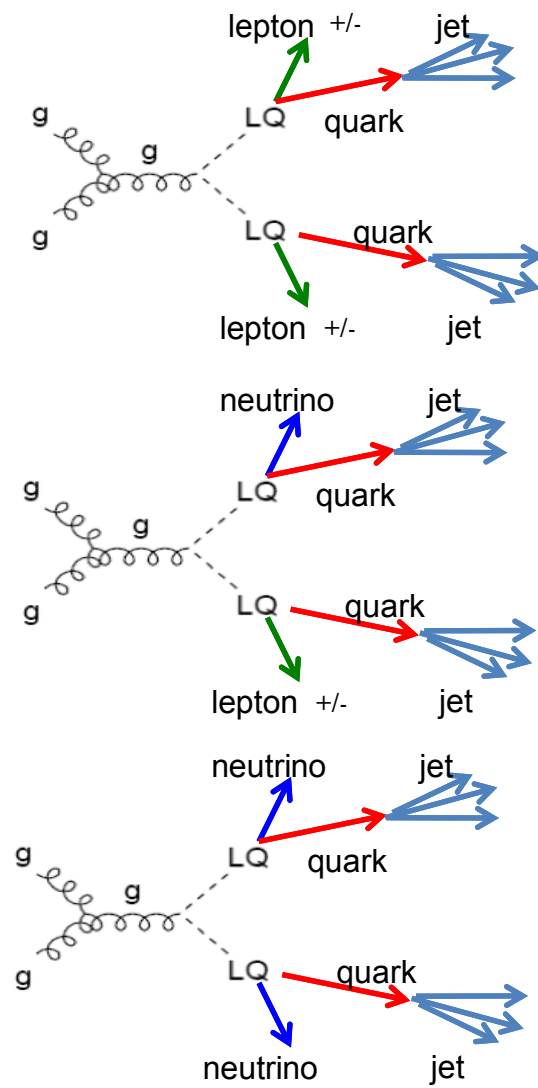
$\lambda$

LQ-l-q coupling

- At the LHC, gluon-gluon fusion is the dominant production mechanism, and is independent of the LQ-l-q coupling ( $\lambda$ )
  - Single LQ production becomes comparable at LQ masses above the reach with the 2010 data
- Results from low energy experiments and HERA collider restrict  $\lambda$  to be small ( $\lambda \ll \lambda_{EM} \approx 0.3$ ) for LQ masses currently accessible at the LHC
  - LQ relative width  $\Gamma_{LQ}/M_{LQ} = \lambda^2/16\pi \ll 0.2\%$ , measurement dominated by detector resolution

# Signature of LQ Decays

- Branching ratio for  $LQ \rightarrow l^{+/-} + q$  is an unknown parameter
  - $BR(LQ \rightarrow l^{+/-} + q) = \beta$
  - $BR(LQ \rightarrow \nu + q) = 1-\beta$
- 3 different signatures
  - **lljj**: 2 charged leptons + 2 jets
  - **lvjj**: 1 charged lepton + 2 jets + MET
  - **vvjj**: 2 jets + MET
- 3 LQ generations
  - 1<sup>st</sup> generation:  $l=e$
  - 2<sup>nd</sup> generation:  $l=\mu$
  - 3<sup>rd</sup> generation:  $l=\tau$
- In this talk, results of a search for 1<sup>st</sup> and 2<sup>nd</sup> generation scalar LQs in the **eejj** and  **$\mu\mu jj$**  channels are presented



**lljj**

$$\beta^2$$

**lvjj**

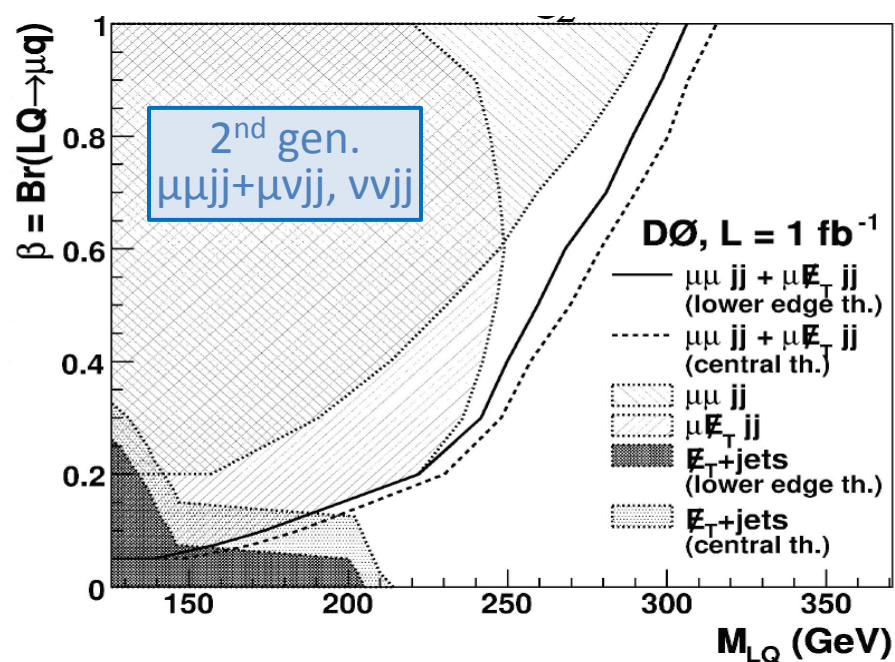
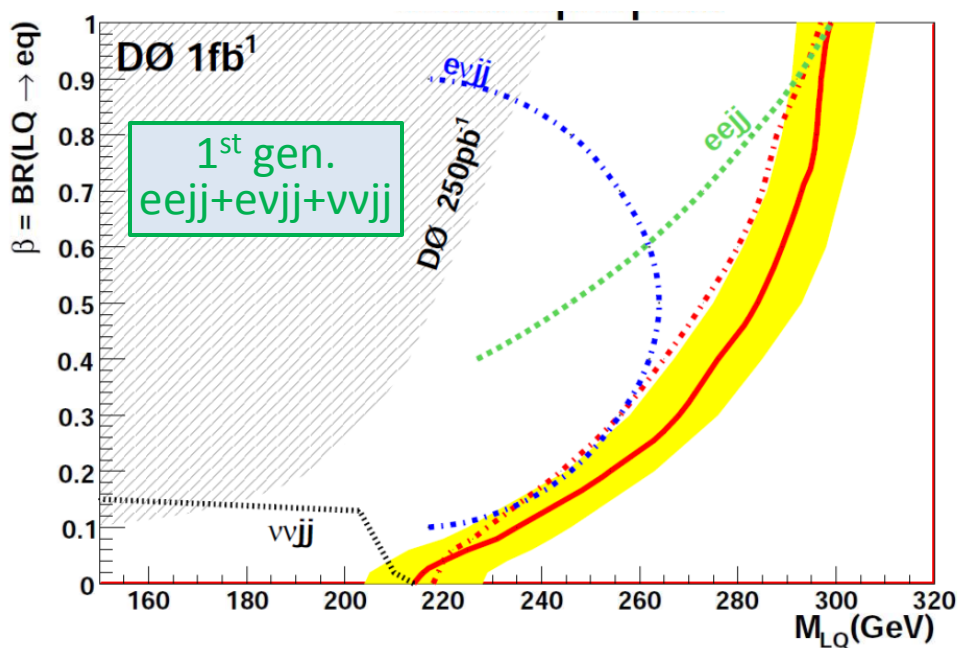
$$2(1-\beta)\beta$$

**vvjj**

$$(1-\beta)^2$$

# Limits from the Tevatron

- The Tevatron most stringent lower limits on the scalar LQ mass are set by D0 and based on  $1 \text{ fb}^{-1}$  of integrated luminosity. For  $\beta = 1$ :
  - $M_{\text{LQ}} > 299 \text{ GeV}$  for 1<sup>st</sup> generation
  - $M_{\text{LQ}} > 316 \text{ GeV}$  for 2<sup>nd</sup> generation
 (Results for  $\beta < 1$  are improved by combining the  $lljj$ ,  $lvjj$  and  $vvjj$  limits)



# CMS Analysis Strategy

➤ Look for a striking experimental signature:  
two high- $p_T$  charged leptons and two  
high- $p_T$  jets

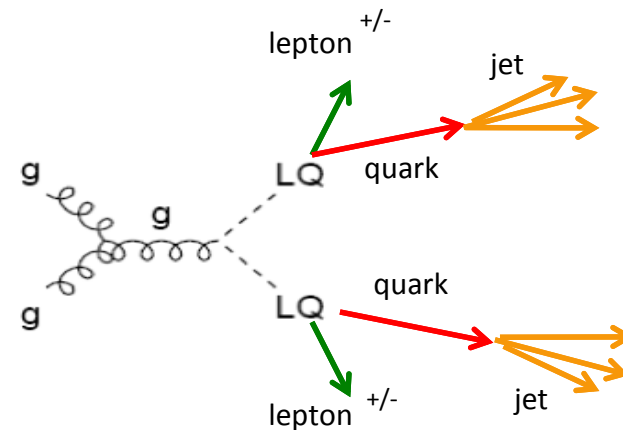
▪ Trigger: robust and efficient lepton triggers

▪ Event selection:

- At least 2 isolated high- $p_T$  leptons, at least 2 high- $p_T$  jets
- A lower cut on  $M_{ll}$  to remove Z+jets bkg
- $S_T = p_T(l1) + p_T(l2) + p_T(j1) + p_T(j2) > f(M_{LQ})$

▪ Background estimate:

- Backgrounds containing vector bosons are estimated using MC samples
  - Z+jets and  $t\bar{t}$  are the dominant backgrounds
  - MC normalization and systematic uncertainty on the background estimate are assessed by comparing data and MC
- The small QCD background is determined from data







# Electron, Muons and Jets



- **Electrons:**
  - Electromagnetic clusters with consistent shower shape
  - Spatially matched to a reconstructed track in  $\eta$  and  $\phi$
  - Isolated in calorimeter and tracker
- **Muons:**
  - Tracks in muon system matched to tracks in inner tracking system
  - Isolated in tracking system and calorimeter
  - More than 10 hits in silicon tracker
  - transverse impact parameter  $< 2\text{mm}$
- **Jets:**
  - Reconstructed using calorimeter information
  - Anti-kT algorithm with distance parameter  $R=0.5$
  - Jet energy corrections derived from MC are applied
  - Applied residual data-based energy corrections ( $p_T$  balance in di-jet events)



# Pre-Selection

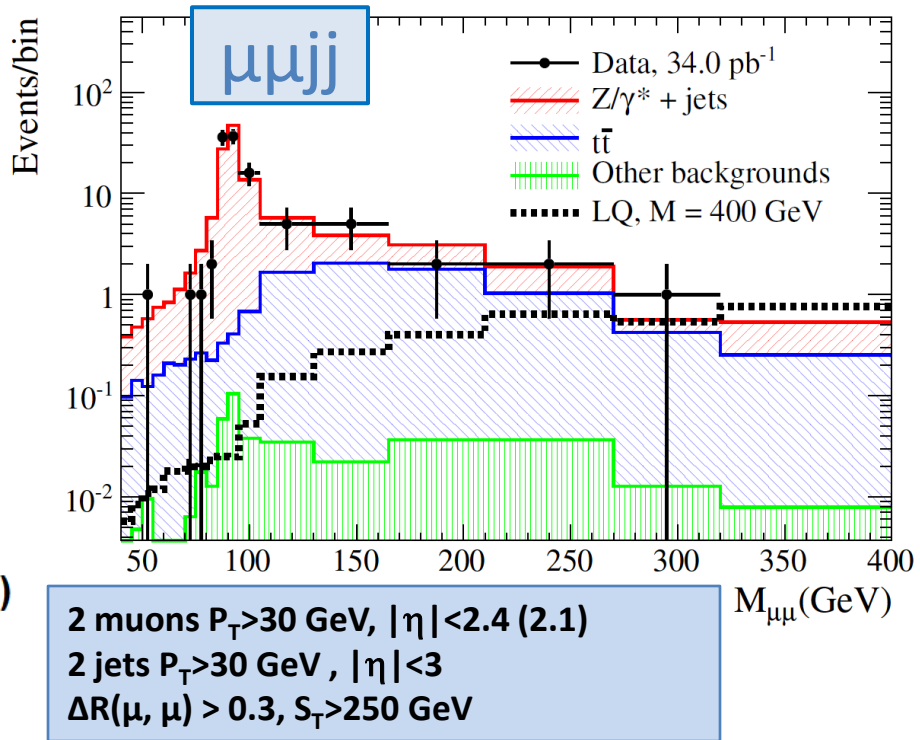
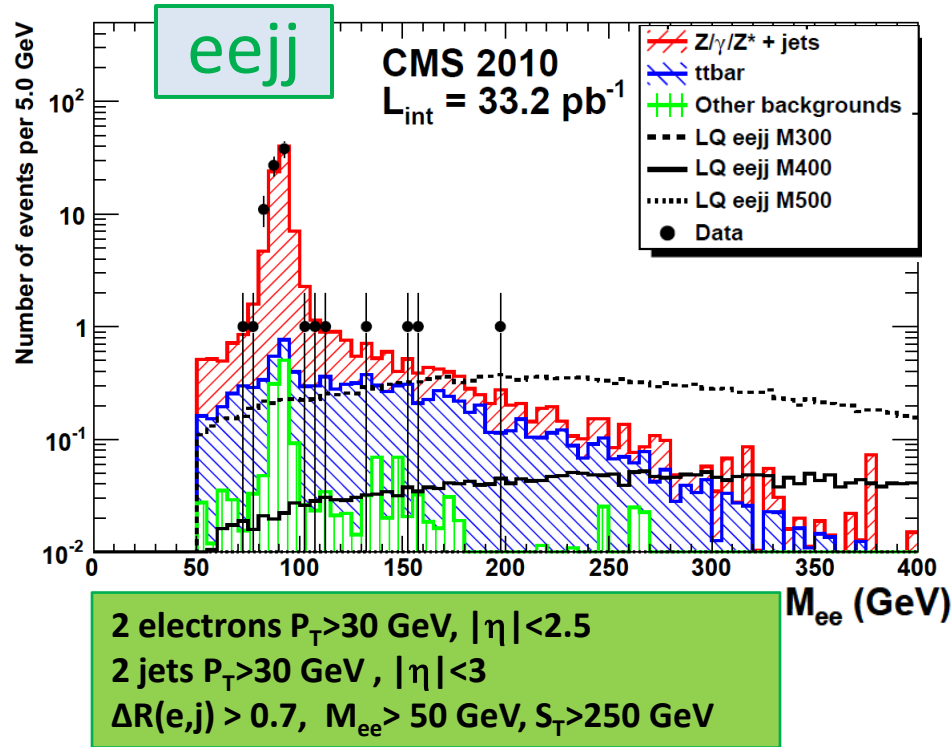
eejj

- Select the 2 leading (in  $p_T$ ) electrons  $p_T > 30$  GeV,  $|\eta| < 2.5$
- Select the 2 leading (in  $p_T$ ) jets  $p_T > 30$  GeV,  $|\eta| < 3$
- $\Delta R(e, j) > 0.7$
- $M_{ee} > 50$  GeV
- $S_T$  (scalar sum of  $p_T$  of selected electrons and jets)  $> 250$  GeV

$\mu\mu jj$

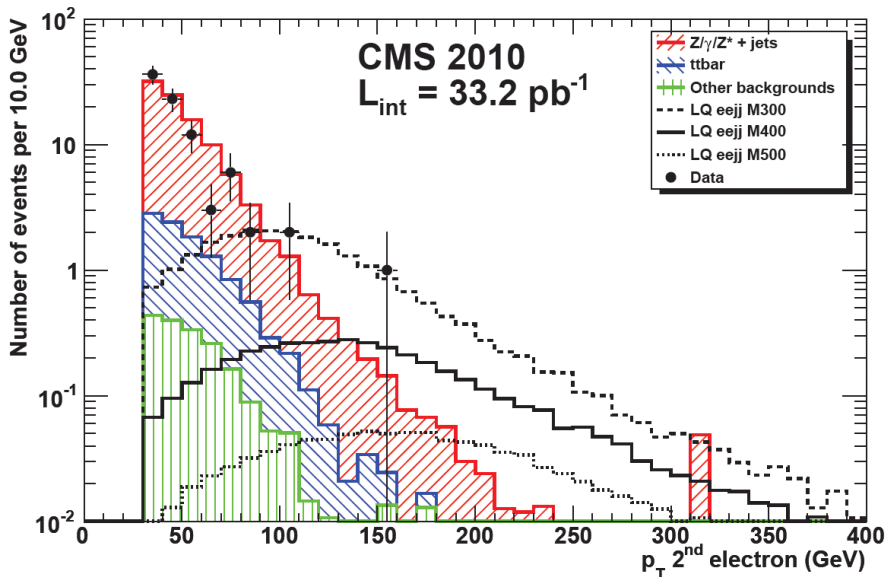
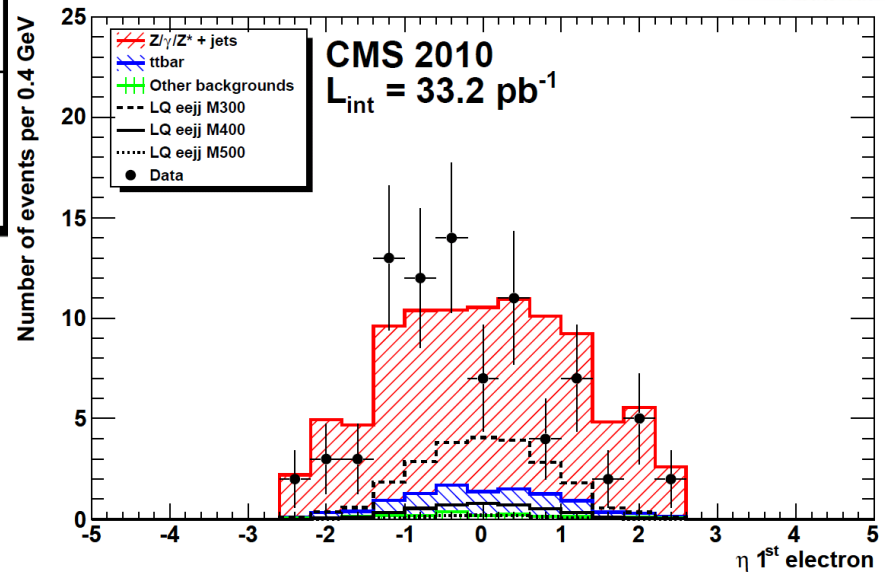
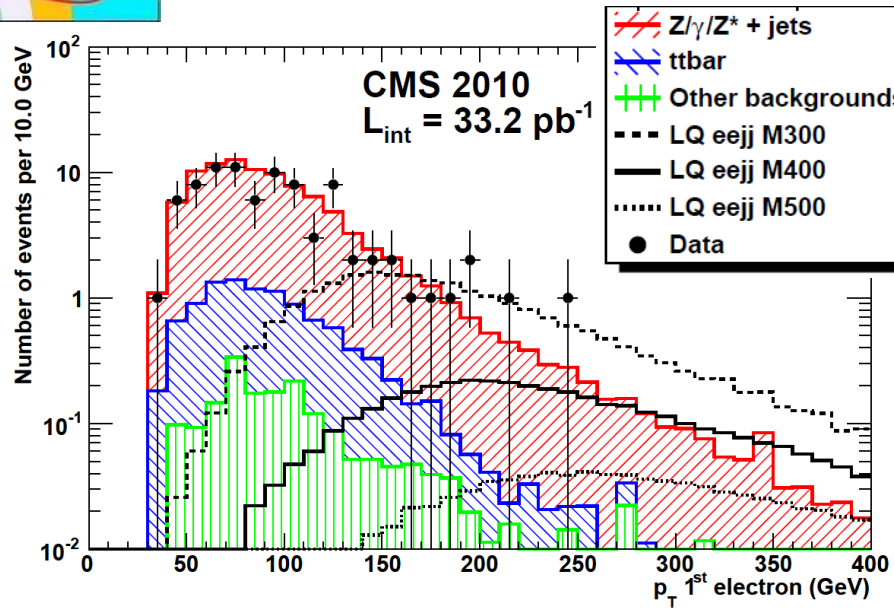
- Select the 2 leading (in  $p_T$ ) muons  $p_T > 30$  GeV,  $|\eta| < 2.4$  (2.1)
- Select the 2 leading (in  $p_T$ ) jets  $p_T > 30$  GeV,  $|\eta| < 3$
- $\Delta R(\mu, \mu) > 0.3$
- $M_{\mu\mu} > 50$  GeV
- $S_T$  (scalar sum of  $p_T$  of selected muons and jets)  $> 250$  GeV

# $M_{ee}$ and $M_{\mu\mu}$ after pre-selection



- Data/MC at Z boson peak ( $80 < M_{\parallel} < 100 \text{ GeV}$ ) after pre-selection is
  - $1.20 \pm 0.14$  for eejj and  $1.28 \pm 0.14$  for  $\mu\mu jj$
- Given the good agreement in the shape of the kinematic distributions of data and MC (see next slides), the Z+jets MC has been rescaled by such amounts (in all plots and tables in this talk)

# Electrons after pre-selection

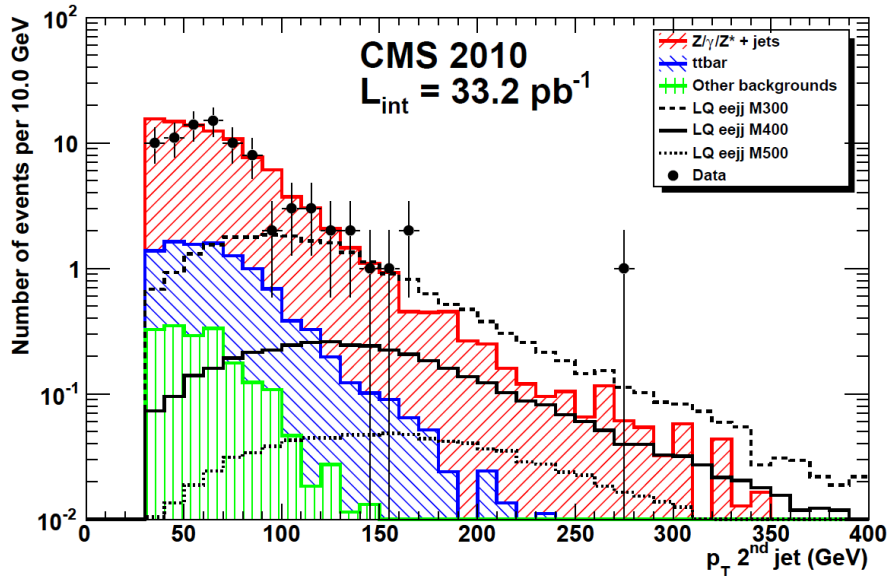
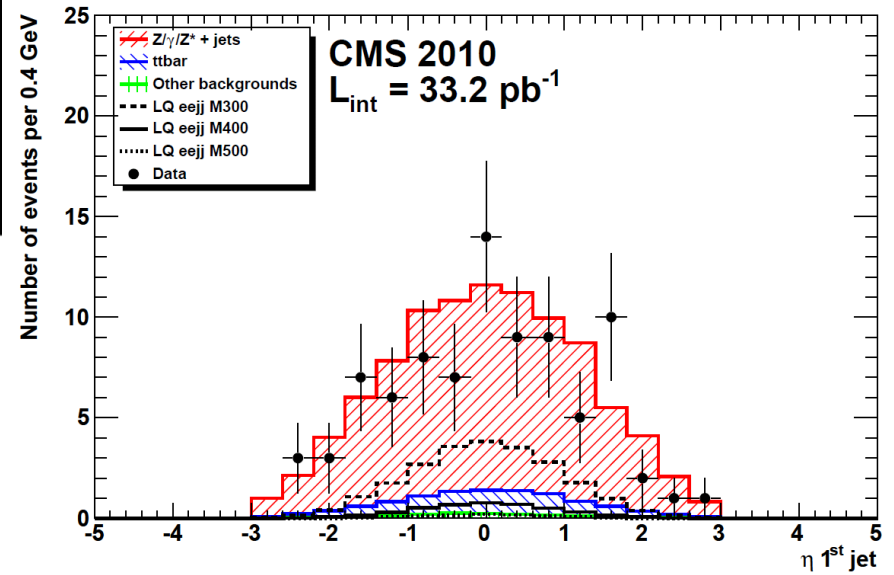
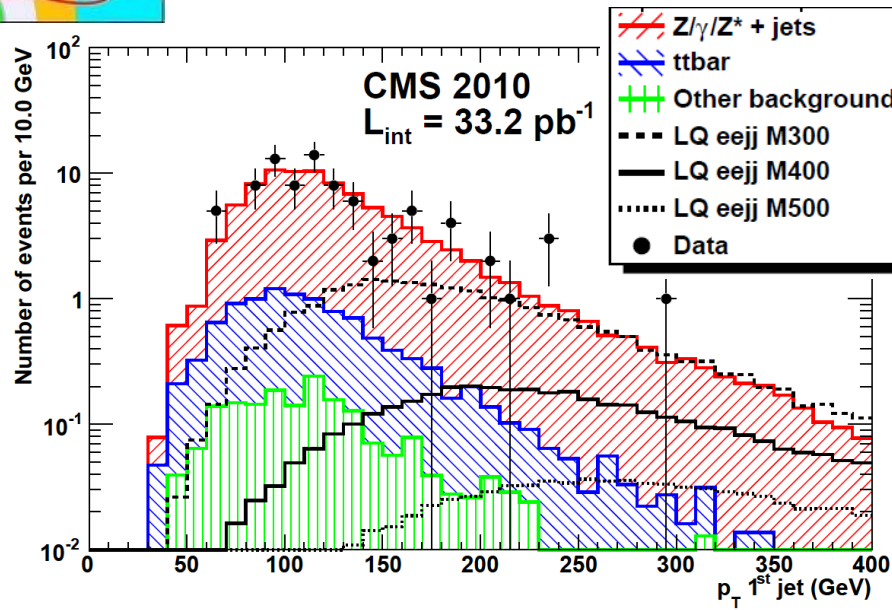


2 electrons  $P_T > 30 \text{ GeV}$ ,  $|\eta| < 2.5$   
 2 jets  $P_T > 30 \text{ GeV}$ ,  $|\eta| < 3$   
 $\Delta R(e, j) > 0.7$ ,  $M_{ee} > 50 \text{ GeV}$ ,  
 $S_T > 250 \text{ GeV}$



eejj

# Jets after pre-selection

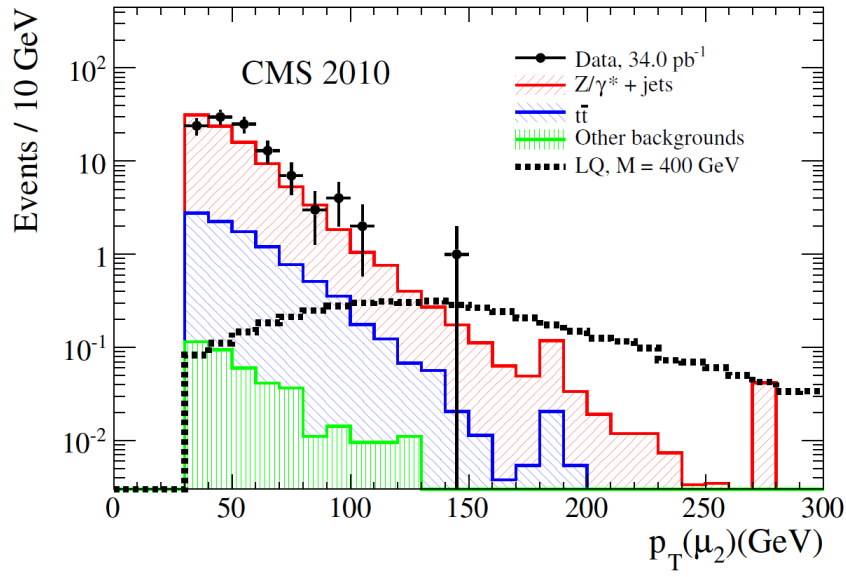
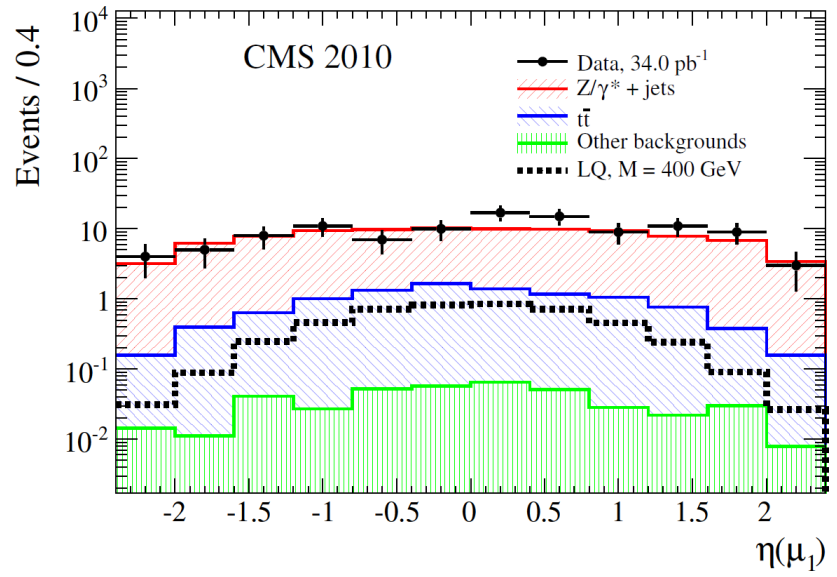
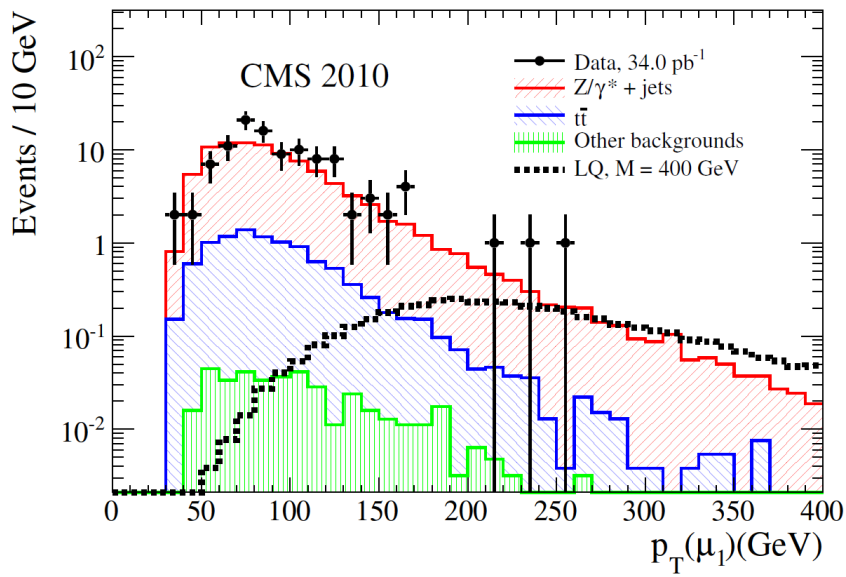


2 electrons  $P_T > 30 \text{ GeV}$ ,  $|\eta| < 2.5$   
 2 jets  $P_T > 30 \text{ GeV}$ ,  $|\eta| < 3$   
 $\Delta R(e, j) > 0.7$ ,  $M_{ee} > 50 \text{ GeV}$ ,  
 $S_T > 250 \text{ GeV}$



$\mu\mu jj$

# Muons after Pre-selection

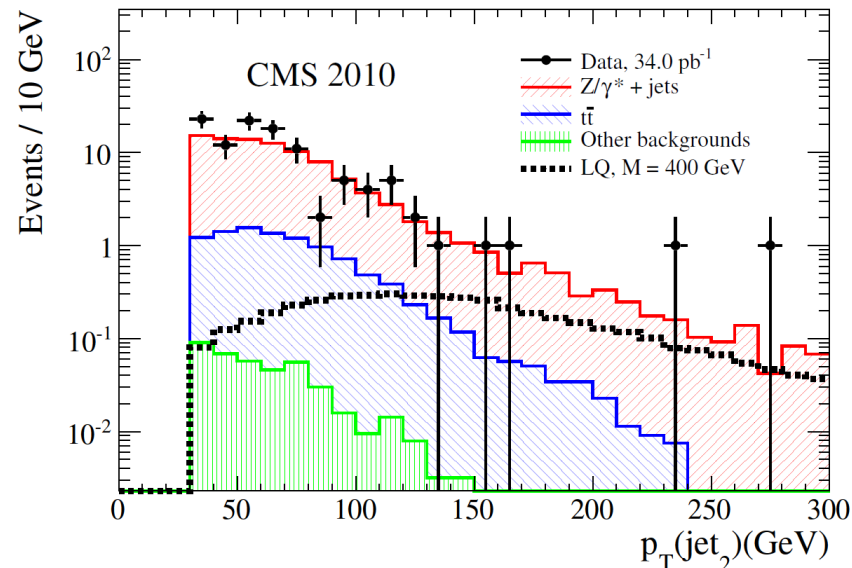
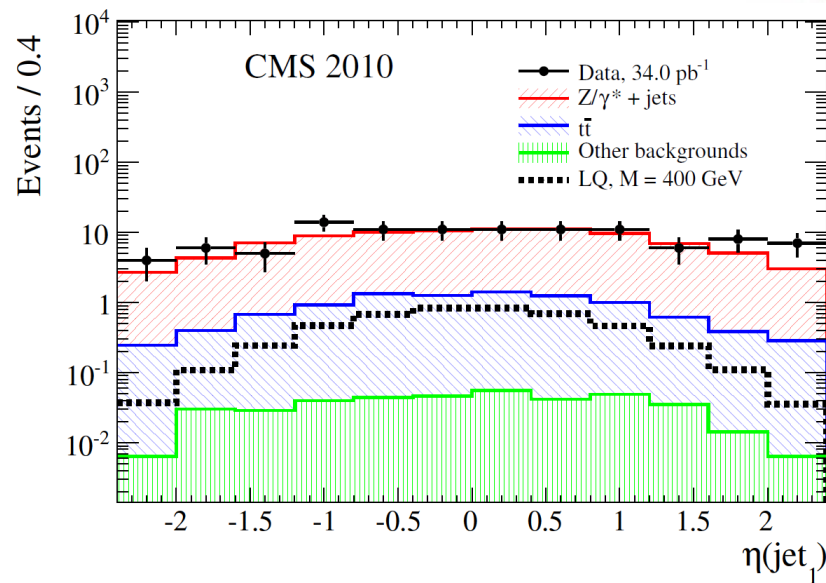
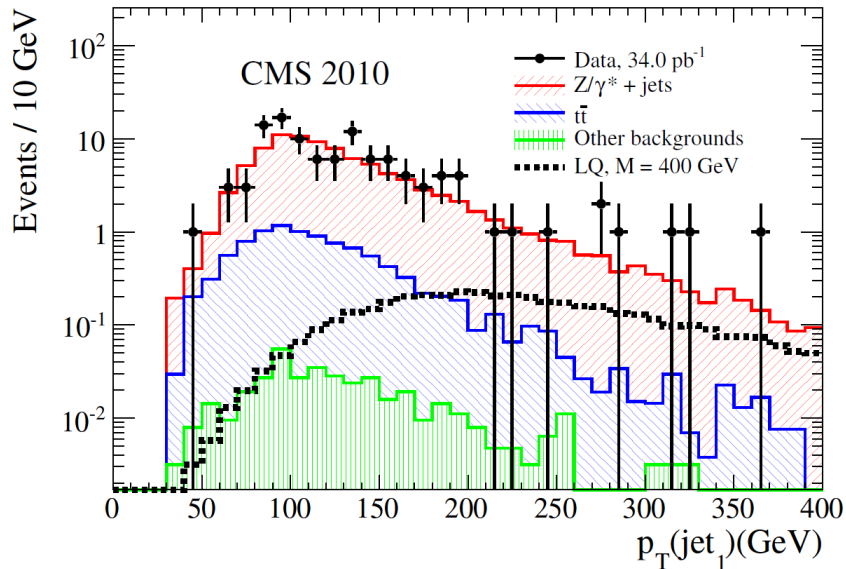


**2 muons  $P_T > 30$  GeV,  $|\eta| < 2.4$  (2.1)**  
**2 jets  $P_T > 30$  GeV,  $|\eta| < 3$**   
 **$\Delta R(\mu, \mu) > 0.3$ ,  $M_{\mu\mu} > 50$  GeV,**  
 **$S_T > 250$  GeV**



$\mu\mu jj$

# Jets after Pre-selection

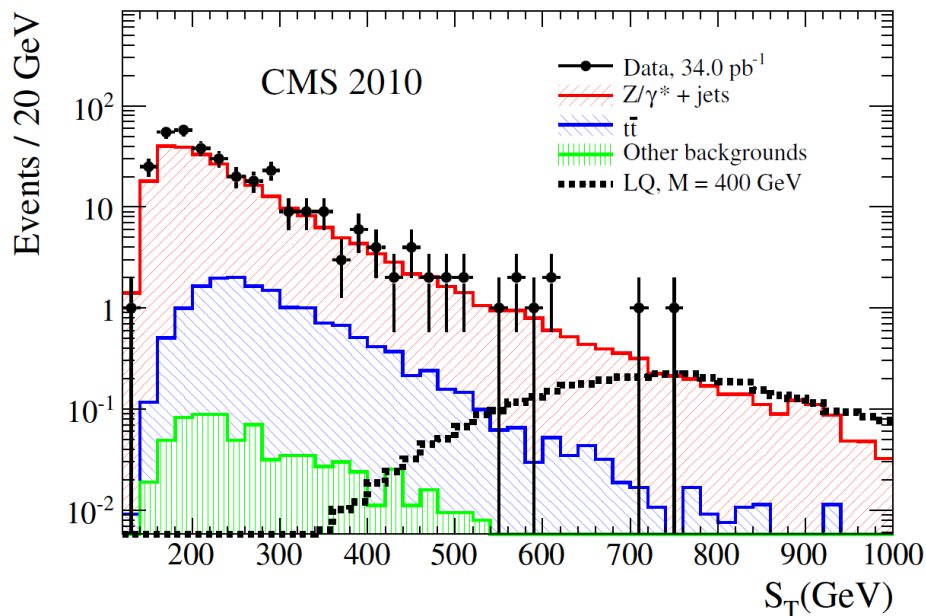
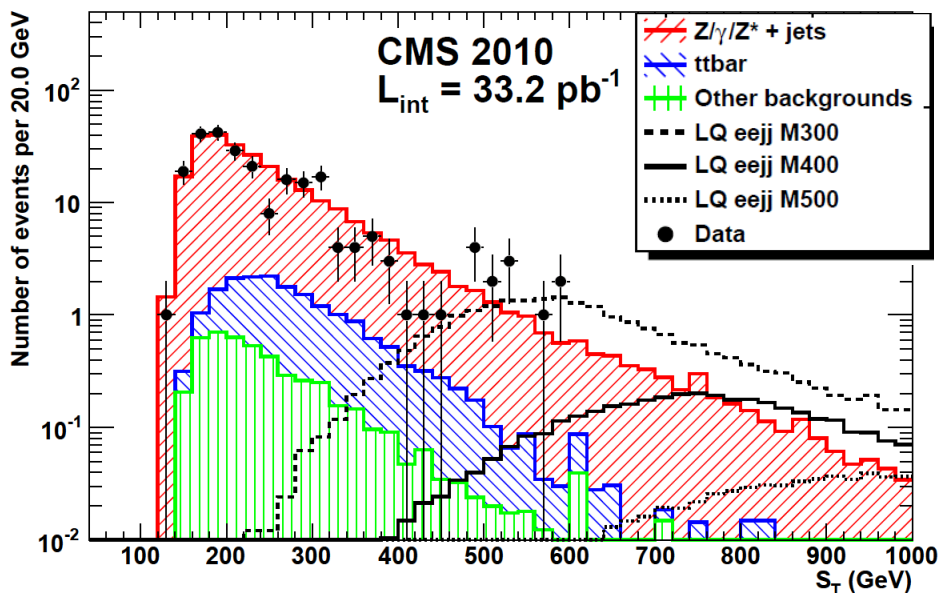


**2 muons  $P_T > 30$  GeV,  $|\eta| < 2.4$  (2.1)**  
**2 jets  $P_T > 30$  GeV,  $|\eta| < 3$**   
 **$\Delta R(\mu, \mu) > 0.3$ ,  $M_{\mu\mu} > 50$  GeV,**  
 **$S_T > 250$  GeV**

# $S_T$ after pre-selection

eejj

$\mu\mu jj$



2 electrons  $P_T > 30$  GeV,  $|\eta| < 2.5$   
 2 jets  $P_T > 30$  GeV,  $|\eta| < 3$   
 $\Delta R(e, j) > 0.7$ ,  $M_{ee} > 50$  GeV,  
 $S_T > 250$  GeV (but not applied in this plot)

2 muons  $P_T > 30$  GeV,  $|\eta| < 2.4$  (2.1)  
 2 jets  $P_T > 30$  GeV,  $|\eta| < 3$   
 $\Delta R(\mu, \mu) > 0.3$ ,  $M_{\mu\mu} > 50$  GeV  
 $S_T > 250$  GeV (but not applied in this plot)

$S_T$  = scalar sum of the  $p_T$  of the 2 selected leptons and 2 selected jets





# Backgrounds

- Z+jets
  - Determined from MC rescaled by the data/MC ratio at the Z peak at pre-selection
  - A systematic uncertainty is derived from the uncertainty on the above ratio (dominated by the statistics on the data → slide 11)
- ttbar
  - The CMS measurement  $\sigma(\text{ttbar}) = 194 \pm 72$  (stat.)  $\pm 24$  (syst.)  $\pm 21$  (lumin.) is fully consistent with next-to-leading order predictions
  - The ttbar background is determined from MC without any rescaling
  - The sum in quadrature of the above uncertainties (41%) is used as a systematic uncertainty on the MC estimate of this background
- Small contribution from other backgrounds containing vector bosons is determined from MC (W+jets, di-boson, single-top)
- QCD background is determined from data and found to be negligible
  - eejj: fake rate method applied to data sample with 2 isolated electromagnetic clusters and 2 jets
  - $\mu\mu$ jj: using control data sample of same-sign di-muon events



# Final Selection

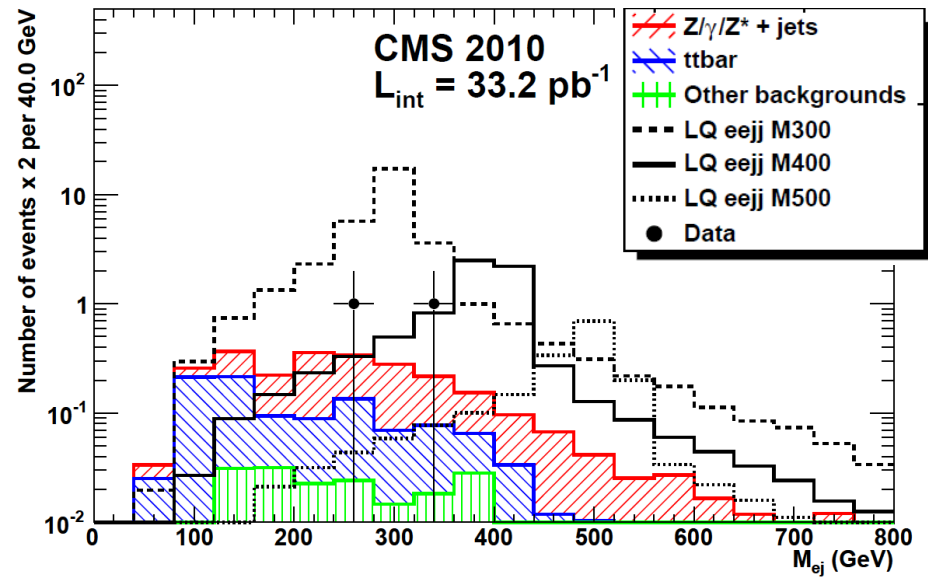
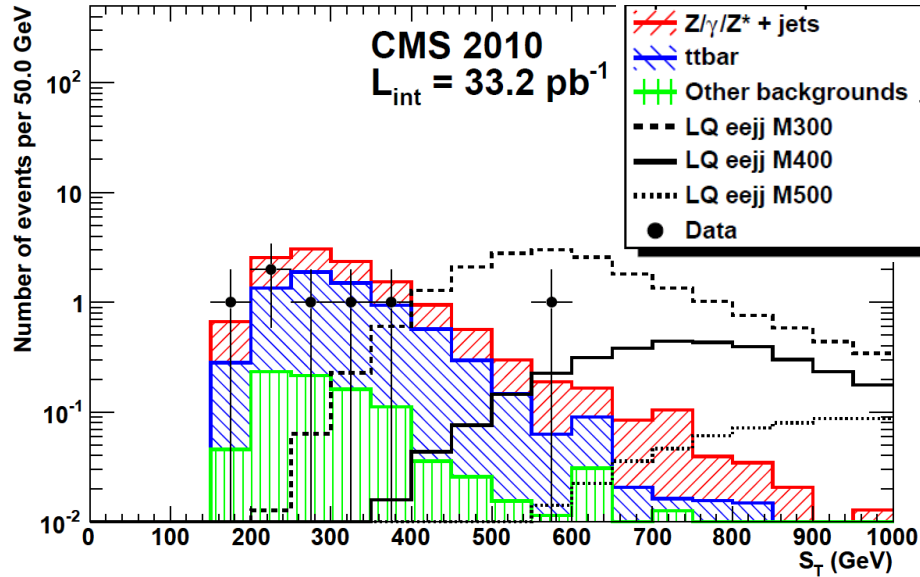
- $M_{ll}$  (di-lepton invariant mass) and  $S_T$  (scalar sum of  $p_T$  of the 2 selected leptons and 2 selected jets) are the two most powerful variables in discriminating signal and background
- $M_{ll}$  and  $S_T$  have been optimized using a Bayesian approach to minimize upper limit (\*) (systematic uncertainties treated as nuisance parameters) in a  $35 \text{ pb}^{-1}$  scenario
  - $M_{ee} > 125 \text{ GeV}$  and  $M_{\mu\mu} > 115 \text{ GeV}$
  - $S_T > f(M_{LQ})$  – see tables in next two slides

(\*) Optimization for discovery produces similar results



eejj

# $S_T$ and $M_{ej}$ after Final Selection



$M_{ej}$  = electron-jet inv. mass (2 entries/event). Of the 2 ways to combine 2 electron and 2 jets – the combination with minimum mass difference is chosen

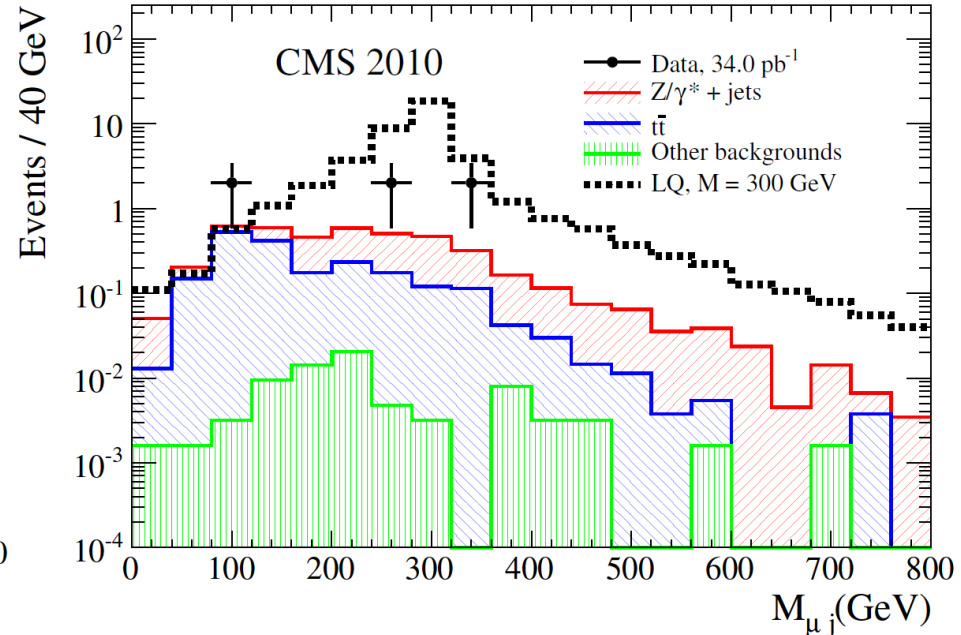
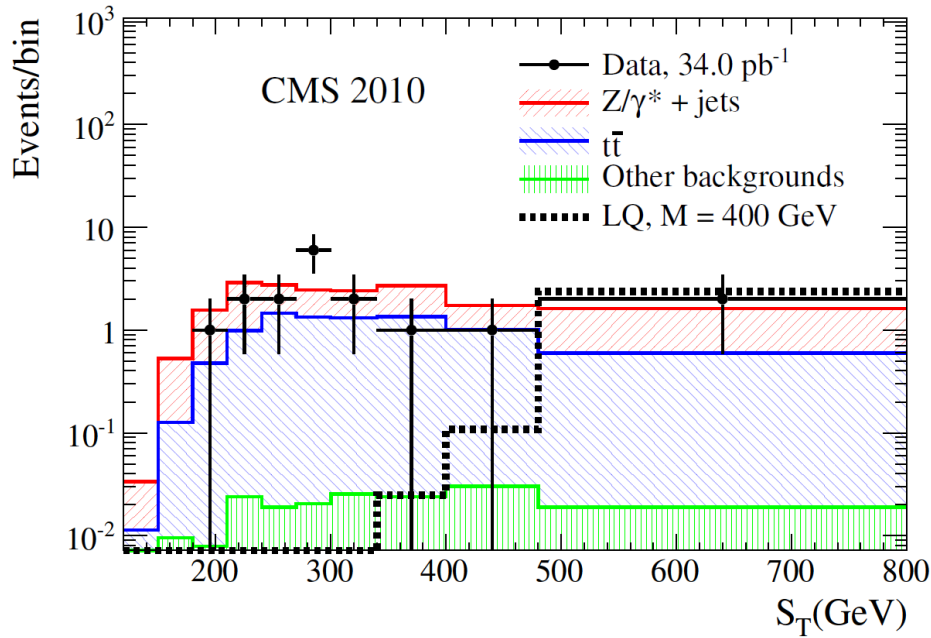
**2 electrons  $P_T > 30$  GeV,  $|\eta| < 2.5$ ; 2 jets  $P_T > 30$  GeV,  $|\eta| < 3$ ;  $\Delta R(e,j) > 0.7$ ;  $M_{ee} > 125$  GeV;  $S_T > f(M_{LQ})$**

$M_{LQ}$ ( $S_T$ Request) [GeV]	MC Signal Samples		Monte Carlo Background Samples				Events in Data
	Selected Events	Acceptance $\times$ Efficiency	$t\bar{t}$ + jets	Z/ $\gamma$ + jets	Others	All	
250 ( $S_T > 400$ )	$43.8 \pm 0.2$	$0.380 \pm 0.002$	$1.1 \pm 0.06$	$1.3 \pm 0.1$	$0.14 \pm 0.02$	$2.5 \pm 0.1$	1
300 ( $S_T > 470$ )	$17.3 \pm 0.1$	$0.430 \pm 0.002$	$0.44 \pm 0.04$	$0.75 \pm 0.07$	$0.10 \pm 0.02$	$1.3 \pm 0.1$	1
340 ( $S_T > 510$ )	$8.88 \pm 0.04$	$0.469 \pm 0.002$	$0.27 \pm 0.03$	$0.56 \pm 0.06$	$0.08 \pm 0.02$	$0.91 \pm 0.08$	1
400 ( $S_T > 560$ )	$3.55 \pm 0.02$	$0.522 \pm 0.002$	$0.17 \pm 0.02$	$0.41 \pm 0.05$	$0.06 \pm 0.02$	$0.63 \pm 0.06$	1
450 ( $S_T > 620$ )	$1.70 \pm 0.01$	$0.539 \pm 0.002$	$0.10 \pm 0.02$	$0.28 \pm 0.05$	$0.02 \pm 0.01$	$0.41 \pm 0.06$	0



$\mu\mu jj$

# $S_T$ and $M_{\mu j}$ after Full Selection



$M_{\mu j}$  = muon-jet inv. mass (2 entries/event). Of the 2 ways to combine 2 muons and 2 jets – the combination with minimum mass difference is chosen

**2 muons  $P_T > 30$  GeV,  $|\eta| < 2.4(2.1)$ ;  $\Delta R(\mu, \mu) > 0.3$ ; 2 jets  $P_T > 30$  GeV,  $|\eta| < 3$ ;  $M_{\mu\mu} > 115$  GeV;  $S_T > f(M_{LQ})$  GeV**

$M_{LQ}$	$S_T$	$Z/\gamma^* + jets$	$t\bar{t}$	Other Bkg	All Bkg	Data	S	$\epsilon_S$
250	400	$1.92 \pm 0.03$	$1.60 \pm 0.08$	$0.05 \pm 0.01$	$3.57 \pm 0.09$	3	$51.5 \pm 5.2$	$0.437 \pm 0.003$
300	449	$1.53 \pm 0.03$	$0.98 \pm 0.06$	$0.04 \pm 0.01$	$2.54 \pm 0.07$	3	$21.3 \pm 2.1$	$0.518 \pm 0.004$
340	530	$0.79 \pm 0.01$	$0.34 \pm 0.04$	$0.01 \pm 0.00$	$1.14 \pm 0.04$	1	$9.8 \pm 1.0$	$0.508 \pm 0.003$
400	560	$0.67 \pm 0.01$	$0.27 \pm 0.03$	$0.01 \pm 0.00$	$0.94 \pm 0.03$	1	$4.0 \pm 0.4$	$0.578 \pm 0.004$
450	620	$0.49 \pm 0.01$	$0.16 \pm 0.02$	$0.01 \pm 0.00$	$0.66 \pm 0.03$	0	$1.9 \pm 0.2$	$0.600 \pm 0.004$



# Systematic Uncertainties

- Dominant systematic uncertainties:
  - Luminosity: 11%
  - Di-lepton selection/reconstruction efficiencies: 10% for both channels
  - Jet energy scale: 5%
  - Electron energy scale: 1% (3%) in barrel (endcaps)
  - Muon momentum scale: 1%

- Their effect on signal and background is shown in the table

Channel	Effect on $N_{\text{signal}}$	Effect on $N_{\text{background}}$
eejj	15%	25%
$\mu\mu$ jj	15%	25%

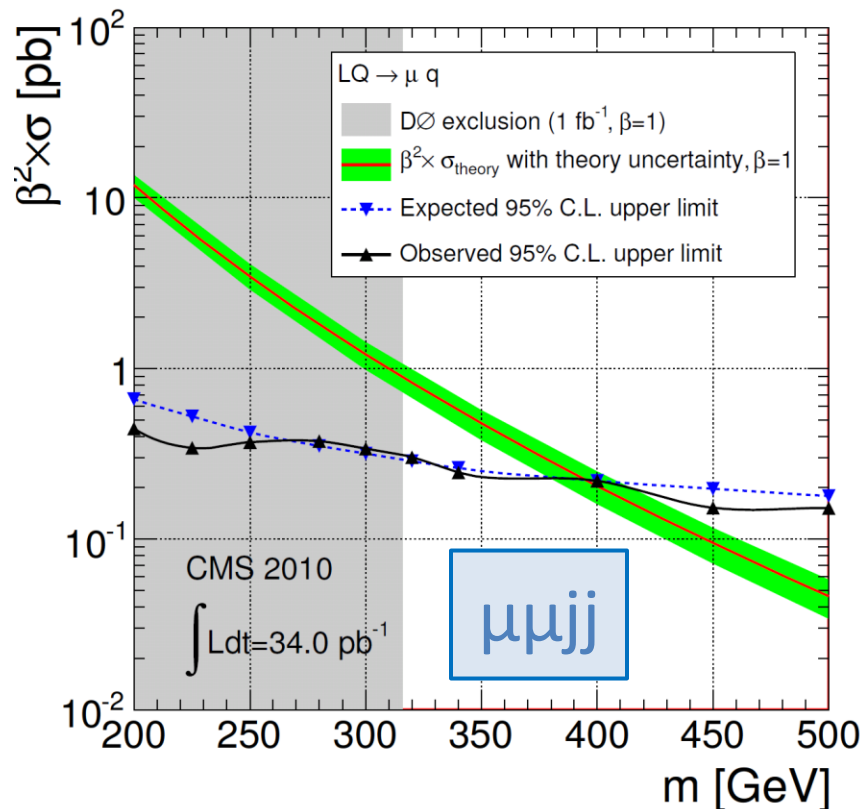
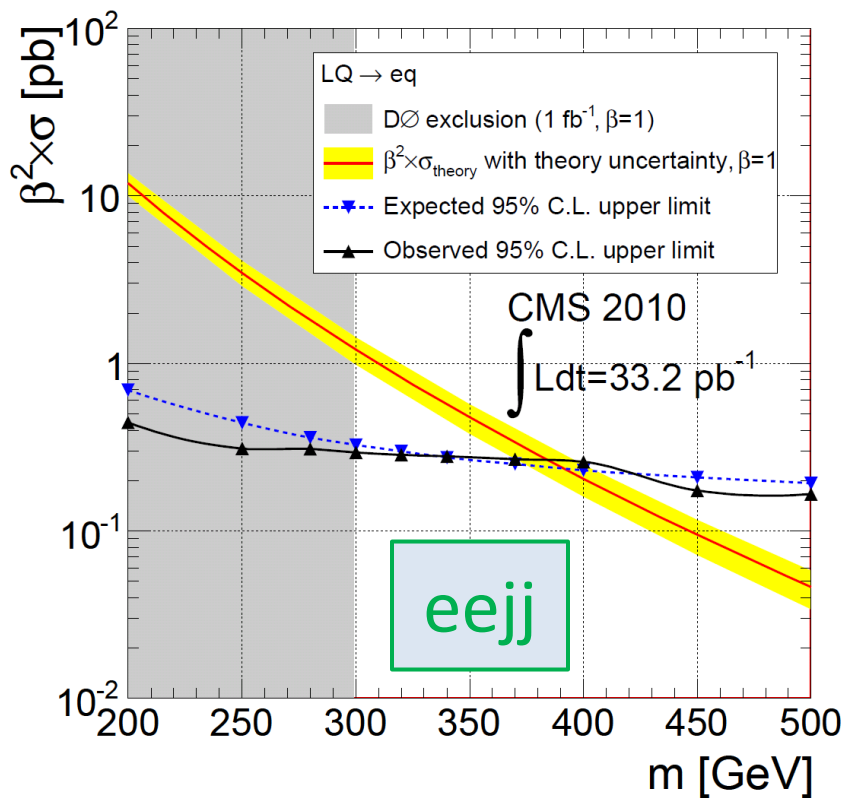
- Some do not apply to the background estimate
  - Because of the systematic error determined from data-MC comparison (21% for eejj and 25% for  $\mu\mu$ jj)



# Upper Limits on LQ Pair Production Cross Section



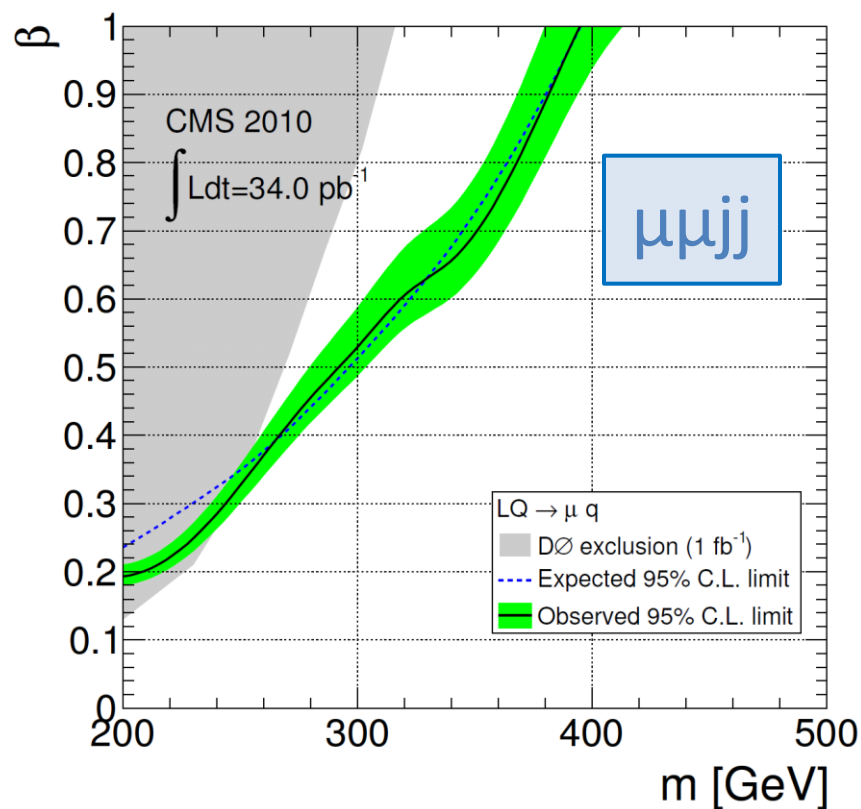
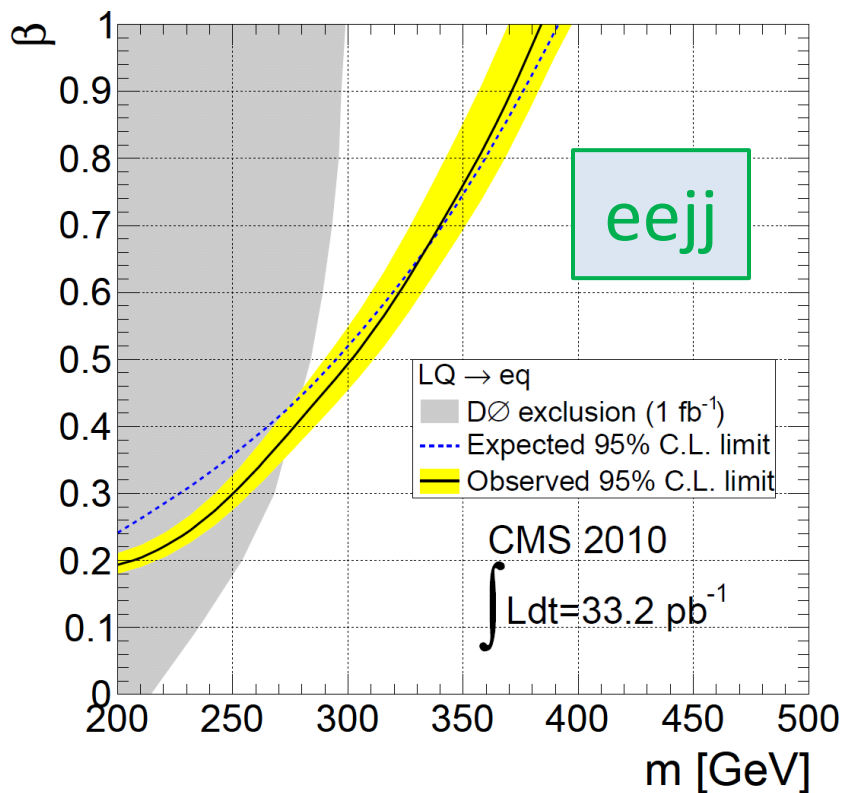
- The data are consistent with SM background expectation
  - upper limits on the LQ cross section are set using a Bayesian approach (systematic uncertainties included in the calculation)





# Lower Limits on $M_{LQ}$

- By comparing the upper limits to a theoretical calculation of the LQ cross section, the existence of first- and second-generation LQs with mass below 384 and 394 GeV, respectively, are excluded for  $\beta=1$ :



- D0 results combine the limits obtained using the  $lljj$  and  $lvjj$  (and  $vvjj$  in left plot)
  - For  $\beta = 1$ , D0 sets  $M_{LQ} > 299$  and 316 GeV for first- and second-gen.



# Conclusions



- Searches for pair production of first- and second-generation leptoquarks at CMS have been performed
  - in the channels with 2 charged leptons and 2 jets
- The full 2010 dataset has been used: just short of  $35 \text{ pb}^{-1}$
- We set lower limits on the LQ mass that significantly improve the Tevatron (D0) results over a large range of  $\beta$  values ( $> 0.4$ )
- For  $\beta=1$ , we exclude
  - First-generation LQ with masses below 384 GeV
  - Second-generation LQ with masses below 394 GeV
- Publication drafts for the  $eejj$  and  $\mu\mu jj$  channels are being finalized
- The analyses of the  $e\nu jj$  and  $\mu\nu jj$  channels are being pursued

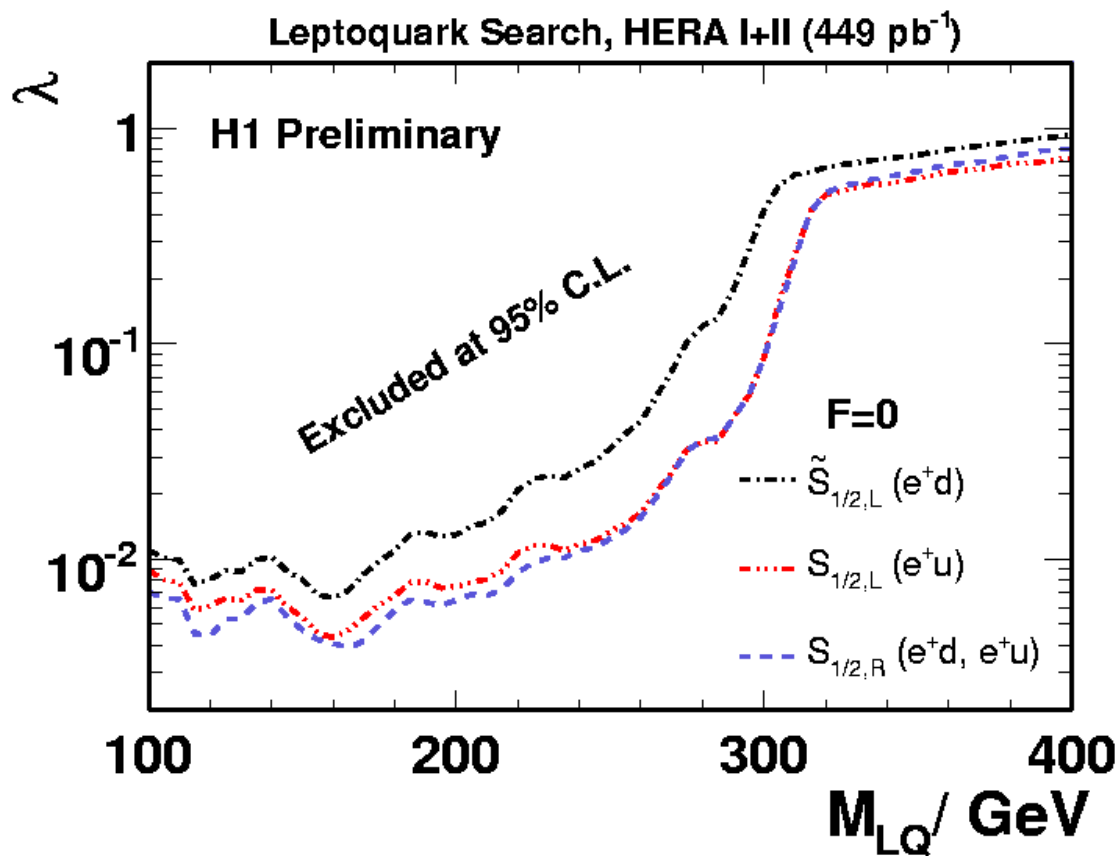




# BACKUP SLIDES

# Limits from HERA

(electron-proton)





# Systematic Uncertainties

eejj

Systematic Uncertainty	Magnitude [%]	Effect on $N_{\text{signal}}$ [%]	Effect on $N_{\text{AllBkg}}$ [%]
Data-Driven Uncertainty	-	-	21
Jet Energy Scale	5	3	11
Elec. Energy Scale Barrel/Endcap	1/3	1	5
Electron Pair Reco/ID/Iso	10	10	-
MC Statistics	See Table 1	1	6
Integrated Luminosity	11	11	-
Total	-	15	25

$\mu\mu jj$

Systematic Uncertainty	Magnitude	Effect on Signal	Effect on $N_{\text{AllBkg}}$
JES	$\pm 5\%$	$\pm 2\%$	-
JES and Data Background Est.	-	-	$\pm 25\%$
Muon Momentum Scale	$\pm 1\%$	$\pm 1\%$	$\pm < 0.5\%$
Muon Pair Reco/ID/Iso	$\pm 10\%$	$\pm 10\%$	$\pm < 0.05\%$
Integrated Luminosity	$\pm 11\%$	$\pm 11\%$	-
Total		$\pm 15\%$	$\pm 25\%$

# Bayesian Upper Limit

- 95% C.L. upper limit on the LQ pair production cross section  $\sigma$  is calculated using a Bayesian approach

$$\int_{-\infty}^{\sigma_{\text{up}}(n)} p(\sigma|n, A, \mathcal{L}, b) d\sigma = \frac{\int_{-\infty}^{\sigma_{\text{up}}(n)} L'(n|\sigma, A, \mathcal{L}, b) \pi(\sigma) d\sigma}{\int_{-\infty}^{+\infty} L'(n|\sigma, A, \mathcal{L}, b) \pi(\sigma) d\sigma} = 0.95$$

$$L'(n|\sigma, A, \mathcal{L}, b) = \int_0^{+\infty} \int_0^{+\infty} \int_0^{+\infty} L(n|\sigma, A', \mathcal{L}', b') \underbrace{g(A')h(\mathcal{L}')f(b')}_{g(A'), h(\mathcal{L}'), f(b')} dA' d\mathcal{L}' db'$$

Flat prior

$$\pi(\sigma) = \begin{cases} 0 & \sigma < 0 \\ 1 & \sigma \geq 0 \end{cases}$$

Poisson distribution

$$L(n|\sigma, A', \mathcal{L}', b') = \frac{(\sigma A' \mathcal{L}' + b')^n}{n!} e^{-(\sigma A' \mathcal{L}' + b')}$$

Expected upper limit

$$\langle \sigma_{\text{up}} \rangle = \sum_{n=0}^{+\infty} \sigma_{\text{up}}(n) L(n|\sigma = 0, A, \mathcal{L}, b)$$

Log-normal distributions describing uncertainties in  $A', \mathcal{L}', b'$

$n$  = number of observed events  
 $A$  = acceptance  $\times$  efficiency  
 $\mathcal{L}$  = integrated luminosity  
 $b$  = expected number of background events