

# Effects of a potential fourth fermion generation on the upper and lower Higgs boson mass bounds

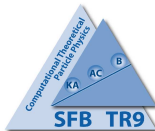
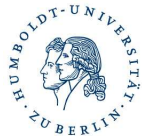
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## Introduction

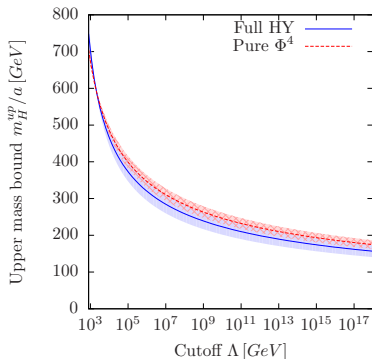
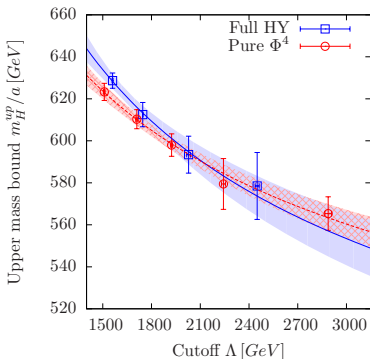
- A heavy fourth fermion generation may be a way to...
  - ▶ increase the CP-violating phase in SM by several orders of magnitude. [Hou et al.]
  - ▶ strengthen electroweak phase transition supporting scenario of electroweak baryogenesis. [Carena et al.]
- Interest in fourth generation repeatedly vanished and reappeared:
  - ▶ 4th generation not excluded by electroweak precision data if mass splitting allowed in 4th doublets. [Holdom et al.]
- A heavy 4th fermion generation would have very strong (non-perturbative?) effect on Higgs boson mass.

### Aim of this investigation

Study the influence of the 4th fermion generation on the Higgs boson mass **non-perturbatively** in a lattice Higgs-Yukawa model.

## Upper Higgs boson mass bound in SM3

- Higgs-Sector of SM is a **trivial** field theory.
  - ▶ Cutoff  $\Lambda$  must remain finite. (Otherwise no interaction.)
  - ▶ Consider SM as **effective theory valid up to energy scale  $\Lambda$** .
- Upper mass bound in SM3:
  - ▶ How are bounds shifted in the presence of a 4th generation ( $t'$ ,  $b'$ )?



## Targeted coupling structure in SM

- Higgs-Fermion coupling in SM:

- ▶  $\varphi$  complex scalar doublet and  $\tilde{\varphi} = i\tau_2\varphi$ .
- ▶  $y_t, y_b, \dots$ : Yukawa coupling constants.

$$L_Y = y_b \cdot (\bar{t}, \bar{b})_L \varphi b_R + y_t \cdot (\bar{t}, \bar{b})_L \tilde{\varphi} t_R + h.c. + \dots$$

- Higgs-Higgs self-interaction in SM:

- ▶  $\lambda$ : Quartic coupling constant

$$L_\varphi = \lambda(\varphi^\dagger\varphi)^2$$

- Higgs-dynamics dominated by ...

- ▶ coupling to heaviest fermions (4th generation).
- ▶ quartic self-coupling (if  $\lambda \gg 1$ ).

- In this study: Pure Higgs-fermion sector of SM:

- ▶ All gauge fields neglected.

# Circumventing the No-Go-theorem via overlap fermions

- Lattice model, obeying **global**  $SU(2)_L \times U(1)_Y$  symmetry:

$$\begin{aligned}
 S = & \sum_{x,\mu} \frac{1}{2} \nabla_\mu^f \varphi_x^\dagger \nabla_\mu^f \varphi_x + \sum_x \frac{1}{2} m_0^2 \varphi_x^\dagger \varphi_x + \sum_x \lambda (\varphi_x^\dagger \varphi_x)^2 \\
 & + \sum_{x,y} \bar{t}_x \mathcal{D}_{x,y}^{(ov)} t_y + \sum_{x,y} \bar{b}_x \mathcal{D}_{x,y}^{(ov)} b_y + \sum_x y_b \cdot (\bar{t}_{L,x}, \bar{b}_{L,x}) \varphi_x b_{R,x} + y_t \cdot (\bar{t}_{L,x}, \bar{b}_{L,x}) \tilde{\varphi}_x t_{R,x} + h.c.
 \end{aligned}$$

## A lattice version of chiral symmetry

- Need chiral invariance on lattice (to define  $t_L, t_R, \dots$ )  
 $\Rightarrow$  Ginsparg-Wilson fermions satisfying GW-relation (here: **overlap** op.  $\mathcal{D}^{(ov)}$ )

$$\gamma_5 \mathcal{D}^{(ov)} + \mathcal{D}^{(ov)} \hat{\gamma}_5 = 0 \quad \text{with} \quad \hat{\gamma}_5 = \gamma_5 (1 - a \mathcal{D}^{(ov)})$$

- Use **modified projectors**  $\hat{P}_\pm = \frac{1}{2} (1 \pm \hat{\gamma}_5)$  to define  $t_L, \dots$ :

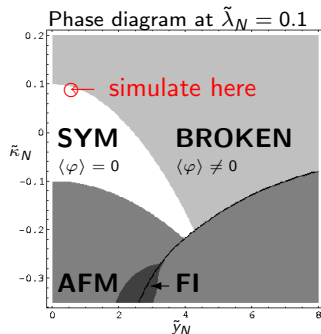
<u>Continuum</u>	<u>Lattice</u>
$\begin{pmatrix} t \\ b \end{pmatrix}_{L,R} = P_{\mp} \begin{pmatrix} t \\ b \end{pmatrix}$	$\begin{pmatrix} t \\ b \end{pmatrix}_{L,R} = \hat{P}_{\mp} \begin{pmatrix} t \\ b \end{pmatrix}$
$(\bar{t}, \bar{b})_{L,R} = (\bar{t}, \bar{b}) P_{\pm}$	$(\bar{t}, \bar{b})_{L,R} = (\bar{t}, \bar{b}) \hat{P}_{\pm}$

- Use here **overlap operator**  $\mathcal{D}^{(ov)}$ :

$$\mathcal{D}^{(ov)} = \frac{1}{a} \left\{ 1 + \frac{A}{\sqrt{A^\dagger A}} \right\}, \quad A = \mathcal{D}^{(W)} - \frac{1}{a}, \quad \mathcal{D}^{(W)} : \text{Wilson Dirac operator}$$

## Strategy for mass bound determination

- Idea: For given cutoff  $\Lambda = a^{-1}$  find min. and max. Higgs masses in HY-model **consistent with phenomenology**.
- Considered **phenomenology**:
  - ▶ **SSB**:  $\langle \varphi \rangle / (a\sqrt{Z_G}) \equiv v_r = 246$  GeV  
 → Fixes cutoff  $\Lambda = a^{-1}$ .
  - ▶ **t' quark mass**:  $m_t/a = 700$  GeV  
 → Fixes Yukawa coupling constant  $y_t$ .
  - ▶ **b' quark mass**:  $m_b/a = 700$  GeV  
 → Fixes Yukawa coupling constant  $y_b$ .
- 4 param. - 3 cond. = 1 freedom  
 →  $\lambda$  undetermined.
- From tree-level:  $m_H^2 \propto \lambda v^2$   
 ⇒ Smallest  $m_H$  at small  $\lambda$ . (**Weak** coupling.)  
 Largest  $m_H$  at  $\lambda \rightarrow \infty$ . (**Strong** coupling.)



## Considered observables

- On lattice: Always  $\langle \varphi \rangle \equiv 0$ . To study SSB:  
 → Rotate each  $\varphi$ -configuration:  $\varphi_x^{rot} = U\varphi_x$ ,  $U \in \text{SU}(2)$  such that

$$\sum_x \varphi_x^{rot} = \begin{pmatrix} 0 \\ \left| \sum_x \varphi_x \right| \end{pmatrix}. \text{ Then define } \langle \varphi^{rot} \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}.$$

- Define Higgs-/Goldstone-modes:  $\varphi_x^{rot} = \begin{pmatrix} g_x^2 + ig_x^1 \\ v + h_x - ig_x^3 \end{pmatrix}$

- Propagators:  $\tilde{G}_H(p) = \langle \tilde{h}_p \tilde{h}_{-p} \rangle$ ,  $\tilde{G}_G(p) = \frac{1}{3} \sum_{\alpha=1}^3 \langle \tilde{g}_p^\alpha \tilde{g}_{-p}^\alpha \rangle$

- Goldstone mass and  $Z_G$  (analogous for Higgs boson):

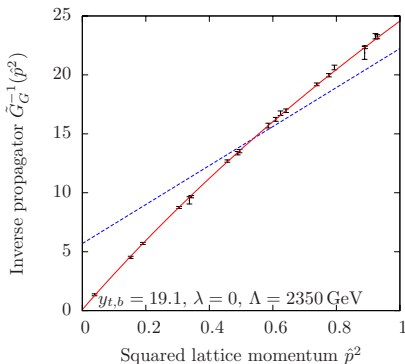
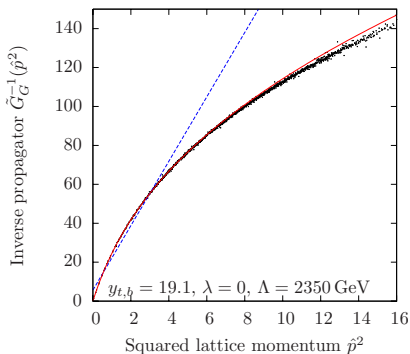
$$Z_G^{-1} = \frac{d}{dp_c^2} [\tilde{G}_G^c(p_c^2)]^{-1} \Big|_{p_c^2 = -m_G^2} \quad \text{and} \quad [\tilde{G}_G^c(p_c^2)]^{-1} \Big|_{p_c^2 = -m_G^2} = 0$$

- Top and bottom quark mass:  $m_t$ ,  $m_b$   
 → From exponential decay of time correlation functions

$$C_t(\Delta t) = \sum_{\vec{x}, \vec{y}} \left\langle 2 \text{Re Tr} \left( t_{L, \Delta t, \vec{x}} \cdot \bar{t}_{R, 0, \vec{y}} \right) \right\rangle \quad \text{and} \quad C_b(\Delta t) = \sum_{\vec{x}, \vec{y}} \left\langle 2 \text{Re Tr} \left( b_{L, \Delta t, \vec{x}} \cdot \bar{b}_{R, 0, \vec{y}} \right) \right\rangle$$

## Determination of $Z_G$

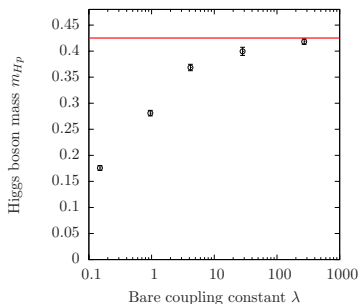
- **Continuous** fit function for **discrete** lattice Goldstone propagator needed, to derive  $Z_G$  from its derivative.
- Use 1-loop result from renormalized PT (red) with ren. quantities being free parameters as fit ansatz. (Blue: linear fit for comparison.)





## Bare model parameters for upper bound on $m_H$

- **Degenerate** Yukawa constants:  
 (Otherwise  $\det(\mathcal{M}) \in \mathbb{C}$ )  
 Tuned to yield:  $m_{t,b} = 700$  GeV
- $m_H$  rises monoton. with  $\lambda \rightarrow \infty$   
 $\Rightarrow$  Choose  $\lambda = \infty$
- Accessible energy scales:  
 Require:  $\hat{m} > 0.5$  and  $\hat{m} \cdot L_{s,t} > 4$   
 (for all  $\hat{m} = m_H, m_t, m_b$ )  
 $\Rightarrow \Lambda = 1500 - 4000$  GeV accessible



$16^3 \times 32$ -lattice,  $\Lambda \approx 1500$  GeV,  $y_{t,b} = 0.71138$   
 Red band:  $\lambda = \infty$  result

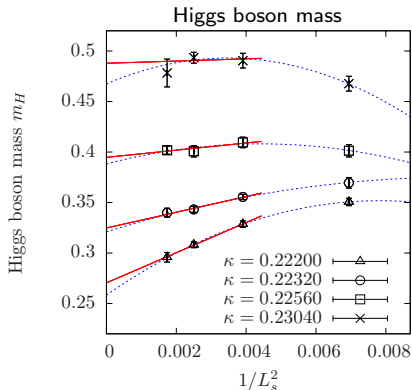
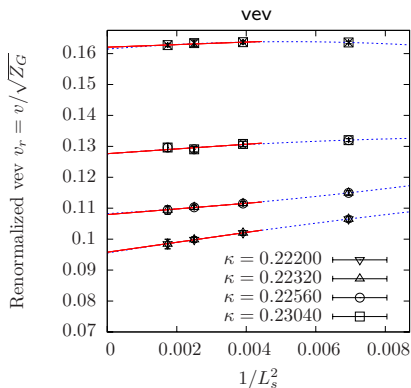
$\kappa$	$L_s$	$L_t$	$N_f$	$\lambda$	$y_t$	$y_b/y_t$	$1/v$	$\Lambda$
0.22200	12,16,20,24	32	1	$\infty$	3.18	1	$\approx 10.4$	$\approx 1520$ GeV
0.22320	12,16,20,24	32	1	$\infty$	3.17	1	$\approx 9.3$	$\approx 1930$ GeV
0.22560	12,16,20,24	32	1	$\infty$	3.16	1	$\approx 7.8$	$\approx 2280$ GeV
0.23040	12,16,20,24	32	1	$\infty$	3.12	1	$\approx 6.2$	$\approx 2570$ GeV

## Infinite volume extrapolation

- Goldstone modes induce **algebraic** FSE of order  $O(L_s^{-2})$ ,  $O(L_s^{-4})$ , ...
- Perform **infinite volume extrapolation** with fit ansatz

Linear:  $f_m^{(l)}(L_s^{-2}) = A_m^{(l)} + B_m^{(l)} \cdot L_s^{-2}$  for  $L \geq 16$  (red)

Parabolic:  $f_m^{(p)}(L_s^{-2}) = A_m^{(p)} + B_m^{(p)} \cdot L_s^{-2} + C_m^{(p)} \cdot L_s^{-4}$  for all  $L$  (blue)

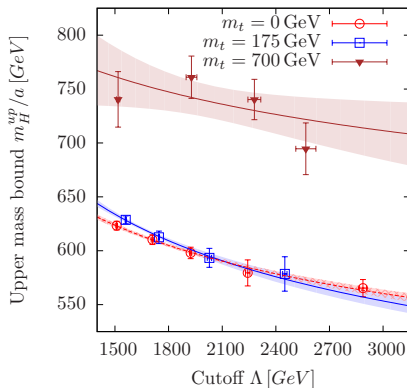


# Upper Higgs boson mass bound at $m_t = 700$ GeV

- Colored curves:

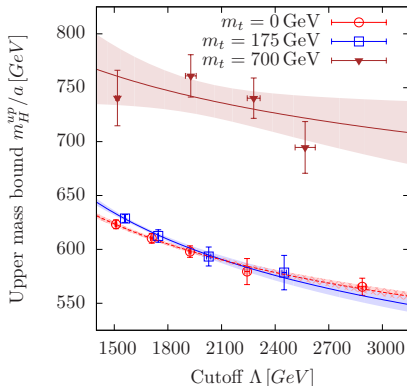
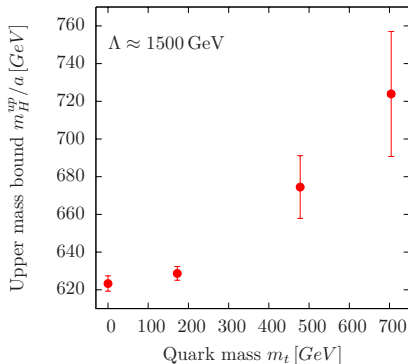
Fits ( $A_m$ ,  $B_m$  free fit parameter) with expected cutoff-dependence

$$\frac{m_H}{a} = A_m \cdot [\log(\Lambda^2/\mu^2) + B_m]^{-1/2}$$



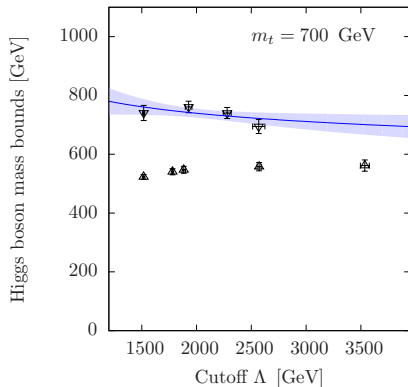
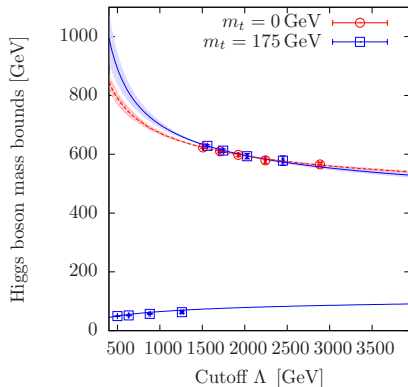
# Quark mass dependence of $m_H^{up}$

- Dependence of  $m_H^{up}$  on quark mass  $m_t$  at  $\Lambda \approx 1500$  GeV:



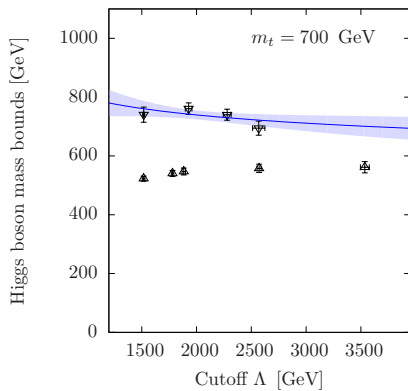
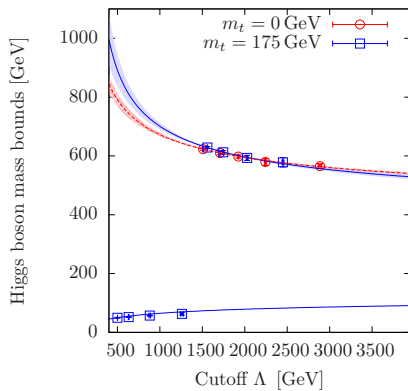
## Lower Higgs boson mass bound at $m_t = 700$ GeV

- Left: Upper and lower bounds at  $m_t = 0$  GeV and  $m_t = 175$  GeV.
- Right: Upper and lower bounds at  $m_t = 700$  GeV.



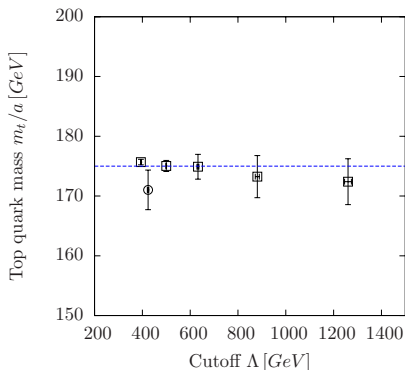
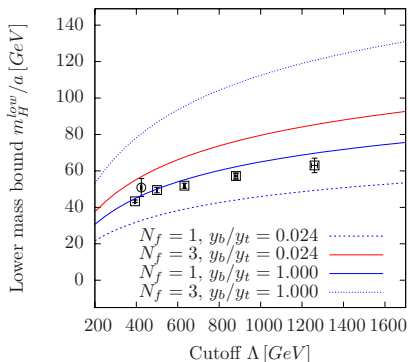
## Summary and Outlook

- Effect of heavy 4th fermion generation on  $m_H^{up}$  and  $m_H^{low}$  studied:
  - ▶ Very strong alteration especially of lower bound observed.
- **Next:** Determine largest possible fermion mass through  $y_{t,b} \rightarrow \infty$ .
- **Next:** Study effect on Higgs decay properties.



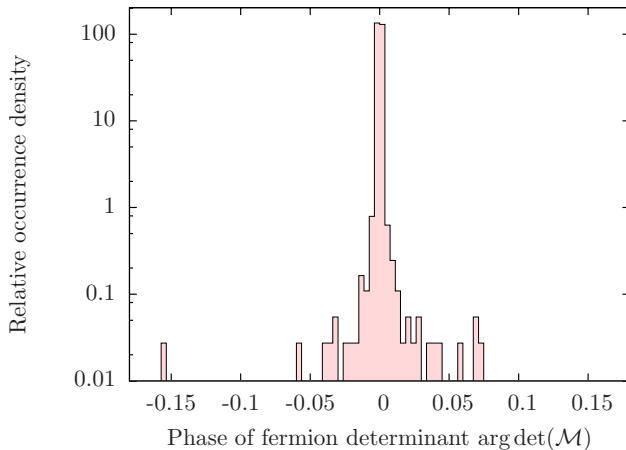
# Lower Higgs boson mass bound

- $m_H$ : Colored lines: CEP-results for  $V = \infty$ , different physical setups  
 Red curve closest to situation in SM
  - Circular symbols: Series of lattice runs in non-degenerate case  
 i.e.  $m_b = 4.2 \text{ GeV} \Rightarrow y_b/y_t = 0.024$ .
- Caution: Unknown systematic uncertainties due to  $\det(M) \in \mathbb{C}$ , if  $y_t \neq y_b$



# Complex phase of fermion determinant

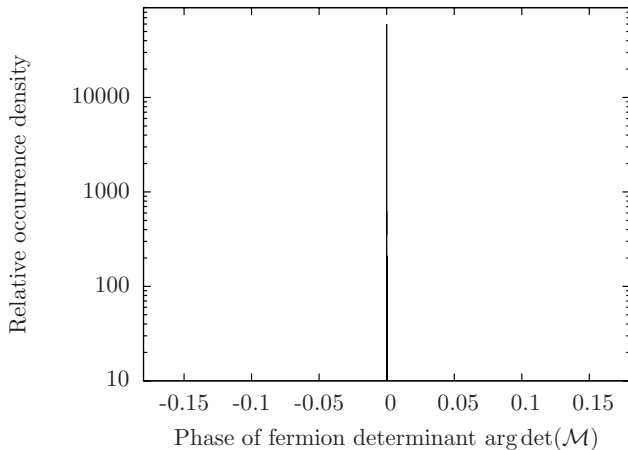
$y_t/y_b \approx 40$ ,  $4^4$ -lattice,  $\varphi$  Gauss sampled.





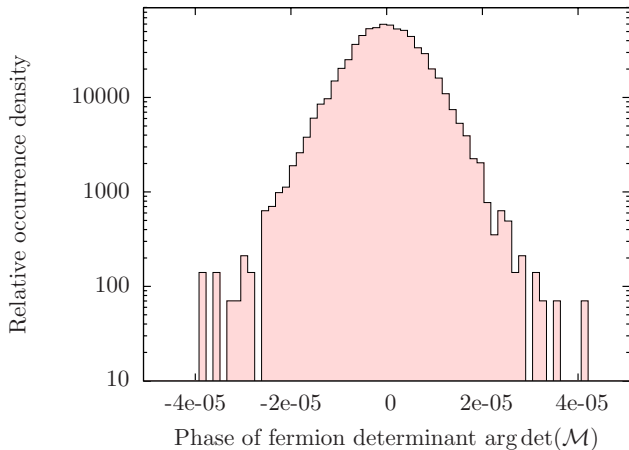
# Complex phase of fermion determinant

$y_t/y_b \approx 40$ ,  $4^4$ -lattice,  $\varphi$  from MC-sim. in broken phase.



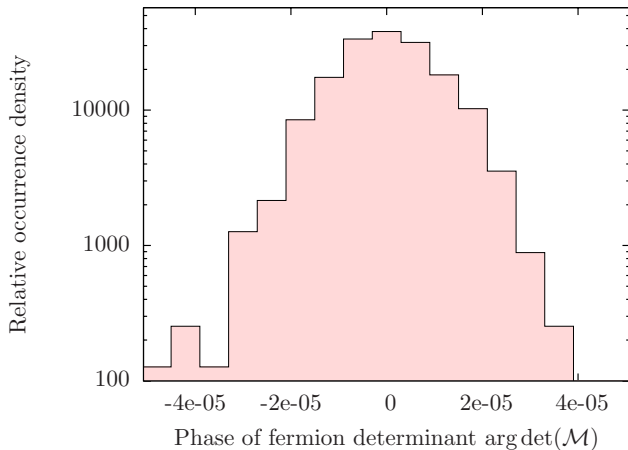
## Complex phase of fermion determinant

$y_t/y_b \approx 40$ ,  $4^4$ -lattice,  $\varphi$  from MC-sim. in broken phase.



# Complex phase of fermion determinant

$y_t/y_b \approx 40$ ,  $6^4$ -lattice,  $\varphi$  from MC-sim. in broken phase.



## Phase diagram in large $N_f$ -limit

- Analytical calculation based on Constraint Effective Potential (CEP)
- Use lattice parameters  $\kappa, \hat{\lambda}, \hat{y}_{t,b}$  related to  $m_0, \lambda, y_{t,b}$  through

$$\lambda = \frac{\hat{\lambda}}{4\kappa^2}, \quad m_0^2 = \frac{1 - 2N_f\hat{\lambda} - 8\kappa}{\kappa}, \quad y_{t,b} = \frac{\hat{y}_{t,b}}{\sqrt{2\kappa}}$$

- Consider limit  $N_f \rightarrow \infty$ , while scaling  $\kappa, \hat{\lambda}, \hat{y}_{t,b}$  according to:

$$\hat{y}_{t,b} = \frac{\tilde{y}_N}{\sqrt{N_f}}, \quad \hat{\lambda} = \frac{\tilde{\lambda}_N}{N_f}, \quad \varphi = N_f^{1/2}\tilde{\varphi}, \quad \kappa = \tilde{\kappa}_N, \quad \tilde{y}_N, \tilde{\lambda}_N, \tilde{\kappa}_N, \tilde{\varphi} = \text{const}$$

- Order parameters:

$$\langle \varphi \rangle \text{ and } \langle \varphi \rangle_s \equiv \left\langle \frac{1}{V} \sum_x (-1)^{\sum_\mu x_\mu} \varphi_x \right\rangle, \quad V = L_s^3 \times L_t$$

- Four phases:

- ▶ **SYM**:  $\langle \varphi \rangle = 0, \langle \varphi \rangle_s = 0$
- ▶ **FM**:  $\langle \varphi \rangle \neq 0, \langle \varphi \rangle_s = 0$
- ▶ **AFM**:  $\langle \varphi \rangle = 0, \langle \varphi \rangle_s \neq 0$
- ▶ **FI**:  $\langle \varphi \rangle \neq 0, \langle \varphi \rangle_s \neq 0$

