

Recent progress in the $\text{AdS}_4/\text{CFT}_3$ correspondence

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Significant progress recently in understanding **AdS₄/CFT₃** duality in M-theory.

Type IIB

$$\text{AdS}_5 \times \mathbf{Y}_5$$

$$\int_{\mathbf{Y}_5} \mathbf{F}_5 = \mathbf{N}$$

$(\mathbf{Y}_5, \mathbf{g}_{\mathbf{Y}_5})$ admits Killing spinor
 \Rightarrow Sasaki-Einstein 5-manifold

M-theory

$$\text{AdS}_4 \times \mathbf{Y}_7$$

$$\int_{\mathbf{Y}_7} *G = \mathbf{N}$$

$(\mathbf{Y}_7, \mathbf{g}_{\mathbf{Y}_7})$ admits $\mathbf{d} > 1$ Killing spinors
 \Rightarrow Sasaki-Einstein 7-manifold

Equivalently start with $\text{Mink} \times \mathbf{C}(\mathbf{Y})$ where $\mathbf{C}(\mathbf{Y})$ is a Calabi-Yau cone: so

$$\mathbf{g}_{\mathbf{C}(\mathbf{Y})} = dr^2 + r^2 \mathbf{g}_{\mathbf{Y}} = \frac{\partial^2 r^2}{\partial z_i \partial \bar{z}_j} dz_i d\bar{z}_j$$

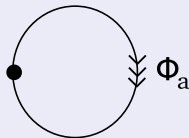
is Ricci-flat and Kähler (z_i local complex coordinates). AdS backgrounds are near horizon limits of \mathbf{N} D3-branes/M2-branes placed at $\{\mathbf{r} = \mathbf{0}\}$.

Maximally SUSY case:

Type IIB

$Y_5 = S^5$, round metric

$\mathcal{N} = 4$, $(3 + 1)$ -dim SYM,
gauge group $SU(N)$

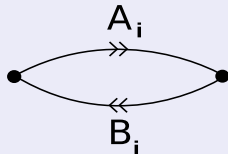


$$W = \text{Tr} [\Phi_1(\Phi_2\Phi_3 - \Phi_3\Phi_2)]$$

M-theory

$Y_7 = S^7/\mathbb{Z}_k$, round metric

$\mathcal{N} = 6$, $(2 + 1)$ -dim Chern-Simons
quiver theory, gauge group
 $U(N)_k \times U(N)_{-k}$



$$W = \text{Tr} [A_1(B_1A_2B_2 - B_2A_2B_1)]$$

M2-brane theory discovered by [[Aharony-Bergman-Jafferis-Maldacena](#)] (ABJM).

What about theories with less SUSY (different CY cones/Sasaki-Einstein)?
Simplest construction is to orbifold:

Type IIB

Finite (abelian) subgroup
 $\Gamma \subset \mathbf{SU}(3) \curvearrowright \mathbf{S}^5 \subset \mathbb{C}^3$.

Corresponding action on $\mathcal{N} = 4$
SYM with gauge group $\mathbf{U}(|\Gamma|\mathbf{N})$.

Projection onto invariants gives
 $\mathcal{N} = \mathbf{1}$ quiver gauge theory with
gauge group $\mathbf{U}(\mathbf{N})^{|\Gamma|}$.

M-theory

May similarly take
 $\Gamma \subset \mathbf{SU}(4) \curvearrowright \mathbf{S}^7 \subset \mathbb{C}^4$.

However, $|\Gamma|$ must divide the original
CS level \mathbf{k} , leading to an additional
 $\mathbb{Z}_{|\Gamma|}$ quotient, e.g. $\Gamma = \mathbb{Z}_2$ projection
of ABJM theory leads to an
M2-brane theory on $\mathbb{C}^4/\mathbb{Z}_2 \times \mathbb{Z}_2$.

In fact it has been argued that
M2-branes on certain \mathbb{C}^4/Γ do not
have a Lagrangian description.

In both cases, may Higgs the theories to obtain new theories in the IR, dual to
branes on partial resolutions of the orbifolds.

Consider \mathbf{N} D3-branes on a CY cone singularity that may be resolved to a smooth non-compact CY 3-fold \mathbf{X} (this is *not* true for all singularities):

- The gauge theory on the D3-branes at the singularity is a quiver gauge theory, with $\chi(\mathbf{X}) = \text{Euler number of } \mathbf{X} \text{ nodes}$. In simple cases, this means a $\mathbf{U}(\mathbf{N})^{\chi(\mathbf{X})}$ quiver gauge theory.
- Each node corresponds to a mutually BPS fractional brane: a bound state of D-branes wrapping collapsed cycles at the singularity.
- The bifundamentals (arrows) are open strings between these fractional branes.

The mathematics of this is also rather well-understood: representations of the quiver correspond to coherent sheaves on $\mathbf{X} = \mathbf{CY}_3$, which are D-branes.

This understanding of D3-branes can be used to understand some M2-brane theories [Aganagic, Martelli-JFS, Hanany-Zaffaroni].

Start with the T-dual to the D3-branes, namely \mathbf{N} D2-branes on $\mathbf{Mink}_3 \times \mathbb{R} \times \mathbf{CY}_3$. The effective gauge theory is the $(\mathbf{2} + \mathbf{1})$ -dim reduction of the $(\mathbf{3} + \mathbf{1})$ -dim quiver theory.

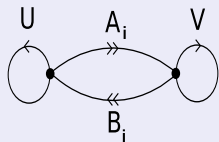
On the resolved $\mathbf{X} = \mathbf{CY}_3$, there are various two-cycles. Pick one and turn on \mathbf{k} units of RR two-form flux through it. This

- Fibres the M-theory circle over the $\mathbb{R} \times \mathbf{CY}_3$ geometry.
- On a fractional D4-brane wrapped on the cycle, this induces the CS coupling $\mathbf{k} \int \text{Tr}(\mathbf{A} \wedge d\mathbf{A} + \frac{2}{3}\mathbf{A}^3)$ via the Wess-Zumino couplings on its worldvolume.

To preserve SUSY, the size of the two-cycle is also fibred over the \mathbb{R} -direction. Lifting to M-theory, precisely describes \mathbf{N} M2-branes on $\mathbf{Mink}_3 \times \mathbf{CY}_4$.

One can similarly add RR four-form flux through (collapsed) four-cycles (M-theory lift not well-understood), and \mathbf{m} units of RR zero-form flux. The latter leads to a massive Type IIA solution (no lift) with CS levels in the quiver satisfying $\sum_{\text{nodes } i} \mathbf{k}_i = \mathbf{m}$ [Gaiotto-Tomasiello].

Example [Martelli-JFS]: begin with the quiver



$$\mathbf{W} = \text{Tr} [((-1)^n \mathbf{U}^{n+1} + \mathbf{V}^{n+1}) + \mathbf{V}(\mathbf{A}_1 \mathbf{B}_1 + \mathbf{A}_2 \mathbf{B}_2) + \mathbf{U}(\mathbf{B}_1 \mathbf{A}_1 + \mathbf{B}_2 \mathbf{A}_2)]$$

Describes D3-branes on the CY 3-fold $\mathbf{z}_0^{2n} + \mathbf{z}_1^2 + \mathbf{z}_2^2 + \mathbf{z}_3^2 = 0$.

May be resolved with a single $\mathbb{C}\mathbb{P}^1$. Adding \mathbf{k} units of \mathbf{F}_2 flux leads to CS levels $(\mathbf{k}, -\mathbf{k})$, dual to M2-branes on CY 4-fold $\{\mathbf{z}_0^n + \mathbf{z}_1^2 + \mathbf{z}_2^2 + \mathbf{z}_3^2 + \mathbf{z}_4^2 = 0\} / \mathbb{Z}_k$.

Type IIB

For a D3-brane quiver theory, changing the ranks of the gauge groups adds fractional branes.

This is constrained by gauge anomaly cancellation, and breaks conformal invariance.

M-theory

No gauge anomalies in $(2 + 1)$ -dims.

In understood examples, such as the previous slide, different ranks correspond to adding different torsion \mathbf{G} -flux to the conformal theory [ABJ, Martelli-JFS] i.e. turning on a discrete “Wilson line” \mathbf{C} -field through $\mathbf{H}_3^{\text{tor}}(\mathbf{Y}_7, \mathbb{Z})$.

The ranks are constrained by SUSY at the quantum level. In the example above, find $\mathbf{U}(\mathbf{N} + \mathbf{l})_k \times \mathbf{U}(\mathbf{N})_{-k}$ where necessarily $0 \leq \mathbf{l} \leq \mathbf{nk}$. This matches perfectly with the computation of $\mathbf{H}_3(\mathbf{Y}_7, \mathbb{Z}) \cong \mathbb{Z}_{\mathbf{nk}}$.

For the quiver theory for \mathbf{N} D3-branes, the central $\mathbf{U}(1)$ s in $\mathbf{U}(\mathbf{N})^\times$ decouple in the IR, leading to an $\mathbf{SU}(\mathbf{N})^\times$ gauge theory: anomalous $\mathbf{U}(1)$ s gain a mass via GS mechanism, while the couplings for non-anomalous go to zero in the IR.

For M2-brane theories the corresponding $\mathbf{U}(1)$ sector is much more subtle [Benishti-Rodríguez-Gómez-JFS].

$\dim \mathbf{H}_2(\mathbf{Y}_7, \mathbb{R})$ of the $\mathbf{U}(1)$ s are dual to abelian gauge fields in \mathbf{AdS}_4 coming from reduction of \mathbf{C}_3 on two-cycles. In contrast to the situation in \mathbf{AdS}_5 , there are different quantizations of such abelian gauge fields in \mathbf{AdS}_4 , in fact an $\mathbf{SL}(2, \mathbb{Z})$ multiplet for each, and each is generically dual to a different CFT [Witten, Marolf-Ross, *etc*].

E.g. for a given abelian gauge field \mathbf{A}_μ in \mathbf{AdS}_4 , may take conformal boundary conditions where either $\mathbf{E} = \mathbf{0}$ or $\mathbf{B} = \mathbf{0}$. Interchanged by an action of \mathbf{S} . [Witten]: start with a CFT with a $\mathbf{U}(1)$ current, and then coupling this to a new dynamical $\mathbf{U}(1)$ gauge field. Thus M2-brane quiver theories which contain an $\mathbf{SU}(\mathbf{N})$ gauge group can be related by an action of \mathbf{S} to a theory with this replaced by a $\mathbf{U}(1) \times \mathbf{SU}(\mathbf{N})$ gauge group.

In general these CFTs, with different quantizations in AdS_4 , have quite different properties.

For example: may consider M2-branes wrapped on (non-SUSY) two-cycles in \mathbf{Y}_7 , or M5-branes wrapped on (SUSY) five-cycles in \mathbf{Y}_7 .

An AdS_4 quantization with $\mathbf{E} = \mathbf{0}$ does not allow electrically charged states in the bulk, and hence does not allow M2-branes charged under this $\mathbf{U}(1)$. Conversely, $\mathbf{B} = \mathbf{0}$ does not allow magnetic charges in the bulk, ruling out M5-branes.

The SUSY wrapped M5-branes can be identified with baryonic-type operators for $\mathbf{SU}(N)$ gauge groups, as for D3-branes. The \mathbf{S} -action effectively gauges the $\mathbf{U}(1)$, so that these baryonic operators are no longer gauge invariant in the \mathbf{S} -dual theory. Instead it has been conjectured [Imamura] that the non-BPS M2-branes in this theory are monopole operators for the gauged $\mathbf{U}(1)$ s.