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Exclusive hard reactions and QCD

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- Preliminaries
- Generalized parton distributions a new view to the nucleon
- GPD phenomenology modeling and technical challenges
- Conclusions

Factorization:

soft physics factorizes in universal non-perturbative distributions **hard physics** is process dependent and systematically evaluable



tremendous effort in the last 5 decades: next-to-next-to-leading order is becoming the state of the art

a quantitative resolution of the longitudinal partonic degrees of freedom

$$F_{2}(x_{Bj}, Q^{2}) = x_{Bj} \sum_{q=u,d,...} e_{q}^{2}q(x = x_{Bj}, \mu^{2} = Q^{2}) + \cdots$$

$$+O(\alpha_{s}) + O(1/Q^{2})$$

$$= O(0003; 1=0) + O(000; 1$$

pQCD to hard exclusive processes has been suggested in the early days, too [Efremove-Radyushkin, Brodsky-Lepage, ... (~1980)]



Challenge for a simple process from a very hard measurement:



unexpected scaling

NNLO corrections seems to be small [Melic,DM,Passek 02] ^{0.2}

power corrections are also 0.15 often considered as small

? end-point behavior of DA

QCD calculations with

operator product expansion collinear factorization modified pQCD approach sum rule approach, ...

$$F^{\gamma^{\star}\gamma\pi}(Q^2) \propto \int_0^1 dx \, \frac{\phi(x,Q^2)}{x} + \cdots$$



some partonic aspects can not (hardly) be addressed from inclusive and form factor measurements:

transverse distribution of partons

 $\frac{1}{2} =$

(not possible in inclusive measurements, since of translation invariance)

• **proton spin** in terms of partonic degrees of freedom (so-called spin puzzle)

$$J_{z} = \int d^{3}r \left\{ \frac{1}{2} \psi^{+} \vec{\gamma} \gamma_{5} \psi + \psi^{+} \vec{r} \times i \vec{D} \psi + \vec{r} \times (\vec{E} \times \vec{B}) \right\}_{z} \quad \text{[X. Ji 96]}$$
yielding the sum rule:

$$\frac{1}{2} = \langle p, \uparrow | J_{z} | p, \uparrow \rangle = \sum_{q=u,d,s,\cdots} \left[\frac{1}{2} \Delta \Sigma^{q} + L^{q} \right] + J^{g}$$

measurements of polarized DIS (EMC, 88): $\Delta \Sigma^{u} + \Delta \Sigma^{d} + \Delta \Sigma^{s} \sim 0.3$ (instead of $\Delta \Sigma^{u} = 4/3$, $\Delta \Sigma^{d} = -1/3$ from SO(6) quark models)

$$J^{q}(Q^{2}) = \frac{1}{2}\Delta\Sigma^{q}(Q^{2}) + L^{q}(Q^{2}) = A^{q}(Q^{2}) + B^{q}(Q^{2}) \sum_{p=u,d,...,G} B^{p} = 0$$
moments of: PDF q GPD E
$$Iattice: J^{u} \sim 1/4 J^{d} \sim 0 \implies J^{G} \sim 1/4 \qquad \stackrel{! \text{ disconnected contributions}}{\underset{are still missing}{\text{ role of sea quarks}}}$$

GPDs embed non-perturbative physics

GPDs appear in various hard exclusive processes,

e.g., hard electroproduction of photons (DVCS)

DVCS p

[DM et. al (90/94) Radyushkin (96) **Ji (96)**]

 $\mathcal{Q}^2 > 1 \text{GeV}^2$ GPD

 $t = \Delta^2 - \text{fix}$

 $\mathcal{F}(\xi, \mathcal{Q}^2, t) = \int_{-1}^1 dx \ C(x, \xi, \alpha_s(\mu), \mathcal{Q}/\mu) F(x, \xi, t, \mu) + O(\frac{1}{\mathcal{O}^2})$

CFF Compton form factor

observable

hard scattering part

GPD

universal

(conventional)

higher twist

depends6on approximation

perturbation theory (our conventions/microscope)

GPD related hard exclusive processes

Deeply virtual Compton scattering (clean probe)

e

 $ep \rightarrow e'p'\mu^+\mu^ \gamma p \rightarrow p'e^-e^+$

 $ep \rightarrow e'p'\gamma$

• Hard exclusive meson production (flavor filter)



scanned area of the surface as a functions of lepton energy



 $ep \rightarrow e' p' \mu^+ \mu^-$

twist-two observables: cross sections

transverse target spin asymmetries

• etc.

growing data set from H1, ZEUS, HERMES, COMPASS, JLAB

 \mathcal{D}

GPD Properties

GPDs are intricate functions: $H(x, \eta = \xi, t, \mu^2 = Q^2)$

a non-trivial interplay of variable dependence

- *t*-dependence dies out at large x (spectator models, indicated by lattice & XQS-model)
- effective Regge behavior (from phenomenology) at small x; unknown p-dependence
- evolution depends on the GPD shape
- at least four phenomenological important GPDs for each parton GPD-constraints:
- reduction to PDFs:

$$q(x,\mu^2) = \lim_{\Delta \to 0} H(x,\eta,t,\mu^2)$$

• generalized form factor sum rules, e.g.: $F_1(t) = \int_{-1}^{-1} dx H(x, \eta) dx$ (polynomiality, GPD support property)

Ji's sum rule

$$g: F_1(t) = \int_{-1}^1 dx \, H(x,\eta,t,\mu^2)$$
$$\frac{1}{2} = \frac{1}{2} \int_{-1}^1 dx \, x(H+E)(x,\eta,t=0,\mu^2)$$

• positivity constraints (valid at LO) [P. Pobylitsa 02]

(strongly constraining variable interplay in the outer region)

A partonic duality interpretation

quark GPD (anti-quark $x \rightarrow -x$):

$$\begin{split} F(x,\eta,t) &= \\ \theta(-\eta \le x \le 1) \,\omega(x,\eta,t) + \theta(\eta \le x \le 1) \,\omega(x,-\eta,t) \\ \omega(x,\eta,t) &= \frac{1}{\eta} \int_0^{\frac{x+\eta}{1+\eta}} dy \, x^p f(y,(x-y)/\eta,t) \\ dual interpretation on partonic level: \end{split}$$

 α



central region $-\eta < x < \eta$

mesonic exchange in *t*-channel



outer region $\eta < x$ partonic exchange in s-channel



Photon leptoproduction $e^{\pm}N \rightarrow e^{\pm}N\gamma$ measured by H1, ZEUS, HERMES, CLAS, HALL A collaborations planed at COMPASS, JLAB@12GeV, perhaps at ?? EIC, $\frac{d\sigma}{dx_{\rm Bj}dyd|\Delta^2|d\phi d\varphi} = \frac{\alpha^3 x_{\rm Bj}y}{16\,\pi^2\,\mathcal{Q}^2} \left(1 + \frac{4M^2 x_{Bj}^2}{\mathcal{Q}^2}\right)^{-1/2} \left|\frac{\mathcal{T}}{e^3}\right|^2,$ $x_{\mathrm{Bj}} = \frac{\mathcal{Q}^2}{2P_1 \cdot q_1} \approx \frac{2\xi}{1+\xi},$ φ $y = \frac{P_1 \cdot q_1}{P_1 \cdot k},$ $q_{,}$ $\Delta^2 = t$ (fixed, small), $Q^2 = -q_1^2 \ (> 1 \text{GeV}^2),$ 11

interference of *DVCS* and *Bethe-Heitler* processes



Can one `measure' GPDs?

CFF given as GPD convolution:

$$\mathcal{H}(\xi, t, \mathcal{Q}^2) \stackrel{\text{LO}}{=} \int_{-1}^{1} dx \, \left(\frac{1}{\xi - x - i\epsilon} - \frac{1}{\xi + x - i\epsilon} \right) H(x, \eta = \xi, t, \mathcal{Q}^2)$$
$$\stackrel{\text{LO}}{=} i\pi H^-(x = \xi, \eta = \xi, t, \mathcal{Q}^2) + \text{PV} \int_{0}^{1} dx \frac{2x}{\xi^2 - x^2} H^-(x, \eta = \xi, t, \mathcal{Q}^2)$$

H(x,x,t,Q²) viewed as "spectral function" (s-channel cut):

$$H^{-}(x, x, t, Q^{2}) \equiv H(x, x, t, Q^{2}) - H(-x, x, t, Q^{2}) \stackrel{\text{LO}}{=} \frac{1}{\pi} \Im \mathcal{F}(\xi = x, t, Q^{2})$$
[Frankfurt et al (97)

(not the physical ones, threshold ξ_0 set to 0)

[Frankfurt et al (97 Chen (97) Terayev (05) KMP-K (07) Diehl, Ivanov (07)]

$$\Re e \mathcal{F}(\xi, t, Q^2) = \frac{1}{\pi} PV \int_0^1 d\xi' \left(\frac{1}{\xi - \xi'} \mp \frac{1}{\xi + \xi'} \right) \Im \mathcal{F}(\xi', t, Q^2) + \mathcal{C}(t, Q^2)$$
[Terayev (05)]

access to the **GPD** on the **cross-over line** $\eta = x$ (at LO)

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Modeling & Evolution

outer region governs the evolution at the cross-over trajectory $\mu^2 \frac{d}{d\mu^2} H(x, x, t, \mu^2) = \int_x^1 \frac{dy}{x} V(1, x/y, \alpha_s(\mu)) H(y, x, \mu^2)$ GPD at $\eta = x$ is `measurable' (LO) central region follows (polynomiality of moments) net contribution of outer + central region is η outer region governs evolution . 75 governed by a sum rule: $\int_{0.25}^{1} \mathbb{P} V \int_{0}^{1} dx \ \frac{2x}{n^2 - x^2} H^{-}(x, \eta, t)$ 0.25 0.5 0.75 X $= \mathrm{PV} \, \int_0^1 dx \, \frac{2x}{n^2 - x^2} H^-(x, x, t) + C(t)$

Overview: GPD representations



light cone wave function overlap

(Hamiltonian approach in light-cone quantization)

SL(2,R) (conformal) expansion

(series of local operators)

one version is called Shuvaev transformation, used in `dual' (*t*-channel) GPD parameterization Diehl, Feldmann, Jakob, Kroll (98,00) Diehl, Brodsky, Hwang (00)

Radyushkin (97); Belitsky, Geyer, DM, Schäfer (97); DM, Schäfer (05);

Shuvaev (99,02); Noritzsch (00) Polyakov (02,07)

each representation has its own *advantages*, 15 however, they are *equivalent* (clearly spelled out in [Hwang, DM 07])

Towards dynamical GPD (TMD) models

(k)

$$\mathcal{L} = \bar{\psi} \left(i \partial - m \right) \psi - \frac{1}{2} \phi \left(\partial^2 + \lambda^2 \right) \phi + g \bar{\psi} \psi \phi$$

struck spin-1/2 quark

collective scalar diquark spectator coupling knows about spin

Diagrammatic approach: via covariant time ordered

perturbation theory

LC- Hamiltonian approach

 $k^{\mu} \rightarrow (k^{+}, k^{-}, \mathbf{k}_{\perp}), \ k^{\pm} = k^{0} \pm k^{3}, \ \mathbf{k}_{\perp} = (k^{1}, k^{2}).$

integrate out minus component to find LCWF

parton number conserved LCWF

(outer region)



parton number violating LCWF

(central region)



GPD ansatz at small x from t-channel view

- At short distance a quark/anti-quark state is produced, labeled by *conformal spin j*+2
- * they form an intermediate mesonic state with total angular momentum J strength of coupling is $f_j^J, J \leq j+1$
- mesons propagate with

$$\frac{1}{m^2(J)-t} \propto \frac{1}{J-\alpha(t)}$$

 decaying into a nucleon anti-nucleon pair with given angular momentum *J*, described by an *impact form factor*

$$F_{j}^{J}(t) = \frac{f_{j}^{J}}{J - \alpha(t)} \frac{1}{(1 - \frac{t}{M^{2}(J)})^{p}}$$



form factor and parton density constraints are easily implemented, but not positivity



reasonable well motivated hypotheses of GPDs (moment) must be implemented

- many parameters Is a least square fit an appropriate strategy?
- straightforward technical, however, time consuming work is left

DVCS fits for H1 and ZEUS data

DVCS cross section measured at small $x_{Bj} \approx 2\xi = \frac{2Q^2}{2W^2+Q^2}$ $40 \text{GeV} < W < 150 \text{GeV}, \quad 2 \text{GeV}^2 < Q^2 < 80 \text{GeV}^2, \quad |t| < 0.8 \text{GeV}^2$ predicted by

$$\frac{d\sigma}{dt}(W,t,\mathcal{Q}^2) \approx \frac{4\pi\alpha^2}{\mathcal{Q}^4} \frac{W^2\xi^2}{W^2 + \mathcal{Q}^2} \left[\left|\mathcal{H}\right|^2 - \frac{\Delta^2}{4M_p^2} \left|\mathcal{E}\right|^2 + \left|\widetilde{\mathcal{H}}\right|^2 \right] \left(\xi,t,\mathcal{Q}^2\right) \Big|_{\xi = \frac{\mathcal{Q}^2}{2W^2 + \mathcal{Q}^2}}$$

$$\widehat{\square}$$

suppressed contributions $\langle 0.05 \rangle$ relative $O(\xi)$

- LO data could not be described before 2008
- NLO works with ad hoc GPD models [Freund, McDermott (02)] results strongly depend on employed PDF parameterization
- Kumericki, DM, Passek-Kumericki



good DVCS fits at LO, NLO, and NNLO with flexible GPD ansatz





- @LO the conformal ratio $r_{con} = \frac{2^{\alpha}\Gamma(3/2+\alpha)}{\Gamma(3/2)\Gamma(2+\alpha)}$ is ruled out for sea quark GPD
- a generically zero-skewness effect over a large Q² lever arm
- scaling violation consistent with pQCD prediction
- this zero-skewness effect is non-trivial to realize in conformal space (SO(3) sibling poles are required)

- CFF *H* posses ``pomeron behavior" $\xi^{-\alpha(Q)} \alpha'(Q)t$
 - *α* increases with growing Q²
 α' decreases with growing Q²
- *t*-dependence: exponential shrinkage is disfavored ($\alpha' \approx 0$) dipole shrinkage is visible ($\alpha' \approx 0.15$ at $Q^2=4$ GeV²)
- (normalized) profile functions

$$ho \propto \int d^2 \vec{\Delta}_{\perp} \ e^{i \vec{b} \cdot \vec{\Delta}_{\perp}} H(x, 0, t = -\vec{\Delta}_{\perp}^2)$$





Dispersion relation fits to unpolarized DVCS

• model of GPD H(x,x,t) within DD motivated ansatz at Q²=2 GeV²

fixed:
rules

$$H(x, x, t) = \frac{n r 2^{\alpha}}{1 + x} \left(\frac{2x}{1 + x} \right)^{-\alpha(t)} \left(\frac{1 - x}{1 + x} \right)^{b} \frac{1}{\left(1 - \frac{1 - x}{1 + x} \frac{t}{M^{2}} \right)^{p}}{\left(1 - \frac{1 - x}{1 + x} \frac{t}{M^{2}} \right)^{p}}$$
free:
r-ratio at small x large x-behavior p-pole mass sea quarks (taken from LO fits)

$$n = 0.68, r = 1, \alpha(t) = 1.13 + 0.15t/\text{GeV}^2, m^2 = 0.5\text{GeV}^2, p = 2$$

valence quarks

$$n = 1.0, \ \alpha(t) = 0.43 + 0.85t/\text{GeV}^2, \ p = 1$$

flexible parameterization of subtraction constant

+ pion-pole contribution
36 + 4 data points quality of *global fit* is good

$$\mathcal{D}(t) = \frac{-C}{(1 - t/M_c^2)^2}$$

$$\chi^2/\mathrm{d.o.f.} pprox 1^{\,\mathrm{24}}$$

Global GPD fit example: HERMES & JLAB



 extracting GPD from present collider and fixed target DVCS data

 $H(x,x,t,Q^2=2 \text{ GeV}^2)$

prediction for COMPASS



Summary/Conclusions

exclusive processes are challenging for both experiment and theory

GPDs are intricate and (thus) a promising tool

- to reveal the transverse distribution of partons
- to address the spin content of the nucleon
- providing a bridge to non-perturbative methods (e.g., lattice)

hard exclusive leptoproduction

- possesses a rich structure, allowing to access various CFFs/GPDs
- it is elaborated in NLO and offers a new insight in QCD
- DVCS is widely considered as a theoretical clean process
- a high luminosity machine with dedicated detectors is desired to quantify exclusive (and inclusive) QCD phenomena

tools/technology for truly global fits should be developed:

to quantify the partonic picture and to improve our QCD understanding

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Back up slides

Strategies to analyze DVCS data GPD model approach:

ad hoc modeling: (first decade) VGG code [Goeke et. al (01) based on Radyuskin's DDA] BKM model [Belitsky, Kirchner, DM (01) based on RDDA] `aligned jet' model [Freund, McDermott, Strikman (02)] Kroll/Goloskokov (05) based on RDDA [not utilized for DVCS] `dual' model [Polyakov,Shuvaev 02;Guzey,Teckentrup 06;Polyakov 07] " -- " [KMP-K (07) in MBs-representation] Bernstein polynomials [Liuti et. al (07)]

dynamical models: not applied [Radyuskin et.al (02); Tiburzi et.al (04); Hwang DM (07)]...

flexible models:any representation by including unconstrained degrees of freedom(for fits)KMP-K (07/08) for H1/ZEUS in MBs-representation

What is the physical content of `*invisible*' (*unconstrained*) degrees of freedom?

Extracting CFFs from data: real and imaginary part

0. analytic formulae [BMK 01]

i. (almost) without modeling [Guidal, Moutarde (08/09)]

ii. dispersion integral fits[KMP-K (08),KM (08/09)]iii. flexible GPD modeling[KM (08/09)]

SL(2,R) representations for GPDs

support is a consequence of Poincaré invariance (polynomiality)

$$H_j(\eta, t, \mu^2) = \int_{-1}^{1} dx \, c_j(x, \eta) H(x, \eta, t, \mu^2) \,, \qquad c_j(x, \eta) = \eta^j C_j^{3/2}(x/\eta)$$

• conformal moments evolve autonomous (to LO and beyond in a special scheme)

$$\mu \frac{d}{d\mu} H_j(\eta, t, \mu^2) = -\frac{\alpha_s(\mu)}{2\pi} \gamma_j^{(0)} H_j(\eta, t, \mu^2)$$

• inverse relation is given as series of mathematical distributions:

$$H(x,\eta,t) = \sum_{j=0}^{\infty} (-1)^{j} p_{j}(x,\eta) H_{j}(\eta,t) , \ p_{j}(x,\eta) \propto \theta(|x| \le \eta) \frac{\eta^{2} - x^{2}}{\eta^{j+3}} C_{j}^{3/2}(-x/\eta)$$

various ways of resummation were proposed:

• smearing method [Radyushkin (97); Geyer, Belitsky, DM., Niedermeier, Schäfer (97/99)]

- mapping to a kind of forward PDFs [A. Shuvaev (99), J. Noritzsch (00)]
- dual parameterization (a mixture of both) [M. Polyakov, A. Shuvaev (02)]
- based on conformal light-ray operators [Balitsky, Braun (89); Kivel, Mankewicz (99)]
- Mellin-Barnes integral [DM, Schäfer (05); A. Manashov, M. Kirch, A. Schäfer (05)]



effective functional form at small x:

GPDs:

PDFs:

not seen in standard Regge phenomenology

?
$$E(\xi,\xi,t,\mathcal{Q})$$

chromo-magnetic "pomeron" might be sizeable (instantons)

pQCD suggests `pomeron' intercept

qualitative understanding of *E* is needed (not only for Ji`s spin sum rule)

$$B = \int_0^1 dx \, x E(x, \eta, t, \mathcal{Q})$$

Present data set for unpolarized proton target

- $<<x>> \approx 10^{-3}, <|t|> \le 0.8 \text{ GeV}^2$ • H1/ZEUS 98 [*σ*, *dσ/dt*] +1x6 [BCA(*φ*)] $\langle Q^2 \rangle \approx 8 \text{ GeV}^2$ • HERMES(02) 12+3 [BSA, *sin(φ)*] $0.05 \le \langle x \rangle \le 0.2, \quad \langle |t| \rangle \le 0.4 \text{ GeV}^2$ $\langle \langle Q^2 \rangle \rangle \approx 2.5 \text{ GeV}^2$ • HERMES(08) 12x2 [BCA, cos(0 φ), cos(φ)] $12x2 [cos(2 \phi), cos(3 \phi)]$ • HERMES(09) not included new BSA and BCA data • CLAS(07) $0.14 \le \langle x \rangle \le 0.35$, $\langle |t| \rangle \le 0.3 \, \text{GeV}^2$ 12x12 [BSA(ϕ)] 40x12 [BSA(φ)] (large |t| or bad sta.) $<<Q^2>> \approx 1.8 \text{ GeV}^2$ $<x>=0.36, <|t|> \le 0.33 \text{ GeV}^2$ • HALL A(06) 12x24 [$\Delta \sigma(\varphi)$] $<< Q^2 >> \approx 1.8 \text{ GeV}^2$ 3x24 [σ(φ)] How to analyze φ dependence? fit within assumed functional form [CLAS(07)] fit with respect to dominant and higher harmonics [HERMES(08)]
 - utilize Fourier analyze (with or without additional weight) [BMK(01)]

equivalent results for CLAS data with small stat. errors