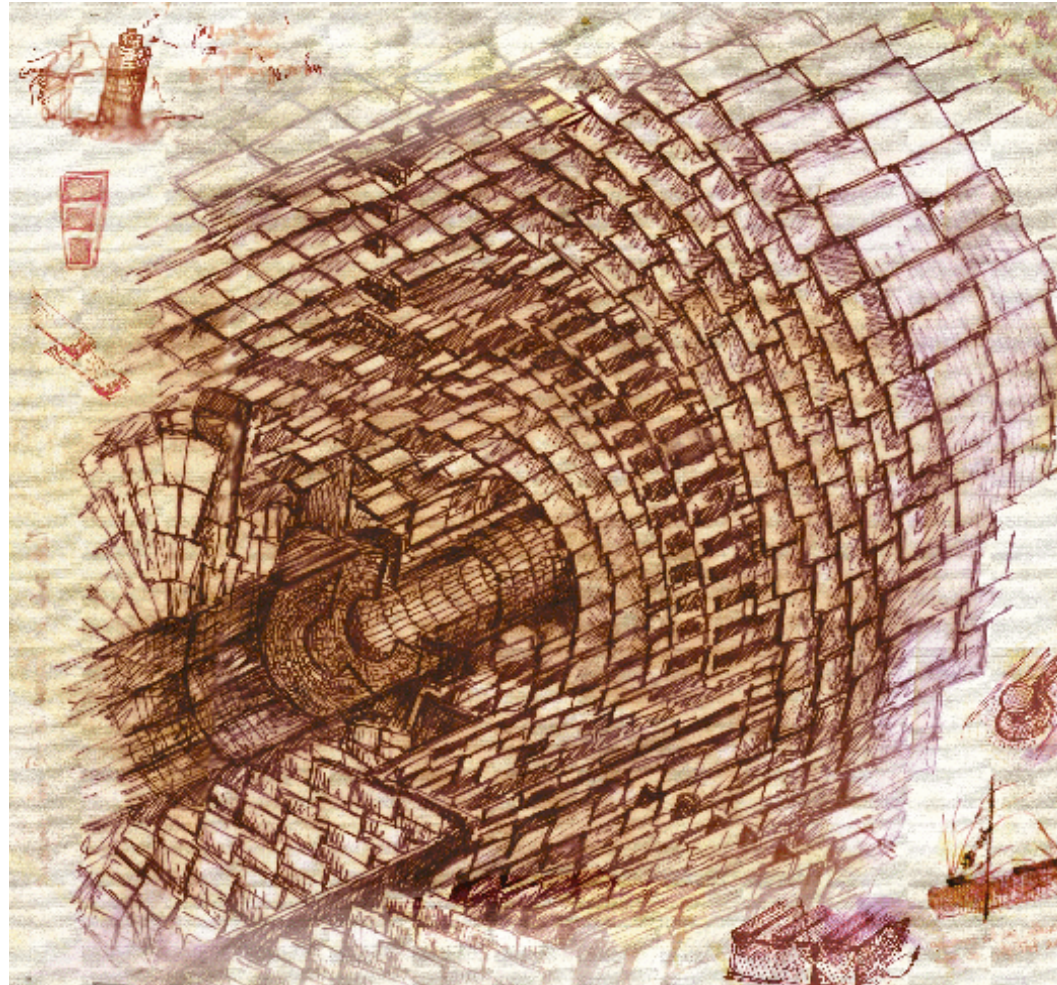




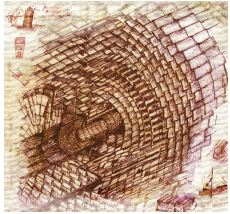
Intelligent Trackers as L1 Trigger Providers for the SLHC



February 2010

Intelligent Trackers as L1 Trigger Providers for
the SLHC

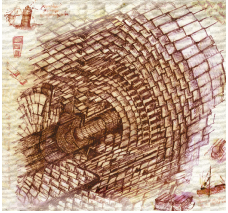
Marcello Mannelli



Outline



- **Motivation for an L1 Tracking Trigger, and reminder of Requirements**
- **Local Data Reduction**
 - Using Track Vectors, or Stubs
 - Derived from “Stacked Modules”
- **A Hierarchical Scheme with Double Stack Layers**
 - Provides L1 Tracklets, in addition to Stubs, in a “local” and computationally efficient way, which provide good High Pt discrimination and which can be combined across layers
- **A Long Barrel Straw Man Layout**
 - Which uses Double Stack Layers for both L1 Tracking Trigger and Full Track Reconstruction
- **Summary and Conclusions**



Scope of this Discussion: Outer Tracker



- **The region of the inner-most Pixel Layers is fundamentally challenging at the SLHC, especially for the Sensor Technology**
 - One may speculate as to the most promising way forward
 - B-tagging, e/γ discrimination remain Very Important
- **Assume 4 or 5 Layers of Fine-Pitch Pixels**
 - To be better defined
 - Possible L1 Trigger primitives formation under study, but no viable schemes identified yet
- **Here focus on Outer Tracker as providing also L1 Trigger Primitives**
 - Assume boundary between inner-most Pixel Layers and Outer Tracker is somewhere between 20 ~ 40cm
 - In any future baseline layout, Outer Tracker and inner-most Pixel Layers will have to make a coherent Tracking System

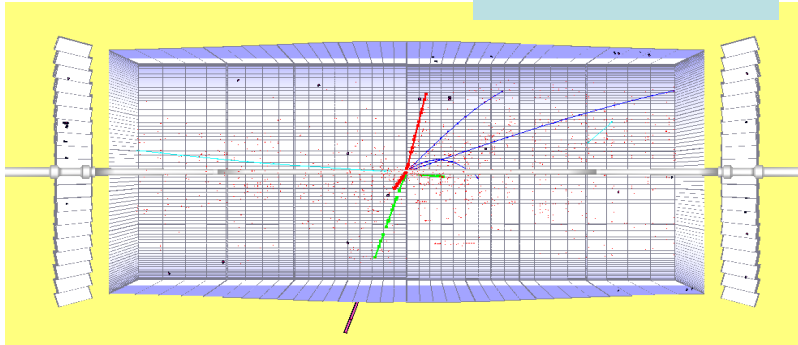


CMS from LHC to SLHC

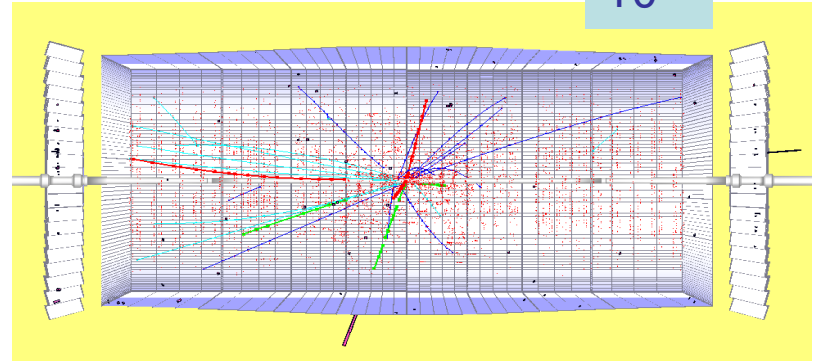
Motivation for L1 Tracking Trigger



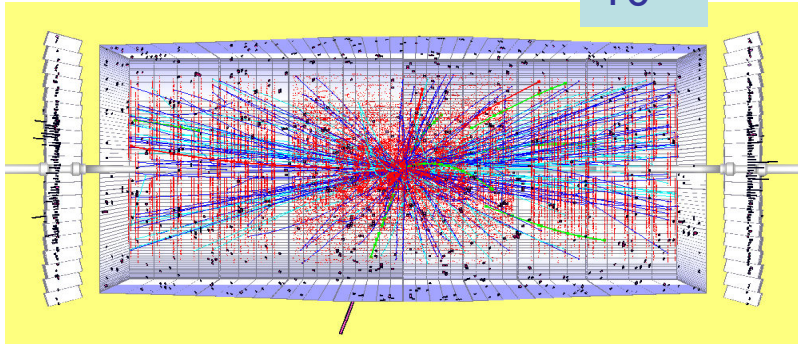
$10^{32} \text{ cm}^{-2} \text{ s}^{-1}$



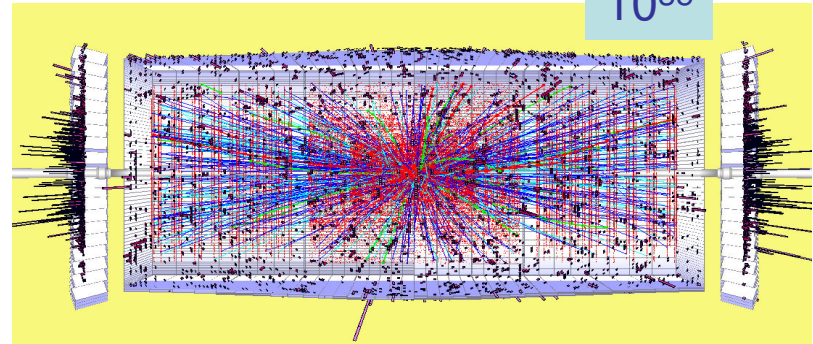
10^{33}



10^{34}

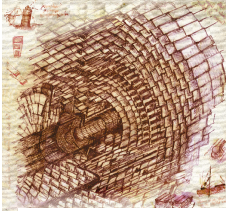


10^{35}



At SLHC CMS faces new challenges, in particular for both Tracking and Triggering

I. Osborne

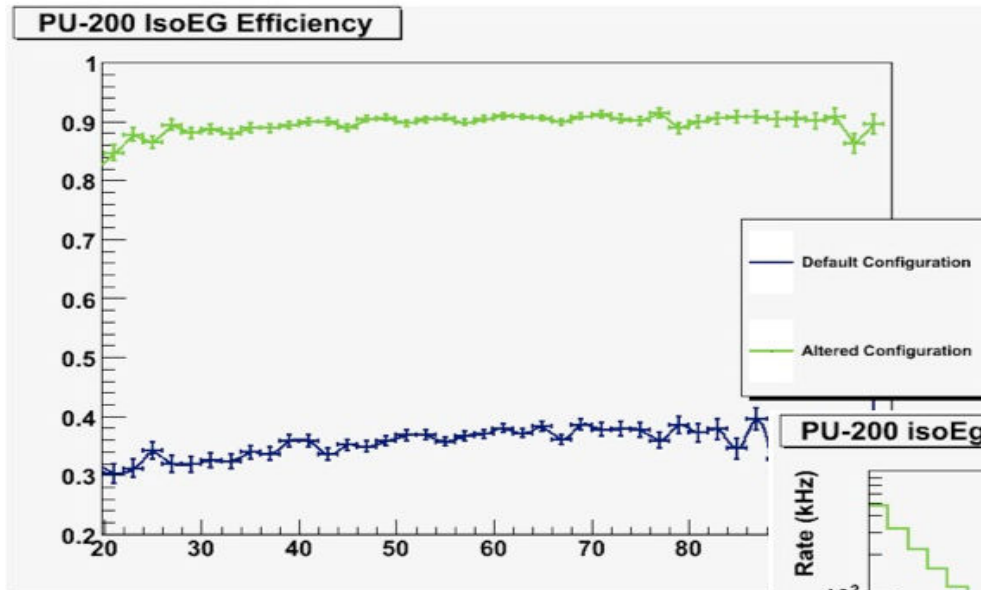


CMS from LHC to SLHC

Motivation for L1 Tracking Trigger

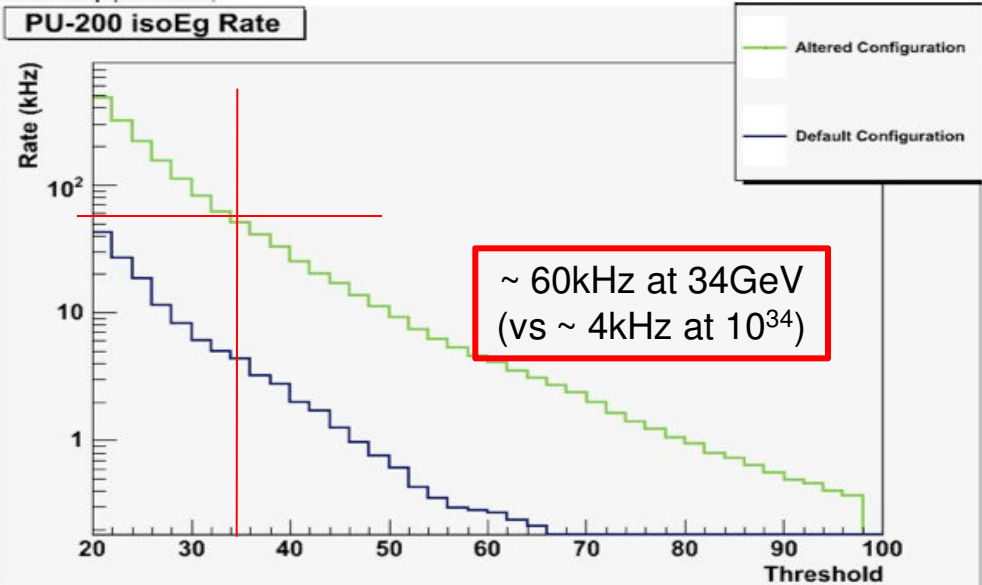


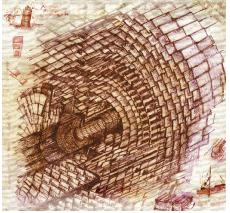
Isolated Electron-Photon L1 Trigger with 200 event Pile Up



Optimized for 10^{34}

Retuned for ~ 90% Efficiency at 10^{35}



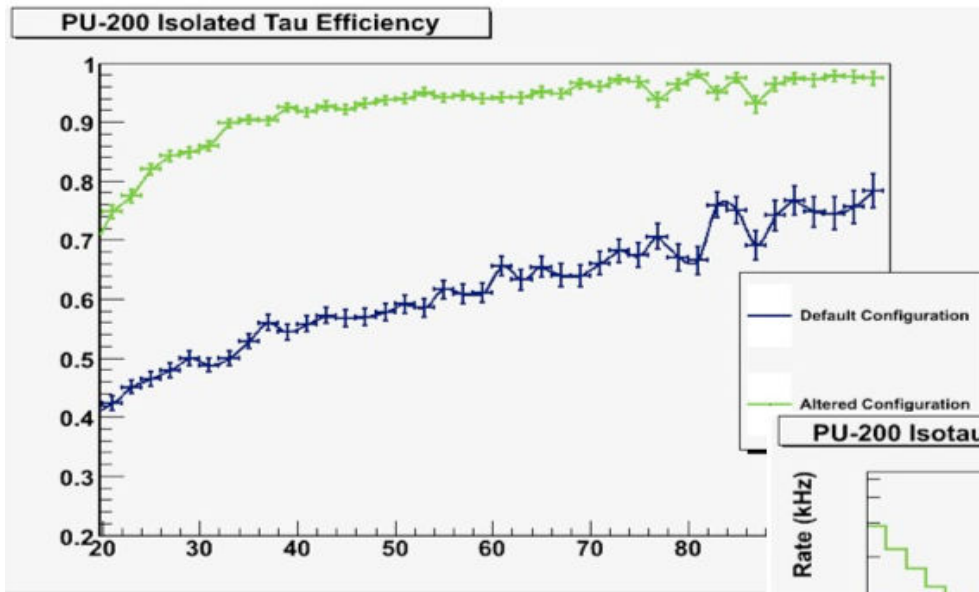


CMS from LHC to SLHC

Motivation for L1 Tracking Trigger

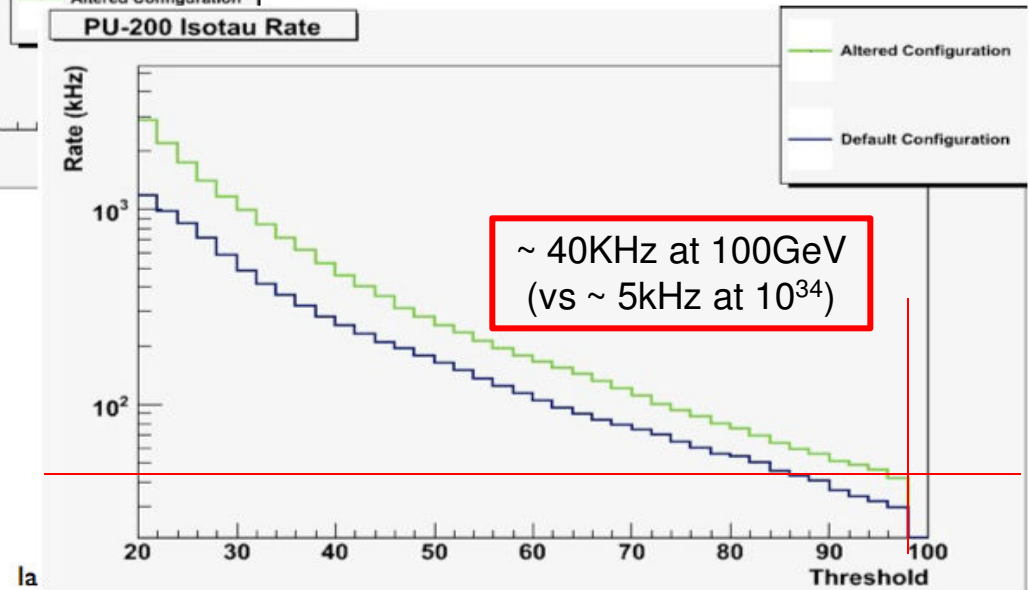


Isolated TauL1 Trigger with 200 event Pile Up

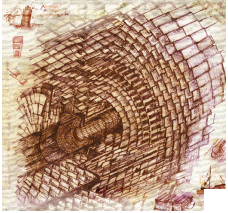


Optimized for 10^{34}

Retuned for ~ 90% Efficiency at 10^{35}



~ 40kHz at 100GeV
(vs ~ 5kHz at 10^{34})



CMS from LHC to SLHC

Motivation for L1 Tracking Trigger



CMS SLHC Tracking Trigger



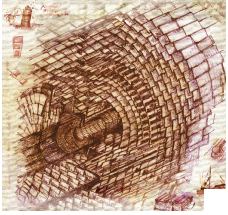
L1 Trigger without tracking

- Possible to improve to ultimate performance stand-alone to cope higher than 10^{34} but not out to 10^{35} .
- These improvements involve patterns and granularity more fine than in the present trigger
- These are required in any case for use with a L1 tracking trigger.

L1 trigger with tracking

- Prospects to cope up to 10^{35} look reasonable
- Based on what we know from HLT simulation results
- Moreover, tracking provides a “game-changing” opportunity to keep or reduce the 10^{34} physics thresholds at 10^{35} .

All of this needs validation with simulation



CMS from LHC to SLHC

Motivation for L1 Tracking Trigger



CMS SLHC Tracking Trigger



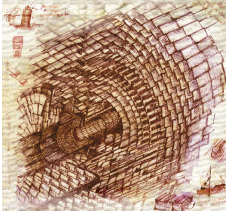
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L1 trigger with tracking

- Prospects to cope up to 10^{35} look reasonable
- Based on what we know from HLT simulation results
- Moreover, tracking provides a “game-changing” opportunity to keep or reduce the 10^{34} physics thresholds at 10^{35} .

“An L1 Track Trigger for SLHC is not an Elective Project”



SLHC L1 Tracking Trigger: Reminder of Required Functionality



- **Electrons/Photons**

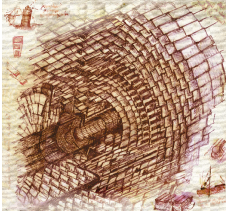
- Rate dominated by high Pt π^0 from jets
- Is there a high Pt charged track consistent with L1 ECAL Cluster?
 - Is it Isolated?

- **Muons**

- Rate dominated by miss measured muons from jets, below the Pt threshold
- Is there a matching high Pt charged track above the Pt threshold?
 - Is it Isolated?

- **Taus**

- Rate dominated by ~ narrow high Pt jets
- Is there a narrow low multiplicity jet associated to the L1 Calo Cluster?
- Is it Isolated?



Required Functionality

L1 Trigger: Muons



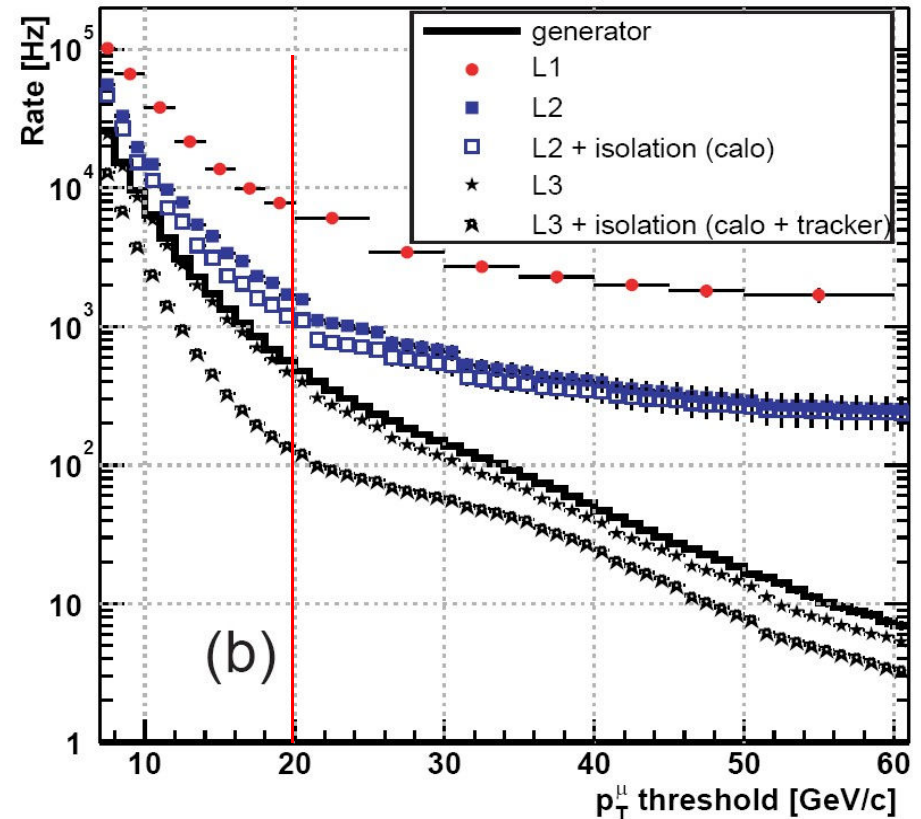
- Confirmation of High Pt Muon Candidates: now done in HLT

- Accurate measurement of Tracks with $P_t > 15 \sim 20$ GeV

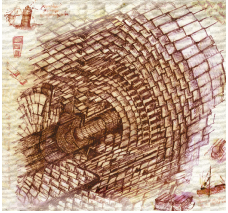
- Reduce L1 rate by $\sim 1/10$
- Fast, Efficient high Pt Tracking
- 1 \sim 2% Pt resolution for $\eta < 2$

- Isolation ?

- Further reduce rate by $\sim 1/10$
- ...



Factor ~ 100 rate reduction for same Pt threshold

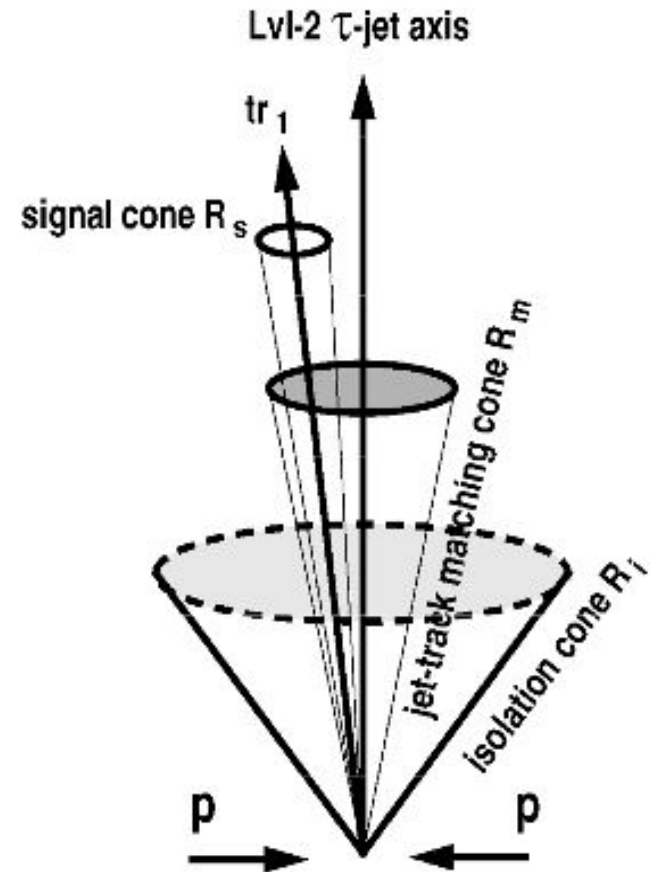


Required Functionality

L1 Trigger: Taus



- **Confirmation of High Pt Narrow Jet Candidates**
 - Tracks with Pt above ~ 10 GeV
 - Fast, Efficient high Pt Tracking
 - Good Pt resolution
- **Isolation**
 - Tracks with Pt above ~ 2 GeV
 - Fast, Efficient and Clean Tracking down to Pt ~ 2 GeV
- **Longitudinal Primary Vertex association**
 - Required to maintain efficiency at 10^{35}
 - Tracks with Pt above ~ 2 GeV
 - Good Z Vertex resolution

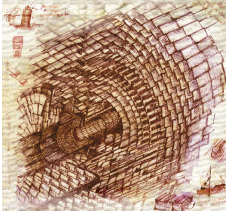




An additional Desirable Feature: rejection of Uncorrelated Combinations



- **Rejection of Uncorrelated Combinations, from different primary vertices?**
 - At 10^{35} expect ~ 200 pile-up interactions for each 25ns bunch crossing
 - Uncorrelated Trigger Primitives from different primary vertices may force higher thresholds Combined Triggers
 - Longitudinal of Trigger Primitives with Tracks at Vertex would avoid this
 - May be possible if track Longitudinal Vertex Association, required for Isolation cuts, is available



Local Occupancy Reduction



- **Cannot possibly transfer all Tracker data at 40MHz !**
- **Crossing Frequency / Event Read-Out $\sim 40\text{MHz} / 100\text{kHz} \sim 1 / 400$**
 - L1 Data reduction by a factor of 10 ~ 100 is required
- **For L1 Trigger propose to transfer only hits from tracks with $P_t > \sim 2 \text{ GeV}$**
 - The aim is to provide useful Isolation information
 - Tracks with $P_t > \sim 2 \text{ GeV}$ are less than 1% of the Tracks inside acceptance
 - This corresponds to the maximum plausibly manageable L1 data rate
- **In addition, must provide means of rapidly & reliably identifying high P_t (isolated) tracks ($P_t > 10 \sim 25 \text{ GeV}$)**

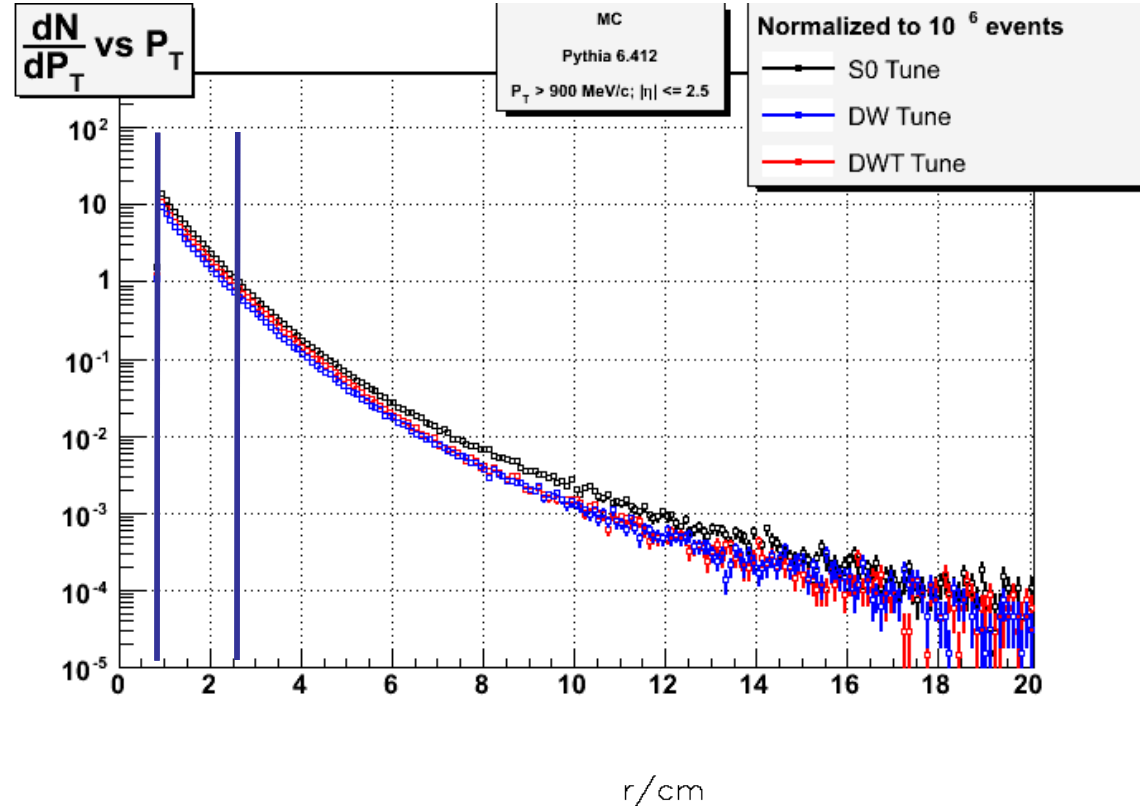
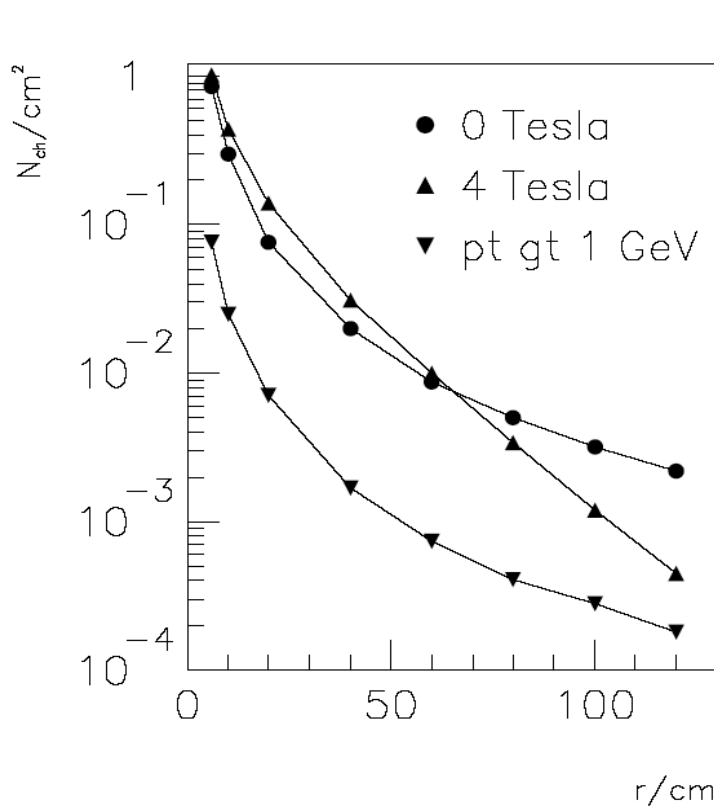


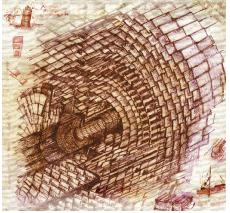
Local Occupancy Reduction



**Tracks with $P_t > 1$ GeV
< 10% of Tracks in acceptance**

**Tracks with $P_t > 2.5$ GeV
< 10% of the remaining Tracks**



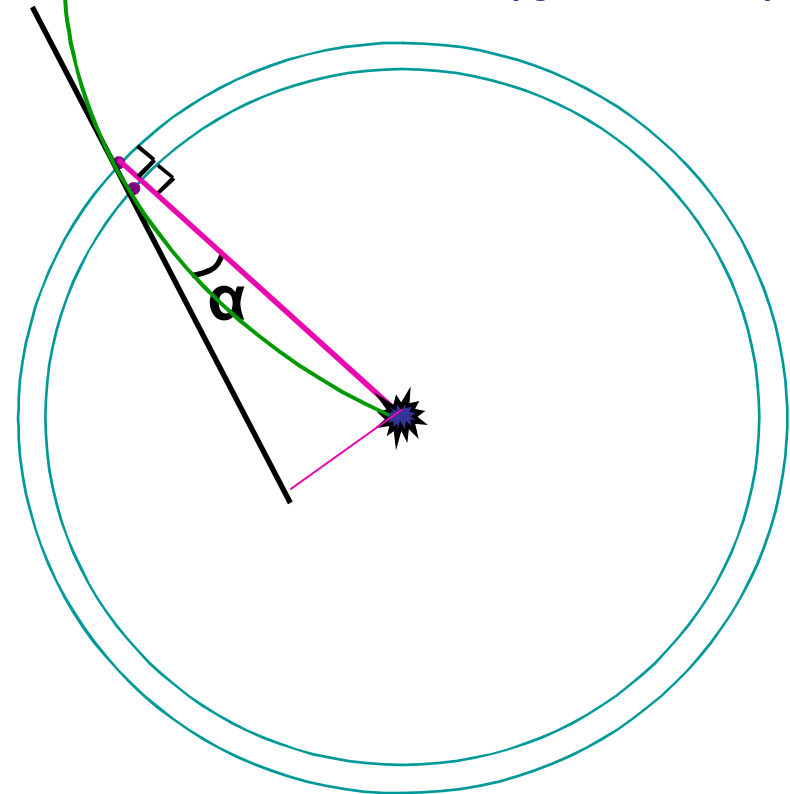


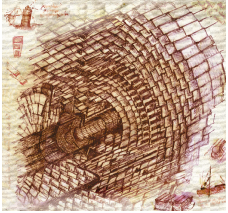
Local Occupancy Reduction with Local Track Vectors



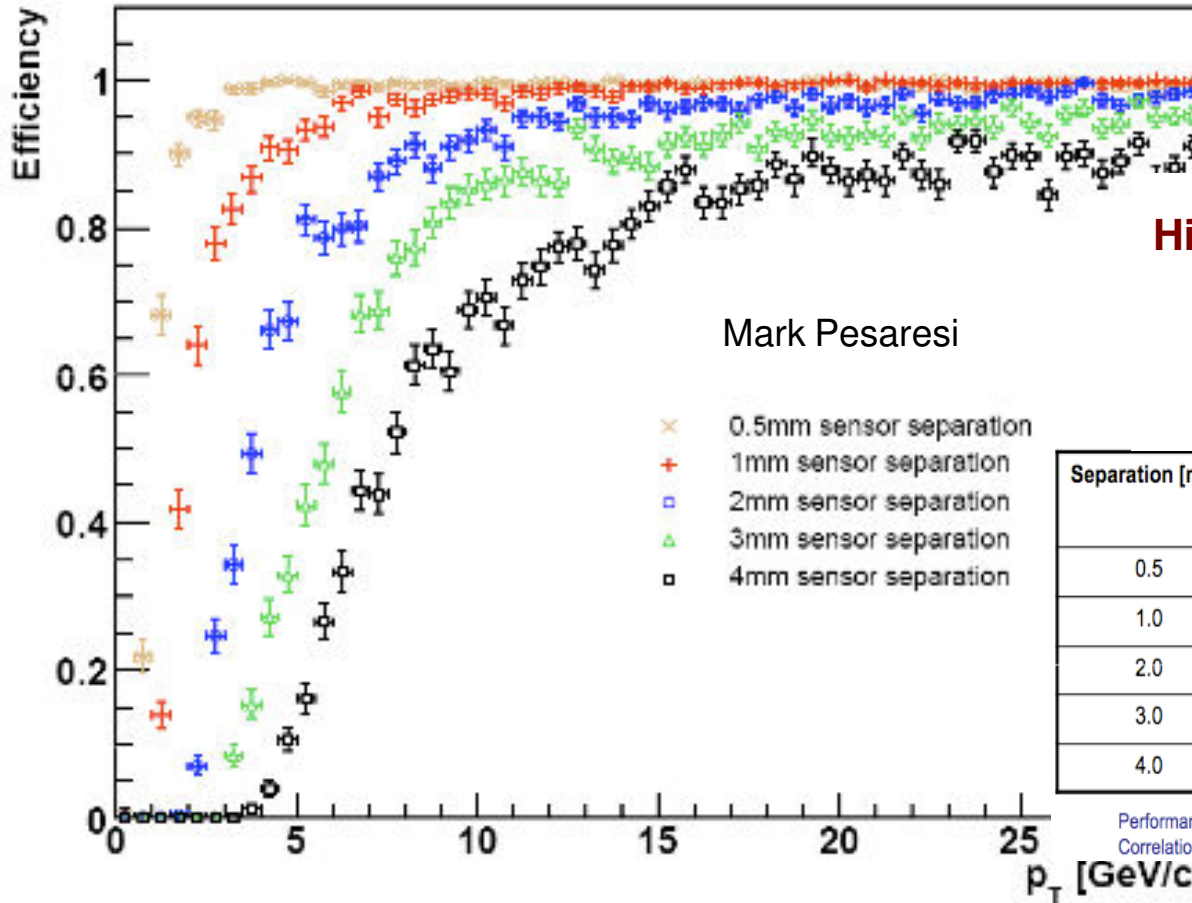
- **Pairs of Sensor Planes, for local Pt measurement**
- **High Pt tracks point towards the origin, low Pt tracks point away from the origin**
- **Use a Pair of Sensor Planes, at ~ mm distance**
 - Pairs of Hits provide Vector, that measure angle of track with respect to the origin
 - Note: angle proportional to hit pair radius
- **Keep only Vectors corresponding to high Pt Tracks**

J. Jones (~2005)
CMS Tracker SLHC Upgrade Workshops





Early results for a Stack of closely spaced sensors: pitch $\sim 100\mu\text{m} \times 2.4\text{mm}$ (M. Pesaresi)



Mark Pesaresi

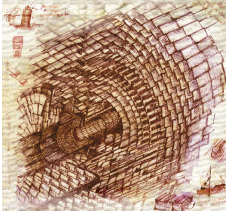
High rejection factors possible
Much Sharper Threshold
For Low Threshold Value

- × 0.5mm sensor separation
- + 1mm sensor separation
- 2mm sensor separation
- △ 3mm sensor separation
- 4mm sensor separation

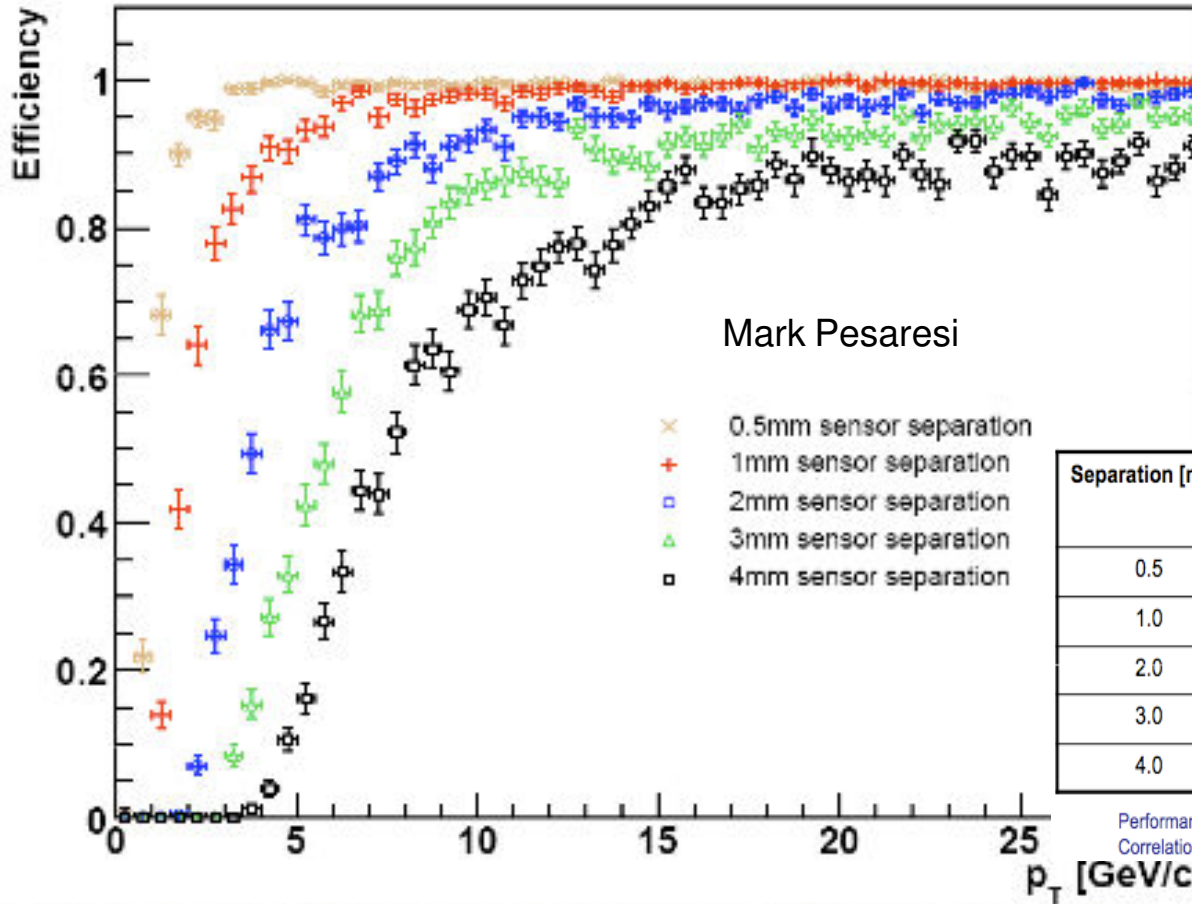
Separation [mm]	Max Efficiency [%]	Fake [%] (or average number/event)	Reduction Factor
0.5	99.05	0.73 (12.22)	8.04
1.0	99.35	4.14 (25.58)	22.26
2.0	97.745	17.83 (18.74)	95.99
3.0	96.00	39.08 (23.76)	210.28
4.0	92.95	47.27 (32.39)	254.35

Performance of a detector stack at $r=25\text{cm}$ for sensors with pitch $100\mu\text{m} \times 2.37\text{mm}$.
 Correlation cuts optimised for high efficiency

p_T discriminating performance of a stacked layer at $r=25\text{cm}$ for various sensor separations using 10,000 di-muon events with smearing



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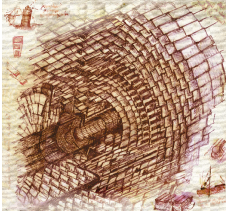


**No useful discrimination
at $p_T \sim 20$ GeV**

Separation [mm]	Max Efficiency [%]	Fake [%] (or average number/event)	Reduction Factor
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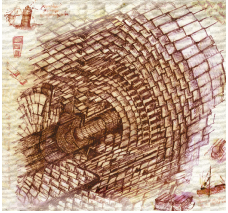
Local Occupancy Reduction a Hierarchical scheme with Double Stacks



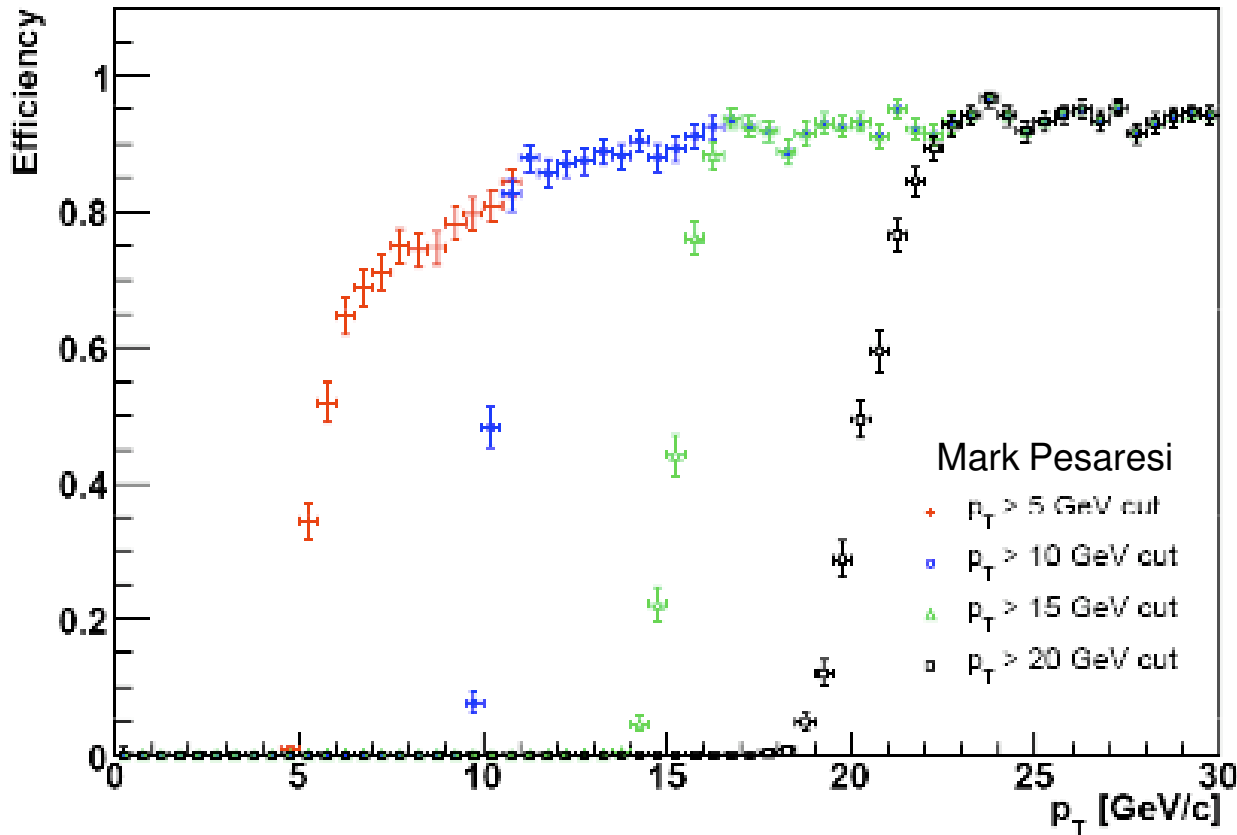
Local Information Gathering, and Processing Hierarchy



- **Within a Stacked-Sensor Module**
 - Collect Hits from each Sensor
 - Match into Stubs & Reject Stubs from Very low Pt Tracks: $P_t < \sim 2\text{GeV}$
 - Nb one datum / Hit Pair
- **Within a Double Stack**
 - Collect Stubs from each Sensor Doublet Module
 - Match into Tracklets
- **Combine with other layers, and use to provide High Pt & Isolation L1 Track Trigger Primitives**

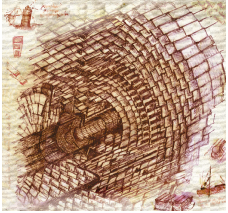


Early results for a pair of Double Stacks spaced ~ 10cm apart (M. Pesaresi)



**Good discrimination
up to $p_T \sim 20$ GeV**

p_T discriminating performance using double stacks for 10,000 0-30GeV di-muon events with smearing

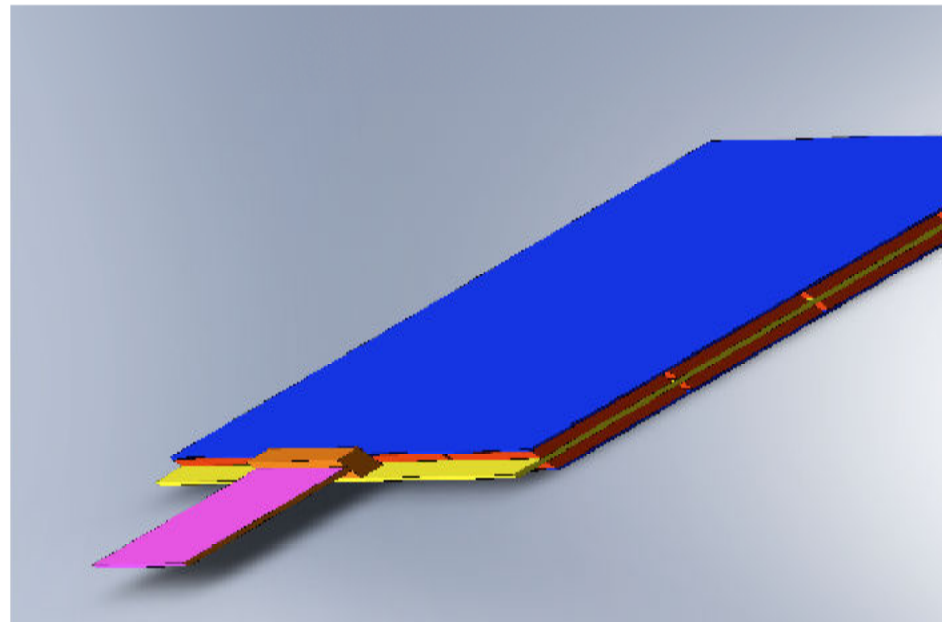


Straw Man Sensor Stacked Module: Vertically Integrated Hybrid Module



- **Example of Vertically Integrated Hybrid Module:**
 - Chips are bonded to sensors (Pixels $\sim 100\mu\text{m}$ pitch * 1.25 ~ 2.5mm length)
 - And connected to central (Si) pcb through vias to back-side of Chip
 - Direct Vertical Chip-to-Chip transmission: minimizes Power
 - Analogue connection of top sensor to bottom chips also under study
 - A Challenge for Interconnect, Bonding and Assembly technologies

See presentations by G.Hall,
A.Marchioro, R. Lipton,
B.M. Tripathi, J. Alexander...

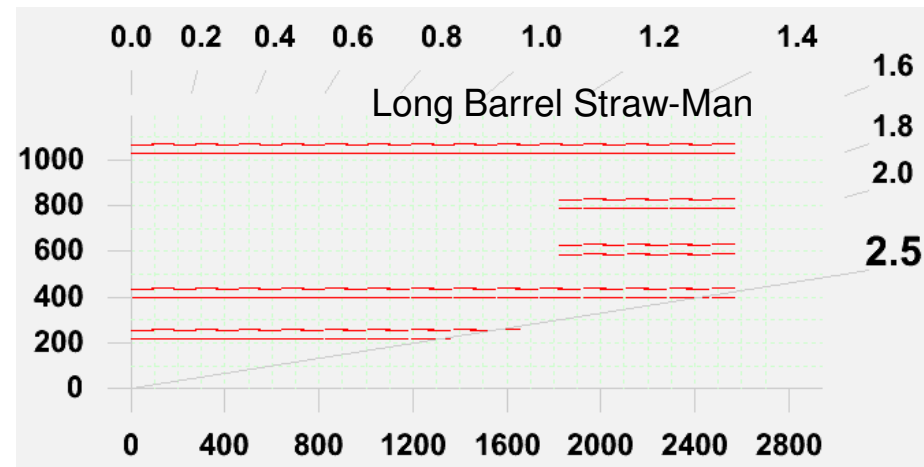
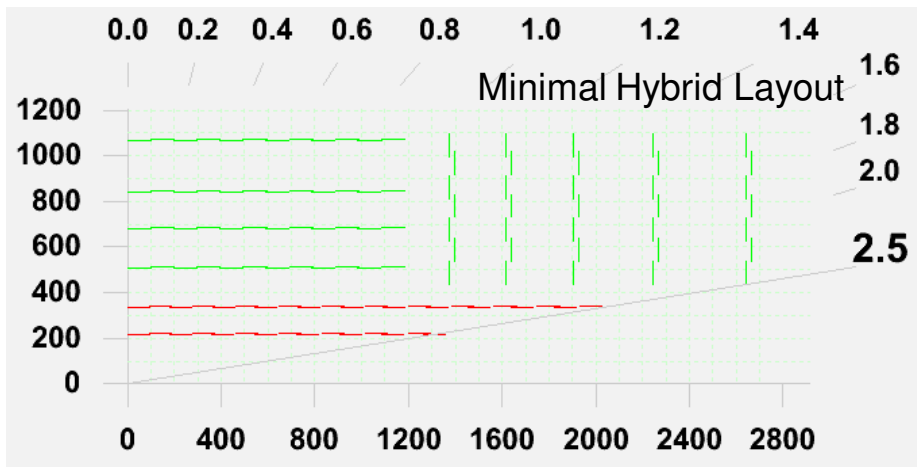




Possible Tracker Layouts Incorporating Stacked Module Layers



- **Several potential layouts for an SLHC Outer Tracker are under study**
 - Want to increase granularity as well as minimize material in future tracker
 - Need to understand how many triggering layers (in red), and where they need to be located in order to provide adequate triggering capability
- **No final decision on layout of tracker until final requirements determined**

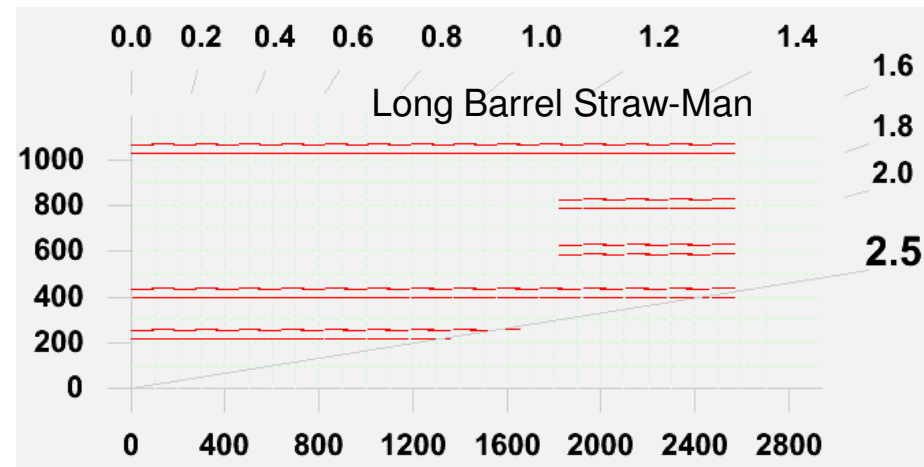
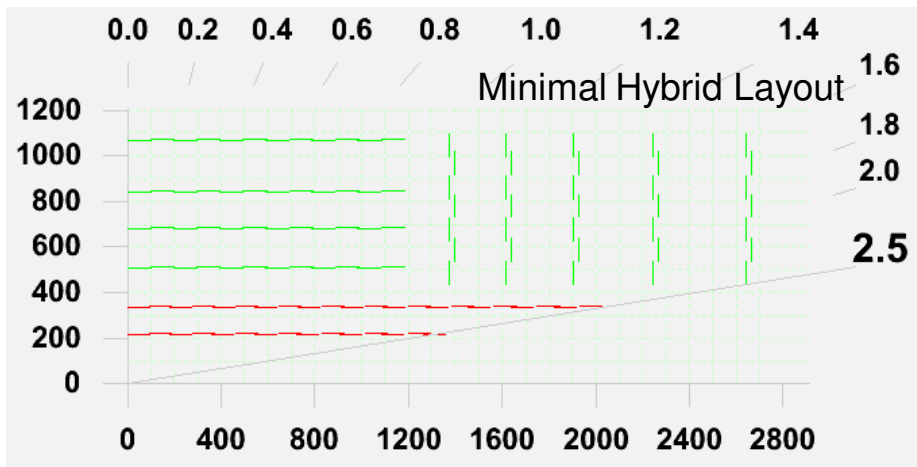


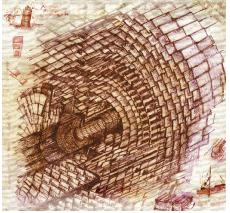


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 - Want to increase granularity as well as minimize material in future tracker
 - Need to understand how many triggering layers (in red), and where they need to be located in order to provide adequate triggering capability
- **Here focus on the Long Barrel Straw Man as it is the most thought provoking**



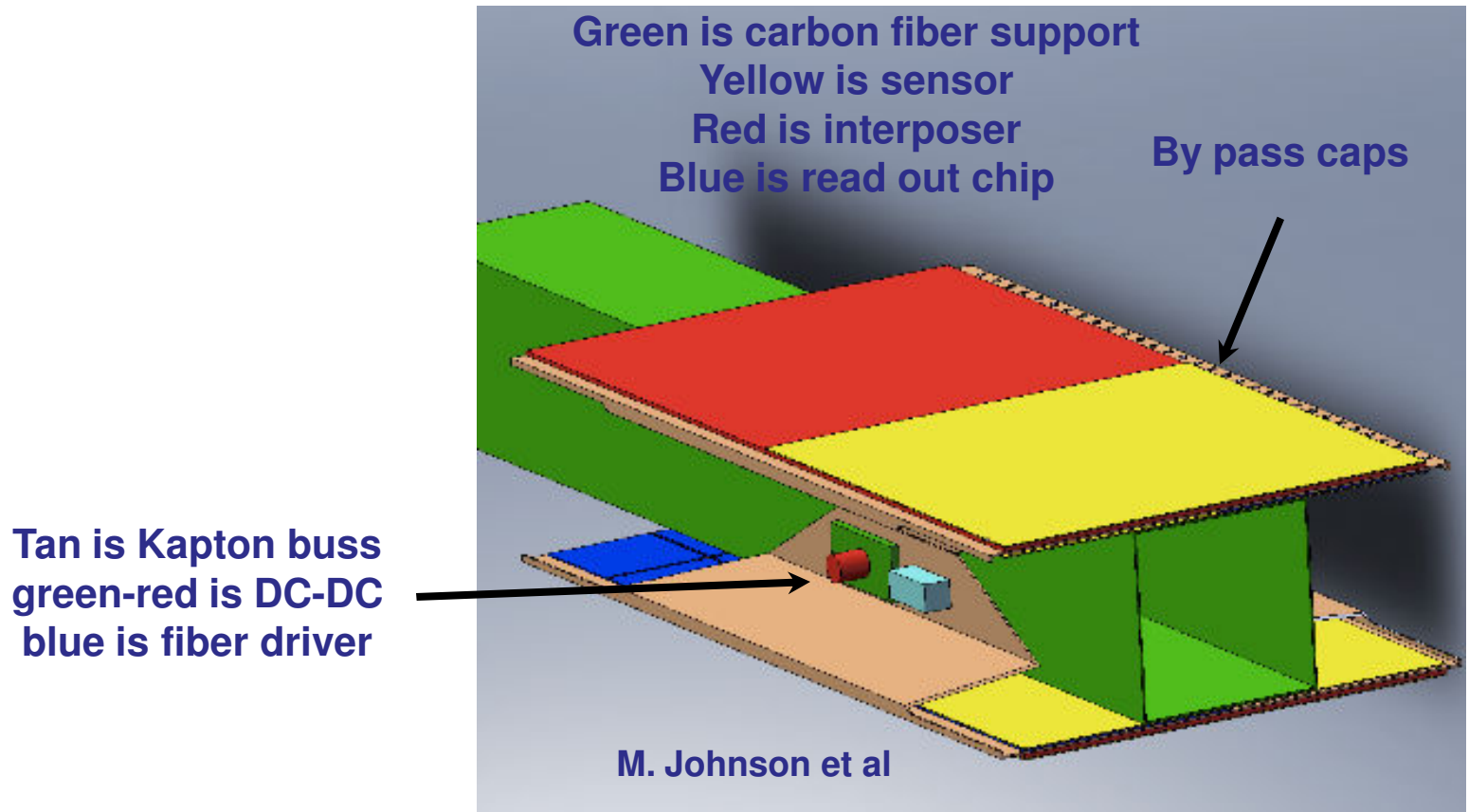


CMS SLHC Tracker

Long Barrel Straw Man Double-Stack Layer



- **Modules are arranged on long ROD's or BEAMS, to form log barrells of Double-Stack Layers**

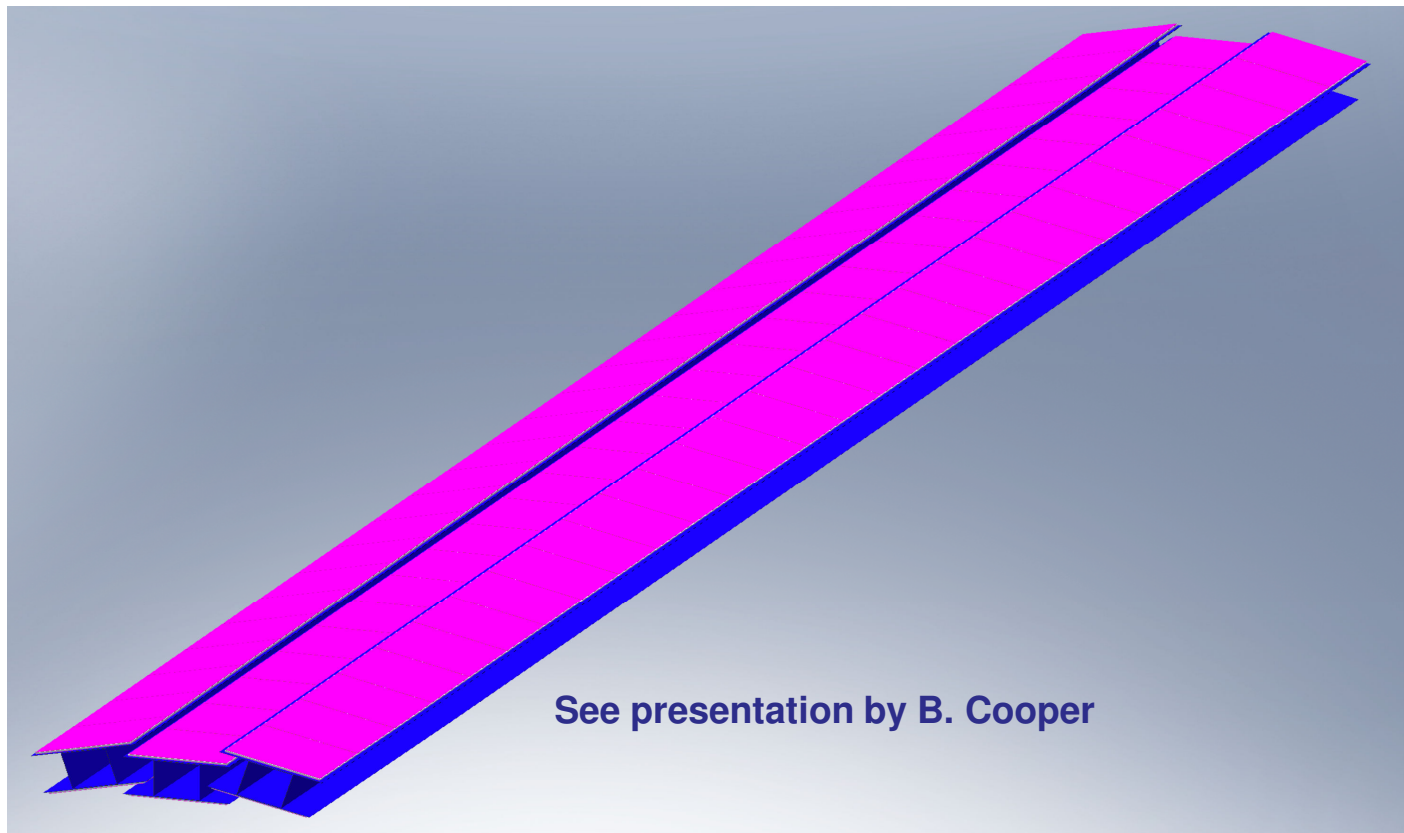


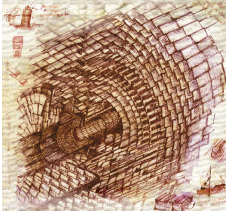


CMS SLHC Tracker Long Barrel Straw Man Double-Stack Layer



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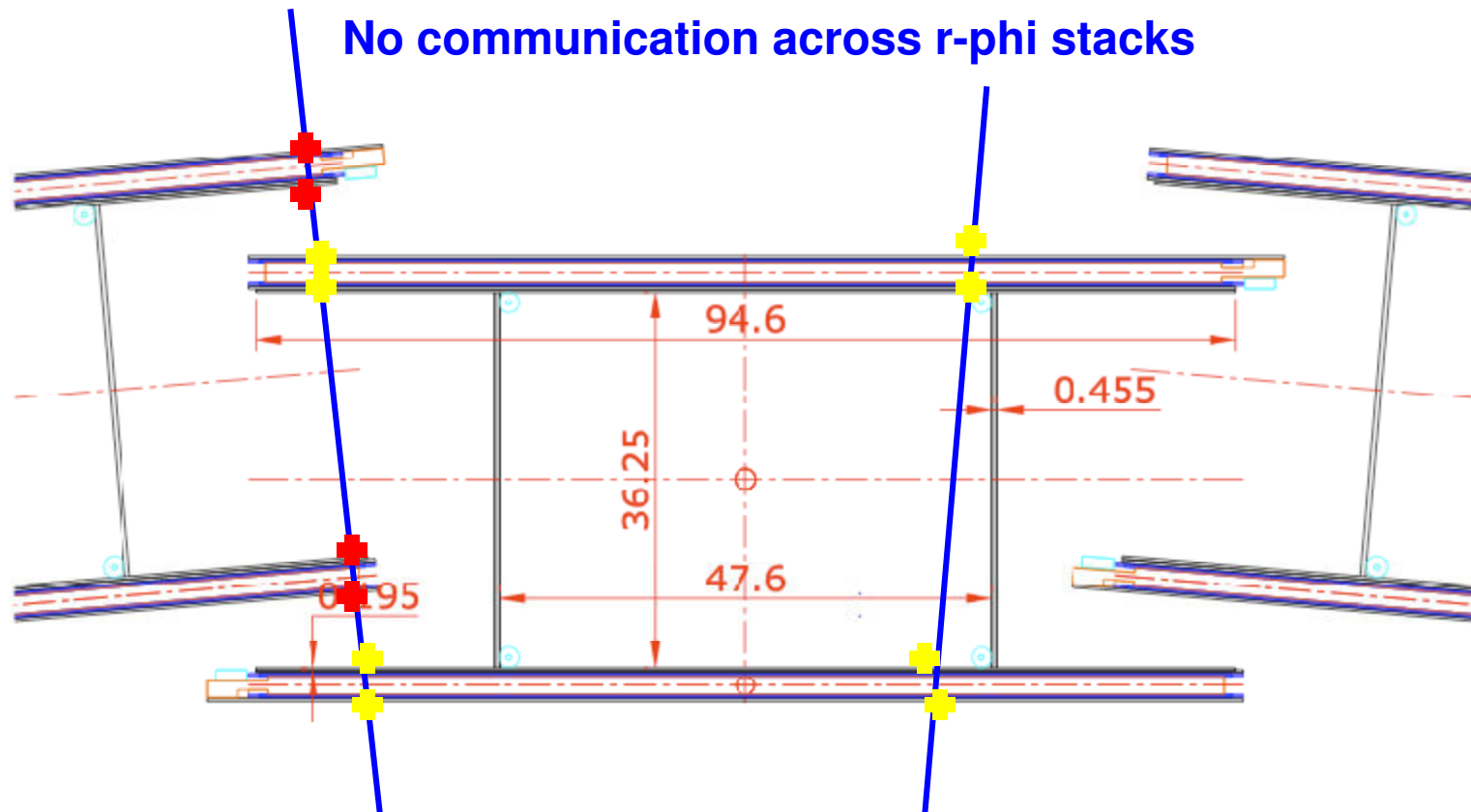


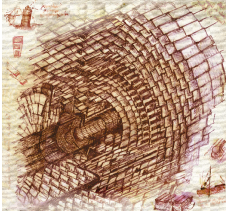
CMS SLHC Tracker

Long Barrel Straw Man Double-Stack Layer



**R-Phi Hermitic Double Stacks:
Get all 4 hits from a track in one ROD or in the neighbor**

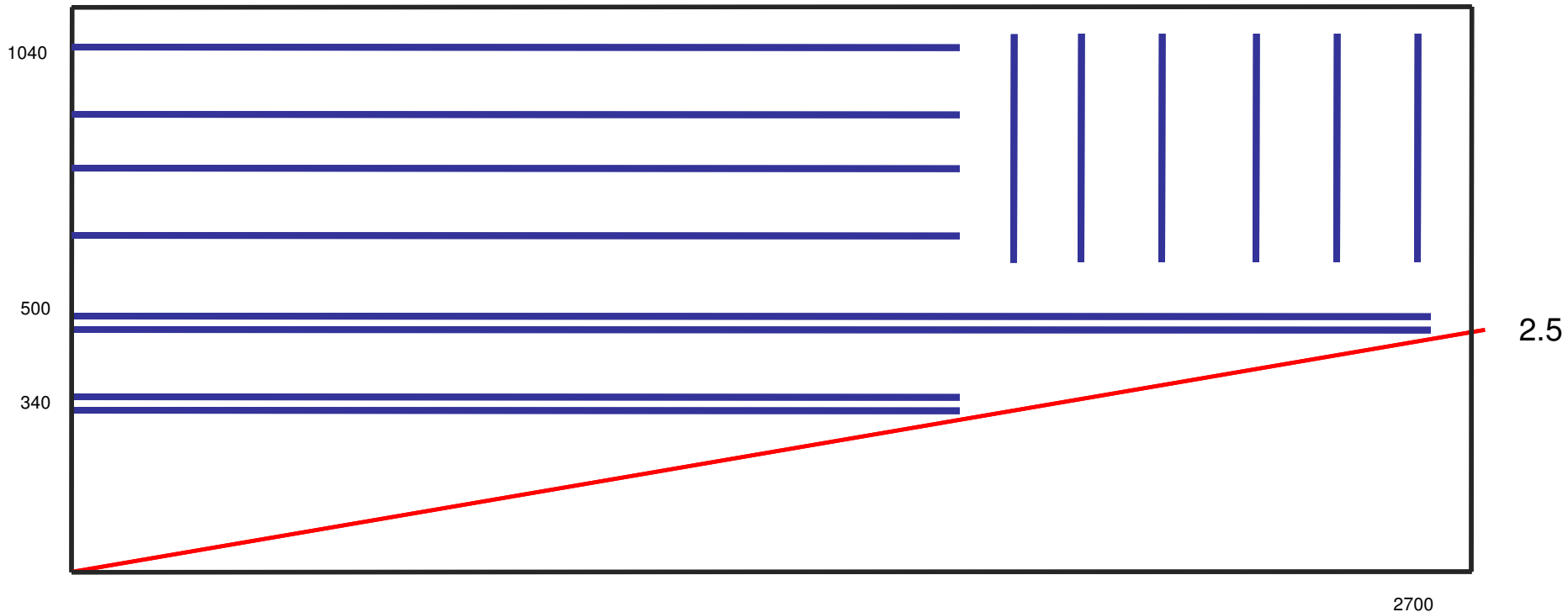




Example of Hybrid Straw Man Layout: 2 Double Stack Layers + Outer Tracker



η

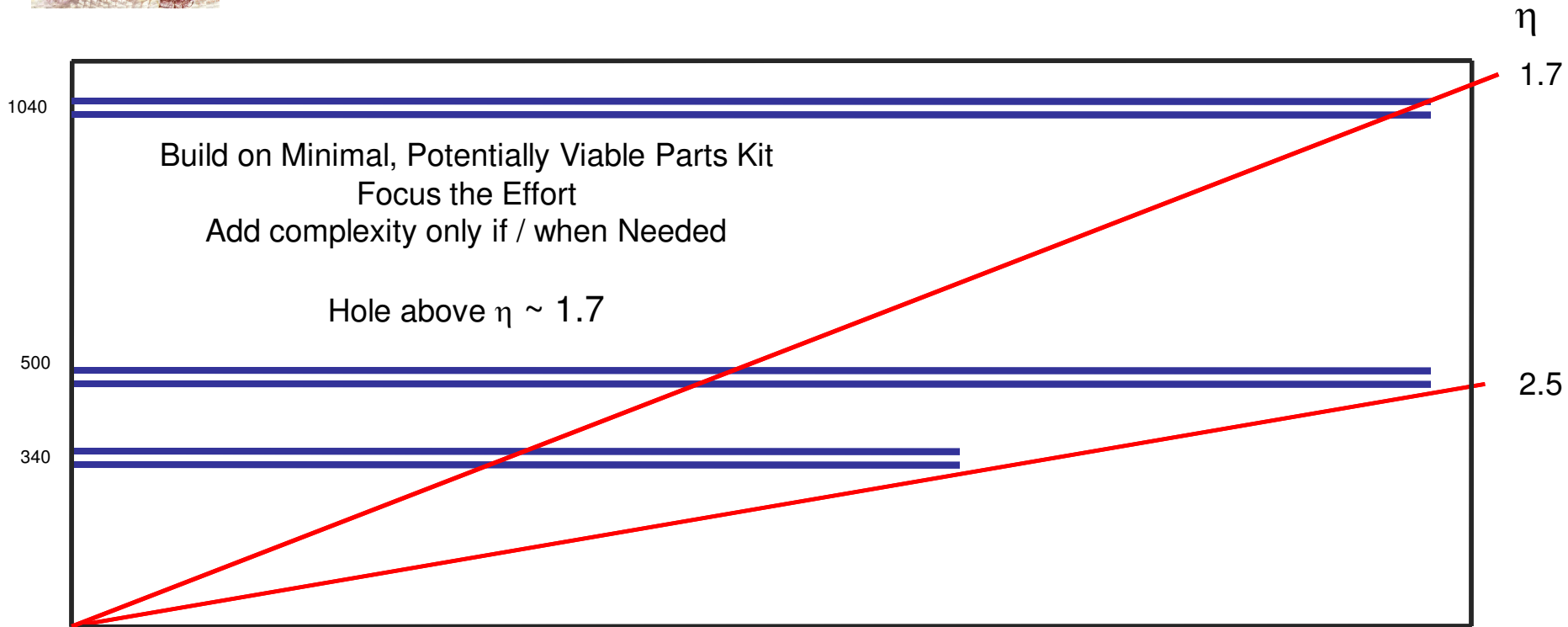


2 Double Stack L1 & Tracking Layers,
with full acceptance up to $\eta \sim 2.5$:
Each Layer provides $2 * 2 = 4$ hits
2 Layers = 8 hits

Outer Tracker:
Optimized for Tracking
No L1 functionality
Introduces 3rd System, in two “flavors”



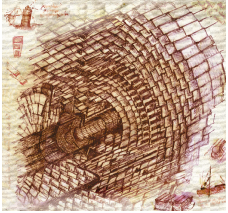
Long Barrel Straw Man Layout: 3 Double Stack Layers



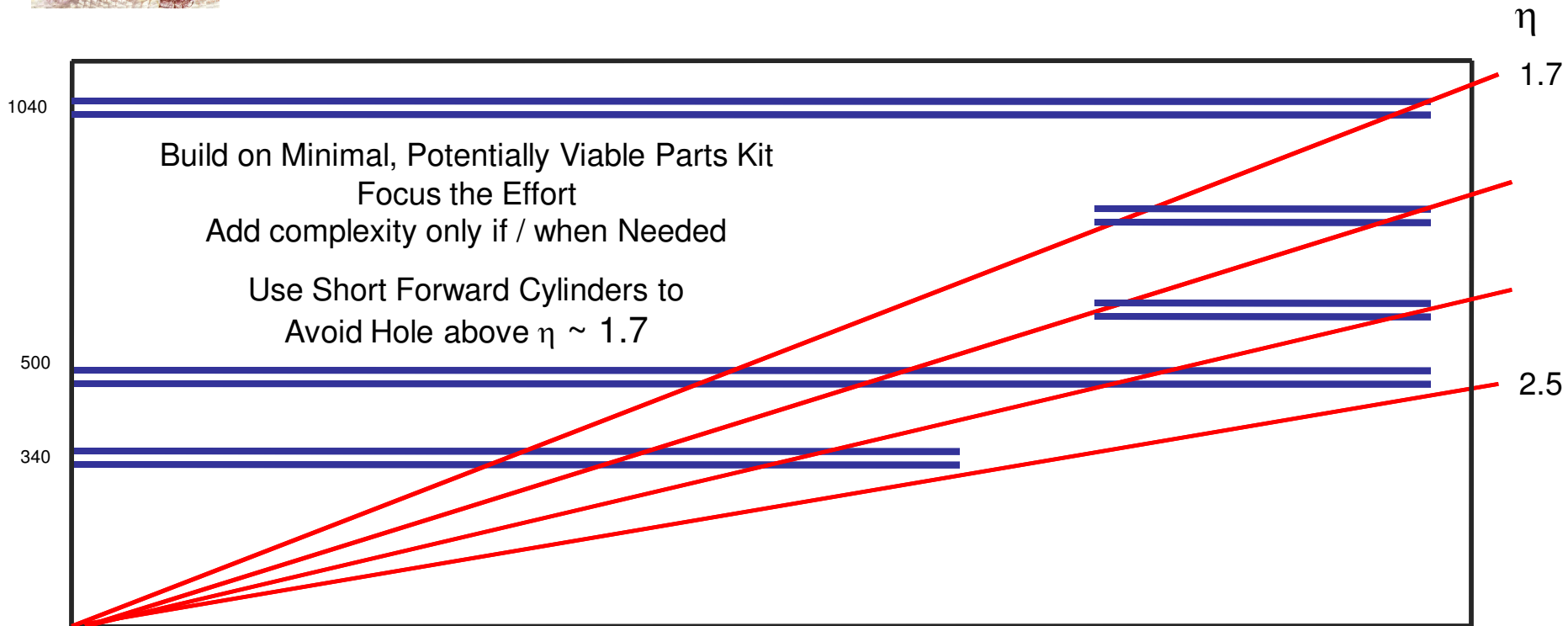
2700

3 Double Stack L1 & Tracking Layers,
with full acceptance up to $\eta \sim 1.7$:
Each Layer provides $2 * 2 = 4$ hits
3 Layers = 12 hits

Single System provides
Full L1 & Tracking functionality



Long Barrel Straw Man Layout: 3 Double Stack Layers + 2 Short Fwd Barrels



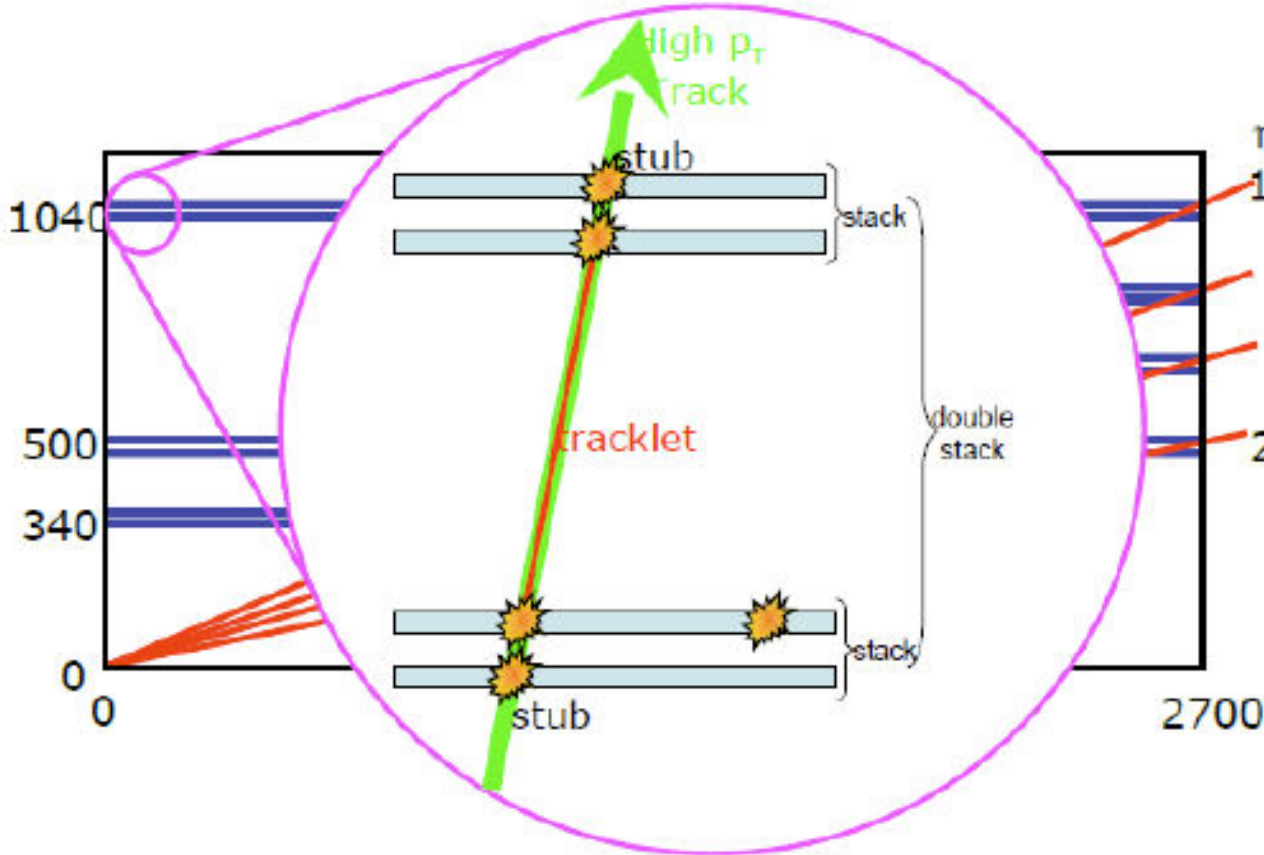
2700

3 Double Stack L1 & Tracking Layers,
with full acceptance up to $\eta \sim 2.1$:
Each Layer provides $2 * 2 = 4$ hits
3 Layers = 12 hits

Single System provides
Full L1 & Tracking functionality
Short FWD Cylinders close acceptance
Total Silicon Surface $\sim 375\text{m}^2$
Present Tracker $\sim 210\text{m}^2$



Long Barrel Straw Man Layout: 3 Double Stack Layers + 2 Short Fwd Barrels



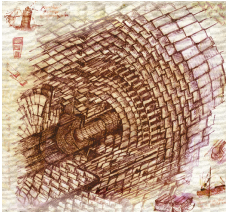
Stack: pair of closely spaced sensors (~1mm)

Stub: correlated pair of hits in stub

Double stack: Two stacks separated by few cm.

Tracklet: A matched pair of stubs.

A **layer** is one stack in this talk.

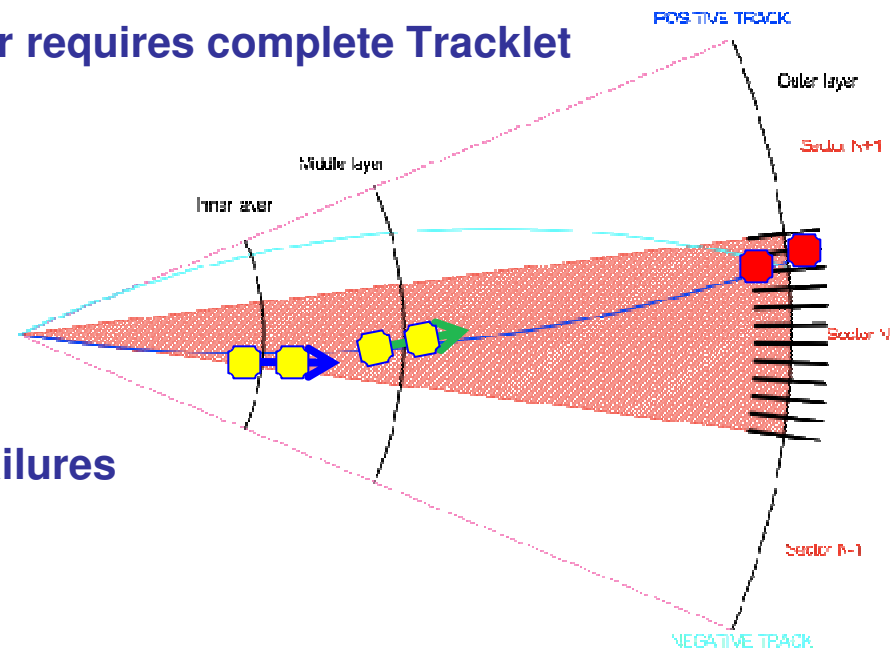


Use Tracklets from a Double Stack Layer to project onto other layers & find matching Stubs and/or Tracklets



- For example, project Tracklets from inner 2 layers to outer layer segment (independently)

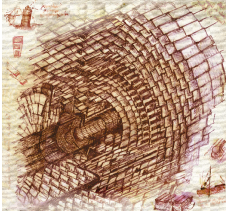
- Projection from an Inner Layer requires complete Tracklet
 - 2/2 Stubs, 4/4 Clusters



- Outer layer uses stubs
- Reduces effect of hardware failures

- Segments must overlap due to imprecise projection

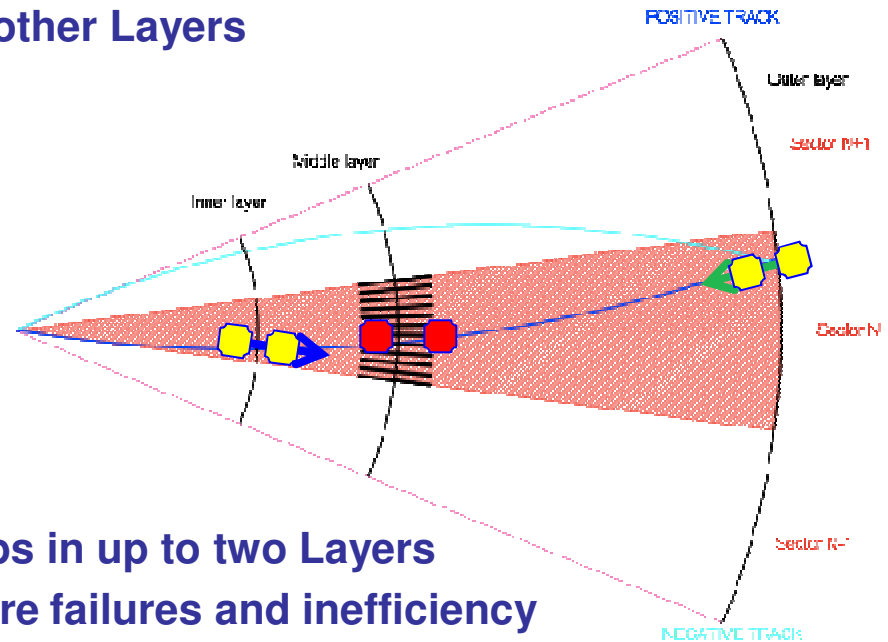
M. Johnson, U. Heintz et al
See presentation by U. Heintz



Use Tracklets from a Double Stack Layer to project onto other layers & find matching Stubs and/or Tracklets

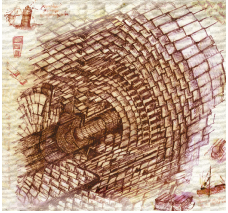


- **Repeat for the other Layers (independently)**
 - With this scheme Tracklets in a given layer can be put into coincidence with Tracklets or Stubs in the other Layers



- Recover possible missing stubs in up to two Layers
 - Minimize sensitivity to hardware failures and inefficiency
- **Must remove duplicates**

M. Johnson, U. Heintz et al
See presentation by U. Heintz

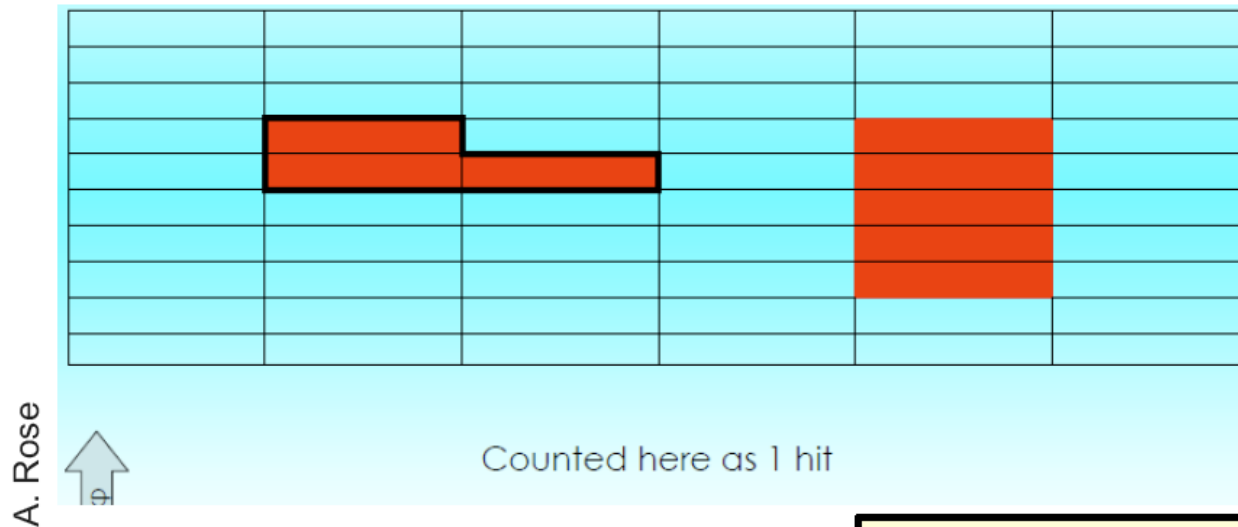


Track Trigger Primitive Simulations



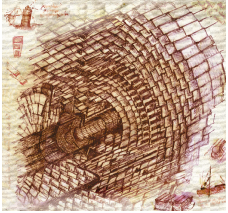
Available Clustering Algorithms:

- 2D clustering algorithm with width cut:



Further clustering algorithm work is ongoing

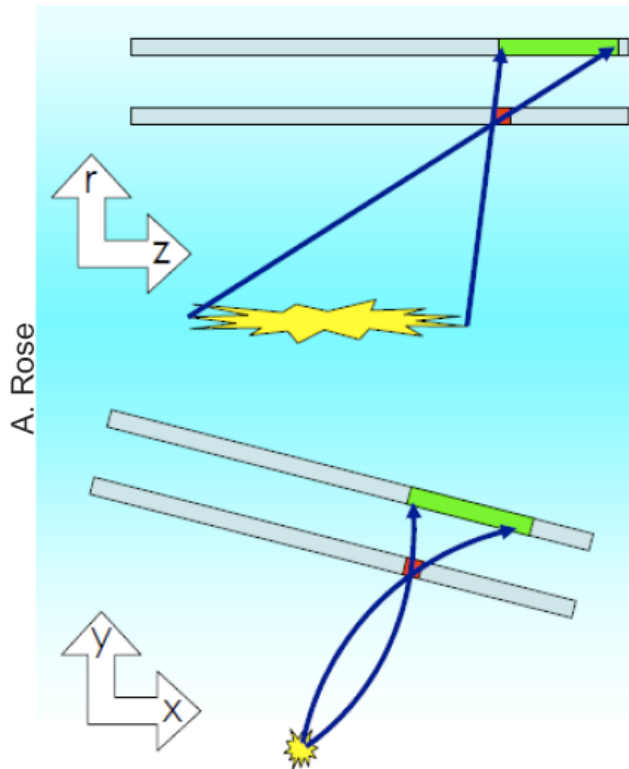
Good clustering performance, but clusters with width > 2 are suppressed, causing inefficiencies



Track Trigger Primitive Simulations

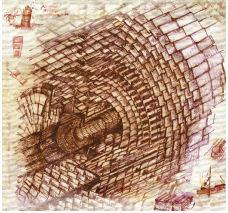


Construction of Track Trigger Primitives:



- CMSSW packages exist to create Track Trigger Primitives:
- Stubs are formed from pairs of hits (SimHits or PixelDigis) on neighboring sensors based on matching windows in r - ϕ and r - z
- Tracklets are formed from pairs of stubs on neighboring stacks, also using matching windows in r - ϕ and r - z

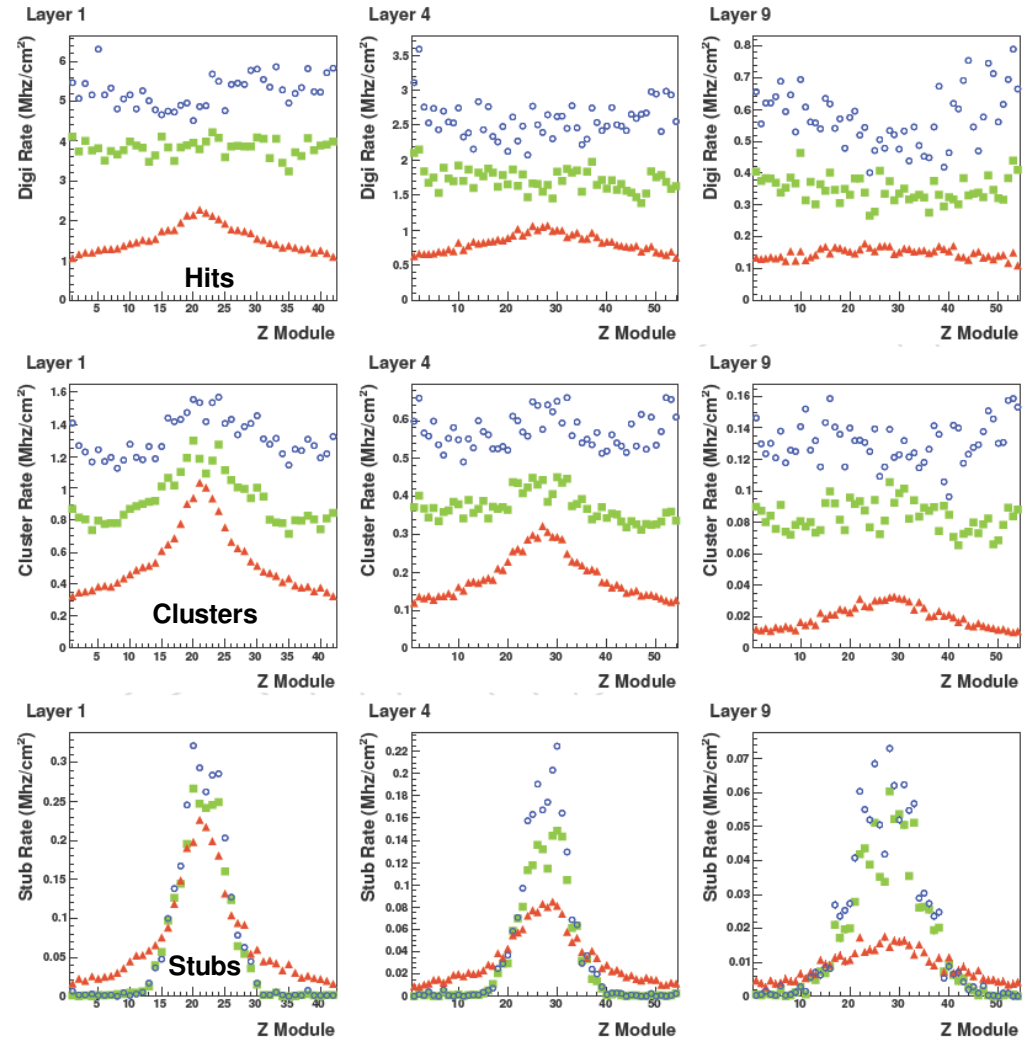
<https://twiki.cern.ch/twiki/bin/view/CMS/TrackTriggerHitsAndStubs>

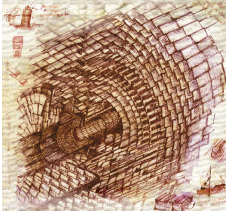


Track Trigger Primitive Simulations



- **Comparison of**
 - Full Simulation
 - Full Sim no ou-of-time PU
 - Fast Simulation
- **Detailed Simulations in place**
- **There still remain**
 - Substantial Discrepancies
 - Peculiar Features
- **=> Uncertainties in Rates...**
 - Work with Fast Simulation
 - Present thinking based on conservative assumptions

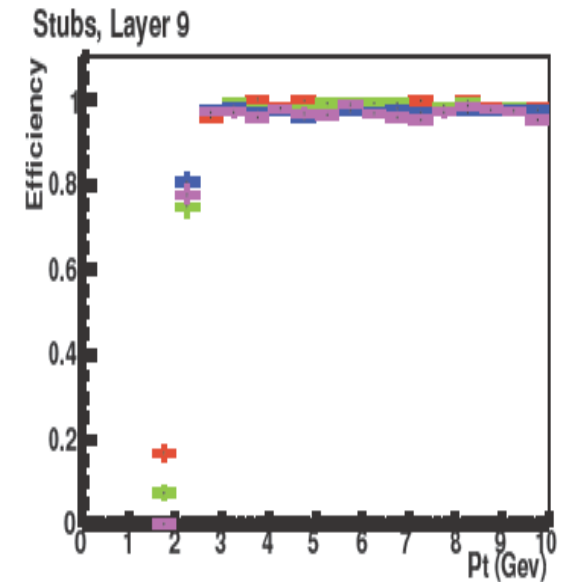
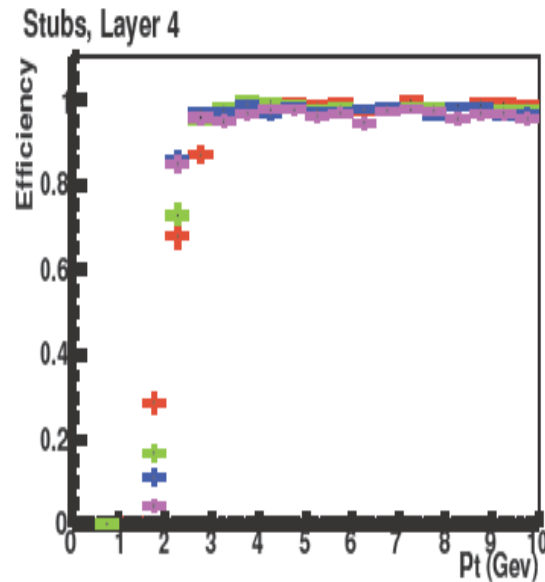
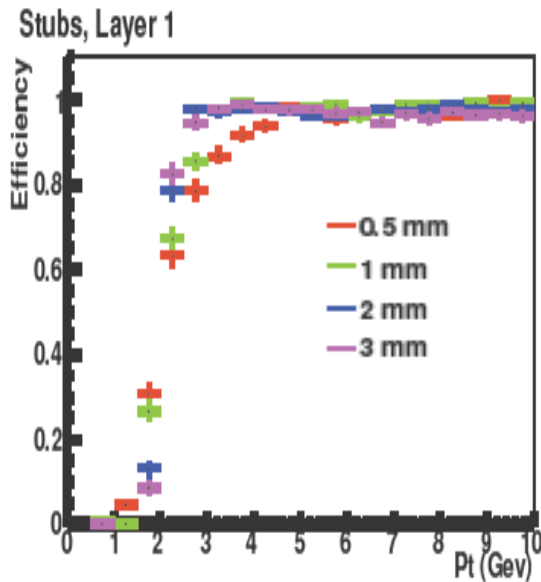




Track Trigger Primitive Simulations



- **Simulated threshold curves for Stubs and Tracklets, with 2GeV pt acceptance cut, for various sensor separations within a Stack, in different Barrel Layers**



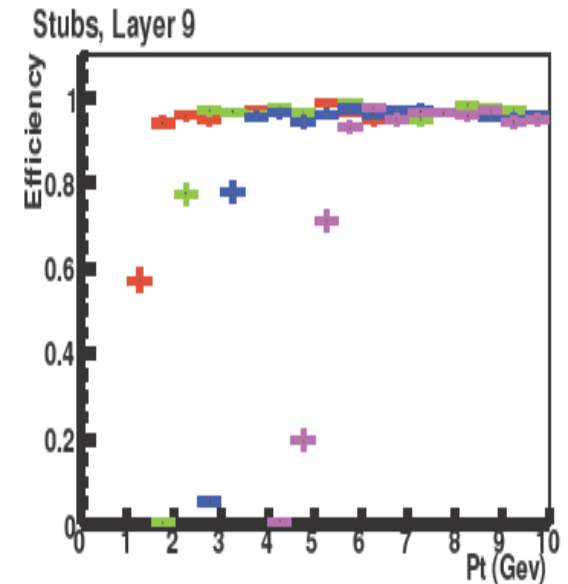
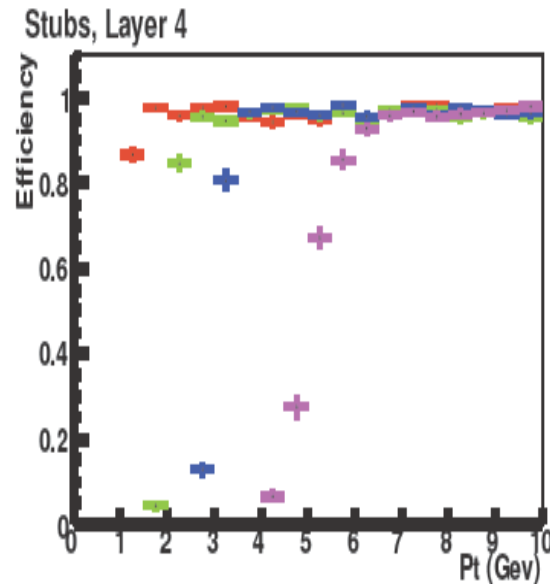
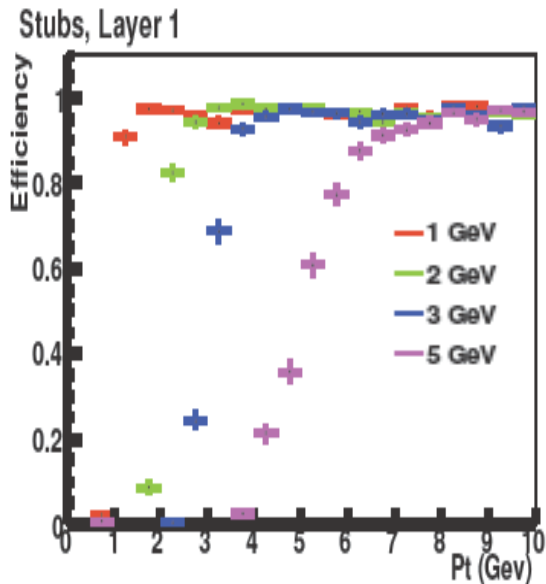
- **The Pt thresholds are sharper at larger radii, as expected**



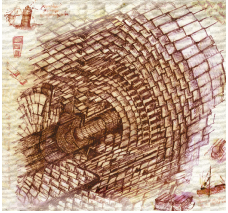
Track Trigger Primitive Simulations



- **Simulated threshold curves for Stubs and Tracklets, with a 1mm Sensor separation within a Stack, for various Pt acceptance cuts, in different Barrel Layers**



- **The Pt thresholds are sharper at larger radii, as expected**



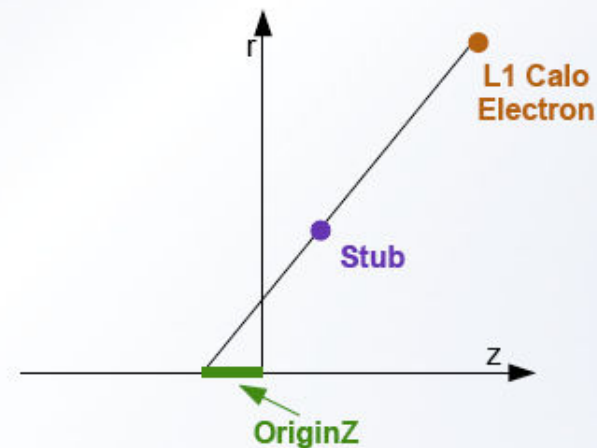
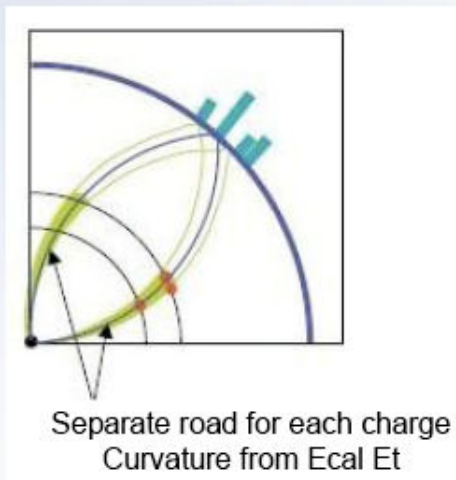
Illustrative Performance Studies: Single Electrons



Electron Algorithm

L. Fields

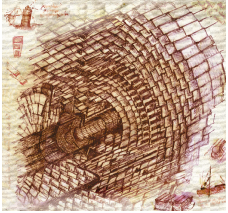
First Step: identify all stubs in two broad “roads” using ecal position and E_t :



Hit are identified through two variables:

$\Delta\phi$: width of roads in r - ϕ

originZ: z intercept of line connecting cluster and hit in r - z



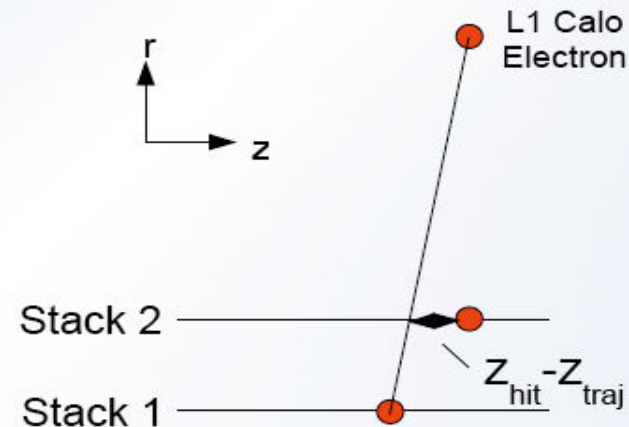
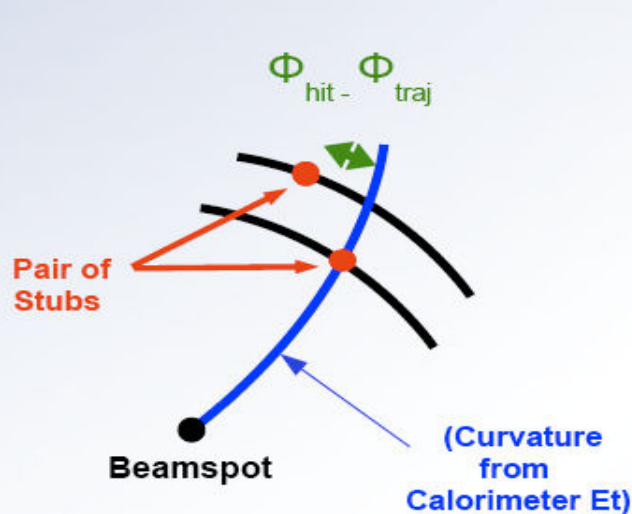
Illustrative Performance Studies: Single Electrons



Electron Algorithm

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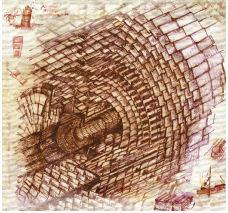
Second Step: identify pairs of hits consistent with cluster



Hit pairs (“seeds”) are identified through two variables

$$\Phi_{\text{miss}} = |\varphi_{\text{hit}} - \varphi_{\text{traj}}|$$

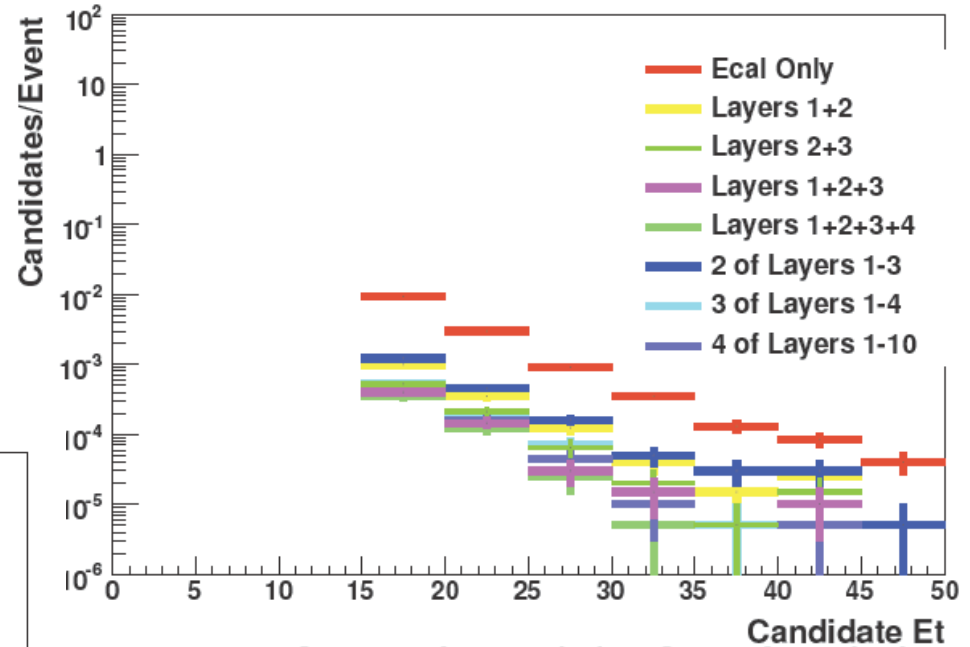
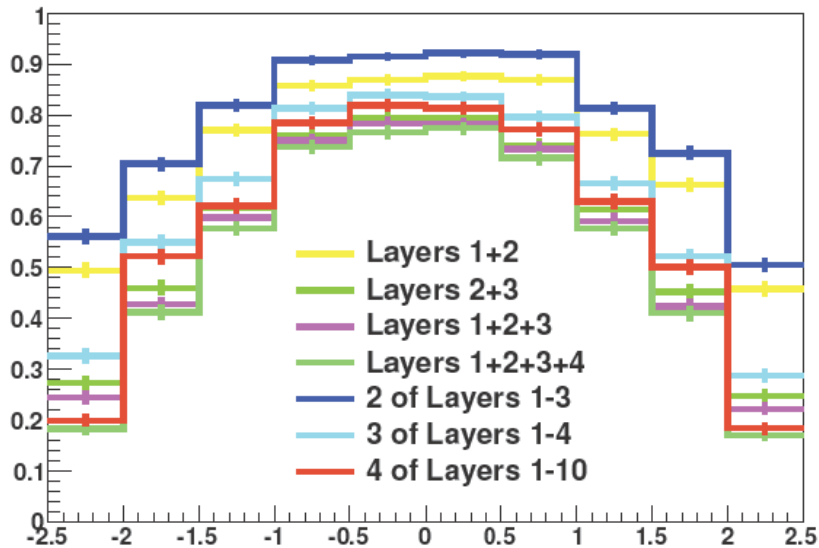
$$z_{\text{miss}} = |z_{\text{hit}} - z_{\text{traj}}|$$



Illustrative Performance Studies: Single Electrons

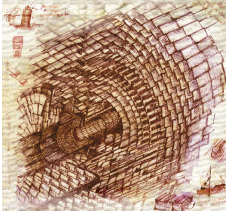


- Can obtain rate reductions by factor of 10 ~ 20 Using a variety of layer combinations



- There is substantial inefficiency in particular a higher η

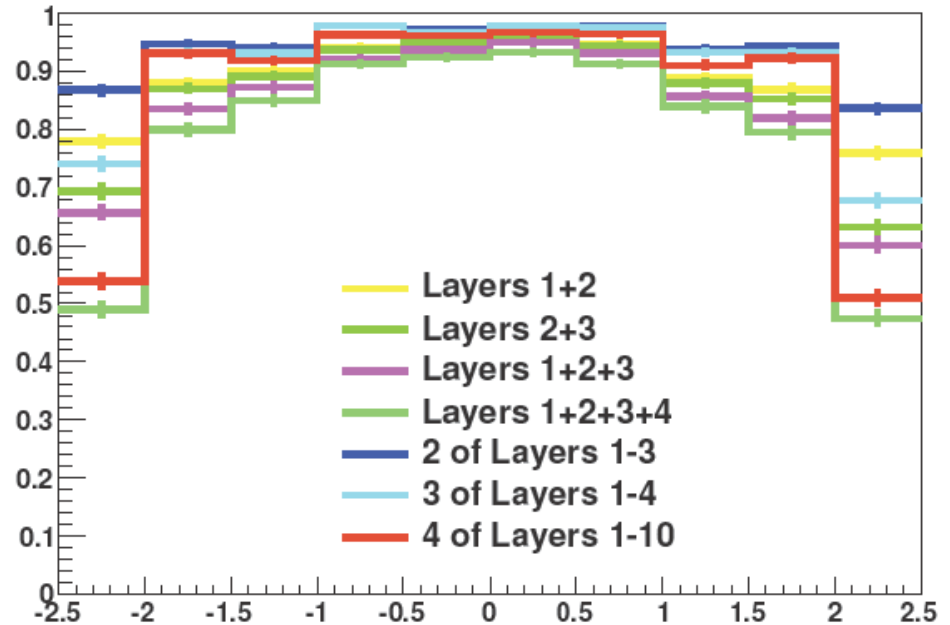
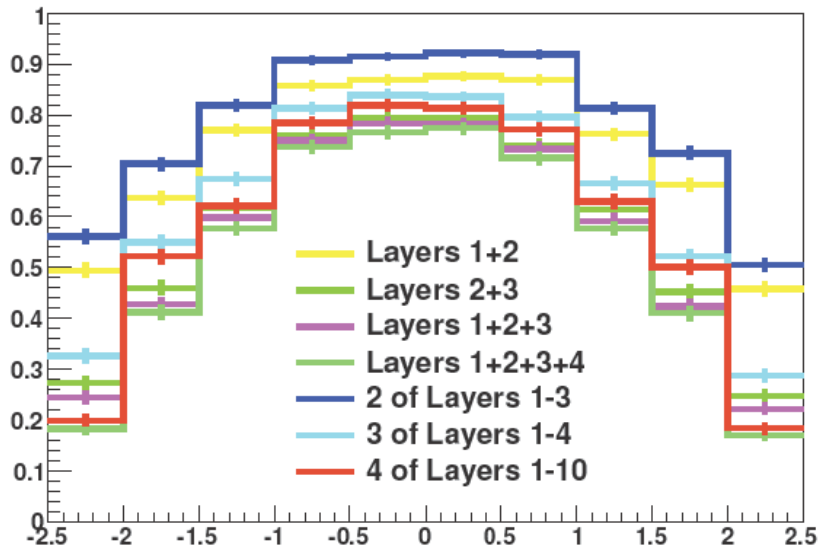
L. Fields et al



Illustrative Performance Studies: Single Electrons



- The efficiency is ~ 95% if Tracker Material removed...
- Material budget is crucial for Electron-Photon Triggers



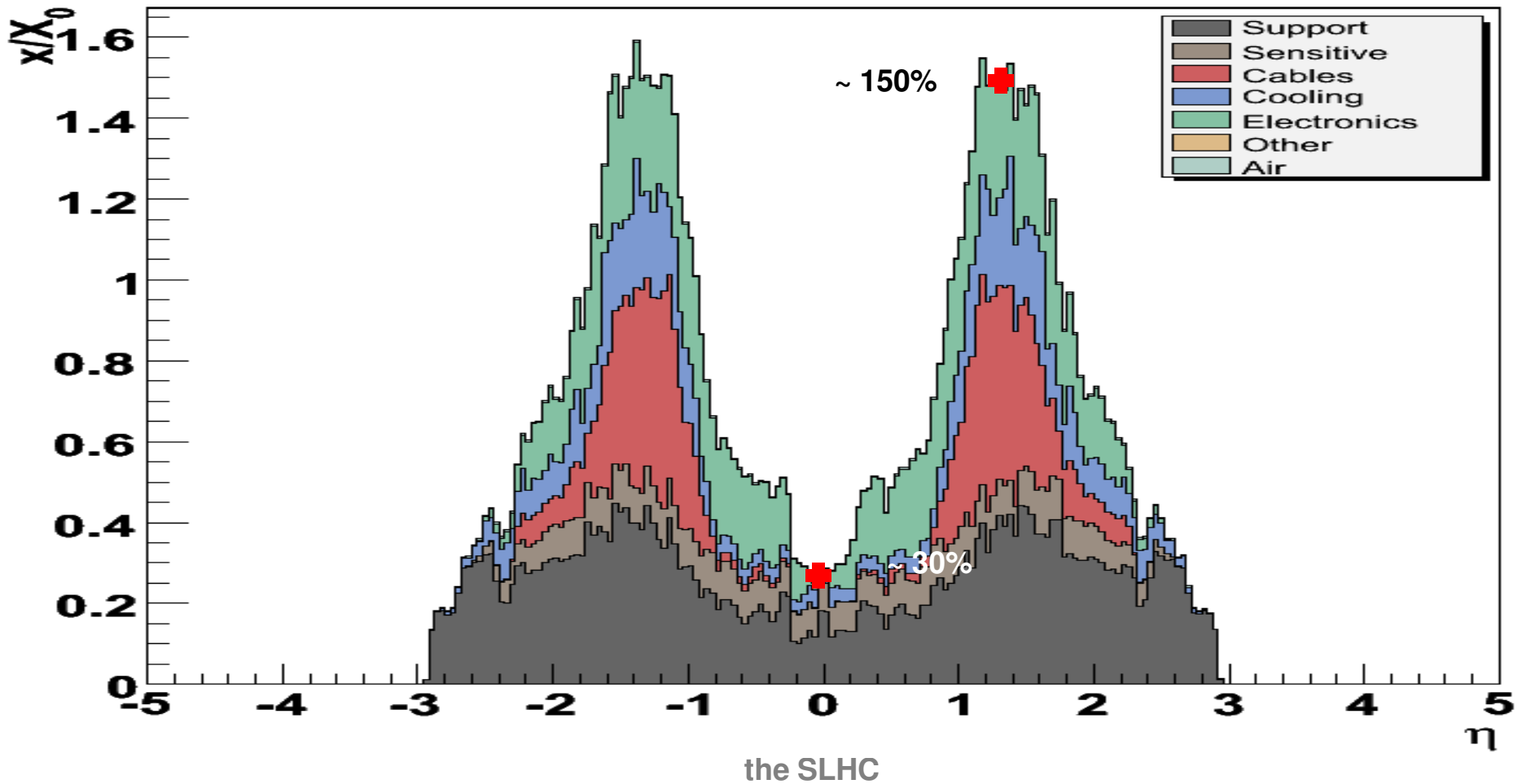
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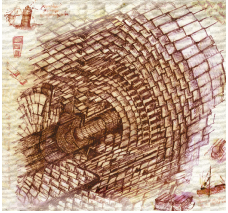
L. Fields et al



Material Budget

The CMS All Silicon Strip Tracker



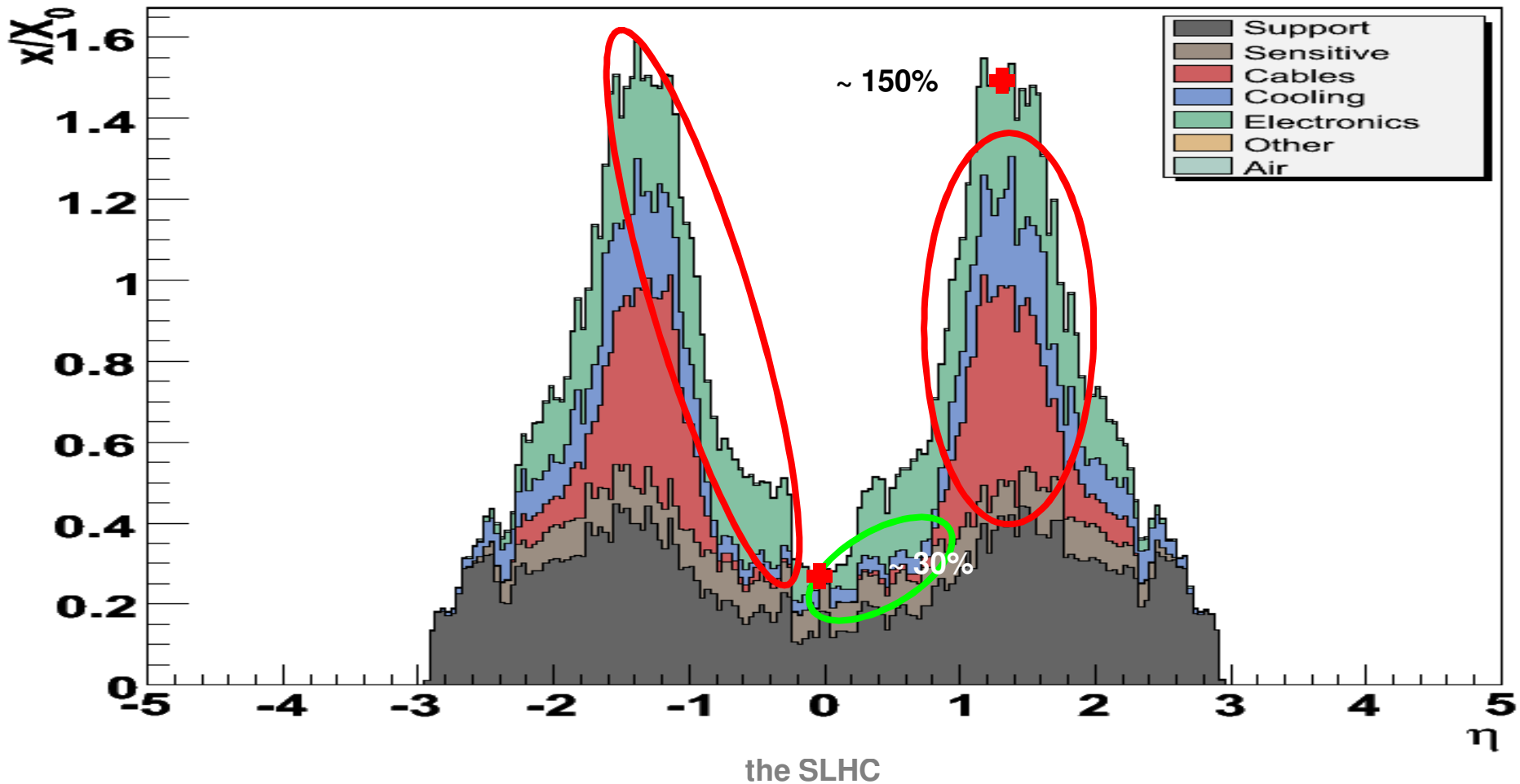


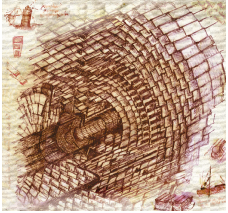
Material Budget

The CMS All Silicon Strip Tracker



In the present Tracker, pipes & cable are relatively light within Barrels
They blow up when exit barrels: connectors, manifolds, routing
There is a lot of “Electronics”: Inter-Connect Boards, Opto-hybrids, CCU etc



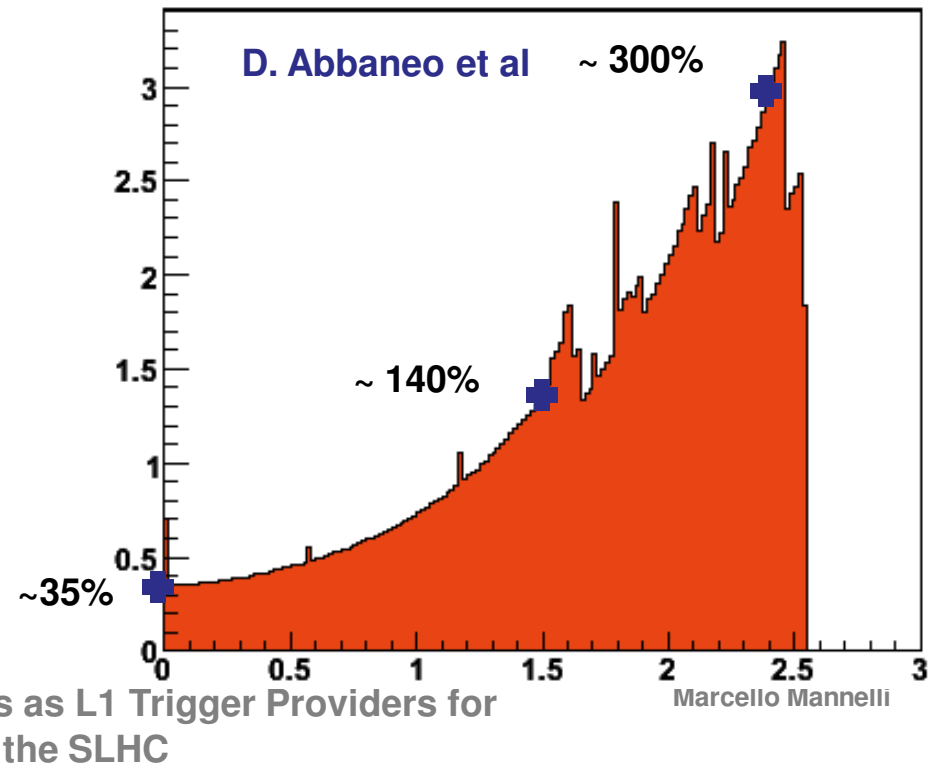


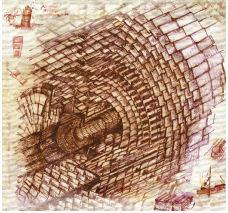
Material Budget

Long Barrel Straw Man, First Estimate



- **Estimate based on present developments + existing objects**
 - Deliberately “not excessively optimistic” assumptions
 - Excessively heavy, especially for $\eta > 1.6$
 - Power & Cooling dominate: $\sim 80\text{kW}$ FE chips + $\sim 70\text{kW}$ Data links = 150kW Total



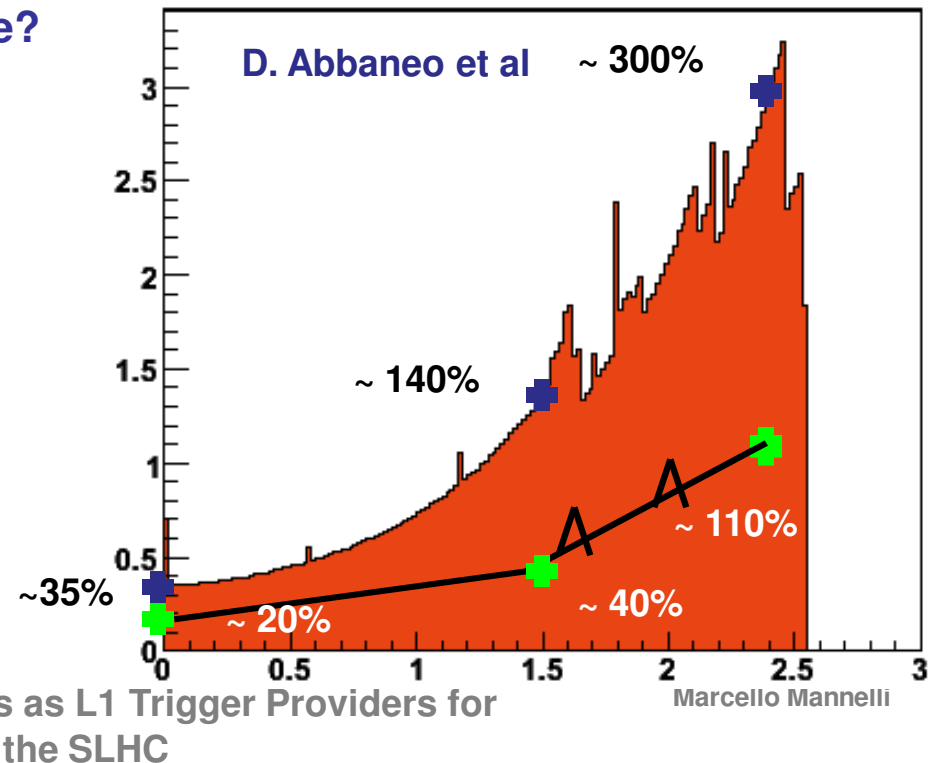


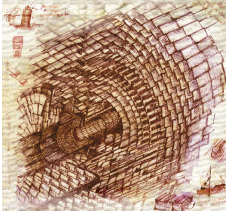
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- **Estimate based on longer time-scale developments**
 - On the optimistic side of reasonable?
 - Attractive, if achievable



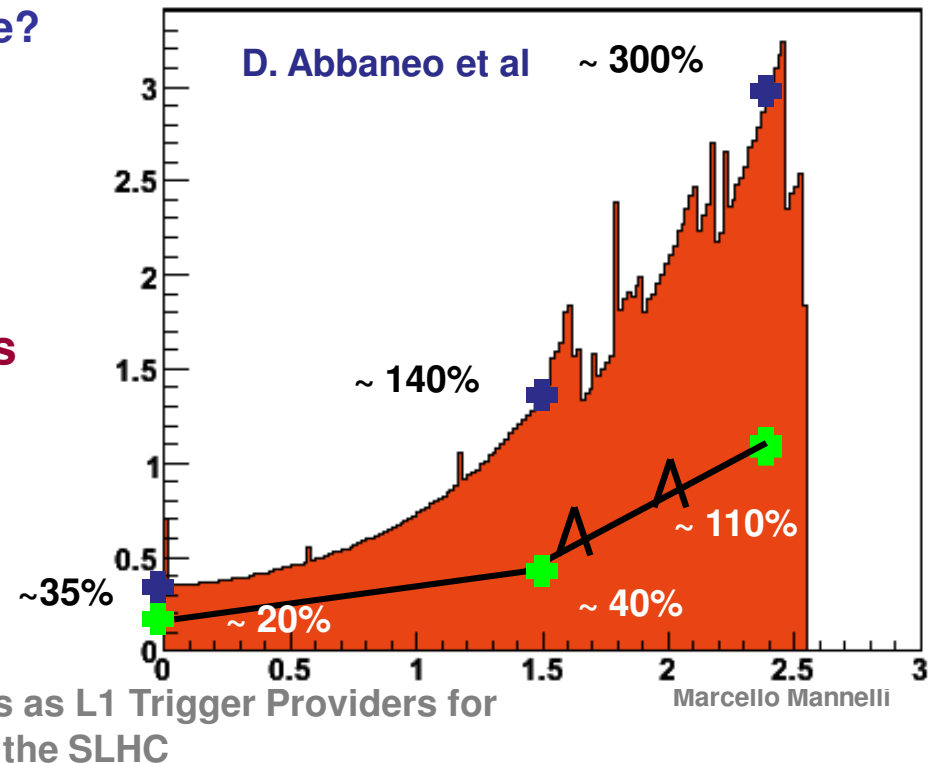


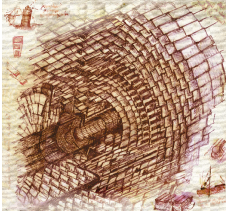
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- **Estimate based on longer time-scale developments**
 - On the optimistic side of reasonable?
 - Attractive, if achievable
- **Need Low Power High Band Width**
 - FE chips, Data Links
- **DC-DC and Low Mass Power Cables**
- **Efficient Low Mass Cooling (CO_2)**
- **Rigid Low Mass Mechanics**

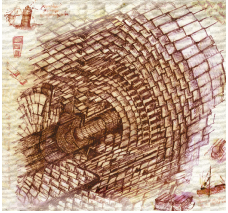




Summary and Conclusions



- **Several potential layouts for an SLHC Outer Tracker are under study, incorporating Stacked Module Layers to provide an effective L1 Tracking Trigger capability for CMS**
 - These range from Hybrid layouts with a minimal deployment of Stacked Module Layers, complemented by ~ conventional (but improved, lighter) Tracking Layers
 - To a Long Barrel layout, entirely based on Stacked Module Layers
- **In the Long Barrel design, 3 Double Stack Layers are used to provide both L1 Tracking Trigger AND full Track Reconstruction**
 - This layout provides L1 Tracklets, in addition to Stubs, in a “local” and computationally efficient way, which provide good High Pt discrimination and which can be combined across layers
 - Detailed simulation studies are ongoing. So far, the basic assumptions on which this layout is based appear to be validated
 - This layout appears to have the potential to provide the required L1 Trigger performance, as well as the required Tracking performance



Summary and Conclusions



- **These stacked layer designs are Technologically Challenging, and have motivated a number of R&D initiatives, aimed at meeting these challenges**
 - **Module Architecture and Packaging**
 - **Low Power Front End read-out electronics, with high bandwidth digital processing**
 - **Low Power, high bandwidth data links for local (electrical) and long-distance (optical) data transfer**
 - **DC-DC power distribution, with low mass cables etc.**
 - **Low mass evaporative CO₂ Cooling**
 - **Low mass mechanical supports and integration of Modules and Services**
 - **Etc.**
- **If Successful, could lead to a Next Generation of Tracker for CMS with Innovative Performance**