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Forward Physics and Cosmic Rays: Introduction
Convenors: M. Grothe, F. Hautmann and S. Ostapchenko

## I. Introduction to 11 talks in

Forward Physics and Cosmic Rays session:

- LHC experimental results [5]
- Auger [1]
- HERA [1]
- Theory [4]
$\diamond$ With the advent of the LHC, forward physics becomes largely a new field both from theory and experiment standpoints.
II. Introduction to key themes from forward-region phenomenology

Particle production in the forward region at hadron colliders:

small polar angles, i.e. large rapidities
$\diamond$ Historically:

- fairly specialized subject: e.g., measurements of $\sigma$ (total) and $\sigma$ (elastic)
- dominated by soft, small- $\mathrm{p}_{T}$ processes
$\diamond$ At the LHC:
- forward processes involve both soft and hard production
- phase space opening up for large $\sqrt{s} \Rightarrow$ multiple-scale processes
- unprecedented coverage of large rapidities (calorimeters + proton taggers)
$\Rightarrow \quad \bullet$ forward high- $\mathrm{p}_{T}$ production
- central production of high $\mathrm{p}_{T}+$ forward protons
- Measurements of forward particle production (soft and hard) at the LHC serve as input to
Monte Carlo models of high-energy showers in cosmic ray physics

- Fixed target collision in air with $10^{17} \mathrm{eV}$ corresponds to pp interaction at LHC


## LHC experimental results

$\diamond A$ ) Forward physics via main detectors + forward calorimeters:

- Low-x physics via LHC-b [J. Anderson]
- Forward particle production + energy flow: CMS [S. Cerci]
- Forward particle production + energy flow: LHC-f [L. Bonechi]
$\diamond B)$ 'Vetoes on forward detectors':
- LHC Diffraction [S. Navin]
$\diamond C$ ) Physics with near beam proton taggers:
- TOTEM

Future physics:

- High-luminosity diffraction and $\gamma$ physics
- Central exclusive production and discovery physics


## NOTE:

© Nearly all above topics imply new experimental areas: prime start-up physics subjects
© Theoretical issues: LHC is to a large extent a QCD machine; LHC forward physics is dominated by QCD at small $x$.
$\Downarrow$

- Factorization at small $x$
- Evolution / parton showering beyond collinear ordering
- High-density effects and parton saturation

A Phenomenology: How well do current Monte Carlo generators simulate LHC final states in the forward region?

# Not only LHC physics...: The Cosmic Ray / Collider connection 

[talk by G. Rodriguez]



## Inputs from HERA: Diffraction in DIS and photoproduction

[talk by R. Polifka]

- new diffractive fits with

HERAII data

- diffractive jet photo- and
lepto-production
- diffractive $F_{L}^{(D)}$

+ further new results coming up from HERA analyses


## OUTLINE

- Forward region $\Rightarrow$ multiple-scale, small-x physics
$\Rightarrow$ Evaluation of QCD theoretical predictions in multi-scale regime:
- Perturbative QCD resummations?
- Corrections beyond single parton scattering?
- Theory tools to treat hard and soft interactions?


## II. High- $\mathrm{p}_{\mathrm{T}}$ production in the forward region



- multiple hard scales
- asymmetric parton kinematics $x_{A} \rightarrow 1, x_{B} \rightarrow 0$
$\diamond$ Are fixed-order QCD calculations reliable in the forward region?
$\diamond$ Are perturbative QCD resummations to be performed?

Forward jet production as a multi-scale problem

- summation of high-energy logarithmic corrections long recognized to be necessary for reliable QCD predictions
$\Rightarrow$ BFKL calculations
Mueller \& Navelet, 1987; Del Duca et al., 1993; Stirling, 1994; Colferai et al., arXiv:1002.1365
- Large logarithmic corrections are present both in the hard scale and in the rapidity interval

$\longrightarrow$ Both kinds of log contributions can be summed consistently to all orders of perturbation theory via QCD factorization at fixed $\mathrm{k}_{T}$


## Forward jets:

- High-energy factorization at fixed transverse momentum

$$
\frac{d \sigma}{d Q_{t}^{2} d \varphi}=\sum_{a} \int \phi_{a / A} \otimes \frac{d \widehat{\sigma}}{d Q_{t}^{2} d \varphi} \otimes \phi_{g^{*} / B}
$$

$\triangleright$ needed to resum consistently both logs of rapidity and logs of hard scale Deak, Jung, Kutak \& H, JHEP 09 (2009) 121

(a)

Figure 1: Factorized structure of the cross section.
$\diamond \phi_{a}$ near-collinear, large-x; $\phi_{g^{*}} \mathrm{k}_{\perp}$-dependent, small-x $\widehat{\sigma}$ off-shell continuation of hard-scattering matrix elements

## FULLY EXCLUSIVE MATRIX ELEMENTS: BEHAVIOR AT LARGE K $\perp$

$Q_{t}=$ final-state transverse energy (in terms of two leading jets $p_{t}$ 's) $k_{t}=$ transverse momentum carried away by extra jets



- dynamical cut-off at $k_{t} \sim Q_{t}$, set by higher-order radiative effects - non-negligible terms from finite $k_{t}$ tail
- $C_{F} C_{A}$ contribution to $q g$ dominates at high energies $s / Q_{t}^{2} \gg 1$


## Multiple parton interactions



Multi-jet production by (left) multiple parton chains; (right) single parton chain.

- modeled by shower Monte Carlo generators Sjöstrand \& Skands, 2006; Gieseke et al., 2008
$\diamond$ Do multiple parton interactions become non-negligible in hard processes at forward rapidities?


## FORWARD-CENTRAL JET CORRELATIONS

- polar angles small but far enough from beam axis
- measure correlations in azimuth, rapidity, $\mathrm{p}_{T}$

$$
p_{\perp} \gtrsim 20 \mathrm{GeV}, \Delta \eta \gtrsim 4 \div 6
$$



azimuthal plane

## 1 central + 1 forward jet:

particle and energy flow in the inter-jet and outside regions


Cross section as a function of the azimuthal difference $\Delta \phi$ between central and forward jet for different rapidity separations
[Deak et al., in progress]


MC models: - CASCADE: non-collinear radiative corrections to single parton chain

- Pythia: multiple parton interactions, no corrections to collinear approximation


## Transverse energy flow in the inter-jet region




- higher mini-jet activity in the inter-jet region from corrections to collinear ordering


## Transverse energy flow in the outside region




- at large (opposite) rapidities, full branching well approximated by collinear ordering
- higher energy flow only from multiple interactions
$\diamond$ Energy flow due to minijets $\left(E_{T}>5 \mathrm{GeV}\right) \Rightarrow$ reduced IR sensitivity
$\Rightarrow$ 'tune' (semi-)hard interaction component
$\diamond$ Particle spectra will serve similar purpose
$\diamond$ Distribution in $\Delta R=\sqrt{(\Delta \phi)^{2}+(\Delta \eta)^{2}}$ also potentially useful $(\hookrightarrow)$


Figure 5: $\Delta R$ distribution of the central ( $\left|\eta_{c}\right|<2$, left) and forward jets ( $3<\left|\eta_{f}\right|<5$, right) for $E_{T}>10 \mathrm{GeV}$ (upper row) and $E_{T}>30 \mathrm{GeV}$ (lower row). The prediction from the $\mathrm{k}_{\perp}$ shower (CASCADE) is shown with the solid blue line; the prediction from the collinear shower (Pythia) including multiple interactions and without multiple interactions is shown with the red and purple lines. $\Delta R=\sqrt{(\Delta \phi)^{2}+(\Delta \eta)^{2}}$, where $\Delta \phi=\phi_{\text {jet }}-\phi_{\text {part }}$, $\Delta \eta=\eta_{j e t}-\eta_{\text {part }}$

## FURTHER QUESTIONS

© What are the implications of higher mini-jet activity in the between region for vector boson fusion search channels ?
© Could one include multi-parton interactions in a complete parton factorization picture?
© Could one achieve a unified understanding of forward hard processes including DIS? $\longrightarrow$ prospects for future LHeC, EIC

- Note:

- neither Pythia Monte Carlo nor NLO calculations are able to describe forward jet HERA data
[A. Knutsson, LUNFD6-NFFL-7225-2007 (2007); L. Jönsson, AIP Conf. Proc. 828 (2006) 175]

A concept underlying several talks:

## III. UNINTEGRATED (OR TRANSVERSE MOMENTUM DEPENDENT) PARTON DISTRIBUTIONS

- Gustafson [shower Monte Carlo]
- Enberg [uses of u-pdfs in diffraction]
- Deak [in High- $\mathrm{p}_{T}$ session]
- Cherednikov [theory developments]

correlation of quark fields ('dressed' with gauge links) at distances $y, y_{\perp} \neq 0$
A) Single-scale hadron scattering. E.g., DIS structure functions
- necessarily sensitive to long timescales, BUT

$\sigma$ can be written as $\sigma(Q, m)=C(Q$, parton momenta $>\mu) \otimes f($ parton momenta $<\mu, m)$

in "infinite-momentum" frame, $\delta t_{\text {scatter }} \ll \tau_{\text {parton }}$

$$
\mathrm{Pdf}^{\prime} \mathrm{s}: \quad f(x, \mu)=\int \frac{d y^{-}}{2 \pi} e^{-i x p^{+} y^{-}} \widetilde{f}(y)
$$

$$
\widetilde{f}(y)=\langle P| \bar{\psi}(y) V_{y}^{\dagger}(n) \gamma^{+} V_{0}(n) \psi(0)|P\rangle
$$

$$
y=\left(0, y^{-}, 0\right)
$$

$$
V_{y}(n)=\mathcal{P} \exp \left(i g_{s} \int_{0}^{\infty} d \tau n \cdot A(y+\tau n)\right) \quad \ltimes \text { correlation of parton fields at lightcone }
$$

$\diamond$ Renormalization group invariance $\Rightarrow$

$$
\frac{d}{d \ln \mu} \sigma=0 \quad \Rightarrow \quad \frac{d}{d \ln \mu} \ln f=\gamma=-\frac{d}{d \ln \mu} \ln C
$$

$\hookrightarrow$ DGLAP evolution equations [Altarelli-Parisi
Dokshitzer
Gribov-Lipatov]

$$
f=f_{0} \times \exp \int \frac{d \mu}{\mu} \gamma\left(\alpha_{s}(\mu)\right)
$$

${ }^{\nearrow}$ resummation of $\left(\alpha_{s} \ln Q / \Lambda_{\mathrm{QCD}}\right)^{n}$ to all orders in PT
Note: expansions $\gamma \simeq \gamma^{(L O)}\left(1+b_{1} \alpha_{s}+b_{2} \alpha_{s}^{2}+\ldots\right)$

$$
C \simeq C^{(L O)}\left(1+c_{1} \alpha_{s}+c_{2} \alpha_{s}^{2}+\ldots\right)
$$

give LO, NLO, NNLO, ... logarithmic corrections

## B ) Multiple-scale hard scattering at LHC energies



$$
s \gg q_{1}^{2} \gg \cdots q_{n}^{2} \gg \Lambda
$$

- more complex, potentially large corrections to all orders in $\alpha_{s}, \sim \ln ^{k}\left(q_{i}^{2} / q_{j}^{2}\right)$

$$
\text { e.g. } \gamma \simeq \gamma^{(L O)}\left(1+c_{1} \alpha_{s}+\ldots+c_{n+m} \alpha_{s}^{m}\left(\alpha_{s} L\right)^{n}+\ldots\right), L=\text { "large log" }
$$

$\hookrightarrow$ yet summable by QCD techniques that
$\triangleright$ generalize renormalization-group factorization
$\triangleright$ extend parton correlation functions off the lightcone

$$
\Rightarrow \text { unintegrated (or TMD) pdf's }
$$

## Examples:

- Sudakov form factor $S$ :

(a)

(b)
$\triangleright$ entering Drell-Yan production, W-boson $p_{\perp}$ distribution, etc.
$\Rightarrow \partial S / \partial \eta=K \otimes S \quad$ CSS evolution equations $\quad$ [Collins-Soper-Sterman] $\nwarrow$ resums $\alpha_{s}^{n} \ln ^{m} M / p_{T}$
- High-energy resummation: $s \gg M^{2} \gg \Lambda_{\mathrm{QCD}}^{2}$

$\diamond$ energy evolution: BFKL equation [Balitsky-Fadin-Kuraev-Lipatov] $\hookrightarrow$ corrections down by $1 / \ln s$ rather than $1 / M$


## IV. FROM QCD TO MONTE CARLO EVENT GENERATORS

- Factorizability of QCD x-sections $\longrightarrow$ probabilistic branching picture $\diamond A)$ QCD evolution by "parton showering" methods:

$\diamond B)$ Soft emission $\longrightarrow$ interferences $\longrightarrow$ ordering in decay angles:
$\hookrightarrow$ gluon coherence for $x \sim 1$

$\diamond C)$ Gluon coherence for $x \ll 1 \Rightarrow$ corrections to angular ordering:
$\hookrightarrow$ MC based on $\mathrm{k}_{\perp}$-dependent unintegrated pdfs and MEs


## COHERENCE IN HIGH-ENERGY LIMIT

Soft vector-emission current from external legs $\rightarrow$

- leading IR singularities
[J.C. Taylor, 1980; Gribov-Low (QED)]
- fully appropriate in single-scale hard processes

Dokshitzer, Khoze, Mueller and Troian, RMP (1988); Webber, A. Rev. Nucl. Part. (1986)

multi-scale: $s=q_{1}^{2} \gg \cdots \gg q_{n}^{2} \gg \Lambda^{2}$
[e.g.: LHC final states with multi-jets]
$\Downarrow$
$\triangleright$ internal emissions non-negligible
$\triangleright$ current also factorizable at high-energy: [Ciafaloni 1998; 1988]

$$
\begin{aligned}
\left|M^{(n+1)}(k, p)\right|^{2} & =\left\{\left[M^{(n)}(k+q, p)\right]^{\dagger}\left[\mathbf{J}^{(R)}\right]^{2} M^{(n)}(k+q, p)\right. \\
& \left.-\left[M^{(n)}(k, p)\right]^{\dagger}\left[\mathbf{J}^{(V)}\right]^{2} M^{(n)}(k, p)\right\} \text {. BUT } \ldots \triangleright
\end{aligned}
$$

$\triangleright \ldots \quad \bullet \mathbf{J}$ depends on total transverse momentum transmitted $\Rightarrow$ matrix elements and pdf at fixed $\mathrm{k}_{\perp}$ ("unintegrated")

- virtual corrections not fully represented by $\Delta$ form factor $\Rightarrow$ modified branching probability $P\left(z, k_{\perp}\right)$ as well $\triangleright K_{\perp}-D E P E N D E N T$ PARTON BRANCHING

$$
\begin{aligned}
& \mathcal{G}\left(x, k_{T}, \mu\right)=\mathcal{G}_{0}\left(x, k_{T}, \mu\right)+\int \frac{d z}{z} \int \frac{d q^{2}}{q^{2}} \Theta(\mu-z q) \\
& \times \underbrace{\Delta(\mu, z q)}_{\text {Sudakov }} \underbrace{\mathcal{P}\left(z, q, k_{T}\right)}_{\text {unintegr. splitting }} \mathcal{G}\left(x / z, k_{T}+(1-z) q, q\right) \\
& \text { p } \\
& \overbrace{x p}^{?} \stackrel{P}{\sim} \alpha_{1} \\
& \triangleright \text { CCFM evolution equation } \\
& \text { [talks by Deak, } \\
& \triangleright \text { Monte Carlo implementations CASCADE, LDC, ... }
\end{aligned}
$$

$\diamond$ Unintegrated (TMD) pdf's are key ingredient for different types of QCD resummations
$\diamond$ also relevant to fully take account of coherence effects in parton showers at high energy
$\diamond$ possibly, more natural framework to push theory towards soft $\mathrm{p}_{T}$ physics and to treat diffraction
[talk by Enberg]

## In summary...

\& Exciting new results from the LHC
\& Impact on cosmic rays physics $\longrightarrow$ Auger results
\& Continuing stream of inputs from HERA
\& Many new, challenging physics issues
... should make for an enjoyable session and interesting times ahead!

