

Axion-benzeri karanlık madde için yeni açılımlar

Novel approaches for ALP dark matter

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CE, R. Sato, G. Servant, P.Sørensen, *JCAP* **10** (2022) 053 [2206.14269]

CE, Servant, *JCAP* **01** (2023) 009 [2207.10111]

A. Chatrchyan, **CE**, M. Koschnitzke, G. Servant, *JCAP* **10** (2023) [2305.03756]

Bu çalışmanın bir bölümü TÜBİTAK tarafından "2236 - Uluslararası Deneyimli Araştırmacı Dolaşımı Destek Programı" kapsamında desteklenmiştir. (Project No: 121C404).

Strong CP Problem

The QCD sector of the Standard Model contains a CP violating $\bar{\theta}$ -term:

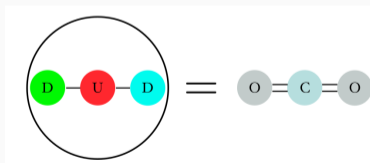
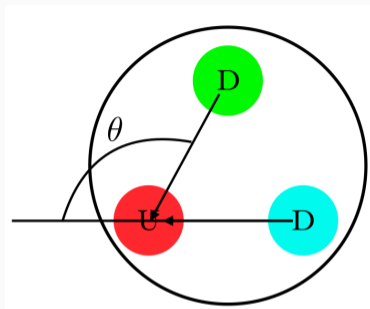
$$\mathcal{L}_{\text{QCD}} \supset \frac{\bar{\theta} g_s^2}{32\pi^2} \text{Tr} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

Due to this term, neutrons gets a non-zero **electric dipole moment**:

$$d_n \approx 3.6 \times 10^{-16} \bar{\theta} e \text{ cm}$$

However, this has not been observed in experiments:

$$|d_n| < 2.9 \times 10^{-26} e \text{ cm} \quad \Rightarrow \quad \bar{\theta} \lesssim 10^{-10} !$$



Anson Hook, 1812.02669

The Peccei-Quinn (PQ) solution for the Strong CP problem

Add a **spontaneously broken**, and **anomalous under QCD** global U(1) symmetry to the Standard Model:

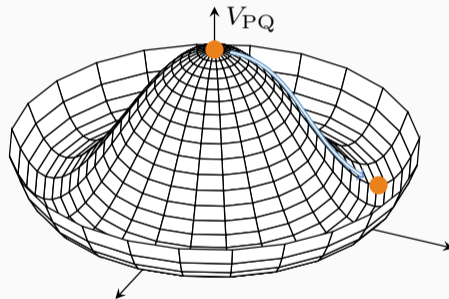
$$\mathcal{G}(\text{SM}) \otimes \text{U}_{\text{PQ}}(1) \rightarrow \mathcal{G}(\text{SM}) \quad @f_\phi$$

Spontaneous symmetry breaking creates a **Nambu-Goldstone** boson named **axion**.

$$\varphi_{\text{PQ}} = \chi \exp\left\{i\frac{\phi}{f_\phi}\right\} \equiv \chi \exp\{i\theta\}$$

$$\chi \equiv \text{radial mode} \quad , \quad \phi \equiv \text{axion}$$

- the **radial mode** corresponds to a **heavy** particle with mass $m_\chi \sim f_\phi$.
- **axion** is the degree of freedom of the **angular** motion which is **massless** after the symmetry breaking.



The Standard Model Lagrangian including the axion takes the form

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{\bar{\theta} g_s^2}{32\pi^2} \text{Tr } G_{\mu\nu} \tilde{G}^{\mu\nu} + \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \mathcal{L}_{\text{int}} \left[\frac{\partial_\mu \phi}{f_\phi} \right] + \frac{\phi}{f_\phi} \frac{g_s^2}{32\pi^2} \text{Tr } G_{\mu\nu} \tilde{G}^{\mu\nu}$$

The **shift symmetry** of the axion allows us to absorb $\bar{\theta}$ into ϕ/f_ϕ by defining the physical axion angle θ :

$$\theta \equiv \bar{\theta} + \frac{\phi}{f_\phi}.$$

After the QCD phase transition, the $\text{Tr } G_{\mu\nu} \tilde{G}^{\mu\nu}$ (instanton) terms create an **effective potential** for the axion which gets minimized at a CP-conserving value:

Vafa ve Witten, PRL 53, 535

$$\langle \theta \rangle = 0.$$

The Peccei-Quinn mechanism turns the **constant $\bar{\theta}$ -parameter** into a dynamical one, and $\theta = 0$ is explained by system dynamics.

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Axion potential and the axion mass

The axion potential generated by the **instanton** contributions take different forms at temperatures above and below the QCD phase transitions:

- Above the phase transition: $T \gg \Lambda_{\text{QCD}} \simeq 150 \text{ MeV}$:

Borsanyi et al. 1606.07494

$$V(\theta) \approx m_\phi^2(T) f_\phi^2 [1 - \cos(\theta)] \propto T^{-8.16}.$$

- Below the phase transition: $T \ll \Lambda_{\text{QCD}}$

Luzio et al. 2003.01100

$$V(\theta) = -m_\pi^2 f_\pi^2 \sqrt{1 - \frac{4m_u m_d}{(m_u + m_d)^2} \sin^2\left(\frac{\theta}{2}\right)}.$$

- This allows us to obtain a relation between the axion mass m_ϕ and the axion decay constant f_ϕ :

$$m_\phi = \frac{\sqrt{m_u m_d}}{m_u + m_d} \frac{m_\pi f_\pi}{f_\phi} \simeq 5.7 \times \left(\frac{10^{12} \text{ GeV}}{f_\phi} \right) \mu\text{eV}.$$

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Axion couplings to the Standard Model

The couplings between the axions and the Standard Model particles can be worked using **chiral** perturbation theory. Most notable ones are

- **Couplings to fermions:** axion-electron, axion-neutron, ...

$$\mathcal{L} \supset \frac{\partial_\mu \phi}{2f_\phi} \bar{\psi} c_\psi \gamma^\mu \gamma_5 \psi$$

- **Coupling to photon:** modifies Electrodynamics, most promising discovery channel

$$\mathcal{L} \supset \frac{1}{4} \underbrace{C_{\phi\gamma}}_{\equiv g_{\phi\gamma}} \frac{\alpha_{\text{EM}}}{2\pi f_\phi} \underbrace{F\tilde{F}}_{\text{E}\cdot\text{B}}$$

All couplings are suppressed by f_ϕ^{-1} !

QCD Axion and Axion-Like-Particles (ALPs)

An “axion-like-particle (ALP)” is defined as a **scalar field** ϕ with the following **effective** Lagrangian at low energies:

$$\mathcal{L}_{\text{ALP}} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi - \Lambda_b^4(T) \left[1 - \cos\left(\frac{\phi}{f_\phi}\right) \right] - \frac{g_{\phi\gamma}}{4}\phi F_{\mu\nu}\tilde{F}^{\mu\nu} + \dots$$

The mass (barrier-height) is in general **temperature-dependent**:

$$\Lambda_b^4(T) \approx m_\phi^2 \times \begin{cases} \left(\frac{T_c}{T}\right)^\gamma & , T \geq T_c \\ 1 & , T < T_c \end{cases}$$

QCD axion

$m_\phi^2 f^2 \approx (76 \text{ MeV})^4$, $\gamma \approx 8$, $T_c \approx 150 \text{ MeV}$
Couplings to photons, nucleons, electrons, etc...

Generic ALP

m_ϕ, f, γ, T_c are **free** parameters.
Might not have any coupling to SM.

This talk: A generic ALP with a **constant** mass, i.e. $\gamma = 0$.

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ALP dark matter: The standard paradigm

The cosmology of an ALP field ϕ is determined by the evolution equation:

$$\ddot{\phi} + 3H\dot{\phi} - \frac{\nabla^2}{a^2}\phi + V'(\phi) = 0, \quad V(\phi, T) = m_\phi^2(T)f_\phi^2 \left[1 - \cos\left(\frac{\phi}{f_\phi}\right) \right].$$

One also needs to specify the **initial conditions** that depends on the time of the **symmetry breaking** that has generated the ALP as the **pNGB**.

- **Post-inflationary:** Different initial conditions in each Hubble patch. **Inhomogeneous**.
- **Pre-inflationary:** Random initial angle $\theta \equiv \phi/f_\phi \in [-\pi, \pi)$ in observable universe. **Homogeneous**.

Assuming pre-inflationary scenario and **negligible** initial kinetic energy

$$\rho_\phi \propto \begin{cases} \text{constant}, & m(T) \ll H(T) \\ a^{-3}, & m(T) \gg H(T) \end{cases}.$$

The relic density for ALP dark matter is determined by $0 \leq |\theta_i| < \pi$.

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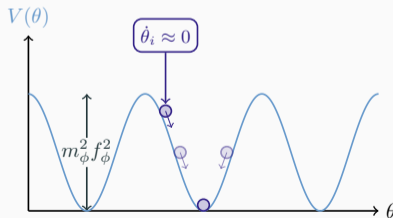
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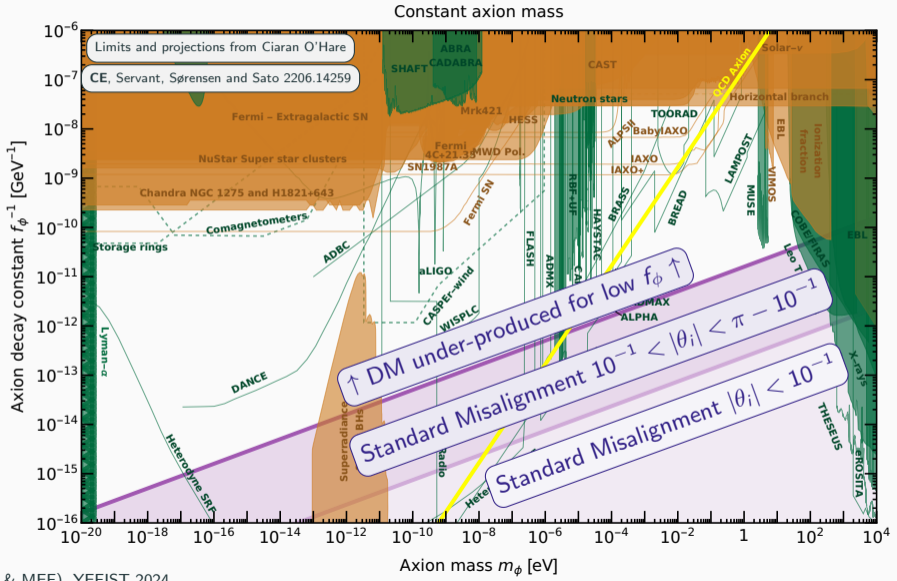
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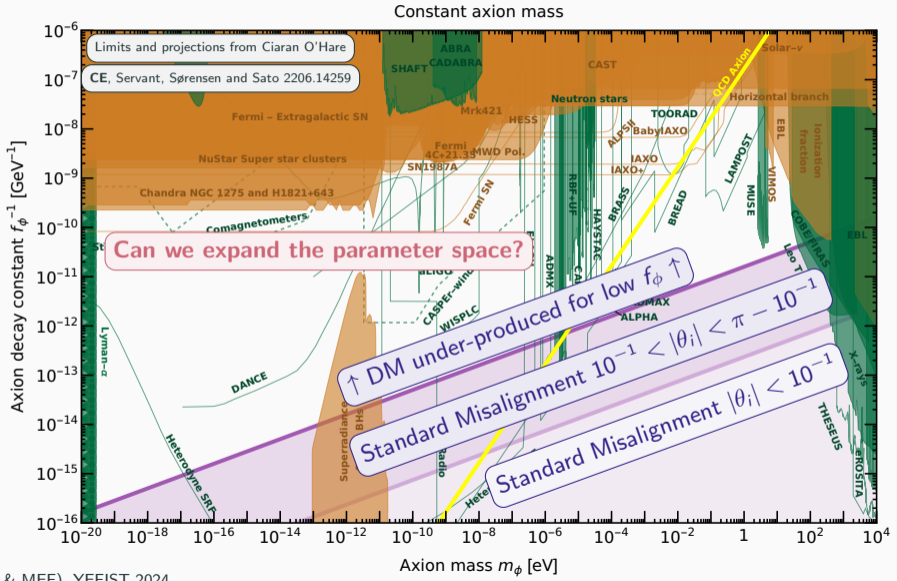
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ALP dark matter parameter space in the standard paradigm (with $g_{\theta\gamma} = (\alpha_{em}/2\pi)(1.92/f_\phi)$)



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Extending the parameter space to lower f_ϕ values

- Modify the initial conditions
 - **Large misalignment:** Choose the initial angle very close to the top, i.e. $|\pi - \theta_i| \ll 1$.
Zhang, Chiueh 1705.01439; Arvanitaki et al. 1909.11665
 - **Kinetic misalignment:** Start with a large initial kinetic energy.
Co et al. 1910.14152; Chang et al. 1911.11885
- Modify the potential to a non-periodic one:

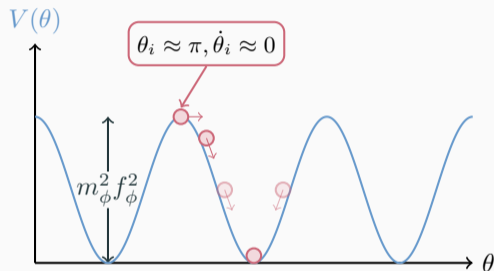
Ollé+. 1906.06352; Chatrchyan, CE, Koschnitzke, Servant 2305.03756

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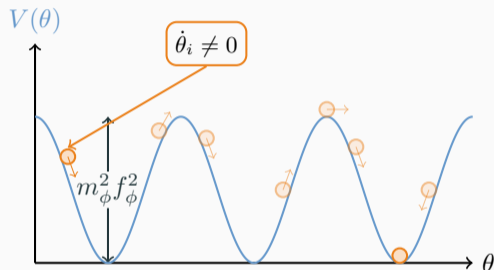
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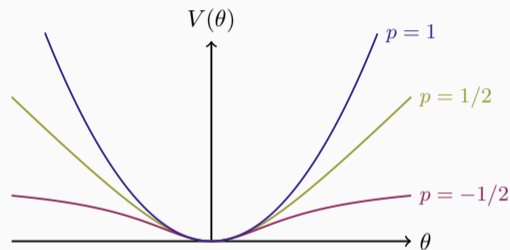
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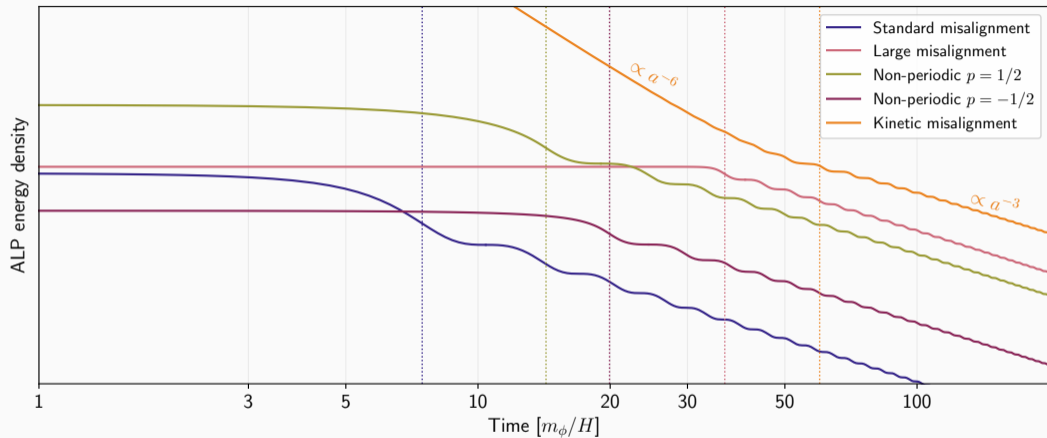
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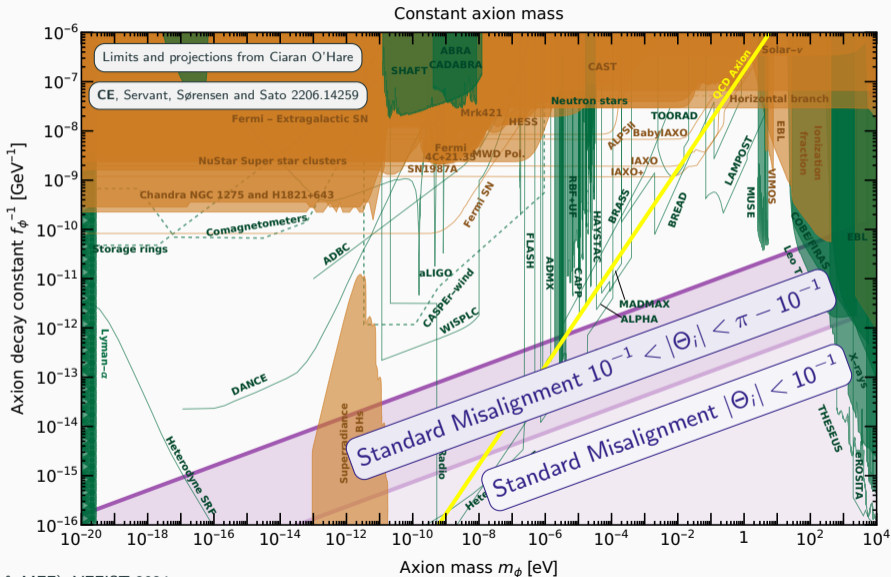


Expanding the parameter space to lower f_ϕ values

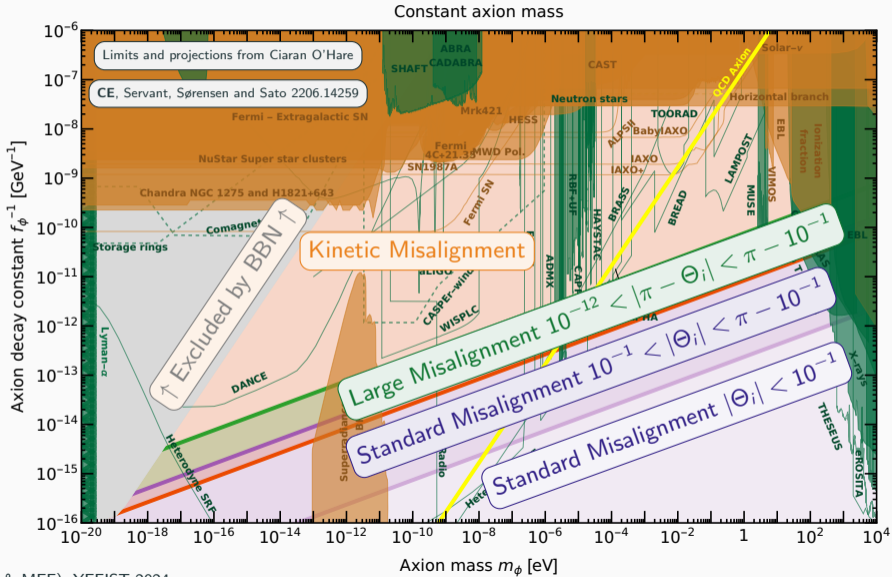


Common property of all these is that the onset of oscillations got **delayed** which **boosts** the dark matter abundance, and extends the ALP dark matter parameter space to **lower** decay constants.

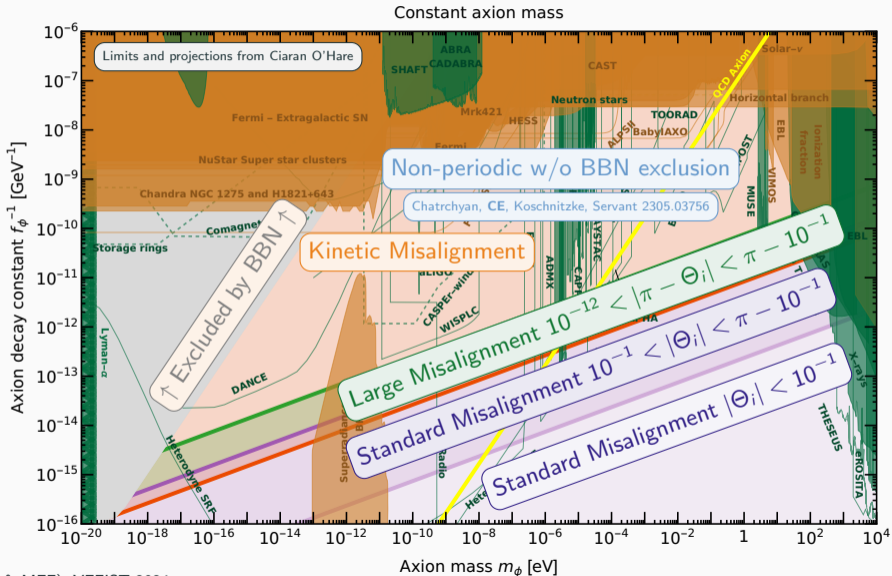
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- Even in the pre-inflationary scenario ALP field has some **fluctuations** on top of the **homogeneous background** which can be described by the **mode functions** in the Fourier space.

$$\theta(t, \mathbf{x}) = \Theta(t) + \int \frac{d^3 k}{(2\pi)^3} \theta_k e^{i\vec{k}\cdot\vec{x}} + \text{h.c.}$$

- These fluctuations are seeded by **adiabatic** and/or **isocurvature** perturbations:

Adiabatic perturbations (**This work**)

- Due to the **energy density perturbations** of the dominating component, **unavoidable**.
- Initial conditions in the super-horizon limit:

$$\delta_i / (1 + w_i) = \delta_j / (1 + w_j)$$

Isocurvature perturbations

- If ALPs exist during inflation and are **light** $m \ll H_{\text{inf}}$, they pick up **quantum fluctuations**:

$$\delta\theta \sim H_{\text{inf}} / (2\pi f_{\text{inf}})$$

- Can be avoided/suppressed if ALP has a large mass during inflation, or $f_{\text{inf}} \gg f_{\text{today}}$.

Exponential growth of the mode functions

The equation of motion for the mode functions can be derived from the FRLW metric including the **curvature perturbations**:

$$ds^2 = -[1 - 2\Phi(t, \mathbf{x})] + a^2(t)[1 + 2\Phi(t, \mathbf{x})]\delta_{ij} dx^i dx^j, \quad \Phi_k(t, \mathbf{x}) = 3\Phi_k(0) \left[\frac{\sin t_k - t_k \cos t_k}{t_k^3} \right], \quad t_k = \frac{k/a}{\sqrt{3}H}$$

For small fluctuations $\delta\theta \ll \Theta$ the equation of motion for the mode functions become

$$\ddot{\phi}_k + 3H\dot{\phi}_k + \underbrace{\left[\frac{k^2}{a^2} + V''(\phi) \right]_{\bar{\phi}}}_{\text{eff. frequency}} \phi_k = \underbrace{2\Phi_k V'(\phi)_{\bar{\phi}} - 4\dot{\Phi}_k \dot{\phi}}_{\text{source term}}$$

The EoM is unstable when the effective frequency

- becomes negative \Rightarrow tachyonic instability
- is oscillating \Rightarrow parametric resonance

Kofman et al. hep-ph/9704452; Felder, Kofman hep-ph/0606256

Greene et al. hep-ph/9808477; Jaeckel et al. 1605.01367

Cedeno et al. 1703.10180; Berges et al. 1903.03116

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Instability exists except for a free theory where $V''(\phi) = m^2$.

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Instability exists except for a free theory where $V''(\phi) = m^2$.

The size of fluctuations is determined by the **density contrast**:

$$\delta_\rho(\vec{x}, t) \equiv \frac{\rho(\vec{x}, t) - \bar{\rho}(t)}{\bar{\rho}(t)}$$

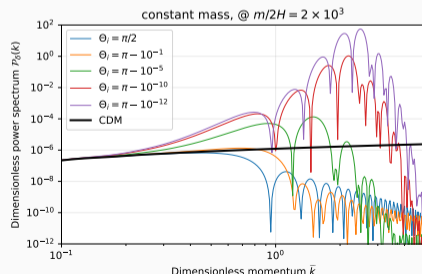
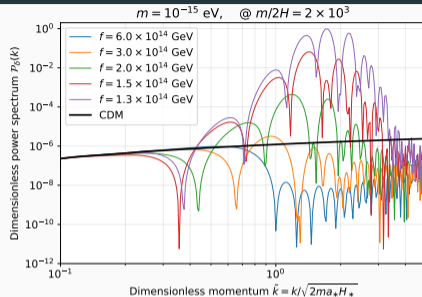
The **power spectrum (two-point function)** determines the distribution of structures today:

$$\mathcal{P}_\delta(k) = \frac{k^3}{2\pi^2} \left\langle \left| \tilde{\delta}_\rho(\vec{k}, t) \right|^2 \right\rangle$$

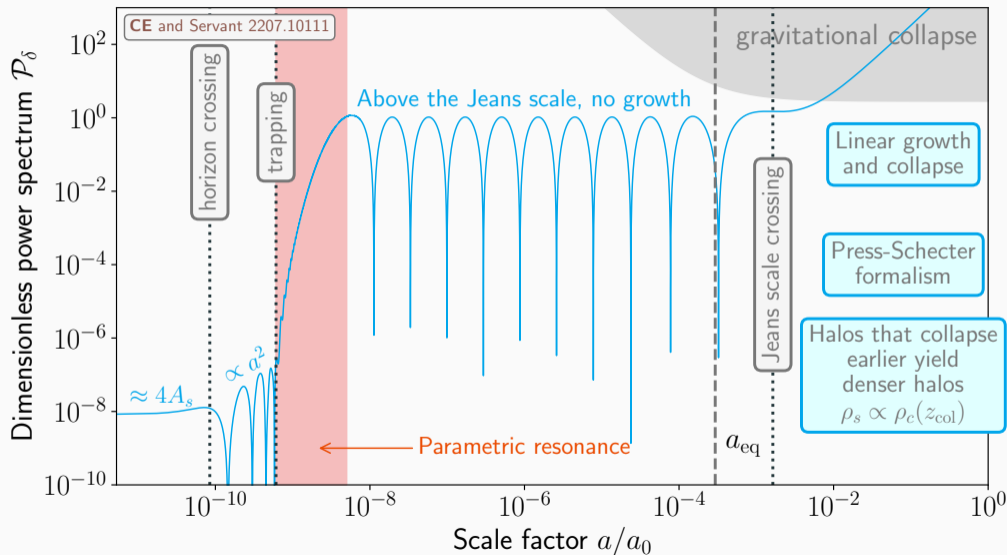
After the parametric resonance the power spectrum can reach to $\mathcal{O}(1)$ values:

Dense and compact ALP mini-clusters can also be formed in the pre-inflationary scenario!

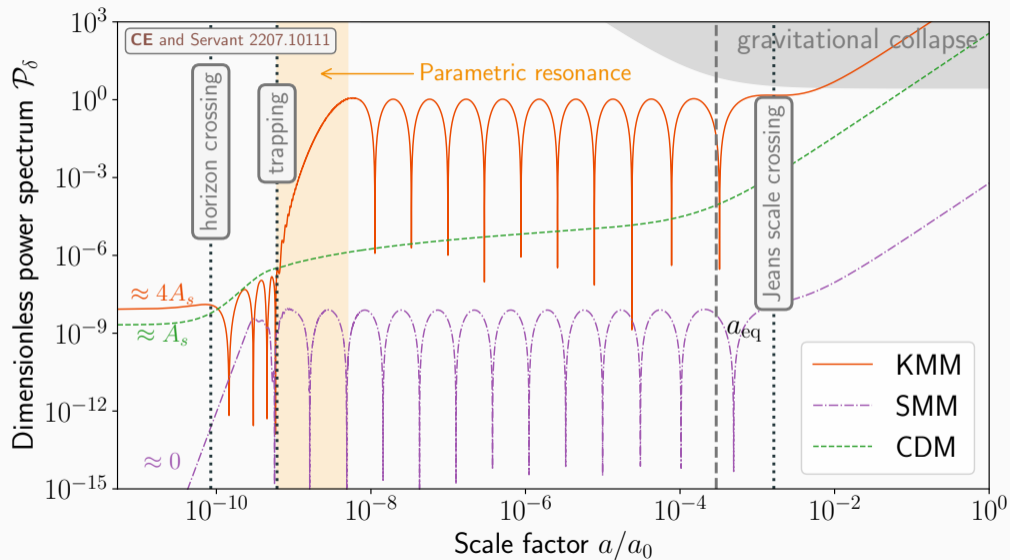
Growth rate of the perturbations depend **exponentially** on $m_\phi/H|_{\text{osc}}$.

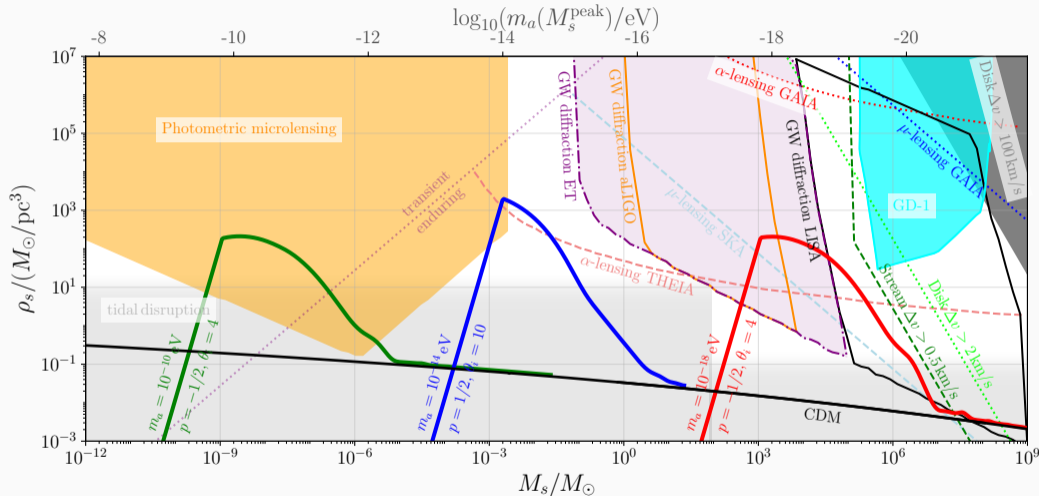


Lifetime of a fluctuation mode



Lifetime of a fluctuation mode






Experimental prospects from Tilburg et al. 1804.01991; Arvanitaki et al. 1909.11665; Ramani et al. 2005.03030

- The Standard Misalignment Mechanism is not **sufficient** to account for the correct dark matter abundance in the ALP parameter space where the experiments are most **sensitive**.
- This parameter space can be **opened** by considering models where the initial energy budget is **increased**, and the onset of oscillations is **delayed** from the conventional value $m_{\text{osc}}/H_{\text{osc}} \sim 3$.
- In these models which go **beyond** the standard paradigm, the fluctuations can grow **exponentially**, and **dense** ALP mini-clusters can be formed even in the pre-inflationary scenario.

Thank you for listening!

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Cosmological evolution of the ALP field

Start with the action for the ALP field (neglect Standard Model interactions):

$$S = \int d^4x \sqrt{-g} [-g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi)].$$

Take the background geometry to be the FRWL geometry with the metric

$$ds^2 = -dt^2 + a^2(t) \delta_{ij} dx^i dx^j$$

Then, the ALP field obeys the following equation of motion:

$$\ddot{\theta} + 3H\dot{\theta} - \frac{\nabla^2}{a^2} \theta + \frac{1}{f_\phi^2} \frac{dV}{d\theta} = 0, \quad H = \frac{\dot{a}}{a} := \text{Hubble parameter.}$$

This is a second order ODE which can be solved after specifying the initial conditions.

How to get a large initial kinetic energy?

A large initial kinetic energy for the ALP field can be **motivated** in various UV completions:

- **Explicit** breaking of the PQ symmetry at very large energies. [Co et al. 1910.14152](#); [2004.00629](#); [2006.05687](#)
- **Trapped misalignment** [Luzio et al. 2102.00012](#); [2102.01082](#)

Today's ALP energy density is [Co et al. 1910.14152](#)

[CE, Servant, Sørensen, Sato 2206.14259](#)

$$h^2\Omega_{\phi,0} \approx 0.12 \left(\frac{m_\phi}{5 \times 10^{-3} \text{ eV}} \right) \left(\frac{Y}{40} \right), \quad Y = \frac{f_\phi \dot{\phi}(T)}{s(T)}$$

The **yield** parameter Y is conserved after the kick, and determines the ALP relic density today.

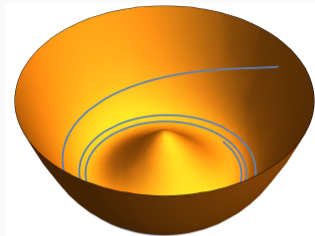
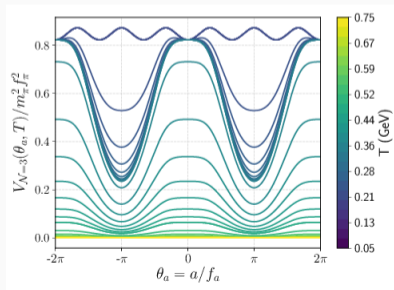


Figure credit: Philip Sørensen



[Luzio et al. 2102.01082](#)

Evolution of the density contrast at late times

Calculating the evolution of the density contrast until today by **numerically** solving the mode function equation of motion is very **time-** and **resource-consuming**. **Luckily**, we can use an **effective** description using the **WKB approximation**:

Park et al., 1207.3124

$$\begin{aligned}\Theta(t) &= a^{-3/2}[\Theta_+ \cos(mt) + \Theta_- \sin(mt)], \\ \theta_k(t) &= \theta_+(k, t) \cos(mt) + \theta_-(k, t) \sin(mt).\end{aligned}$$

The evolution of the density contrast for **sub-horizon modes**, $k/a \gg H$ obeys the differential equation (valid both in radiation and matter eras):

$$\ddot{\delta}_k + 2H\dot{\delta}_k + \left(c_{s,\text{eff}}^2 \frac{k^2}{a^2} - 4\pi G\bar{\rho} \right) \delta_k = 0, \quad \overbrace{c_{s,\text{eff}}^2 \approx \frac{1}{4} \frac{k^2}{a^2 m^2} \left(1 + \frac{1}{4} \frac{k^2}{a^2 m^2} \right)^{-1}}^{\text{effective sound speed of the ALP}} \approx \frac{1}{4} \frac{k^2}{a^2 m^2}.$$

The evolution for **Cold Dark Matter (CDM)** is recovered in the limit $c_{s,\text{eff}}^2 \rightarrow 0$ or $m \rightarrow \infty$.

Axion Jeans Scale

For **sub-horizon** $k/a \gg H$ and **non-relativistic** $k/a \ll m$ modes, the density contrast evolution is

$$\ddot{\delta}_k + 2H\dot{\delta}_k + \left[\underbrace{\frac{1}{4} \frac{(k/a)^4}{m^2}}_{\text{"pressure" term}} - \underbrace{4\pi G\bar{\rho}}_{\text{gravitational instability}} \right] \delta_k = 0.$$

The scale at which the **"pressure" term** and **gravitational instability** becomes equal is called the **Axion Jeans scale**:

$$k_J(a) = (16\pi G a \bar{\rho})^{1/4} \sqrt{m} = 66.5 \times a^{1/4} \left(\frac{h^2 \Omega_\Theta}{h^2 \Omega_{DM}} \right) \sqrt{\frac{m}{10^{-22} \text{ eV}}} \text{ Mpc}^{-1}.$$

The behavior of the density contrast depends whether it is **above** or **below** the Jeans scale:

- **Modes above the Jeans scale** oscillate with a frequency given by the effective sound speed both in matter- and radiation-domination.
- **Modes below the Jeans scale** behaves like CDM. They grow **logarithmically** during the **radiation** era, and **linearly** during the **matter** era.

Gravitational collapse

Once the matter fluctuations become sufficiently **dense**, they decouple from the ambient Hubble flow, and form **gravitationally bound** structures known as **halos**. This process is called **gravitational collapse**. Studying this process precisely is quite **difficult**, and requires **N-body simulations**. However, **qualitative** results can be derived by exploiting the **approximate spherical** symmetry.

Consider a **spherical** overdensity δ with **physical** radius r :

$$M = \frac{4\pi}{3}\bar{\rho}(1 + \delta)r^3$$

Assume that the mass M in the overdense region is constant during the collapse. The evolution of the physical radius r obeys the differential equation:

$$\ddot{r} = -\underbrace{\frac{MG}{r^2}}_{\text{matter}} - \underbrace{\frac{8\pi G}{3}\rho_r r}_{\text{radiation}}$$

Critical density at collapse

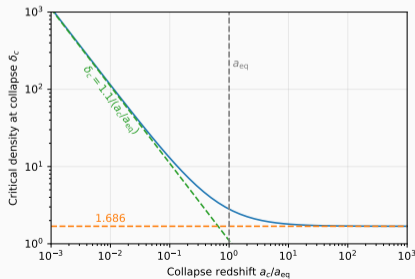
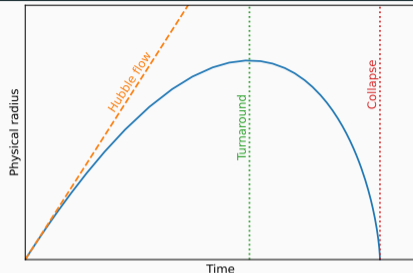
During the evolution, the physical radius r first **decouples** from the **Hubble flow**, then **turns around**, and finally **collapses**. The redshifts at which these events occur depends on the size of the initial overdensity δ_i :

$$\left. \frac{a}{a_{\text{eq}}} \right|_{\text{turnaround}} \approx \frac{0.7}{\delta_i}, \quad \left. \frac{a}{a_{\text{eq}}} \right|_{\text{collapse}} \approx \frac{1.1}{\delta_i}$$

Prediction of the **linear theory** at the time of **collapse** gives the **critical density at collapse**:

Ellis et al. 2006.08637; CE and Servant, 2207.10111

$$\delta_c(a_c) \approx \left(\frac{1.1}{a_c/a_{\text{eq}}} \right) \left(1 + \frac{3}{2} \frac{a_c}{a_{\text{eq}}} \right).$$



Press-Schechter Formalism

The formation of the dark matter halos can be studied analytically via the Press-Schechter (PS) formalism. Let us define the following quantities:

Press and Schechter, '74

- $\mathcal{F}(> M; a)$: The fraction of matter which is inside collapsed structures of comoving size larger than R at any given scale factor a .
- $\mathcal{P}(\delta_R(a) > \delta_c(a))$: The probability of finding an overdensity $\delta_R(\mathbf{x}, a) > \delta_c(a)$ where $\delta_R(\mathbf{x}, a)$ is the overdensity *smoothed* at the scale R , and $\delta_c(a)$ is the critical density for collapse at scale factor a .

The PS **postulate** states that these quantities are equal:

$$\mathcal{F}(> M; a) = \mathcal{P}(\delta_R(a) > \delta_c(a))$$

The smoothed density contrast is obtained via a **window function**:

$$\delta_R(\mathbf{x}, a) = \int d^3\mathbf{x}' W_R(|\mathbf{x} - \mathbf{x}'|) \delta(\mathbf{x}', a), \quad \int d^3\mathbf{x} W_R(\mathbf{x}) = 1,$$

The variance of the smoothed density contrast is

$$\sigma_R^2(a) = \langle |\delta_R(\mathbf{x}, a)|^2 \rangle = \int_0^\infty \frac{dk}{k} |\widetilde{W}_R(k)|^2 \mathcal{P}_\delta(k; a),$$

Halo mass function (HMF)

An important observable of a dark matter model is the **halo mass function (HMF)** which gives the number density of halos per logarithmic mass bin:

$$\frac{dn(M; a)}{d \ln M} = \frac{1}{2} \frac{\bar{\rho}_{m,0}}{M} f_{\text{PS}} \left(\frac{\delta_c(a)}{\sigma_M(a)} \right) \left| \frac{d \ln \sigma_M^2(a)}{d \ln M} \right|, \quad f_{\text{PS}}(\nu) = \sqrt{\frac{2}{\pi}} \nu \exp\left(-\frac{\nu^2}{2}\right)$$

The relation between the mass of the halo M and the comoving radius R depends on the **window function**. For a **spherical top-hat** window function

$$W_{\text{STH}}(\mathbf{x}) = \left(\frac{4\pi}{3} R^3 \right)^{-1} \times \begin{cases} 1, & \mathbf{x} \leq R \\ 0, & \mathbf{x} > R \end{cases}.$$

The relation is

$$M(R) = \frac{4\pi}{3} \bar{\rho} a^3 R^3 \approx \frac{4\pi}{3} \bar{\rho}_{m,0} a_0^3 R^3,$$

This **HMF** is directly related to the **luminosity function** which quantifies the number of galaxies per luminosity interval.

Density profiles of dark matter halos

- Useful **parameters** to describe the dark matter halos:

$$\underbrace{\left. \frac{\partial \ln \rho(r)}{\partial \ln r} \right|_{r=r_s}}_{\text{scale radius}} = -2, \quad \underbrace{\rho_s = \rho(r = r_s)}_{\text{scale density}}, \quad \underbrace{M_s = \int_0^{r_s} d^3\vec{r} \rho(r)}_{\text{scale mass}} = 16\pi\rho_s r_s^3 \left(\ln 2 - \frac{1}{2} \right).$$

- In order to determine these parameters, we need to know the **density profile**.

CDM

On **all** scales, the profile is well-approximated by the Navarro-Frenk-White (NFW) profile

Navarro et al. astro-ph/9611107

$$\rho_{\text{NFW}}(r) = \frac{4\rho_s}{(r/r_s)(1+r/r_s)^2}.$$

ALP

The profile is **scale dependent**:

- Large scales:** NFW
- Small scales:** Soliton profile Schive et al. 1406.6586

$$\rho_{\text{sol}}(r) \approx \frac{2.9\rho_s}{\left(1 + \left(r/\sqrt{7}r_s\right)^2\right)^8} \Rightarrow \rho_s \propto m^6 M_s^4$$

- The scale density is closely related to the energy density at **collapse**:

$$\rho_s \propto \rho_c(z_{\text{col}}) \Rightarrow \text{Fluctuations that collapse } \mathbf{earlier} \text{ create } \mathbf{denser} \text{ halos.}$$