

# Supernova triggering at DUNE from machine-learning based clustering

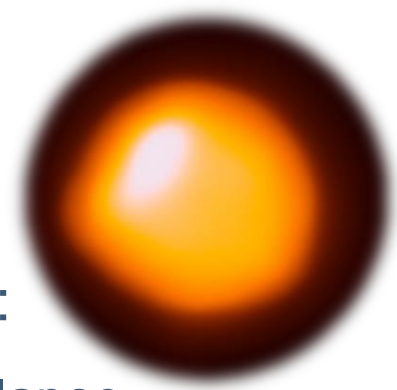
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On behalf of the DUNE Collaboration

10.04.24

# Betelgeuse

Betelgeuse:  
ALMA  
(ESO/NAOJ/NRAO)/  
E. O’Gorman/P. Kervella



- Last year, a study [[arXiv:2305.09732](https://arxiv.org/abs/2305.09732)] used brightness oscillations to predict:
  - “After carbon is exhausted (likely in less than  $\sim 300$  years) in the core, a core-collapse leading to a supernova explosion is expected in a few tens of years.”
- Not everyone agree with the conclusions [[10.3847/2515-5172/acdb7a](https://doi.org/10.3847/2515-5172/acdb7a)].
- 99% of SN energy is carried by neutrinos of  $O(10\text{MeV})$ .
  - Betelgeuse  $\sim 500\text{ly}$  ( $\sim 150\text{ pc}$ ) away
  - More than  $10^6$  neutrinos expected in each detector module of DUNE.
- Only one supernova burst (SN1987A) has been recorded to date, from 51kpc away.
  - 25 neutrinos recorded by Kamikande, IMB, and Baksan.



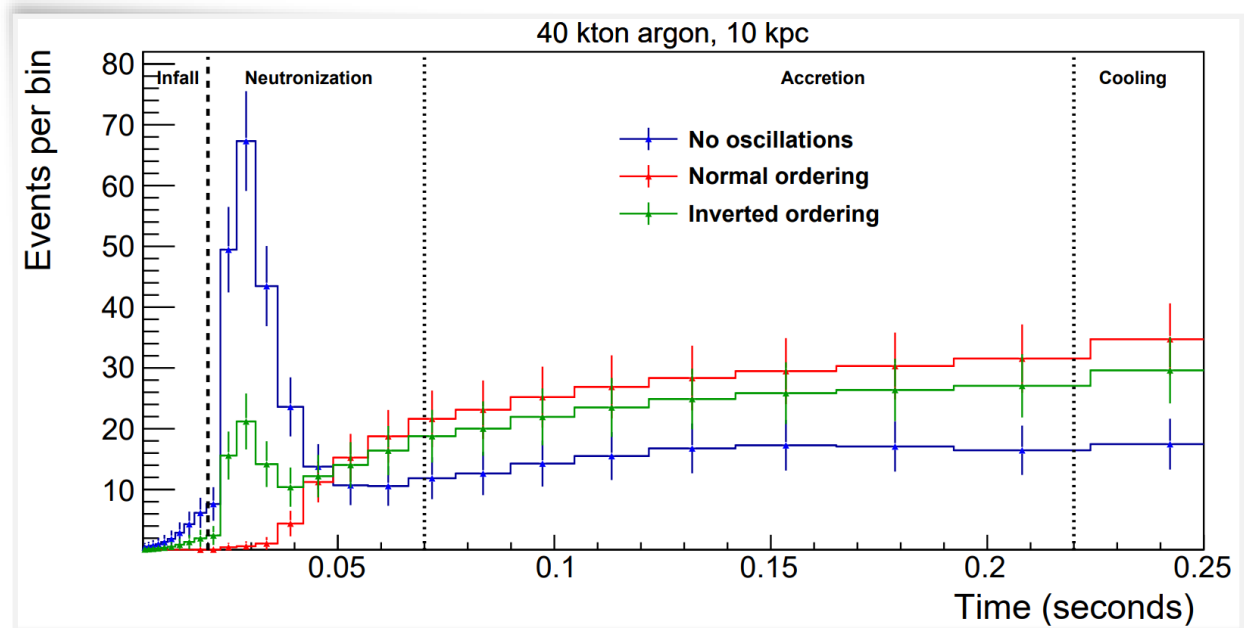
SN1987A remnant captured by JWST

# Supernova Physics

- Astrophysical phenomena:
  - Early warning for optical telescopes (neutrinos escape a few hours before the first photons).
  - Details of supernova models.
- Neutrino physics:
  - Neutrino oscillation parameters, with matter effects of high-density supernova matter.
  - Mass ordering leaves a strong imprint on the resulting flavour spectrum.

Expected number of  
neutrino interactions in  
DUNE from a 10kpc  
supernova burst.

From [arXiv:1804.01877](https://arxiv.org/abs/1804.01877)

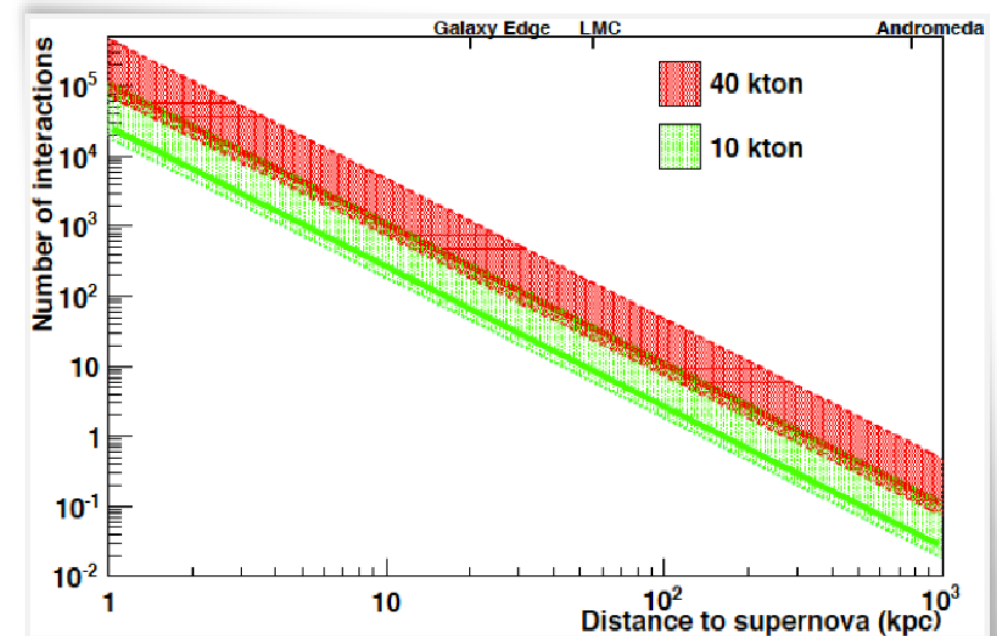


# Betelgeuse or Bust?

- Even in the best case, at most 10% chance of Betelgeuse going supernova during lifetime of DUNE.

## BUT

- Galactic supernova are expected 1-3 times per century.
- The edge of the Milky Way is ~25kpc away.
- About 60 neutrino interactions expected per module for a galactic supernova.
- How many neutrino interactions must be incident in a detector module to create a trigger?

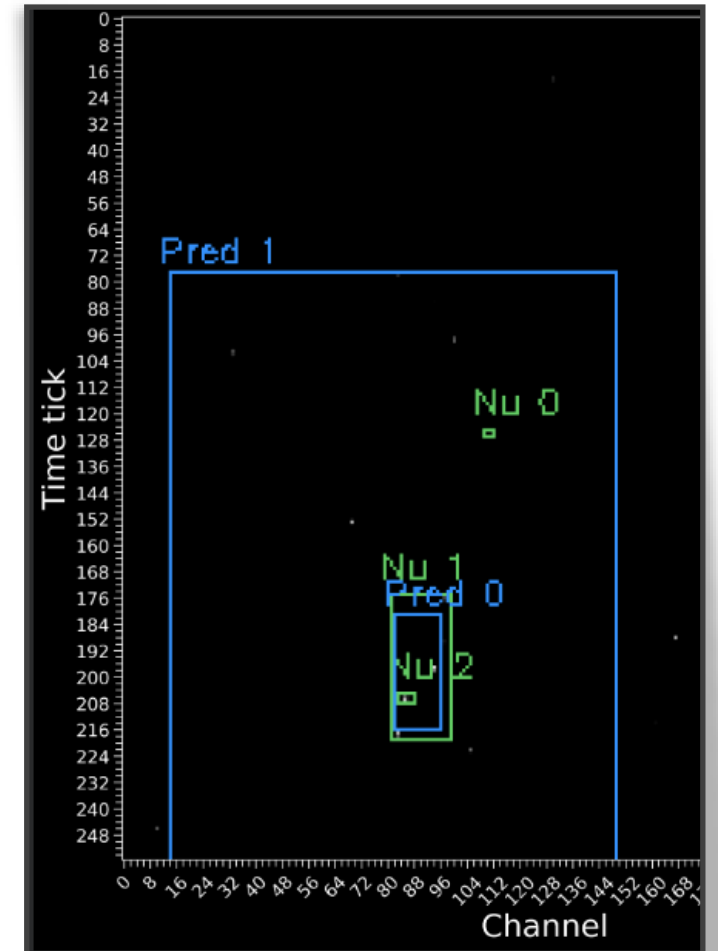


Expected number of neutrino interactions in DUNE from a supernova burst. From [arXiv:2011.06969](https://arxiv.org/abs/2011.06969)

# Low energy clustering

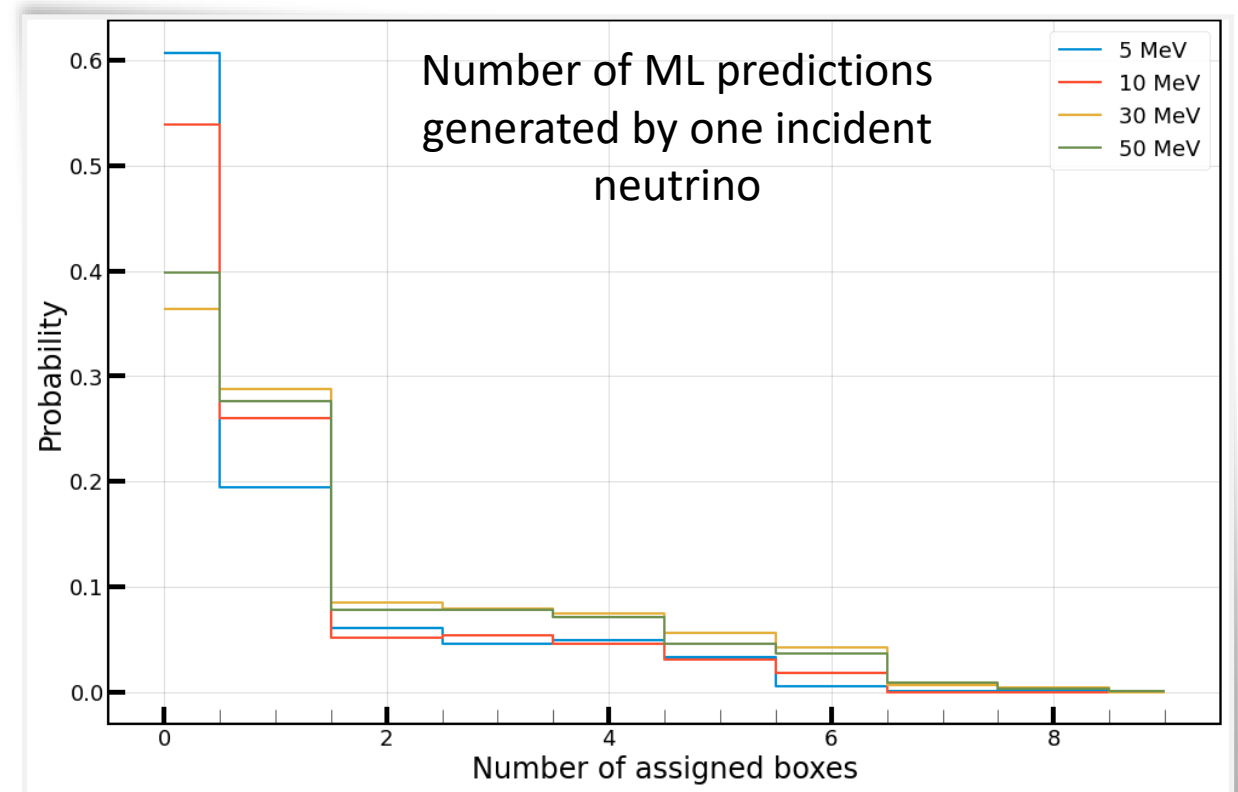
- We have performed an example study on the performance which may be obtained by machine learning methods.
- Network creates boxes where it predicts a neutrino is present.
- Many classical clustering algorithms produce “yes/no” type results (without multiplicity).
  - E.g. DBScan takes a set of points, and gives each point a cluster label
- We want a **like-for-like method to compare ML results to** classical clustering methods.
  - Produce trigger chance from clustering distribution and incident neutrino count.

Example predictions produced by a neural network in blue, with true neutrino interactions in green.

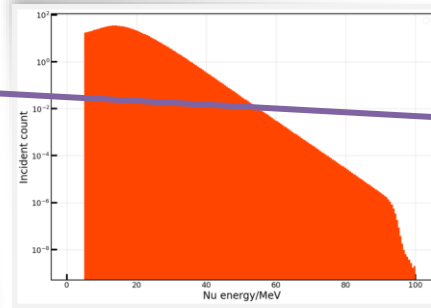
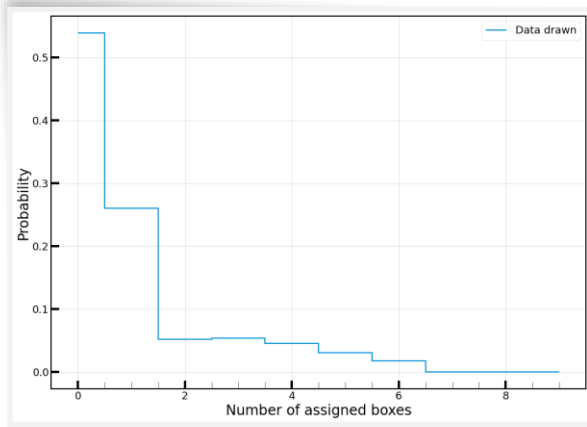


# Multiplicity

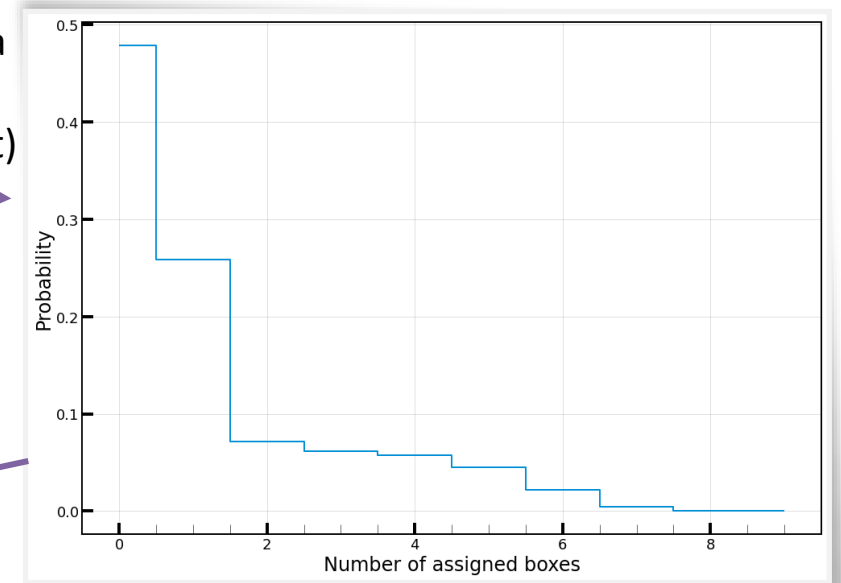
- Standard clustering algorithms normally produce binomial clustering efficiencies.
  - Each data point is assigned a cluster label.
- The neural network can produce multiple predictions overlapping the same region of space.
- Results in a **multiplicity** of predictions per true incident neutrino.
- Probability may be a function of neutrino energy.



# Supernova detection probability

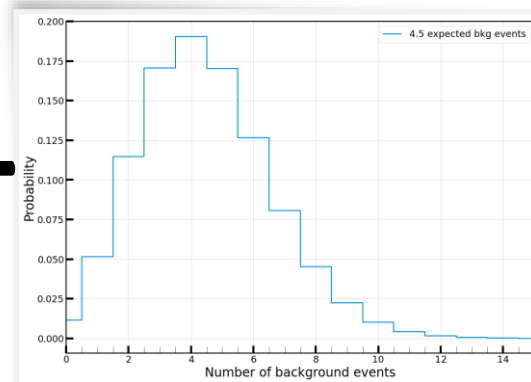
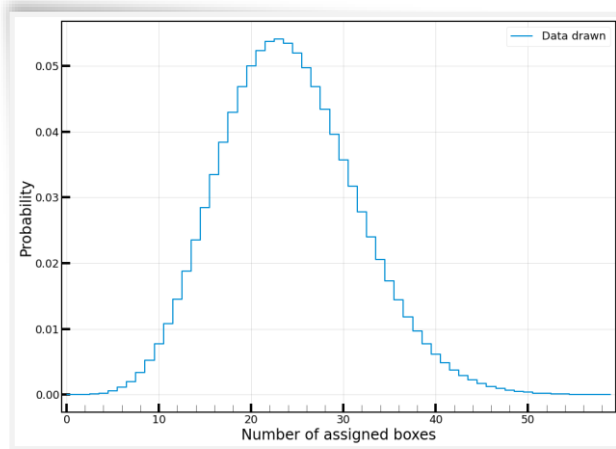


Weight by energy distribution from a supernova sample (model dependent)



Detection multiplicity for a neutrino of a particular energy  $N$  neutrinos drawn at random from energy weighted detections

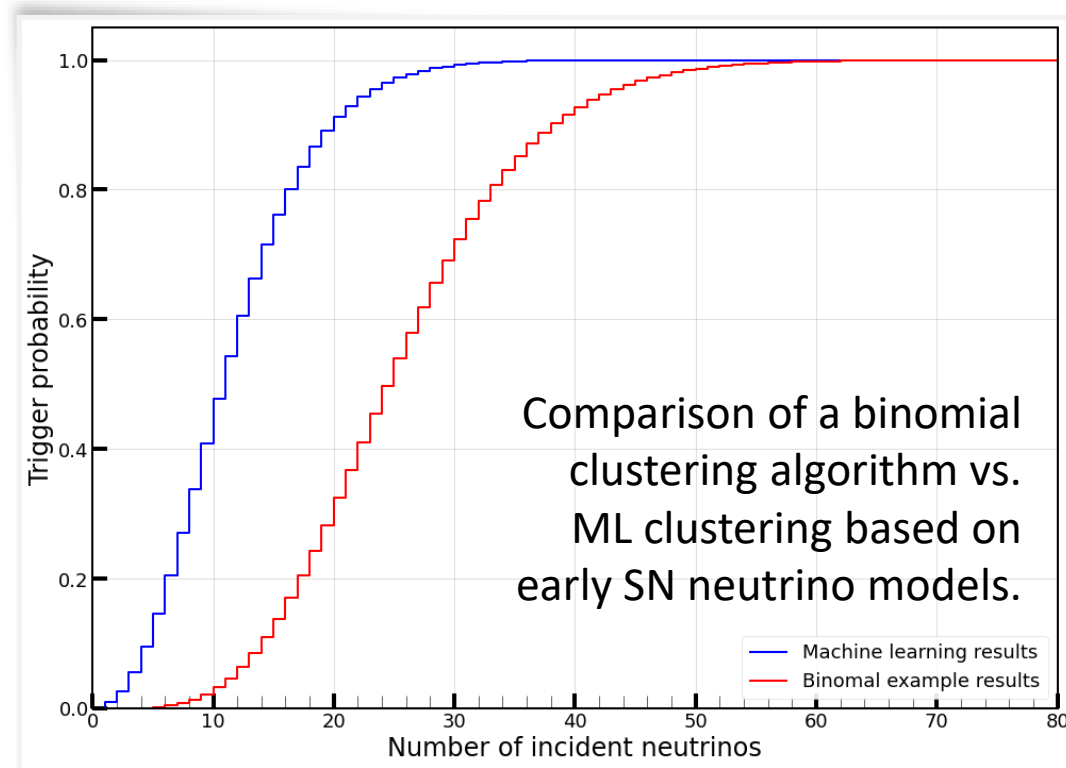
$$P(\text{Number of assigned boxes} + \text{Number of background events}) \geq \text{threshold}$$



Poisson count from random background events in 10s

# Supernova detection probability

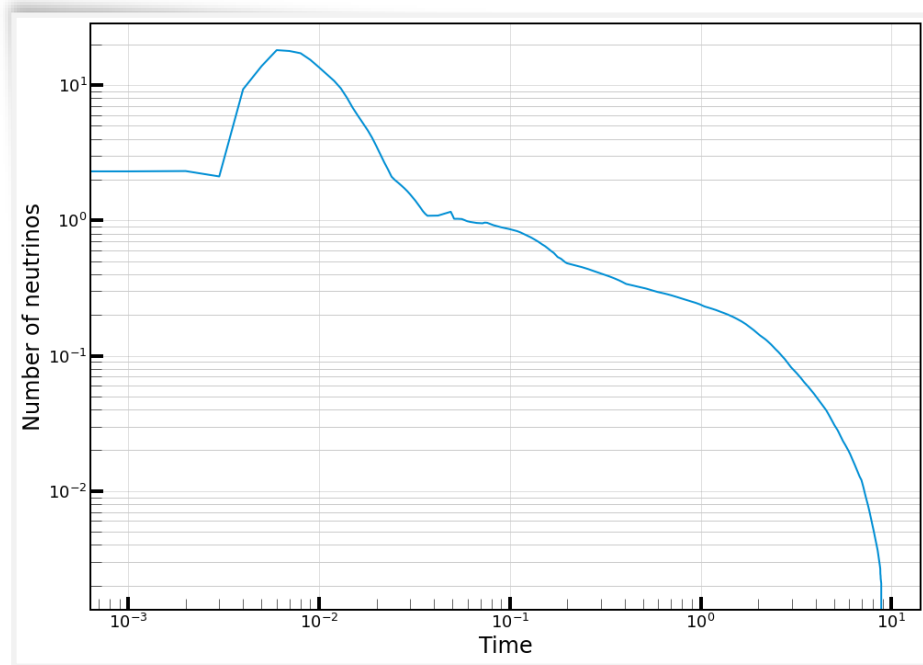
- Probability of creating a trigger in a window is a function of the **number of neutrino detections** in the window and the **background count**.
- Algorithms and parameters obtained from early low-background SN neutrino models in DUNE.
- Classical clustering:
  - 33% binomial efficiency
  - 0.14 Hz background rate
- Machine learning:
  - 1.2 average multiplicity
  - 0.45 Hz background rate



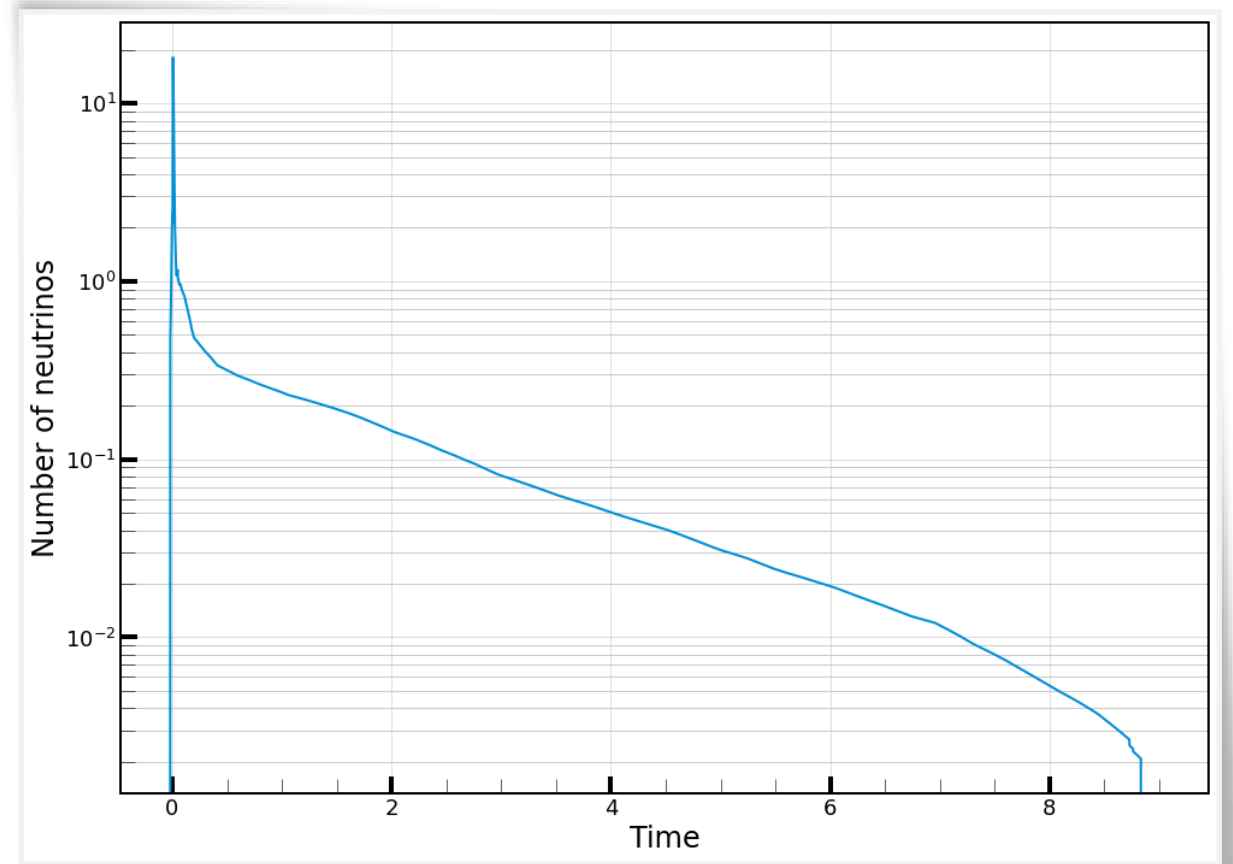


# Unknown SN incidence time

- So far, we have assumed every incident neutrino falls exactly within one 10s detection window.
- In reality: neutrinos may be spread over multiple windows.



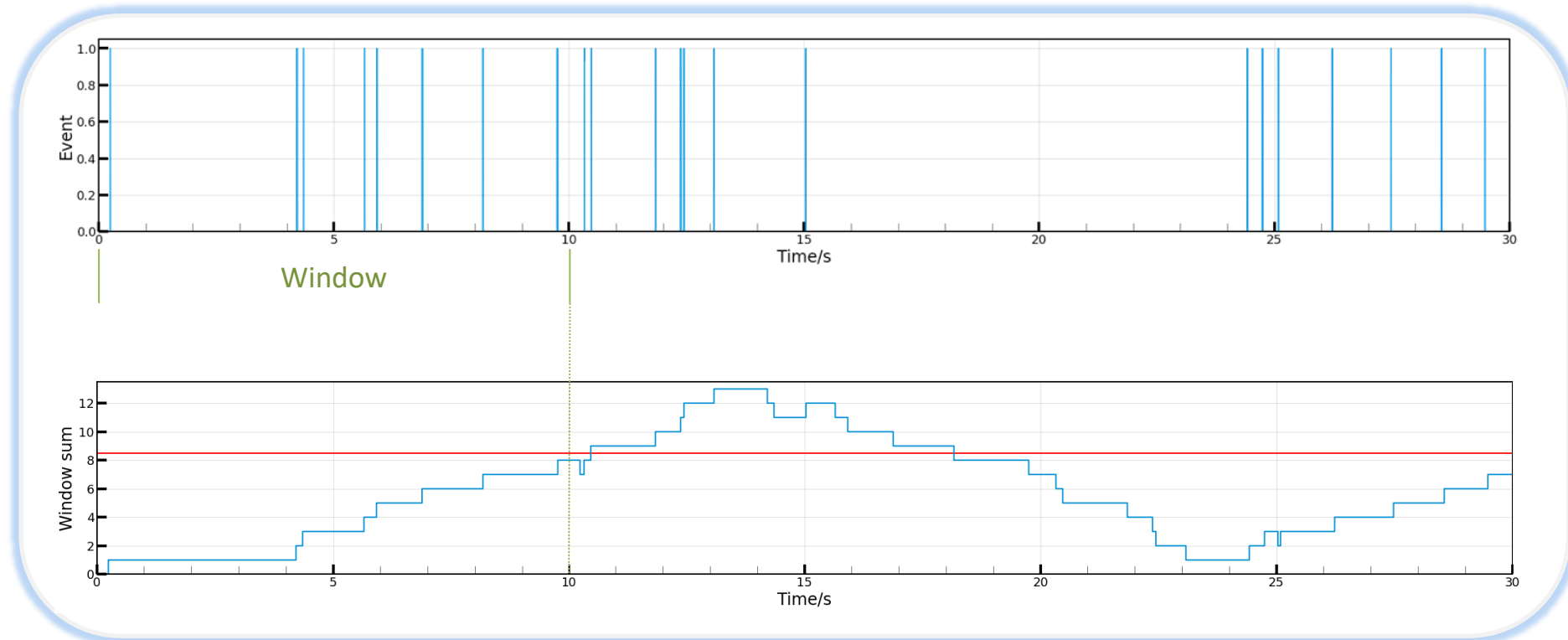
Example SN time spectrum (log-log scale)



Example SN sample time spectrum

# Sliding window

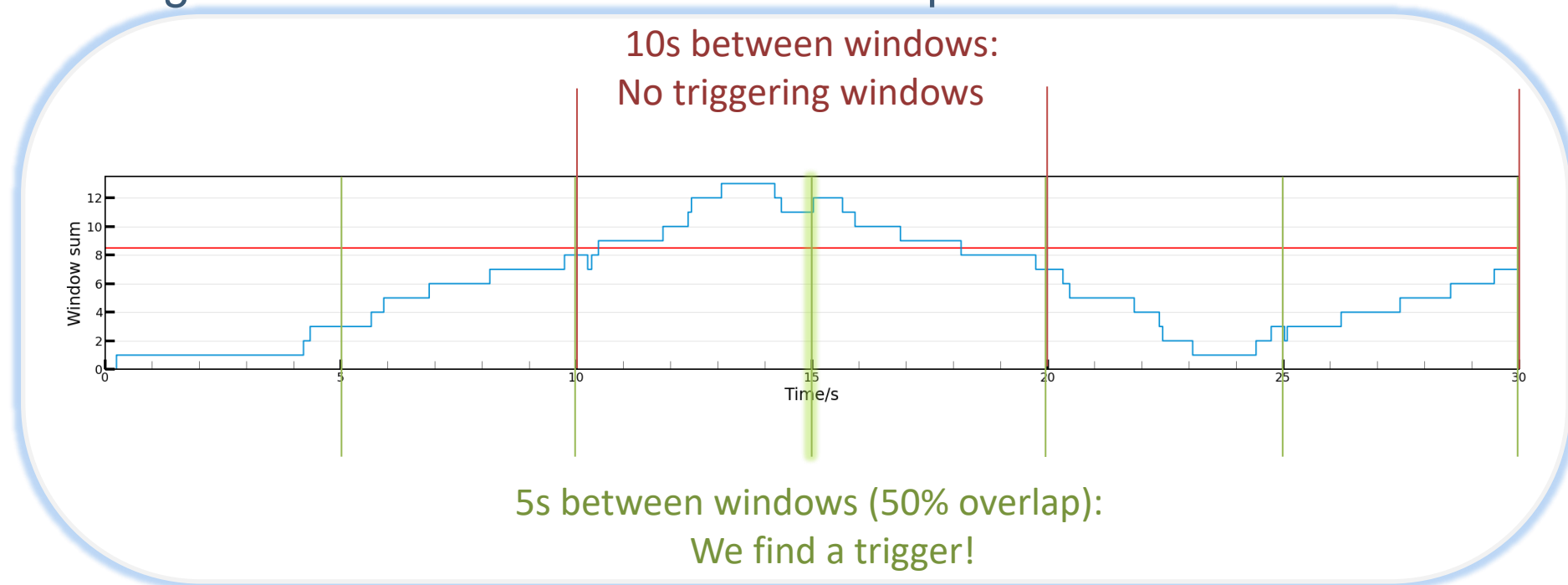
- **Background events** are modelled with a Poisson – the probability of an event arriving at time  $t$  is uniform



- We generate windows by counting events within some time frame (10s).

# Sliding window

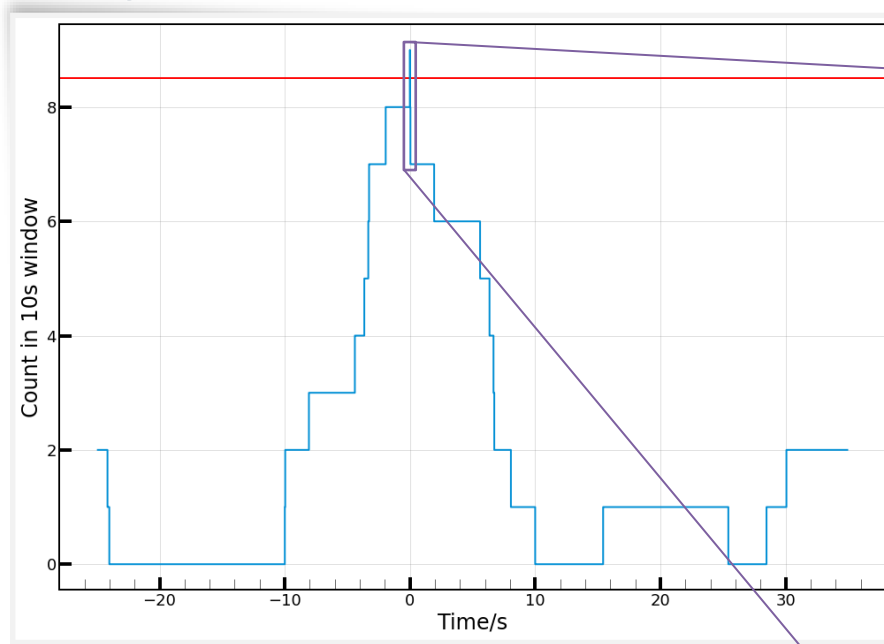
- Choosing to slide the window is equivalent to selecting a **sample rate** on the window sum plot.
- Define “sliding time” as the time between subsequent windows.



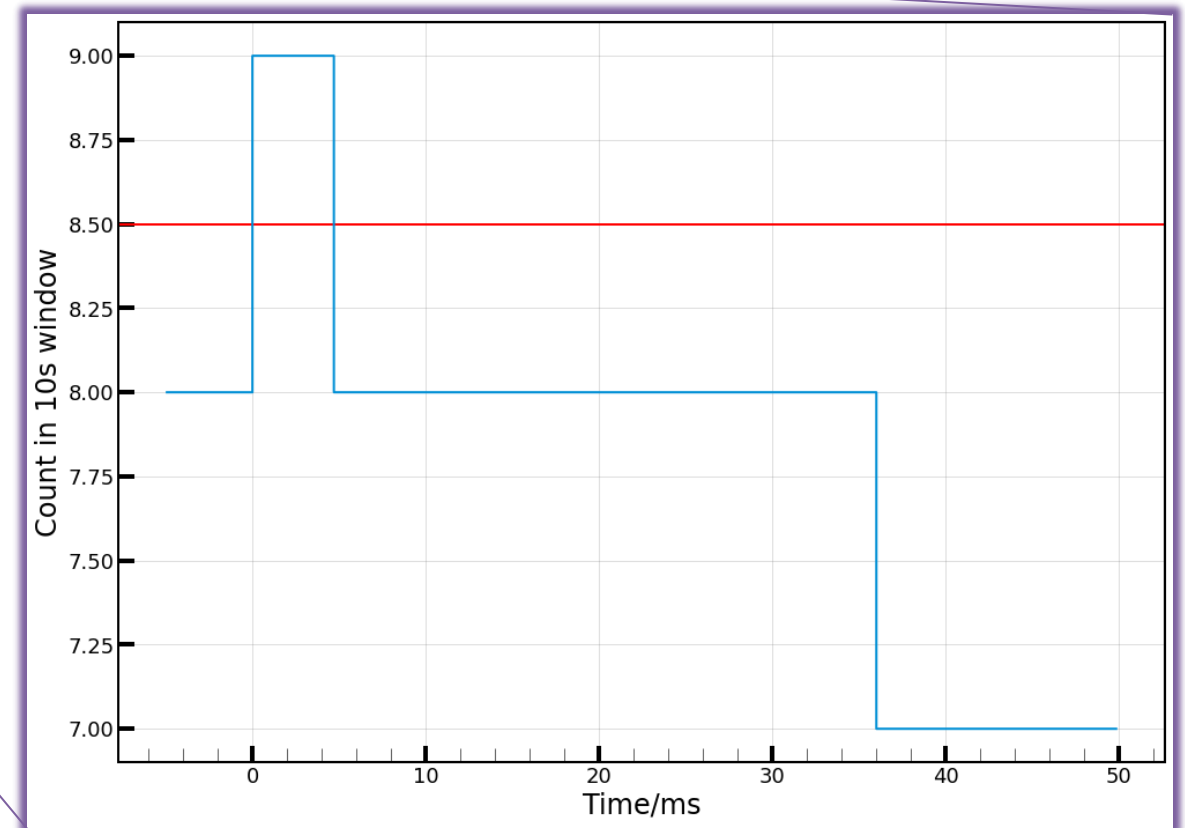
- Increased sliding also increases the number of triggers.

# Sliding window – data driven

- Running with data driven parameters (0.1Hz fake rate, 9 count threshold)



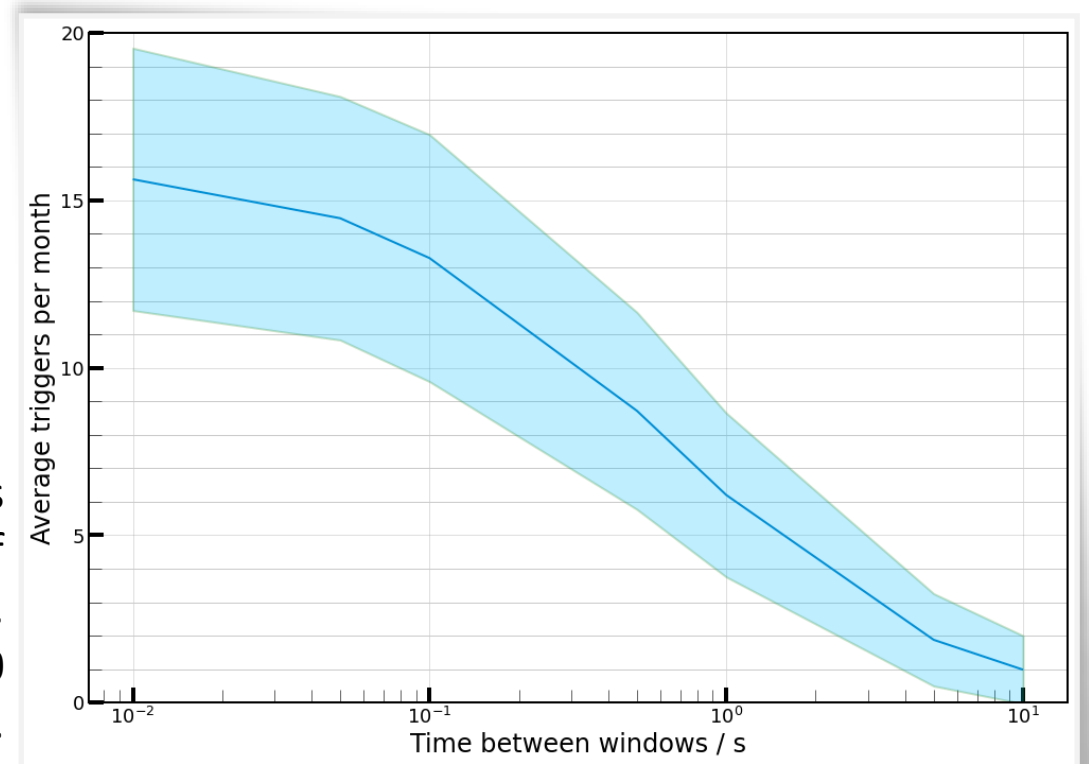
- Peak lasts ~5ms
- Smaller time between windows => more triggers



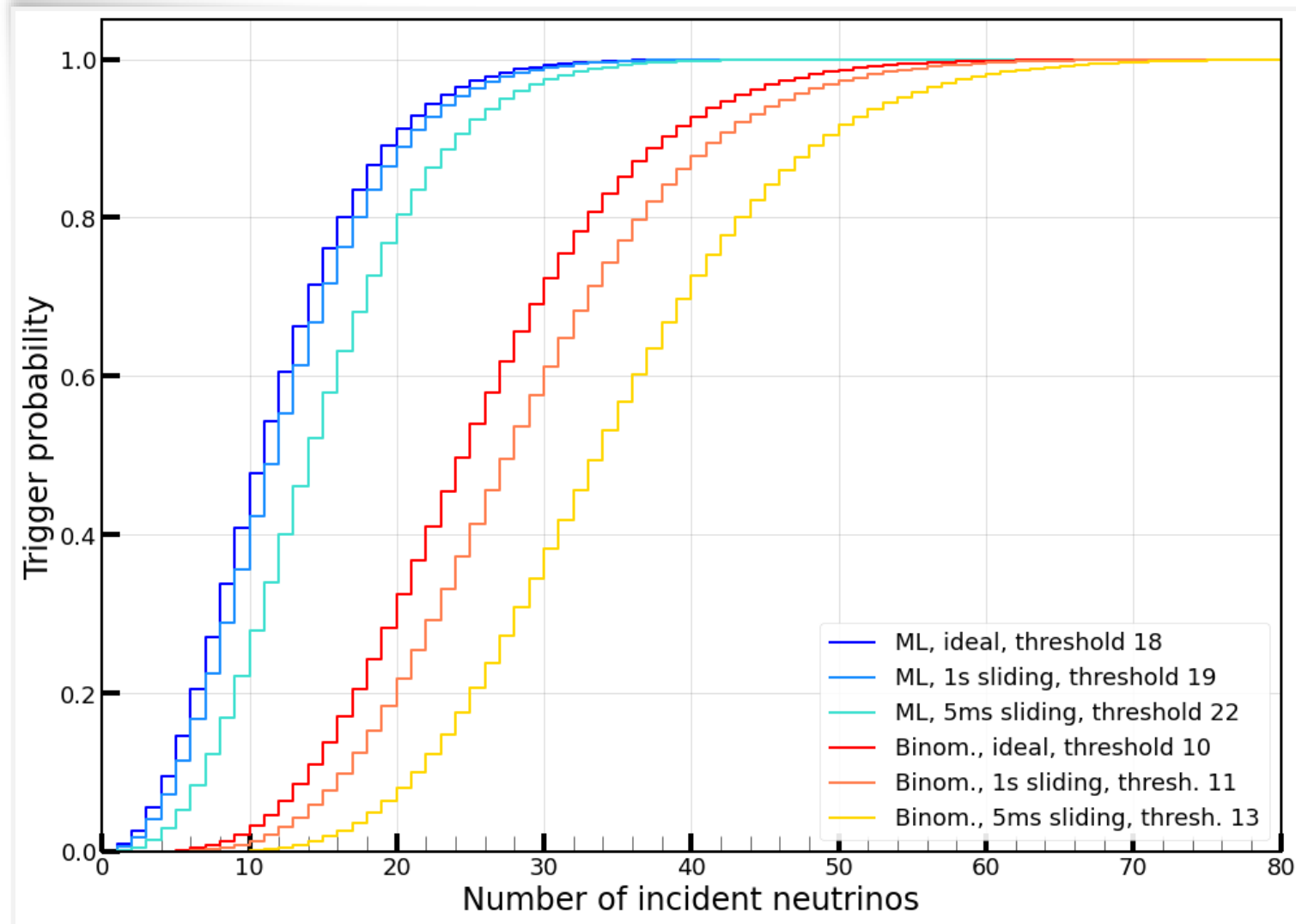
# Sliding window – data driven

- Monte-Carlo simulation performed was used to characterise the trigger rate as a function of window sliding.
- A trigger is generated if the number of clusters found in a window exceeds some threshold.
- Reducing the time between window measurements with fixed threshold **increases the number of triggers**.
- To compensate – increase the threshold required to trigger.

Average number of triggers seen per month as a function of time interval between windows. Values calculated from 600 months of data.



# Sliding window



Supernova triggering chance for various triggering options

# Summary

- We can generate trigger chances from arbitrary clustering distributions.
  - Compare machine learning vs. classical methods
- Supernova detection probabilities need to include the effect of unknown arrival times.
- Sliding windows can catch supernova bursts at unknown times, with a small efficiency loss.
  - Sliding windows also ensure we capture a supernova burst promptly.
- Sliding windows require trigger threshold adjustment
- Machine learning methods have the potential to improve trigger chances, but can have peculiar “multiplicity” effects.

