Neutrino Oscillation Experiments: Past/Present/Future

Luke Pickering IOP: Joint APP, HEPP and NP Conference The Spine, Liverpool

9th of April, 2024





My Perspective (Bias)



Neutrino Interactions WG



- Long Baseline Oscillations
- DUNE-PRISM

Focus more on: LBL with beam & DUNE vs. Hyper-K



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This Talk

- Why Neutrinos Change Flavor
- Anatomy of an Oscillation Experiment
- Long Baseline: Current Generation
- Long Baseline: Next Generation
- Short Baseline Recent Results and Prospects



Why Neutrinos Change Flavor



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$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \underbrace{ \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix}}_{\mathbf{M}_{\mathrm{PMNS}}} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$













Re-parameterizing the PMNS



- Unitarity lets us re-parameterize PMNS matrix in terms of:
 - Three mixing angles: $C_{ij} = cos(\theta_{ij})$
 - CP violating phase: $0 < \delta_{CP} < 2\pi$



Re-parameterizing the PMNS



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Oscillation Channels





Latest Oscillation Results from T2K

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Oscillation Channels





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Latest Oscillation Results from T2K

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L. Pickering 16

Muon Neutrino Disappearance

Muon neutrino survival probability depends on **mixing angles**, and **mass-squared splittings**.





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Muon Neutrino Disappearance

Muon neutrino survival probability depends on **mixing angles**, and **mass-squared splittings**.





Oscillation Channels





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Electron Neutrino Appearance

Appearance probability has 'CP odd' term.

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• Sign flip between matter/antimatter

$$P(\overrightarrow{\nu_{\mu}} \rightarrow \overleftarrow{\nu_{e}}) \simeq \sin^{2} \theta_{23} \sin^{2} 2\theta_{13} \sin^{2} \frac{\Delta m_{32}^{2} L}{4E}$$

$$(+) - \begin{bmatrix} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \\ (+) - \begin{bmatrix} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \\ \\ \times \sin \frac{\Delta m_{21}^{2} L}{4E} \sin^{2} \frac{\Delta m_{32}^{2} I}{4E} \end{bmatrix}$$

$$(-) = (CP-even, solar, matter effect terms)$$

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Latest Oscillation Results from T2K

 $\delta_{CP} = 0$

 $\delta_{CP} = \pi/2$

 $----\delta_{CP} = \pi$

 $\delta_{CP} = 3\pi/2$

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Is there significant CP violation in the neutrino sector?



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What is the mass ordering of the neutrino mass states?

Is there significant CP violation in the neutrino sector?



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What is the mass ordering of the neutrino mass states?

Is there significant CP violation in the neutrino sector? What are the precise values of the neutrino oscillation parameters?



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What is the mass ordering of the neutrino mass states?

Is there significant CP violation in the neutrino sector? What are the precise values of the neutrino oscillation parameters?

Are standard 3-flavour PMNS oscillations able to explain observations?



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What is the mass ordering of the neutrino mass states?

Is there significant CP violation in the neutrino sector? What are the precise values of the neutrino oscillation parameters?

Enough to explain matter/antimatter asymmetry?

Are standard 3-flavour PMNS oscillations able to explain observations?



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Neutrino Source ${f \Phi}$

1. Find or make a source of neutrinos



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- 1. Find or make a source of neutrinos
- 2.
- 3. Predict the expected rate with a **flux/cross-section/detector** model
- 4. Look in your detector/box...





- 1. Find or make a source of neutrinos
- 2.
- 3. Predict the expected rate with a **flux/cross-section/detector** model
- 4. Look in your detector/box... See appearance/disappearance?





- 1. Find or make a source of neutrinos
- 2. Constrain model uncertainties before oscillation with *Near* Detector
- 3. Predict the expected rate with a **flux/cross-section/detector** model
- 4. Look in your detector/box... See appearance/disappearance?



Long Baseline: Current Generation



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Energy and Baseline

 $P(\nu_{\mu} \rightarrow \nu_{\mu}) \simeq 1 - 4 \cos^2 \theta_{13} \sin^2 \theta_{23}$

$$\times \left[1 - \cos^2 \theta_{13} \sin^2 \theta_{23}\right] \sin^2 \frac{\Delta m_{32}^2 L}{4E}$$

+ (solar, matter effect terms)

Muon neutrino disappearance Electron neutrino appearance





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Energy and Baseline



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K. ENGMAN/SCIENCE 345, 6204



Oscillation parameters: <u>NuFit 5.2</u> JHEP 2020, 178

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L/E = 500 km/GeV

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Fiducial mass:~22.5 kTMaterial:Ultrapure WaterDetection technique:CherenkovBaseline:295 kmPeak neutrino energy:0.6 GeVLocation:Mozumi Mine, Gifu, Japan



Fiducial Mass: Material: Detection technique: Baseline: Peak neutrino energy: Location:

14 kT Liquid scintillator Scintillation 810 km 1.9 GeV Ash River, MN





Far detector event displays



Both experiments analyse muon-like and electron-like events at near and far detectors



Z. Vallari FNAL JETP 2024/02/16


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Far Detector Samples







Uncertainties

Eur. Phys. J. C 83, 782 (2023)

Sample		Uncertainty source (%)			Flux Interaction (%)	Total (%)
		Flux	Interaction	FD + SI + PN		10tai (70)
1Rµ	v	2.9 (5.0)	3.1 (11.7)	2.1 (2.7)	2.2 (12.7)	3.0 (13.0)
	\overline{v}	2.8 (4.7)	3.0 (10.8)	1.9 (2.3)	3.4 (11.8)	4.0 (12.0)
1Re	v	2.8 (4.8)	3.2 (12.6)	3.1 (3.2)	3.6 (13.5)	4.7 (13.8)
	\overline{v}	2.9 (4.7)	3.1 (11.1)	3.9 (4.2)	4.3 (12.1)	5.9 (12.7)
1Re1de	v	2.8 (4.9)	4.2 (12.1)	13.4 (13.4)	5.0 (13.1)	14.3 (18.7)



FD predicted event rate uncertainties







- Overlapping 1σ regions
- Disagree about best fit region for Normal Ordering









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Probe same L/E: ~500 km/GeV

- Measurements are statistically limited
- Different L and different E
- Potential to break degeneracies in both signal physics and with different dominant systematic uncertainties





0.7

0.6

0.5

0.4

Bayesian Cred. Int. With reactor constraint

Probe same L/E: ~500 km/GeV

- Measurements are statistically limited Ο
- Different L and different E Ο
- $sin^2\theta_{23}$ Potential to break degeneracies in both signal physics Ο and with different dominant systematic uncertainties

Joint fit (very) weakly prefers Inverted Mass Ordering!



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 1σ

NO Conditional



T2K + Super-K

- Inclusion of SK atmospheric data breaks mass ordering degeneracy
- T2K beam data sensitive to sin(δ_{CP})
- SK atmospheric data sensitive to cos(δ_{CP})





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Image credit: Super-K/ICRR

T2K + Super-K



L. Berns IPNS Seminar



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IceCube



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State of the v-tion



Long Baseline experiments largely sensitive to these parameters



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T2K & NOvA

NOvA beam has been running stably at ~1 MW



T2K & NOvA

NOvA beam has been running stably at ~1 MW

Total Accumulated POT for Physics
v-Mode Accumulated POT for Physics
v-Mode Accumulated POT for Physics
v-Mode Beam Power
v. Mode Beam Power







T2K & NOvA

NOvA beam has been running stably at ~1 MW

Total Accumulated POT for Physics
v-Mode Accumulated POT for Physics
v-Mode Accumulated POT for Physics
v-Mode Beam Power
v-Mode Beam Power



10201 Run5 Run6 Run10 900 Run3 Run9 Run11 Run12 Run13 Run2 Run4 Run7 Runs 15 800 40 ve 700 35 Accumulated POT 600 d 30 eam 500 25 400 **m** 20300 15 0 20102011/20122013/20142015/20162017/20182019/20202021/20222023/20242025

J-PARC Neutrino beam stable at 750 kW design power for the first time on 25th of December 2023!



T2K Recent Upgrades

- Near detector upgrade commissioning ongoing:
 - SEGD: New cube-based 3D scintillator tracker.

Expect exciting updates from NOvA and T2K in June!





NEUTRINO 2024 XXXI International Conference on Neutrino Physics and Astrophysics

Milano (Italy) - June 16-22, 2024

· · · · · · · · · · · · · · · · · · ·	Topics	
Neutrino oscillations	Supernova neutrinos	
Neutrino mass	Astrophysical neutrinos	
Neutrinoless Double Beta	Geoneutrinos	
Decay	Neutrino role in cosmology Sterile neutrinos	
Neutrino interactions		
Accelerator neutrinos	Theory of neutrino masses and	
Reactor neutrinos	mixing, Leptogenesis	
Atmospheric neutrinos	Beyond Standard Model searches in the neutrino sector	
Solar neutrinos		
	New technologies for neutrino physics	

Conference chairs: C. Brofferto (UniMiB, Italy) G. Ranucci (INFN, Italy)

SFG

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LOC Coordinators: E. Ferri (INFN, Italy), L. Miramonti (UniMi, Italy)

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efficiency

Long Baseline: Next Generation



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Neutrino Oscillation Experiments: Past/Present/Future 9/4/24

Hyper-K



- Builds on successes of SK/T2K
 - **Bigger:** 8x larger fiducial mass than SK
 - **More intense:** beam power ~2x T2K
 - Same baseline: 295 km
 - Similar detector technology
- Data taking from ~2027

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DUNE: Deep Underground Neutrino Experiment



Expect Phase 1 beam data to arrive ~2031



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DUNE: Far Detectors

- Four caverns with space for 17 kt LAr TPCs
 - Unprecedented detector resolution for an LBL far detector
- Phase 1: 2x LAr modules
- Rich prototype programme at CERN: ProtoDUNE









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DUNE: Long-term Sensitivity

• Ultimate MO determination is unambiguous

EPJC 80 (2020) 978

- Not dependent on precision measurement of other oscillation parameters
- Requires no external oscillation parameter input





DUNE: Long-term Sensitivity

• Ultimate MO determination is unambiguous

EPJC 80 (2020) 978

- Not dependent on precision measurement of other oscillation parameters
- Requires no external oscillation parameter input



• 7–16° δ_{CP} resolution regardless of true value



Short Baseline (brief) History, Recent Results, and Prospects



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Liquid Scintillator Neutrino Detector

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In 90s, did not have a clear picture of three known neutrino mass-splittings:

LSND looked for oscillation at: L/E ~1 km/GeV



Liquid Scintillator Neutrino Detector



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LSND and MiniBooNE

- MiniBooNE commissioned to investigate LSND excess:
 - \circ ~same L/E
 - Different L and E
 - Similar detector technology





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LSND and MiniBooNE

- MiniBooNE commissioned to investigate LSND excess:
 - ~same L/E 0
 - Different L and E 0
 - Similar detector technology Ο





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Excess over no oscillation prediction

3+1 Tensions

However, difficult to explain global short baseline anomalous observations with just a single additional $\sim 1 \text{ ev}^2$ scale neutrino





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MiniBooNE & MicroBooNE

MiniBooNE: Liquid scintillator + Cherenkov

- Commissioned to investigate MiniBooNE's observed excess
 - $\circ~$ In the same beam: ~same L and same E

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• Very different detector technology: LAr vs. LS+Cherenkov



Neutrino Oscillation Experiments: Past/Present/Future





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MiniBooNE & MicroBooNE

- Commissioned to investigate MiniBooNE's observed excess
 - $\circ~$ In the same beam: ~same L and same E
 - Very different detector technology: LAr vs. LS+Cherenkov
 - Most important: Can separate electrons from photons:
 - Energy deposit at the start of the shower



K. Duffy Rencontres de Vietnam22





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MicroBooNE: First LEE Search



 MicroBooNE sees no evidence of MiniBooNE-like EM excess in channels sampled





MicroBooNE: First LEE Search



- MicroBooNE sees no evidence of MiniBooNE-like EM excess in channels sampled
- But LSND, MiniBooNE, and others saw *something...*





MicroBooNE: First LEE Search





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The Search Continues On SBN!

Image credit: Diana Brandonisio, FNAL

Short-Baseline Neutrino Program at Fermilab





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- Near Detector to constrain unoscillated rate
- Look for appearance/disappearance in MicroBooNE and ICARUS data
- ICARUS taking data since 2021

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Ann.Rev.Nuc 69 p363-387 (2019)


- Near Detector to constrain unoscillated rate
- Look for appearance/disappearance in MicroBooNE and ICARUS data
- ICARUS taking data since 2021
- SBND is full of liquid argon
 - Commissioning phase beginning now!



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Parting Comments



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Things That I Didn't Mention

- Future atmospheric neutrino experiments:
 - IceCube Gen-2, KM3NeT: ORCA and ARCA
- Current or future atmospheric programmes on LBL experiments that also have beams
- Reactor experiments and anomalies
- Extensive BSM programmes in addition ~1 ev² scale sterile neutrinos
- Neutrino beams
- Systematic uncertainty details:
 - Cross section uncertainties and constraint programmes
 - Neutrino flux predictions and uncertainties



Summary

- Neutrino Oscillations are the only confirmed probe of BSM phenomenon:
 - Measured every parameter except $\delta_{_{CP}}$
 - Constraints starting to look exciting for CPV!
 - **Current Gen:** More precise measurements until end of decade. Lots still to learn.
- Next Generation:
 - DUNE/HK will do precision physics and unambiguously measure fundamental symmetry parameters:
 - Mass ordering and δ_{CP}
- Short baseline:
 - Anomalies may motivate extra neutrinos
 - First multi-detector beam-based experiment entering
 - final commissioning as we speak!



Neutrino Oscillation Experiments: Past/Present/Future



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Backups



3+1 Tensions

 However, difficult to explain global data with a single additional ~1 ev² scale neutrino





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10

 10^{1}

100

 $\Delta m_{41}^2/eV^2$

Phys. Rep. 884 (2020) 1-59

Short Baseline Neutrino

- Near Detector to constrain unoscillated rate
- Look for appearance/disappearance in MicroBooNE and ICARUS data
- ICARUS taking data since 2021
- SBND is filling/full of liquid argon
 - Commissioning phase beginning now!





Exciting time ahead for SBL oscillation searches and Ar-target neutrino physics:

 Important for next-gen Ar-target LBL!

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Osc. Details



Events (Arbitrary Units) **Electron Neutrino Appearance**

Appearance probability has 'CP odd' term.

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Sign flip between matter and antimatter Ο



Latest Oscillation Results from T2K

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v_e, T2K Best Fit, L=295 km

 $-\delta_{CP}=0$

 $\delta_{CP} = \pi/2$

 $\delta_{CP} = 3\pi/2$

 $\delta_{CP} = \pi$



Technology <u>Faci</u>lities Council Latest Oscillation Results from T2K

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rary Units) **Electron Neutrino Appearance**

- Appearance probability has 'CP odd' term.
 - Ο
- Dearance probability has '**CP odd**' term. Sign flip between matter and antimatter Matter-effect induces modest mass ordering Ο dependence



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Latest Oscillation Results from T2K

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v_e, T2K Best Fit, L=295 km

 $-\delta_{CP}=0$

 $\delta_{CP} = \pi/2$

 $\delta_{CP} = 3\pi/2$

 $\delta_{CP} = \pi$

rary Units) 5.1 **Electron Neutrino Appearance** (Arbit

- Appearance probability has 'CP odd' term.
 - Sign flip between matter and antimatter Ο
 - Events Matter-effect induces modest mass ordering Ο dependence





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Latest Oscillation Results from T2K

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v_e, T2K Best Fit, L=295 km

 $-\delta_{CP}=0$

 $\delta_{CP} = \pi/2$

 $\delta_{CP}=3\pi/2$

 $\delta_{CP} = \pi$

Measuring An Oscillation

$$N_{\text{near}}(E_{\text{obs}}) = \int dE_{\nu} \Phi_{\text{near}}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \mathbf{D}_{\text{near}}$$

$$Want \text{ to know}$$

$$N_{\text{far}}(E_{\text{obs}}) = \int dE_{\nu} \Phi_{\text{far}}(E_{\nu}) \cdot P_{osc}(E_{\nu}) \cdot \sigma(E_{\nu}) \cdot \mathbf{D}_{\text{far}}$$

$$Observe$$
cillation measurements are **not** just near-to-far ratio:

Oscillation measurements are **not** just near-to-far ratio:

Oscillation is not a function of observed energy, E_{obs} Ο

- Must use models to infer P_{osc} from observations
- Degeneracies inside the integral \rightarrow limits on sensitivity
 - Design Near Detector to minimise Flux and Cross Section degeneracy Ο
 - Limited by **Detector** capability Ο



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EREP

0.5

E(GeV)

85

0.2

0.1

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- Measuring oscillation is complicated by:
 - Oscillation depends on unknowable neutrino energy
 - Multiple interaction channels, rates only known to



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- Measuring oscillation is complicated by:
 - Oscillation depends on unknowable neutrino energy
 - Multiple interaction channels, rates only known to





- Measuring oscillation is complicated by:
 - Oscillation depends on unknowable neutrino energy
 - Multiple interaction channels, rates only known to ~10%
 - Reconstructing the neutrino energy from observed final state particles is highly model dependent





$$E_{\rm rec}^{\rm QE} = \frac{2M_{\rm N}E_{\ell} - M_{\ell}^2 + M_{\rm N'}^2 - M_{\rm N}^2}{2\left(M_{\rm N} - E_{\ell} + \left|\vec{p_{\ell}}\right|\cos\left(\theta_{\ell}\right)\right)}$$

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- Measuring oscillation is complicated by:
 - Oscillation depends on unknowable neutrino energy
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T2K+SK Octant





• As a result, joint fit has no strong octant preference: P(upper) = 0.61

PRISM Details



NOvA Details



Appearance: BiProb

Z. Vallari FNAL JETP 2024/02/16











1. Sample near detector events





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 $\mathcal{N}O_{\mathcal{V}}\Lambda$

- 1. Sample near detector events
- 2. Estimate true neutrino energy spectrum with interaction model





- 1. Sample near detector events
- 2. Estimate true neutrino energy spectrum with interaction model



3. Account for far/near differences and oscillate true spectrum

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- 1. Sample near detector events
- 2. Estimate true neutrino energy spectrum with interaction model
- 3. Account for far/near differences and oscillate true spectrum
- 4. Predict observed oscillated spectrum and compare for goodness of fit.

 $\mathbf{N}O \mathbf{V} \mathbf{A}$

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DUNE Details



DUNE: Exposure/time







FD Event Samples



Gev DUNE v. Appearance 160 Normal Ordering 0.25 $sin^2 2\theta_{13} = 0.088$ EPJC 80 (2020) 978 $\sin^2 \theta_{22} = 0.580$ 140 per 3.5 vears (staged) Events 120 Beam ($v_e + \overline{v}_e$) CC NC (v_µ + v̄_µ) CC 100 (v. + v.) CC 80 $\cdots \delta_{CP} = -\pi/2$ $-\delta_{CP} = 0$ 60 $\cdots \delta_{CP} = +\pi/2$ 40 20 50 Events per 0.25 GeV DUNE v. Appearance Normal Ordering 45 $\sin^2 2\theta_{13} = 0.088$ $\sin^2 \theta_{23} = 0.580$ 40 3.5 years (staged) → Signal (v_e + v_e) CC 35 Beam (ve + ve) CC NC 30 (ν_μ + ν_μ) CC $(v_{\tau} + \overline{v}_{\tau})$ CC 25 $\cdots \delta_{CP} = -\pi/2$ 20 $-\delta_{CP} = 0$ $- \delta_{CP} = +\pi/2$ 15 10

- 2019 Studies:
 - CC-Inclusive, mu- & e-like in nu and nubar mode
- Future:
 - Investigate impact of more granular event selection
 & projection Near and Far



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Oscillation Sensitivities

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Reconstructed Energy (GeV)

World-Leading Sensitivities

Assume DUNE-PRISM has been used to minimize and account for significant deviations from interaction model predictions.





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Oscillation Sensitivities



EPJC 80 (2020) 978

Precision Measurements

EPJC 80 (2020) 978



- Expected DUNE sensitivity v.s. current world-averages from NuFit 5.0
- Ultimate θ_{13} sensitivity approaches reactor constraint
- Precision Osc. measurements, especially joint w/ HK & JUNO, will stress-test PMNS: Different energies/detectors/PMNS matrix elements!

KK

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Oscillation Sensitivities

(JHEP 09 (2020) 178))

CPV Sensitivity C. Marshall Wednesday Plenary





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Oscillation Sensitivities

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DUNE: Mass Ordering for Short Exposures

- Strong MO sensitivity, even with short exposures [O(3-5 years)]
 - P < 0.01 to prefer wrong ratio



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(2022)

DUNE: δ_{CP} Resolution



К.

Neutrino Oscillation Experiments: Past/Present/Future 9/4/24 L. Pickering 105

PRSM

Precision Reaction-Independent Spectrum Measurement



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Neutrino Oscillation Experiments: Past/Present/Future 9/4/24

Oscillation Measurements in a Nutshell

- Existing LBL oscillation analyses:
 - Use models to 'unfold' near detector observations to a neutrino energy spectrum (implicit or explicit)
 - Apply oscillation hypothesis
 - Compare to far detector observations
- What happens if the model is wrong?
 - Predict oscillation features at the wrong place
 - Inflate errors \rightarrow degrade sensitivity
 - and/or bias measurements
- Current generation experiments are still largely statistically limited
 - \circ The next generation hope not to be limited at the '5 σ ' level
 - Need to actively design the experimental programme to minimize systematic uncertainty in flux and interaction models



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Neutrino Oscillation Experiments: Past/Present/Future 9/4/24 L. Pickering

DUNE: Near Detectors

- Constrain systematic uncertainties
 - Neutrino Beam
 - Neutrino-Ar interactions in few GeV region
- Monitor beam stability

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• Function in high-rate environment

SAND: Beam monitoring and ¹²C-target physics

TMS: Muon momentum and sign-selection

NDLAr: ⁴⁰Ar-target physics, unoscillated rate constraint, moveable 28.5m in/out of beam spot



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Off Axis Neutrino Beams



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DUNE-PRISM and IWCD

1) Over-constrain interaction model with on- and off-axis observations





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DUNE-PRISM and IWCD

1) Over-constrain interaction model with on- and off-axis observations



Technology Facilities Council 2) Synthesise measurement of an oscillated
flux with the near detector
→ More direct extrapolation of near-detector

observations

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→ Reduce reliance on accuracy of interaction model predictions



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Complementary Approaches



- Off axis measurements enable more-direct near-to-far extrapolation
 - Reduce dependence on signal interaction model for disappearance

Far detector prediction

PRISM Linear Combination

Near observations

ND/F

Ū

etector

Effects

Flux Mode

Oscillation Hypoth<u>esis</u>







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Oscillation Programme Overview

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• Approximate function as a linear sum of sines and cosines





• Approximate function as a linear sum of sines and cosines



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• Approximate function as a linear sum of sines and cosines



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• Approximate function as a linear sum of sines and cosines



• Approximate function as a linear sum of sines and cosines



SBND PRISM

A. Furmanski NuFact23

