Jet substructure at high-p_T

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On behalf of ATLAS & CMS Collaborations

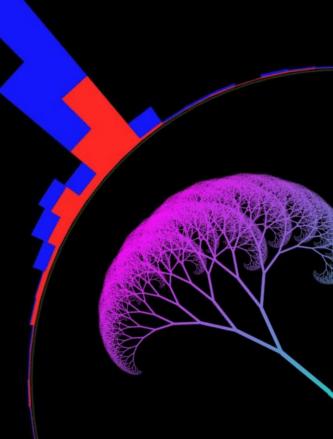
SM@LHC 2024 May 7th-10th



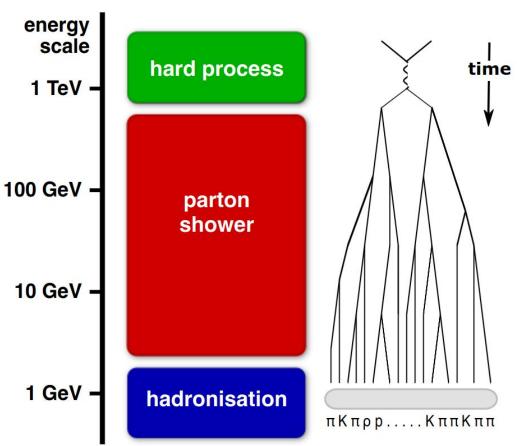








Jet formation is a multiscale probe of QCD evolution



From Q \sim 1 TeV to Λ_{QCD}^{\sim} 200 MeV

Depending on observable & jet p_T and R: sensitivity to parton shower, hadronization, underlying event, color reconnection, ...

Higher jet $p_T \Rightarrow$ "longer" parton cascade

Results on jet fragmentation functions covered by **Ezra Lesser** next

G. Salam's sketch

Focus on subset of recent results

CMS and ATLAS primary Lund jet plane densities

ATLAS: PRL 124, 222002 (2020)

CMS: arXiv:2312.16343, accepted by JHEP

ATLAS Lund subjet multiplicities

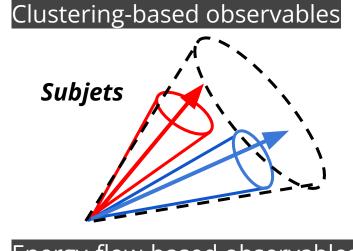
arXiv:2402.13052, submitted to PLB

Energy-energy correlators in jets (CMS)

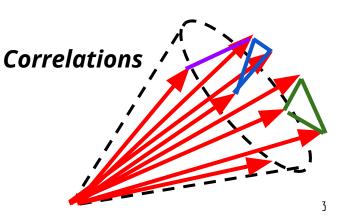
arXiv:2402.13864, submitted to PRL

Collectivity in jets with high-particle multiplicities (CMS)

arXiv:2312.17103, submitted to PRL

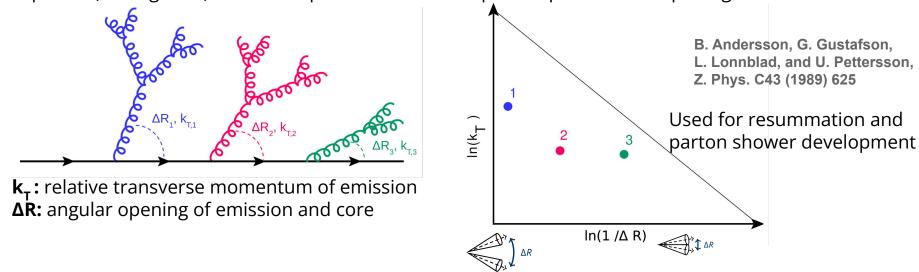


Energy-flow-based observables



Phase-space of QCD branchings in the Lund plane

Lund planes (or diagrams) are a 2D representation of the phase-space of $1\rightarrow 2$ splittings:

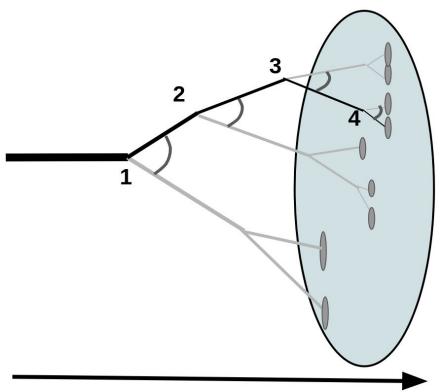


In soft & collinear limit of QCD, emissions fill the Lund plane uniformly

$$\mathcal{P} \propto lpha_{
m s} rac{{
m d}k_{
m T}}{k_{
m T}} rac{{
m d}\Delta R}{\Delta R} = lpha_{
m s} {
m d}\ln(k_{
m T}) {
m d}\ln(\Delta R)$$
 \leftarrow approximate self-similarity of QCD

Constructing the *primary* Lund jet plane (LJP)

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064



- 1. Jet is reclustered with Cambridge–Aachen algorithm (pairwise clustering with angular ordering)
- Follow clustering tree in reverse (large → small angles), along the hardest branch
- 3. Register kinematics of branching at each step

$$\Delta R = \sqrt{(y^{\text{softer}} - y^{\text{harder}})^2 + (\phi^{\text{softer}} - \phi^{\text{harder}})^2}$$

$$k_{\mathrm{T}} = p_{\mathrm{T}}^{\mathrm{softer}} \Delta R$$
 CMS

$$z = p_T^{\text{softer}}/(p_T^{\text{harder}} + p_T^{\text{softer}})$$

Measured by ATLAS, CMS, <u>ALICE</u> (low $p_T \sim 20 \text{ GeV}$)

Cambridge-Aachen declustering

5

Define a *jet-averaged* number of emissions,

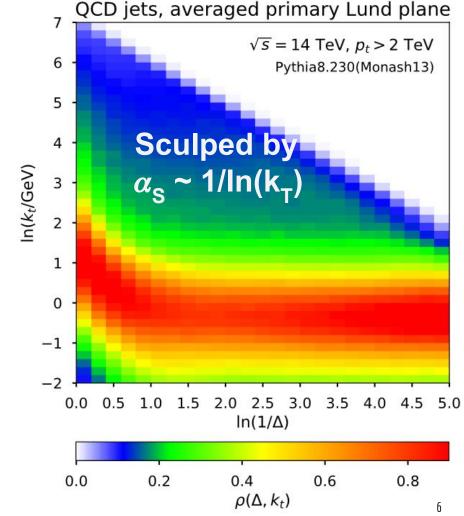
F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064

the ``primary" Lund jet plane density

$$\rho(k_{\rm T}, \Delta R) \equiv \frac{1}{N_{\rm iets}} \frac{\mathrm{d}^2 N_{\rm emissions}}{\mathrm{d} \ln(k_{\rm T}/\mathrm{GeV}) \mathrm{d} \ln(R/\Delta R)}$$

At leading order, it's "sculpted" by $\alpha_{
m S}({
m k_T})$ $\rho(k_{
m T},\Delta R)_{
m LO} \approx \frac{2}{\pi} C_{
m R}^{
m eff} \alpha_{
m S}(k_{
m T})$

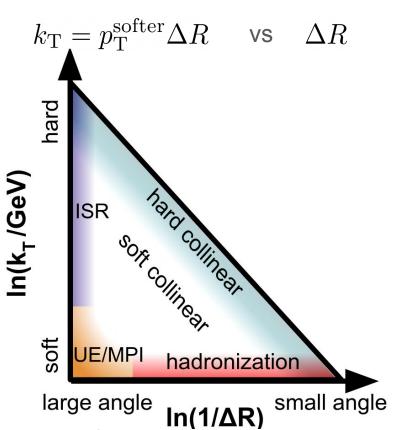
With $C_R = C_\Delta = 3$ for $g \rightarrow gg$ or $C_F = 4/3$ for $q \rightarrow qg$



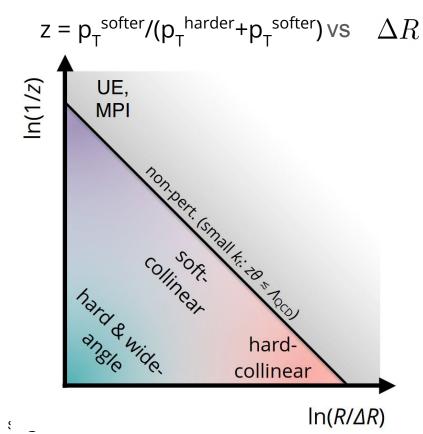
Mechanisms "factorize" in the Lund jet plane

F. Dreyer, G. Salam, G. Soyez, JHEP12(2018)064

CMS Lund plane coordinates

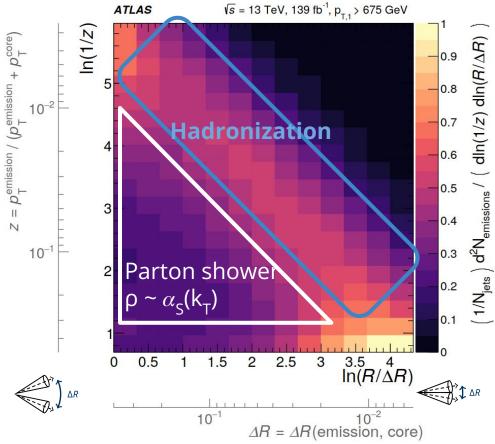


ATLAS Lund plane coordinates



ATLAS primary Lund jet plane density

R=0.4 jets (standard R in Run-2)



<u>PRL 124, 222002 (2020)</u>

Dijet selection, $p_{T, jet1} > 675 \text{ GeV } \& p_{T, jet2} > \frac{2}{3} p_{T, jet1}$

Charged-particle tracks for substructure

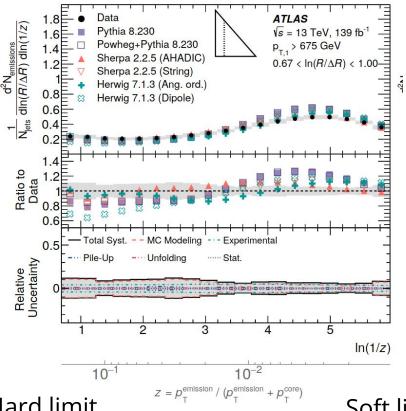
Momentum fraction of the emissions for vertical axis of Lund plane:

$$z = p_{T,softer} / (p_{T,softer} + p_{T,harder})$$

Multidimensional unfolding

Factorization properties in action (ATLAS)

Fixed-angle slize



Hard limit $z = p_T^{\text{emission}} / (p_T^{\text{emission}} + p_T^{\text{core}})$ Soft limit (small z)

PRL 124, 222002 (2020)

Variation of hadronization model, same parton shower (Sherpa2 string vs hadronization)

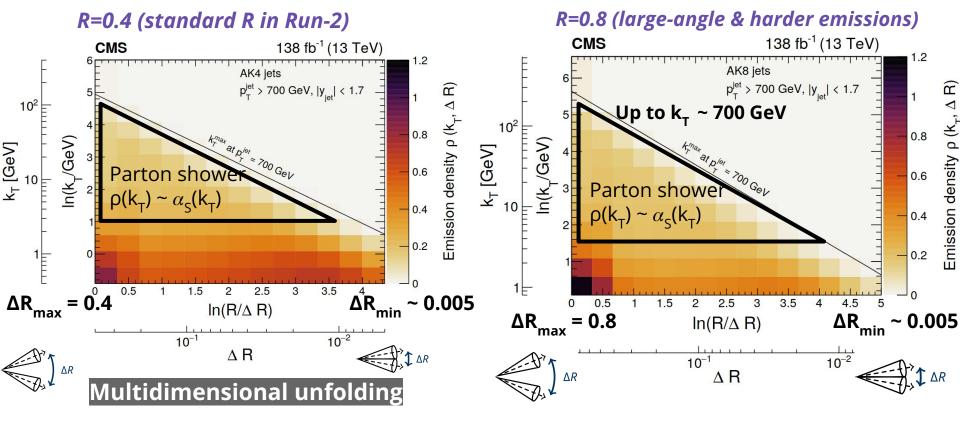
Variation of parton shower, same hadronization model (Herwig7.1 angle vs dipole)

Variation of matrix element (Pythia8 vs Powheg+Pythia8)

Best global description by **Herwig7.1 angle-ordered**

CMS primary Lund jet plane densities arXiv:2312.16343, accepted by JHEP

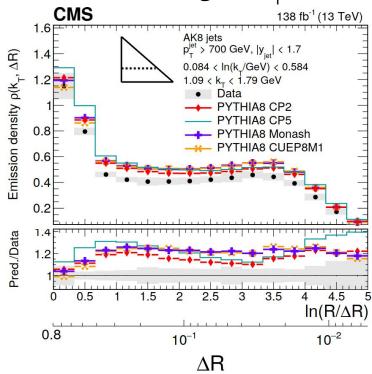
p_T jet > 700 GeV, charged particles for substructure



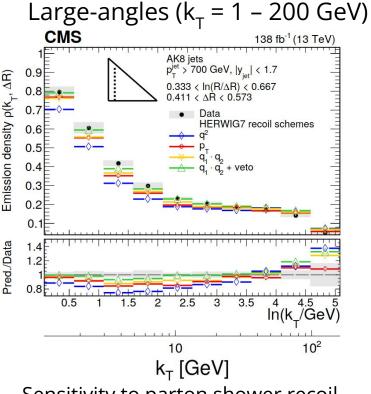
Emission density is flat for hard & collinear emissions due to $\alpha_s(k_T) \sim 1/\ln(k_T)$

CMS Lund plane slices arXiv:2312.16343, accepted by JHEP

Hadronization region (k_T ~ 1 GeV)



PYTHIA8 overshoots data by 15-20% in hadronization region



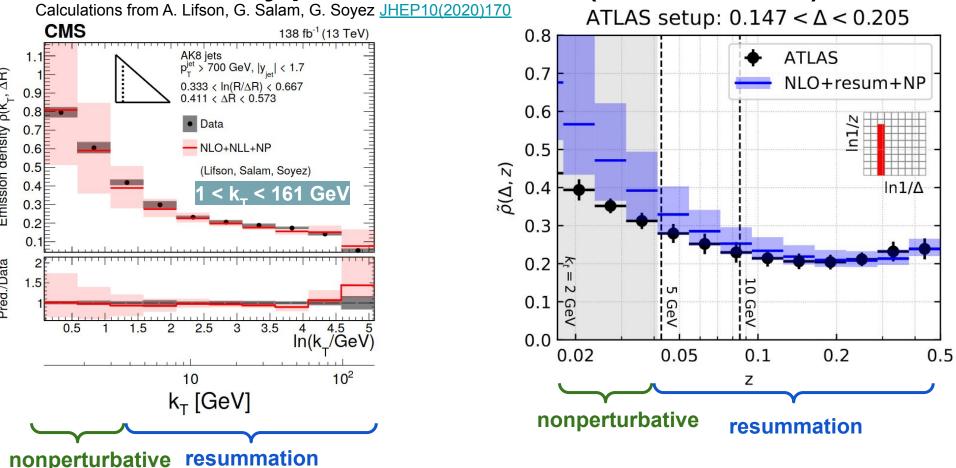
Sensitivity to parton shower recoil scheme (**Herwig7**)

Better description by Herwig7 angle-ordered with q₁q₂+veto

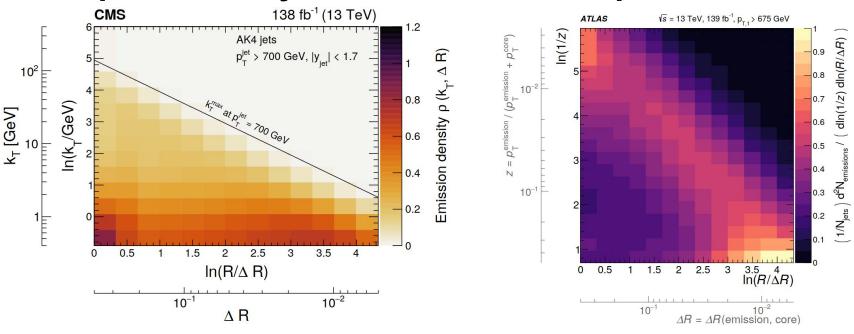
Cristian Baldenegro (Sapienza)

SM@LHC 2024

Described well by pQCD calculations (NLO+NLL+NP)



Complementarity of ATLAS & CMS representations



 $k_{\scriptscriptstyle T}$: hard-scale of 1 \rightarrow 2 branching

Shower & hadronization regions separated via "horizontal" cuts

More sensitive to detector smearing effects

z: "core" and "emission" p_{τ} -balance

More resilient to smearing effects (cancels in z ratio)

Hard-scale is "fuzzier" ($k_T = z p_T^{mother} \Delta R$)

ATLAS Lund subjet multiplicities

Proposed by R. Medves, A. Soto-Ontoso, G. Sovez, JHEP04(2023)104

Count emissions with $k_T > k_{T,cut}$.
Using the *full* Lund jet tree (N_{Lund}) or for primary Lund emissions $(N^{primary})$ Lund

More inclusive observable, closely related to (sub)jet multiplicities at LEP

Charged-particles for substructure, data-based rescaling for an effective full-particle k₊

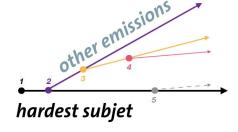
> $k_{T,eff} = k_{T,ch} * (p_{T,jet}/p_{T,jet})$ charged-to-full rescaling factor

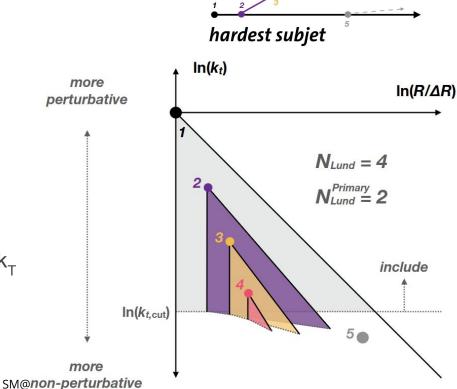
arXiv:2402.13052, submitted to PLB

more

perturbative

more

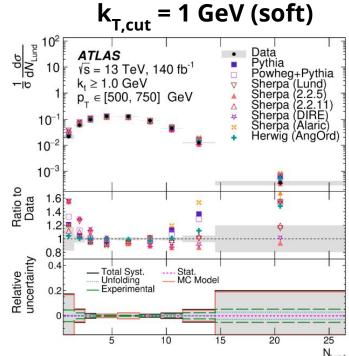




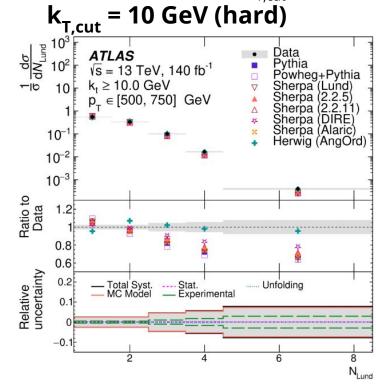
Lund subjet multiplicity distributions

arXiv:2402.13052, submitted to PLB

Unfolded to the particle level, correcting jet p_T & subjet multiplicity for a given $k_{T,cut}$



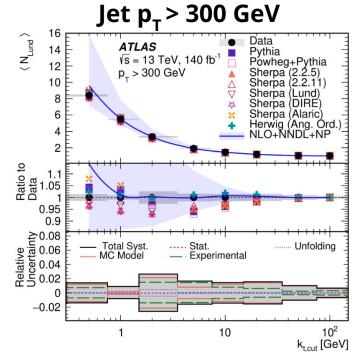
Challenging to describe high-N_{Lund} tails



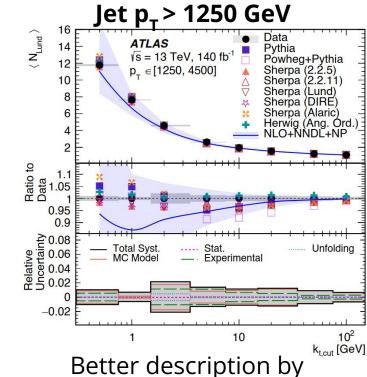
Sherpa2 describes the $k_{T,cut}$ = 1 GeV category better. Better global description by Herwig7 angle-ordered

averaged Lund subjet multiplicities vs k_{T, cut}

arXiv:2402.13052, submitted to PLB



In good agreement with pQCD calculation (NLO+NNDL+NP), high-order resummation



Herwig7 angle-ordered

Other MCs tend to *undershoot* 16 2024

Energy-energy correlators (CMS)

arXiv:2402.13864, submitted to PRL

Energy-weighted two-particle angular correlations

$$C = \frac{d\sigma}{dx_L} = \sum_{i,j} d\sigma \frac{E_i E_j}{E^2} \delta(x_L - \Delta R_{i,j})$$

$$C = \frac{d\sigma}{dx_L} = \sum_{i,j,k}^n d\sigma \frac{E_i E_j E_k}{E^2} \times \delta(x_L - \max(\Delta R_{i,j}, \Delta R_{i,k}, \Delta R_{j,k}))$$

Angular separation
$$x_L == \Delta R_{ij} = \sqrt{\Delta y^2 + \Delta \phi^2}$$

Energy weights: soft contributions are penalized, hard contributions are rewarded

Preliminary results also by ALICE and STAR

Mapping out different stages of jet formation

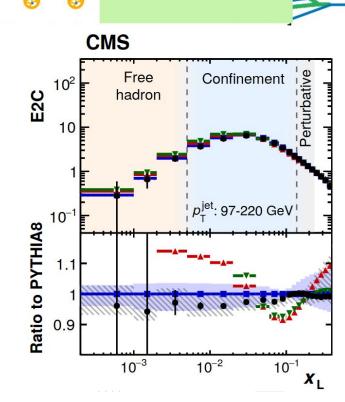
Energy-energy correlators (CMS)

arXiv:2402.13864, submitted to PRL

At least two anti- k_T R = 0.4 jets, p_{T, jet} from 100 GeV – 2 TeV

Distributions unfolded to stable particle level $(x_L, p_T, \& energy weights)$

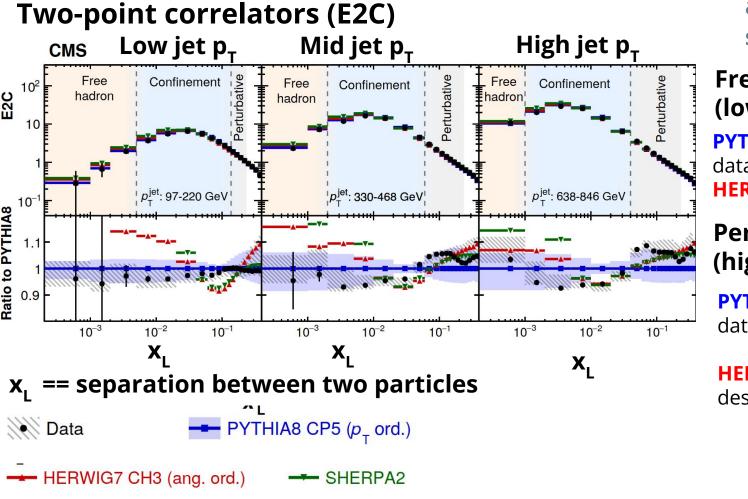
Parton shower and hadronization regimes (similar to Lund plane factorization)



Confinement

 $x_1 ==$ angular separation between two particles

18



arXiv:2402.13864, submitted to PRL

Free hadron region (low x₁)

PYTHIA8 CP5 describes data better than HERWIG7 CH3/SHERPA2

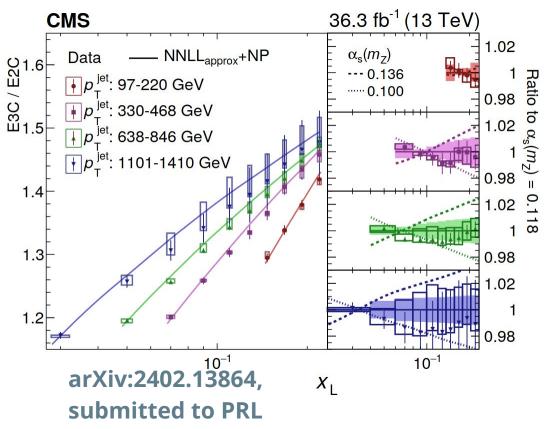
Perturbative region (high x_i)

PYTHIA8 CP5 undershoots data at higher jet p_T

HERWIG7 CH3 & SHERPA2 describe data better

Extraction of α_s from jet substructure

Ratio of three-point to two-point correlators (E3C/E2C)



Using **NLO+NNLL**_{approx} pQCD calculation with nonperturbative corrections

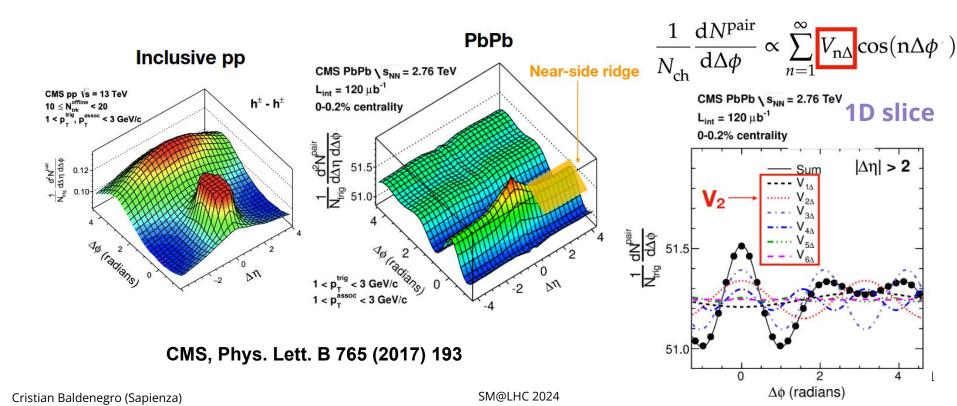
$$\alpha_{\rm S}(\rm m_{\rm Z}) = 0.1229^{+0.0040}_{-0.0050} \ (\sim 4 \%)$$

Most precise extraction of $\alpha_{\rm s}({\rm m_Z})$ with jet substructure

Quark/gluon degeneracy broken in E3C/E2C ratio, allows for breaking "10% uncertainty" barrier

Two-particle angular correlations

- Near-side ridge typical sign of collective behavior
- Fourier harmonics decomposition, nonzero $V_{2\Lambda}$ associated with anisotropic expansion

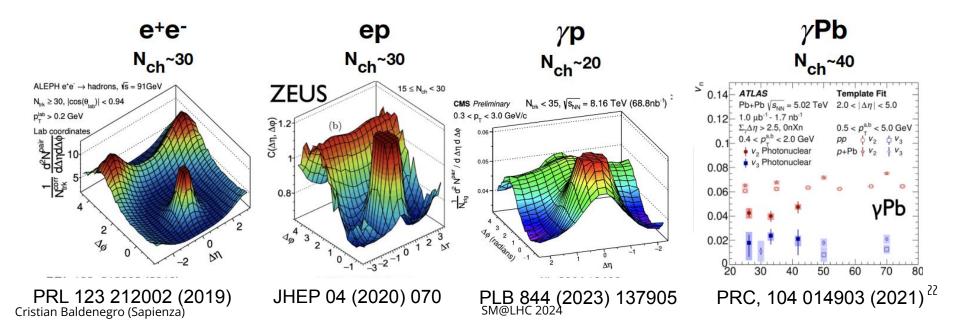


What about smaller systems?

Unexpected nonzero v₂ in high-multiplicity pp and pPb by CMS (*PLB* 765 (2017) 193, *PLB* 718 (2013) 795)

→QGP droplet formation or emergent property of high-multiplicity QCD processes?

Since then, searches pushing the boundaries towards even smaller systems

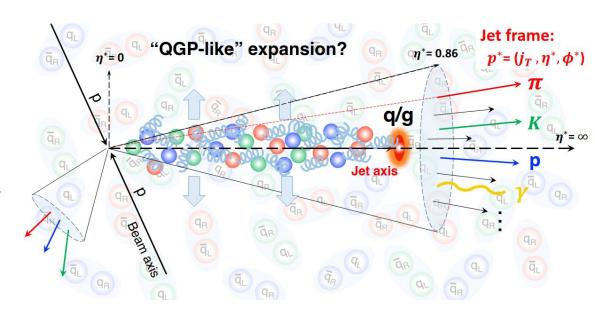


Search for intrajet collective behavior in CMS

arXiv:2312.17103, submitted to PRL

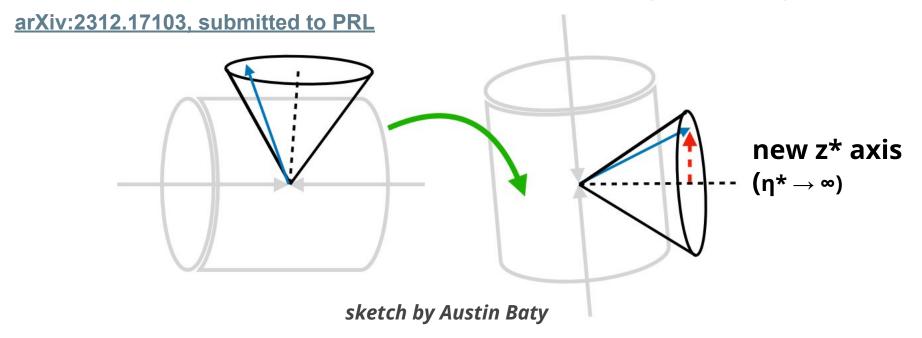
 $p_{T,jet} > 550 \text{ GeV}, \text{ anti-k}_T R = 0.8, |\eta^{jet}| < 1.6$

Charged-particle constituents used for two-particle correlations (pileup mitigation + low $p_{T ch}$)



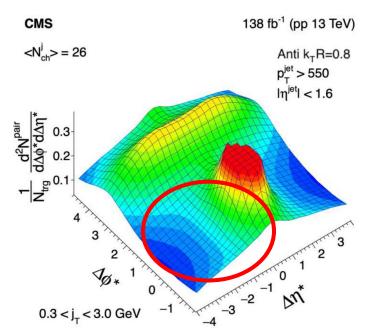
A. Baty, P. Gardner, W. Li, Phys. Rev. C 107 (2023) 064908

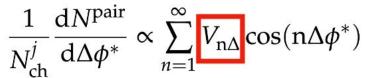
Rotated reference frame such that z* axis is aligned with jet axis

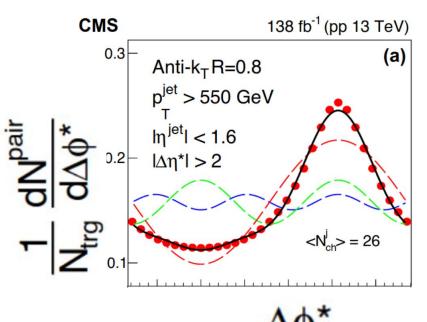


Particle correlations using ϕ^* and η^* coordinates (restricted to 0.86 < $|\eta^*|$ < 5), transverse momentum relative to the jet axis j_T (0.3 < j_T < 3 GeV)

inclusive N_{ch} category







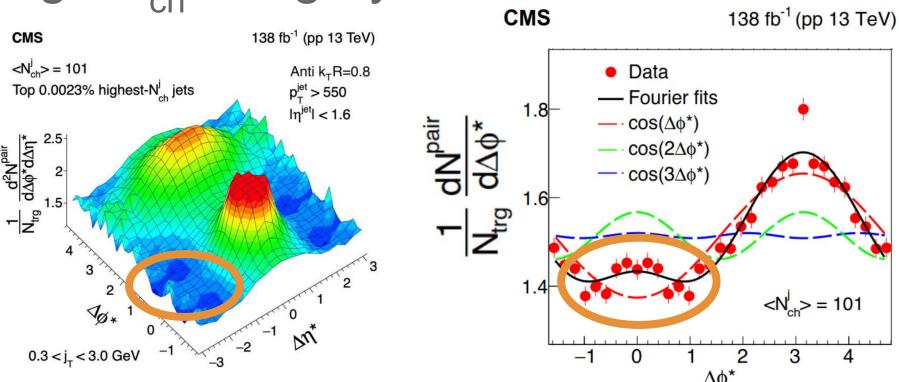
2D distributions corrected for acceptance/efficiency effects

No near-side ridge at $\Delta \phi^* \sim 0$

arXiv:2312.17103, submitted to PRL

high N_{ch} category

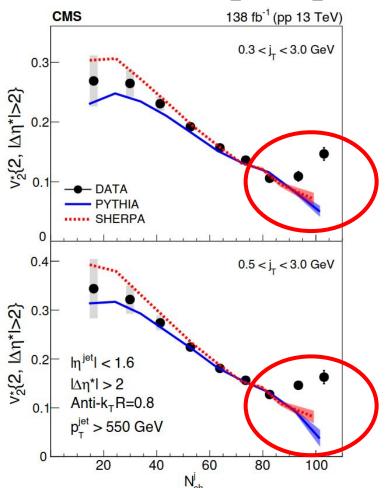
arXiv:2312.17103, submitted to PRL



Near-side ridge-like structure at $\Delta \phi^* \sim 0$

single-particle $v_2 = \sqrt{V_2} \text{ vs } N_{ch}$

arXiv:2312.17103, submitted to PRL



Nonzero v_2 reproduced by SHERPA2, PYTHIA8 CP5 up to N_{ch} ~ 80

Increasing v₂ with large N_{ch} not expected by these MC predictions

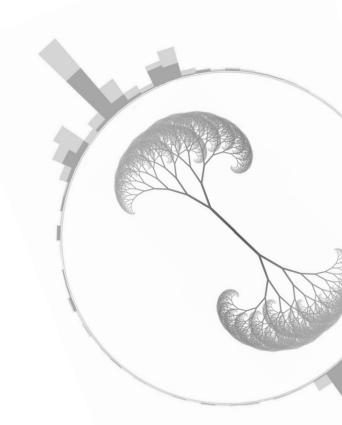
Summary

 Mapping out weakly- and strongly-coupled regimes via the Lund jet plane picture and with N-point energy correlators

Collective-like behavior in jets with high-N_{ch}

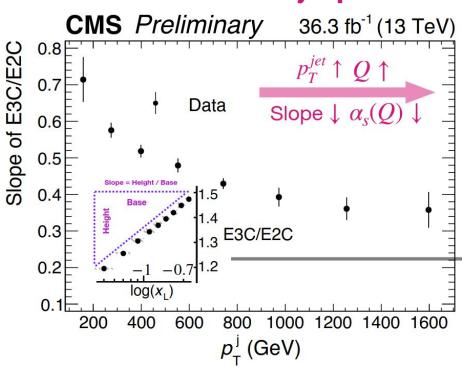
Example of synergy between heavy-ion & high-energy communities

 Other LHC substructure results can be found <u>here</u>

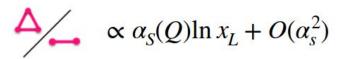


E3C/E2C sensitive to running α_s

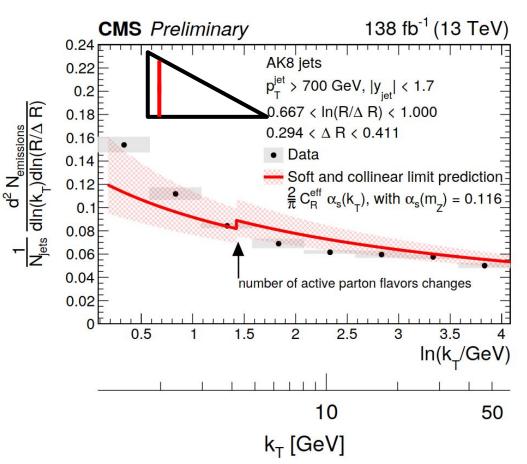
CMS-PAS-SMP-22-015



At LL, slope of E3C/E2C ratio sensitive to $\alpha_s(Q)$



Quark/gluon fraction sensitivity is reduced in the E3C/E2C ratio, without losing sensitivity to $\alpha_{\rm S}({\rm Q})$ running



Recall LO pocket formula for Lund density:

$$\frac{1}{N^{\text{jets}}} \frac{\mathrm{d}^2 N_{\text{emissions}}}{\mathrm{d} \ln(k_T) \mathrm{d} \ln(R/\Delta R)} \simeq \frac{2}{\pi} C_R \alpha_s(k_T)$$

Running $\alpha_s(k_T)$ from few GeV to ~60 GeV qualitatively describes the data (Assuming q/g fractions from PYTHIA8)

A. Larkoski, G. Salam, J. Thaler, JHEP06(2013)108

energy-weighted cross section

$$\frac{d\sigma_{\text{EEC}}}{dR_{\text{L}}} = \sum_{i,j} \int d\sigma(R'_{\text{L}}) \frac{p_{\text{T},i} p_{\text{T},j}}{p_{\text{T},j\text{et}}^2} \delta(R'_{\text{L}} - R_{\text{L},ij})$$

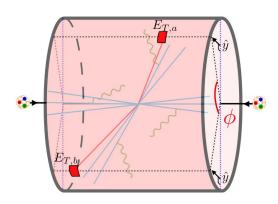
$$R_{\text{L}} = \sqrt{\Delta \varphi_{ij}^2 + \Delta \eta_{ij}^2}$$

Observable connected to conformal field theory approaches

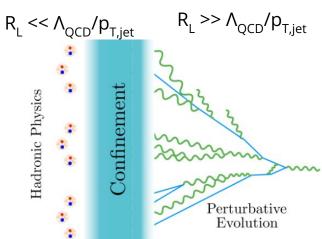
Soft particle pairs are "penalized" with small energy weights (typically at small $R_{\mbox{\tiny I}}$)

Hard radiation is "rewarded" with larger weights (typically at large $R_{_{\rm I}}$)

No jet grooming to suppress soft physics is required



sketch from Ian moult



$$\frac{\mathrm{d}\sigma_{\mathrm{EEC}}}{\mathrm{d}R_{\mathrm{L}}} = \sum_{i,j} \int d\sigma(R'_{\mathrm{L}}) \, \frac{p_{\mathrm{T},i} \, p_{\mathrm{T},j}}{p_{\mathrm{T},j\mathrm{et}}^2} \, \delta(R'_{\mathrm{L}} - R_{\mathrm{L},ij})$$

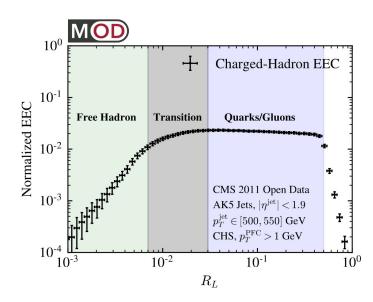
How to measure these experimentally?

1. For a given pair of jet constituents, fill a histogram with weight = $p_{T,i} p_{T,j} / p_{T,jet}^2$ at entry $R_L = \Delta R_{ij}$

2. Iterate step 1 for all possible pairs in the jet (there will be multiple histogram entries per jet)

3. Do this for all jets, and you obtain an energy-weighted two-particle correlation distribution

P. Komiske, I. Moult, J. Thaler, H.X. Zhu, PRL 130, 051901



Proof of concept using CMS OpenData

Access to scaling properties of QCD

Generalized arigularities in dijet and Zijet events

$$\lambda_{\beta}^{\kappa} = \sum_{i \in \text{jet}} z_{i}^{\kappa} \left(\frac{\Delta R_{i}}{R} \right)^{\beta} \quad z_{i} \equiv \frac{p_{Ti}}{\sum_{j \in \text{jet}} p_{Tj}}$$

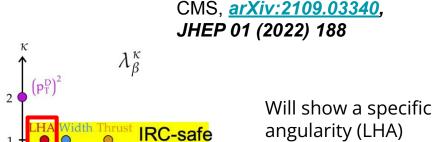
κ & β are parameters set by user

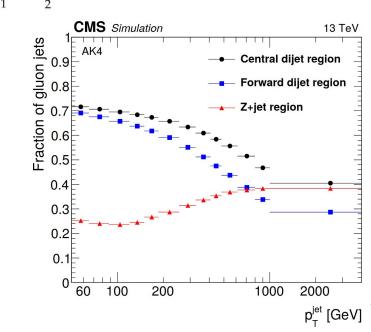
Sensitive to quark vs gluon differences (subset of them are IRC-safe)

JHEP 1707 (2017) 091

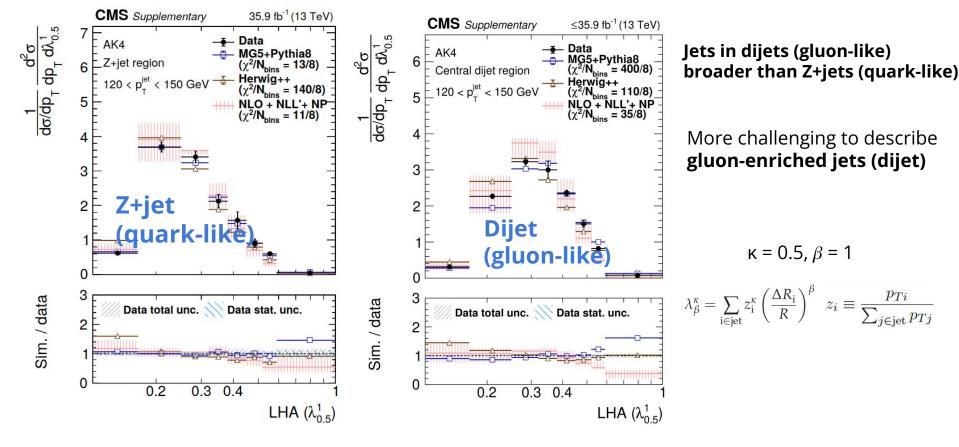
Z+jet (quark-like) q $C_F = 4/3$ Dijet (gluon-like) $C_A = 3$

Ungroomed vs groomed with z_{cut} = 0.1, β_{SD} = 0, R = 0.4 vs R =0.8 charged-only vs charged+neutrals

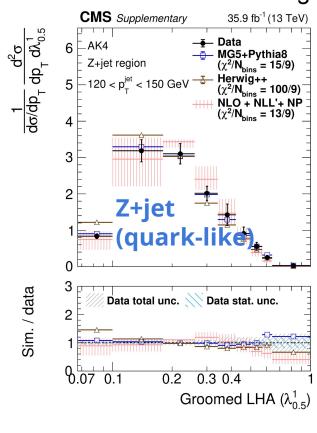


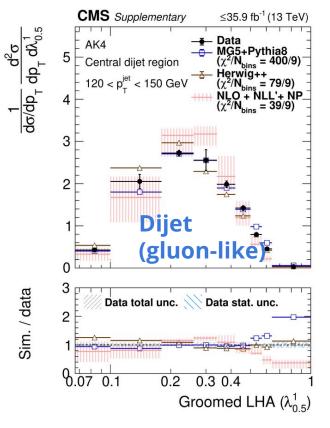


Multiplicity



pQCD calculations D. Reichelt, S. Caletti, O. Fedkevych, S. Marzani, S. Schumann, G. Soyez, JHEP 03 (2022) 131





Soft-drop grooming $(z_{cut} = 0.1, \beta_{sd} = 0)$ to remove soft and wide-angle radiation

More challenging to describe **gluon-enriched jets**

Mismodeling at large LHA increase after removing soft&wide-angle radiation

$$\kappa$$
 = 0.5, β = 1

$$\lambda_{\beta}^{\kappa} = \sum_{i \in \text{jet}} z_i^{\kappa} \left(\frac{\Delta R_i}{R} \right)^{\beta} \quad z_i \equiv \frac{p_{Ti}}{\sum_{j \in \text{jet}} p_{Tj}}$$

pQCD calculations D. Reichelt, S. Caletti, O. Fedkevych, S. Marzani, S. Schumann, G. Sovez, JHEP 03 (2022) 131

Dijet/Z+jet ratio (g-enriched/q-enriched)

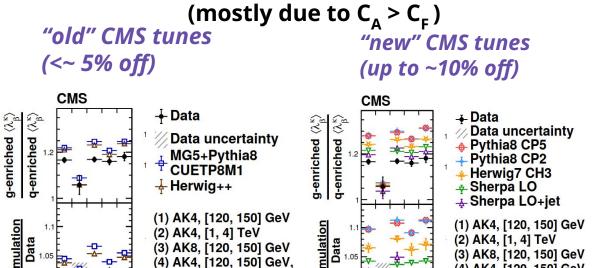
CMS, <u>arXiv:2109.03340</u>, JHEP 01 (2022) 188

 uncertainties partially cancel in dijet/Z+jet ratio

MC simulations overestimate

 g-enriched / q-enriched ratio is better modelled with "old"
 PYTHIA8/HERWIG7 tunes

g-enriched/g-enriched ratio



gluon-LHA/quark-LHA > 1

full summary plot in backup (other angularities)

(1) (2) (3) (4) (5)

LHA $(\lambda_{0.5}^1)$

(4) AK4, [120, 150] GeV,

(5) AK4, [120, 150] GeV,

charged

aroomed

(1) (2) (3) (4) (5)

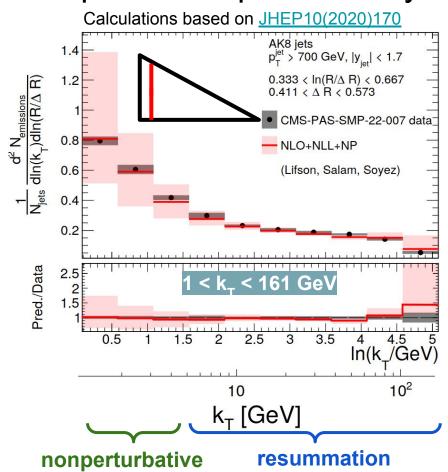
LHA $(\lambda_{0.5}^1)$

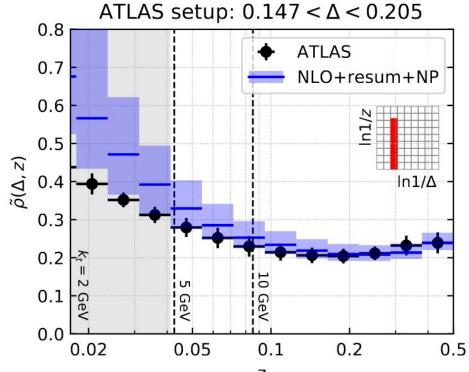
charged

groomed

(5) AK4, [120, 150] GeV,

Comparison to pQCD analytical calculations (NLO+NLL+NP)

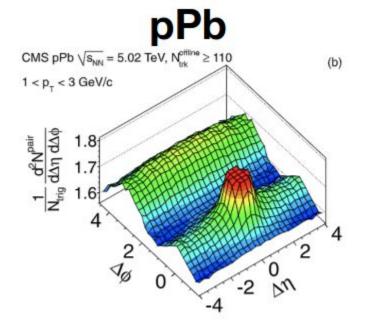




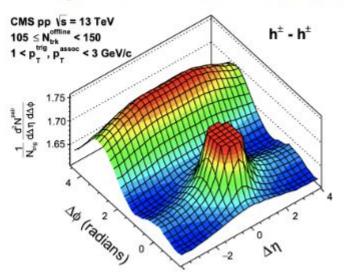
A. Lifson, G. Salam, G. Soyez JHEP10(2020)170

data from ATLAS Lund plane, PRL 124, 222002 (2020)

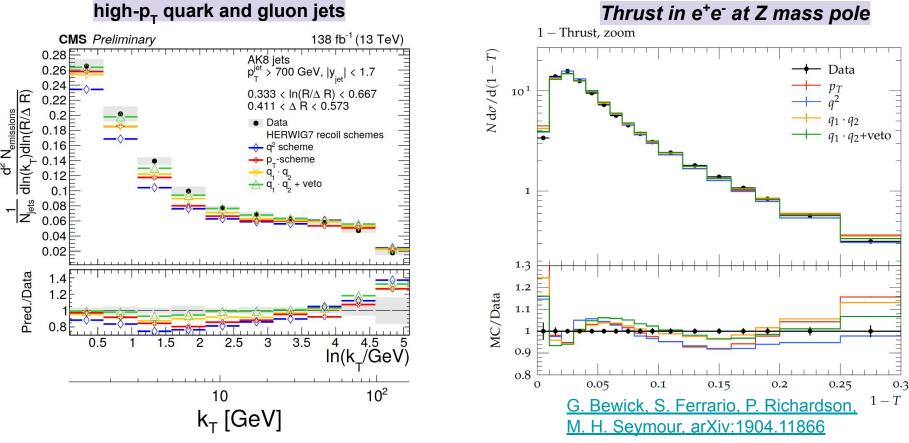
Ridge in pPb and high-multiplicity pp



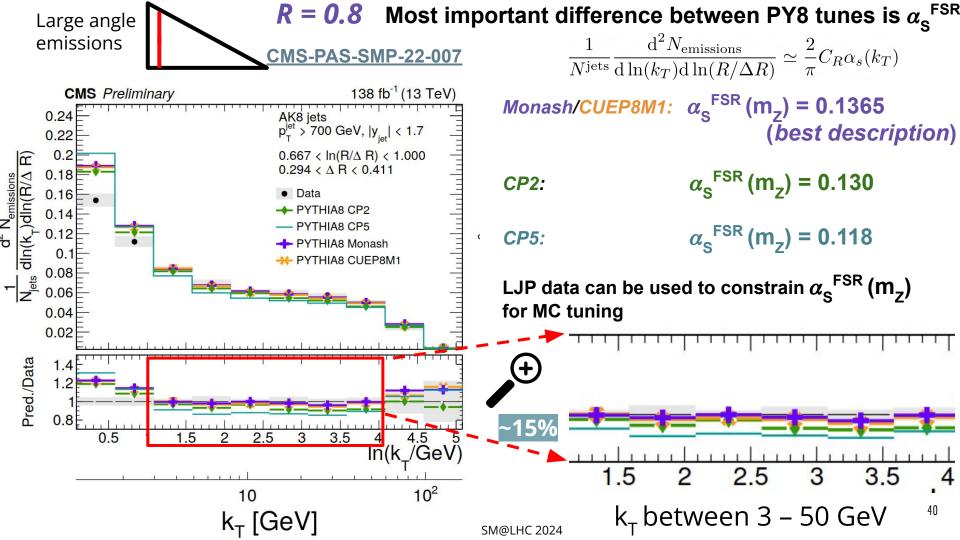
High-multiplicity pp



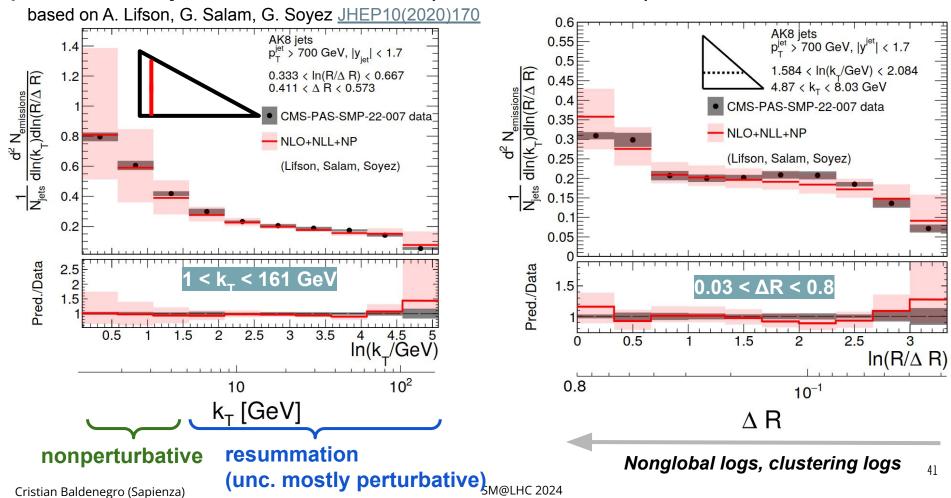
Sensitivity to recoil scheme choice, important ingredient to reach NLL accuracy



LJP data favors q₁q₂+veto scheme, consistent with trends in event shape variables at LEP



pQCD analytical calculations (NLO+NLL+NP)

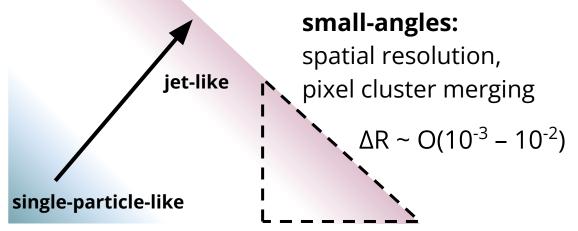


selected detector effects

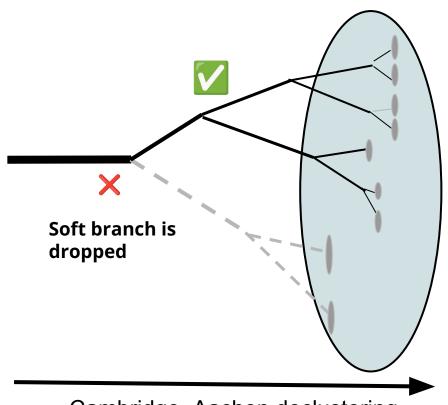
relevant close to the edge ($p_T^{soft} \sim p_T^{hard}$):

p_T^{subjet} smearing, constituents lost in reconstruction, clustering history can be distorted (e.g., branch swaps)

residual PU contributions (large ΔR , low k_{τ})



(Intermezzo) soft-drop grooming algorithm



1. Jet is reclustered with Cambridge-Aachen (CA), which clusters particles with **angular ordering**

2. Follow the CA clustering history in reverse. Check if the branch satisfies the soft-drop condition:

$$z = p_T^{\text{softer}}/(p_T^{\text{softer}} + p_T^{\text{harder}}) > z_{\text{cut}} (\Delta R/R)^{\beta}$$

(a typical choice is
$$z_{cut} = 0.1$$
, $\beta = 0$)

If the splitting fails the SD condition, the branch is removed

Repeat 2 until SD condition is satisfied, which yields a soft-drop groomed jet

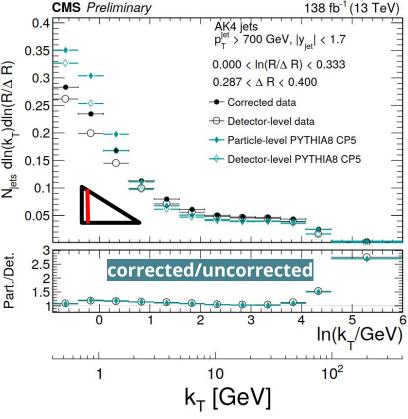
Corrections to particle level

Sequential set of corrections:

- 1. **Background:** bin-by-bin correction to account for det-level emissions not matched to truth-level emissions.
- 2. **Multidimensional regularized unfolding (**D'Agostini) of primary Lund jet plane (p_T^{jet} , k_T , ΔR).
- 3. **Efficiency:** bin-by-bin correction to account for hadron-level emissions without matching.

PYTHIA8 CP5 chosen as nominal to also propagate parton shower scale uncertainties

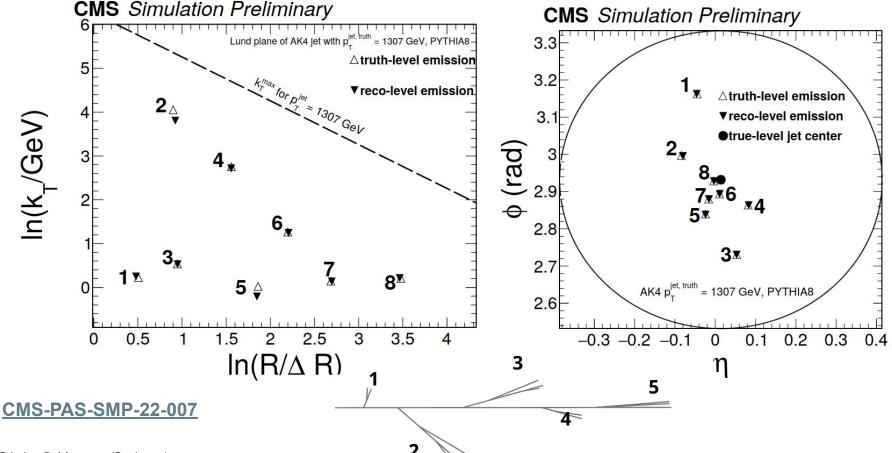
CMS-PAS-SMP-22-007



smearing becomes more important at high $k_{\scriptscriptstyle T}$

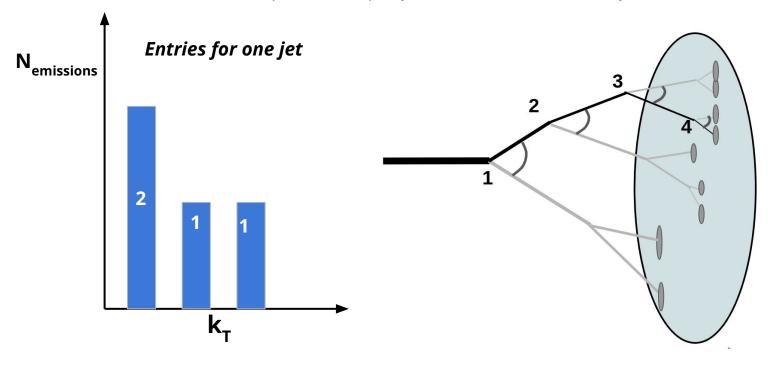
Matching emissions at detector level and particle level

Migration matrix and other MC-based corrections derived from matched part-level and det-level splittings.



detector-level statistical correlations

LJP is a multicount observable (i.e., multiple entries per jet) \rightarrow bins are statistically correlated at det level



bin-to-bin correlations of up to ~5–10%, measured covariance matrix used in unfolding

(can be important for other observables, e.g. Lund multiplicities, energy correlators, ...)

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Systematic uncertainties

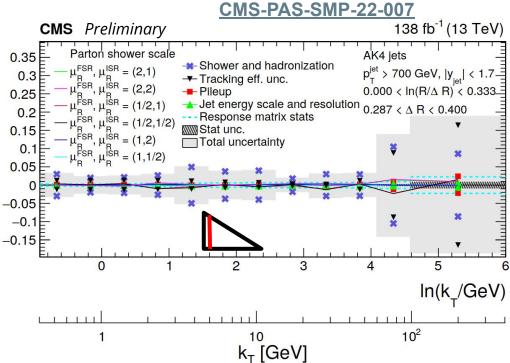
Shower & hadronization model uncertainty (2–7% in the bulk, 10% at kinematical edge)

decorrelated into prior bias ⊗ response pieces

Tracking reco. efficiency model uncertainty, 1-2% in bulk, dominates at 10-20% at edge

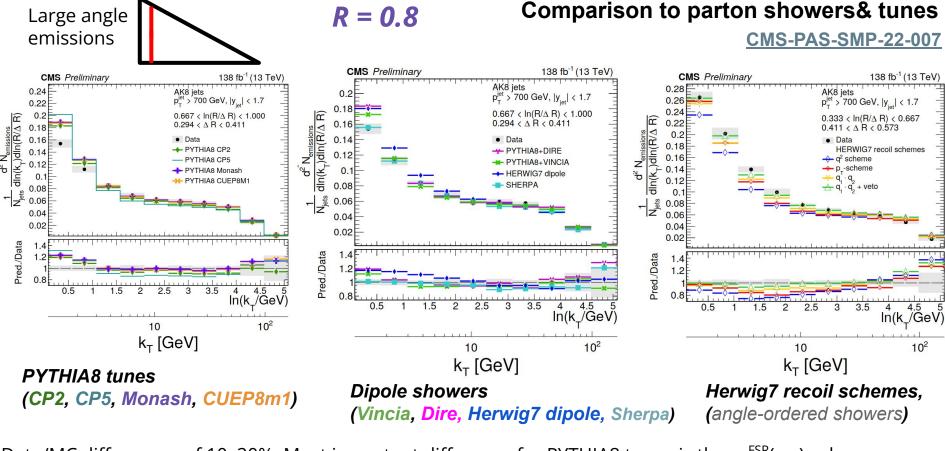
Subleading components (<~ 1%):

Parton shower scale **Response matrix stats Jet energy scale and resolution uncertainties** Pileup modeling



Dominated by **shower & hadronization modeling** in bulk of Lund plane & by **tracking efficiency** at high k_{τ}

Relative uncertainties



Data/MC differences of 10–20%. Most important difference for PYTHIA8 tunes is the $\alpha_s^{FSR}(m_7)$ value.

HERWIG7 angle-ordered describes better the data than **HERWIG7 dipole** Factorization of effects can be exploited in MC tuning