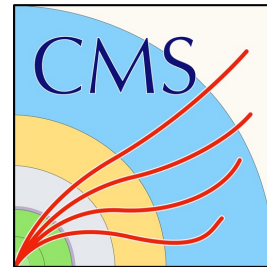


# $\alpha_S$ determinations by ATLAS and CMS

Massimo Corradi



on behalf of the ATLAS and CMS Collaborations



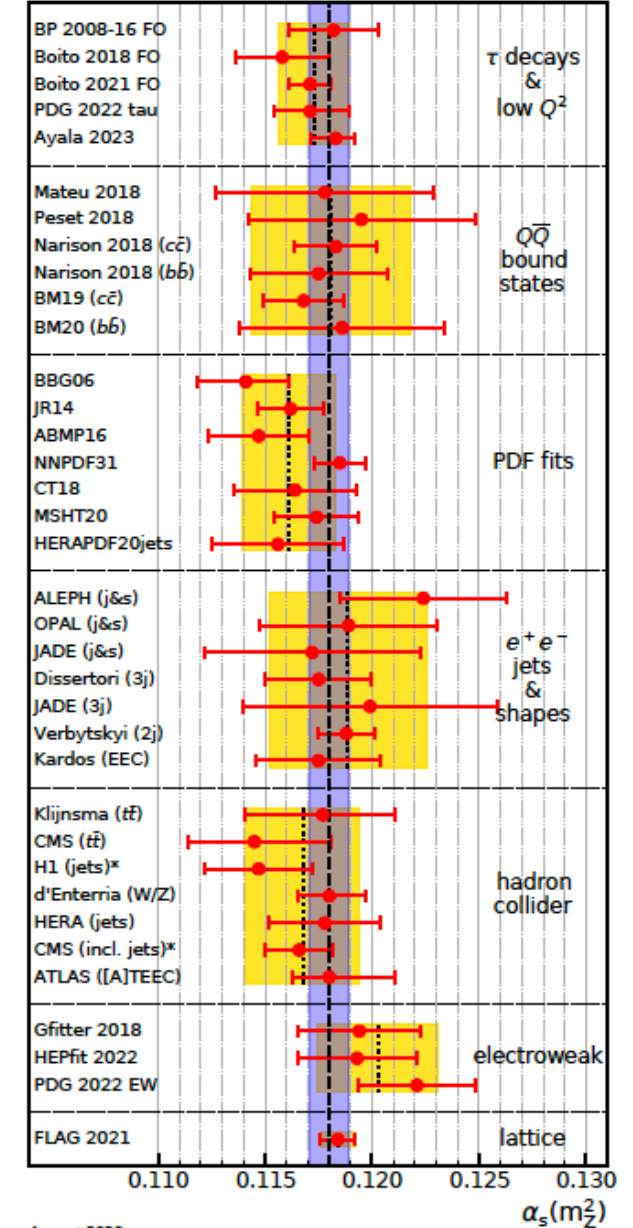
# Introduction

- Strong coupling constant  $\alpha_S$  is the least known coupling constant in nature
- Its value has implications on SM extrapolation at large scales (GUT...)
- Important uncertainty to many precision measurements

How to extract  $\alpha_S$  ?

- Approach 1) (Altarelli): extract  $\alpha_S$  from few processes with small and well-controlled theoretical uncertainties (e.g. tau decays, DIS scaling violation,  $e+e^- \rightarrow R(\text{hadrons/leptons})$ )  $\rightarrow$  extract in other processes as a test of the theory (both for testing theoretical approaches to more complex observables and for looking for deviations from SM)
- Approach 2) (PDG): consider many different process together, make a “robust” averages within each category, then average categories to provides a “Word average” which should be the most precise available value.
- current Word Average  $\alpha_S(m_Z) = 0.1179 \pm 0.0009$

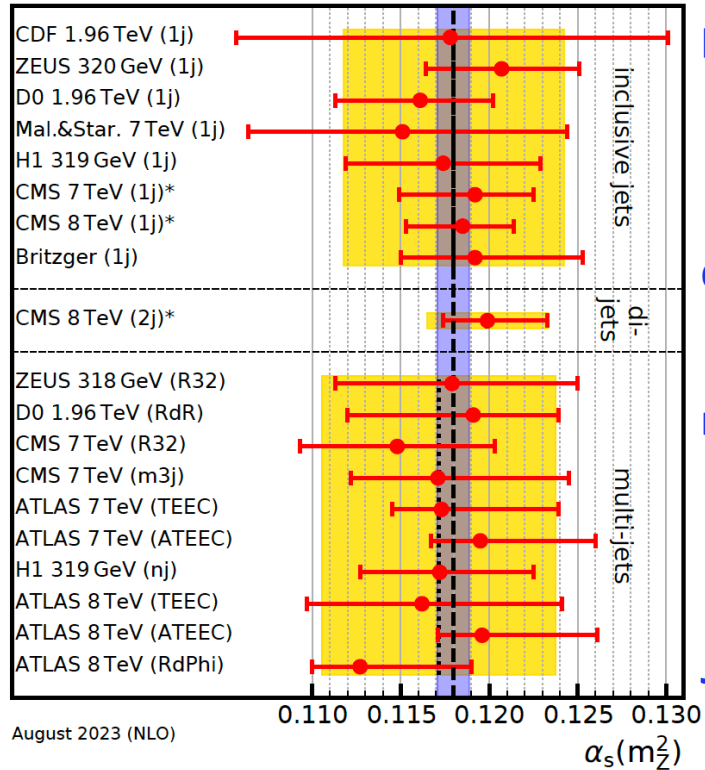
PDG



# $\alpha_s$ determinations at hadronic colliders

PDG 2023 Aug 2023 ( $\alpha_s$  from jets)

New results in this presentation :



**Inclusive jets :**

+ CMS Inclusive jets at 13 TeV [JHEP02\(2022\)142 + JHEP12\(2022\)035](#)

**di-jets :**

+ CMS dijets at 13 TeV [arXiv:2312.16669](#)

**multi-jets :**

+ CMS  $R_{\Delta\phi}$  at 13 TeV [arXiv:2404.16082](#)

+ ATLAS TEEC+ATEEC at 13 TeV [JHEP07\(2023\)085](#)

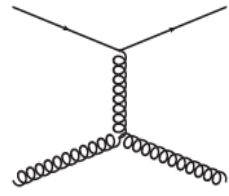
**Jet substructure:**

+ CMS energy correlations E2C, E3C at 13 TeV [arXiv:2402.13864](#)

**Drell-Yan**

+ ATLAS Zpt at 8 TeV [arXiv:2309.12986](#)

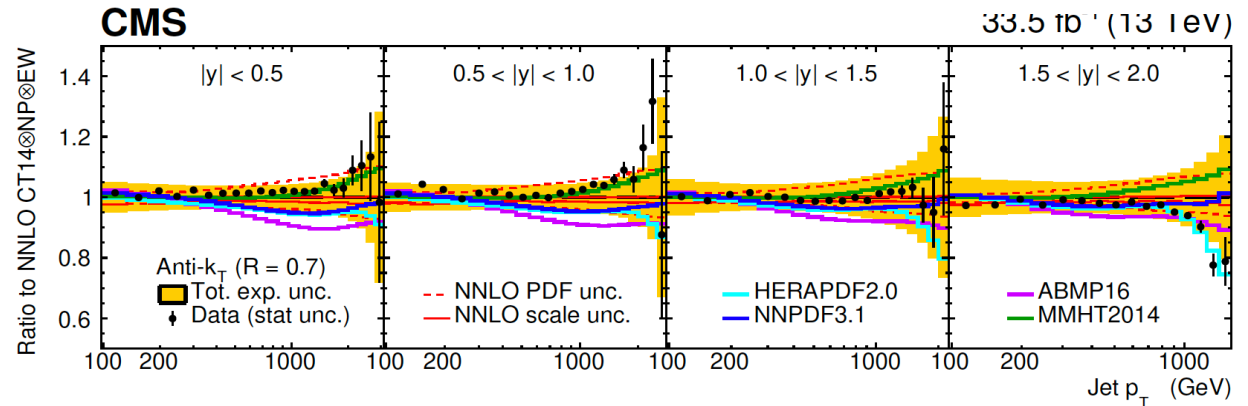
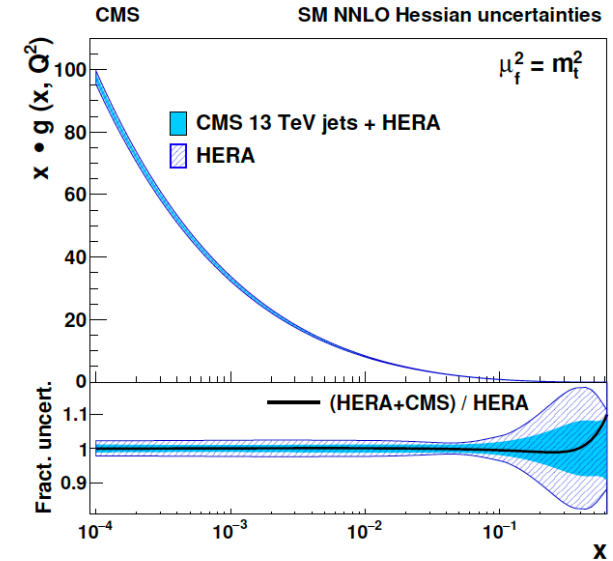
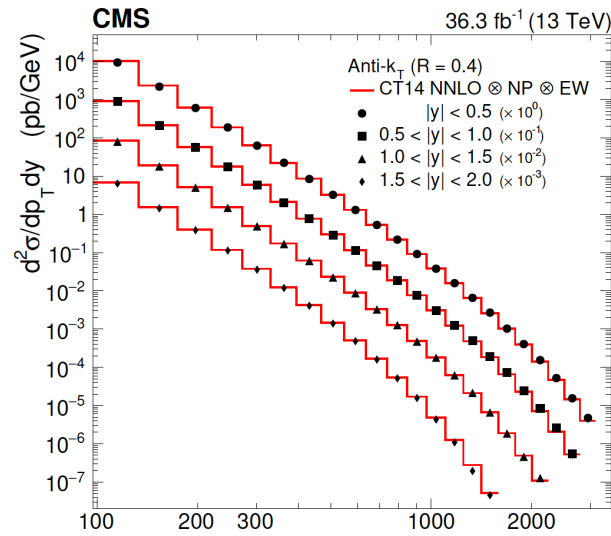
# CMS: $\alpha_S$ from inclusive jet cross section



$$\sigma_{LO} \propto \alpha^2$$

[JHEP12\(2022\)035 \(addendum\)](#)

- CMS measurement of inclusive jet cross sections at 13 TeV over many orders of magnitude
- Anti-kT R=0.4 and 0.7
- Exp. uncertainty dominated by JES (order 5%)
- Simultaneous extraction of  $\alpha_S$  and PDFs from fit of HERA DIS data and CMS jets
- **New analysis** using full NNLO grids (NNLOJETS) rather than k-factors: compatible results with smaller scale uncertainties

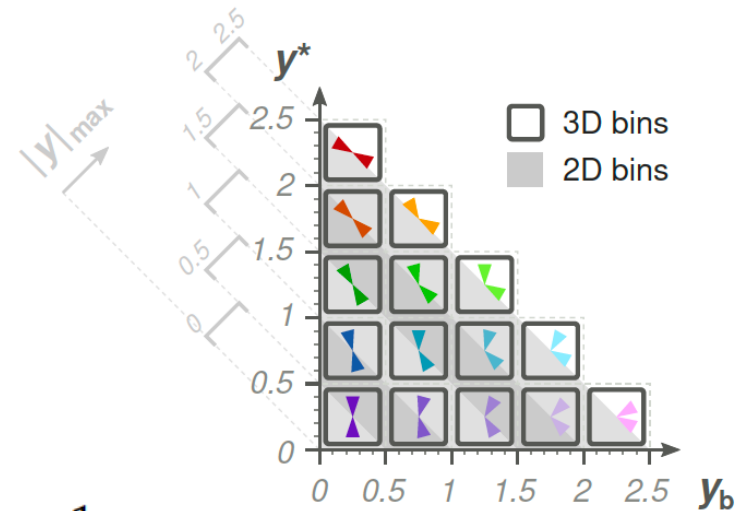


$$\alpha_S(m_Z) = 0.1166 \pm 0.0014 \text{ (fit)} \pm 0.0007 \text{ (model)} \pm 0.0004 \text{ (scale)} \pm 0.0001 \text{ (PDF param.)}$$

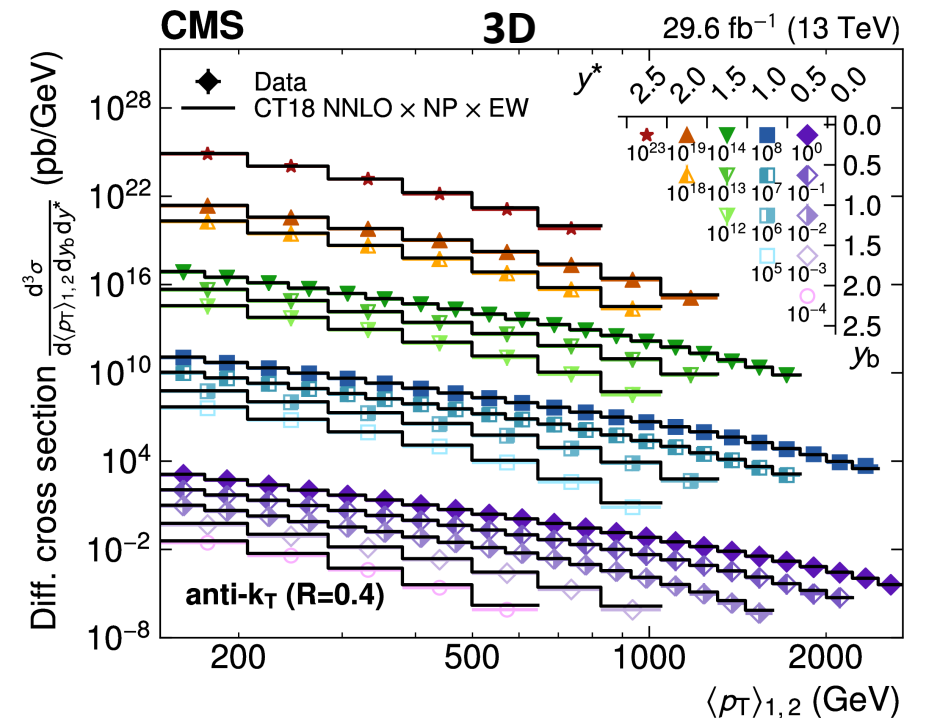
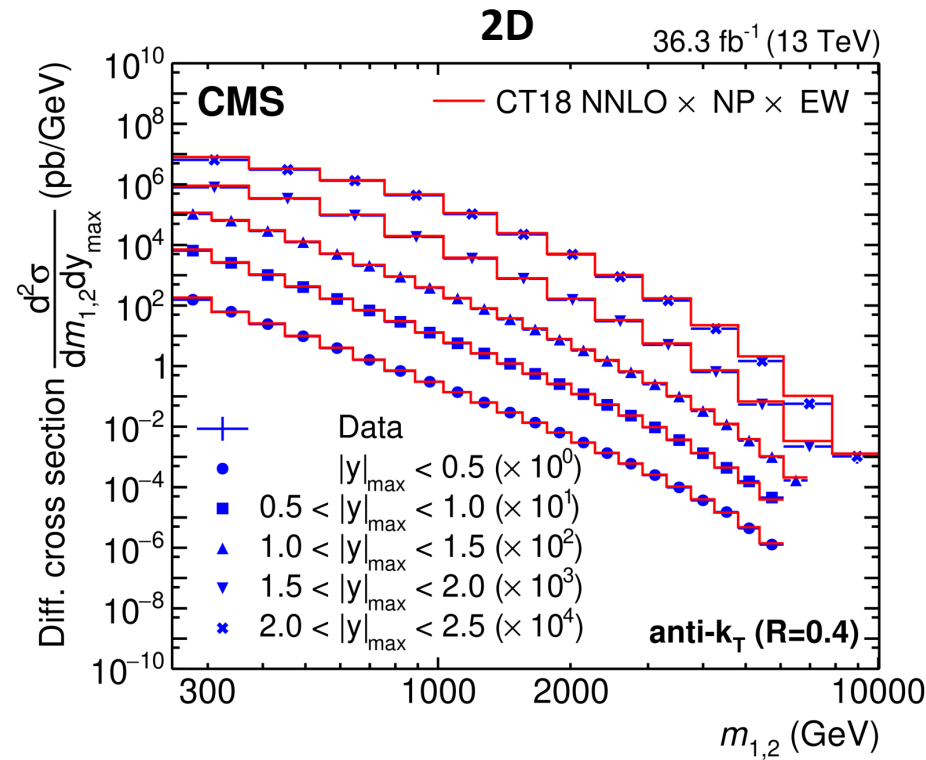
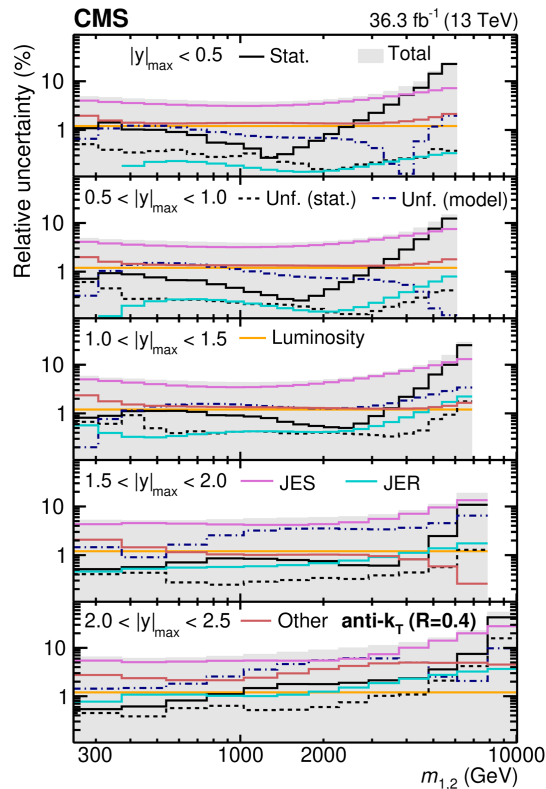
# CMS: $\alpha_S$ from di-jet cross section

[arXiv:2312.16669](https://arxiv.org/abs/2312.16669)

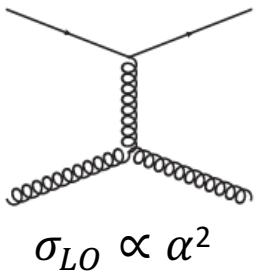
- CMS measurement of di-jet cross sections at 13 TeV (33.5 fb<sup>-1</sup>)
- 2D in dijet mass ( $m_{1,2}$ ) and maximum rapidity  $|y^{\max}|$  rapidity
- 3D in average  $p_T$  ( $\langle p_T \rangle_{1,2}$ ), (half) rapidity difference  $y^*$  and mean rapidity  $y_b$
- Experimental uncertainty dominated by JES 5-30%



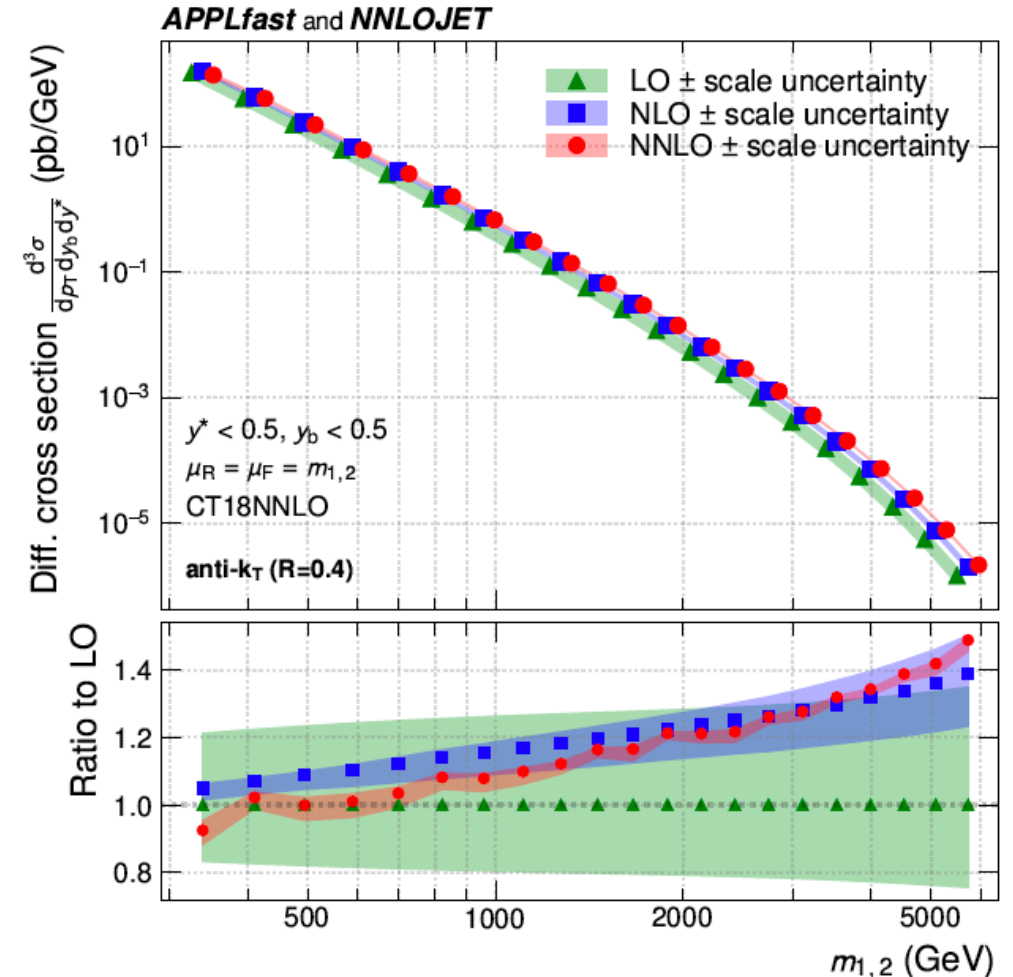
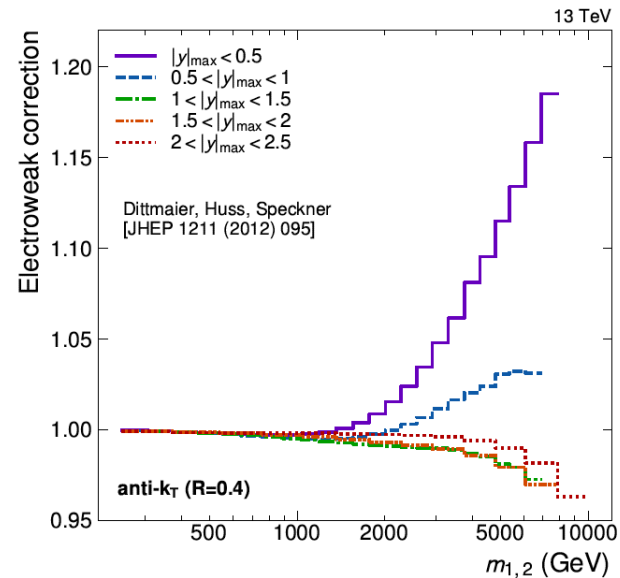
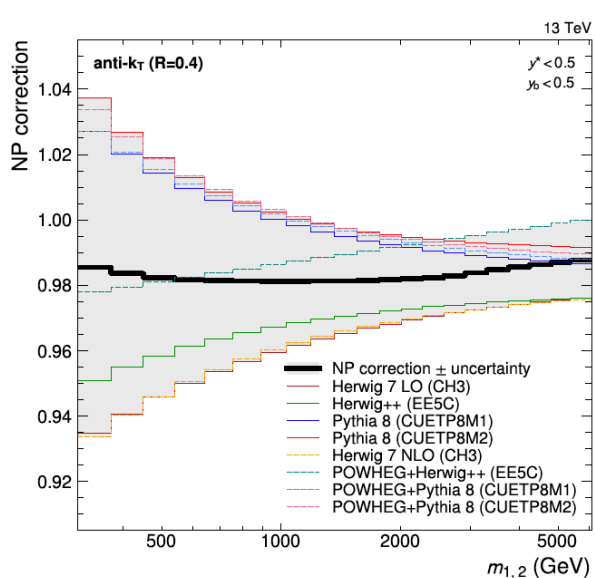
$$y^* = \frac{1}{2}|y_1 - y_2|, \quad y_b = \frac{1}{2}|y_1 + y_2|.$$



# CMS $\alpha_s$ from di-jets : theory



- Theory calculated a NNLO (NNLOJET): scale uncertainty reduction wrt NLO enables precise  $\alpha_s$  measurement
- Non-perturbative corrections calculated as envelope of different hadronization models
- Electroweak correction important at high mass



# CMS $\alpha_S$ from di-jets : results

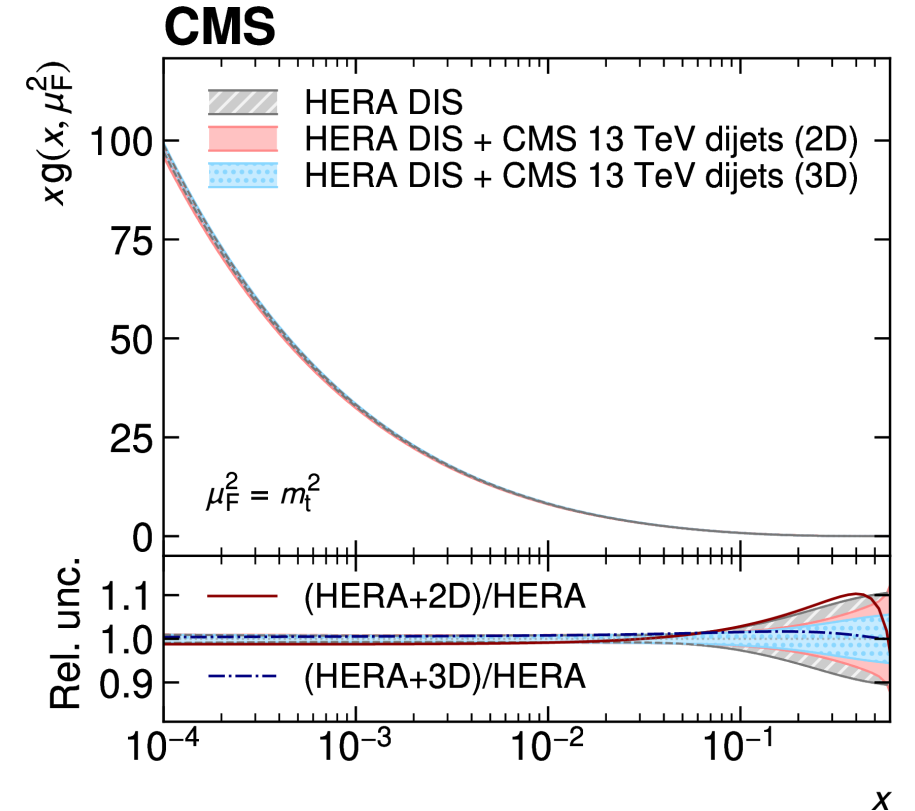
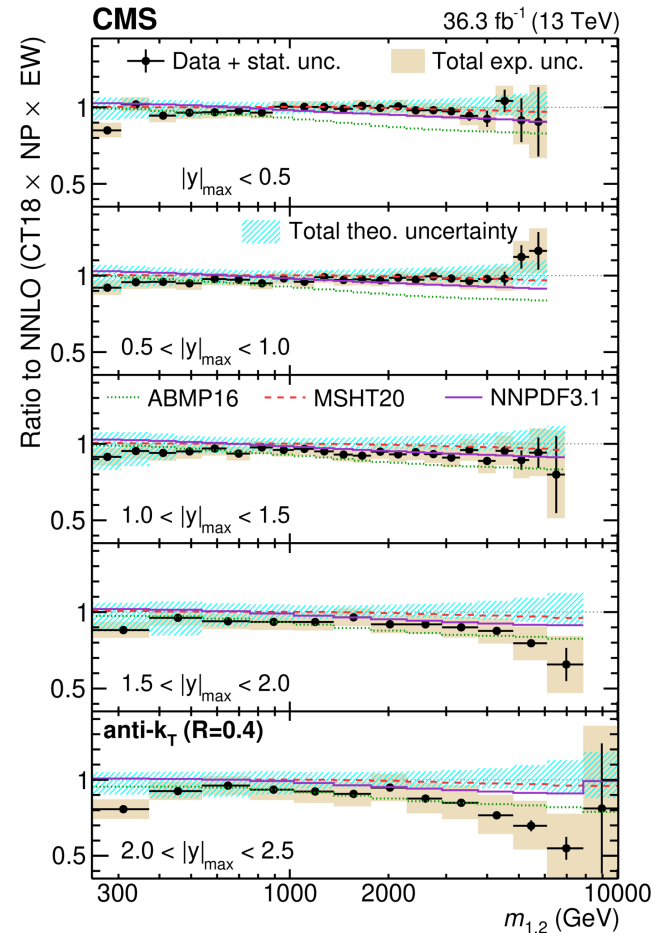
- Simultaneous fit of  $\alpha_S$  and PDFs using HERA DIS data and CMS dijets, both 2D and 3D

- $\chi^2$  variation from adding dijets to HERA data (2D):

$$\frac{\chi^2}{ndf} : \frac{1161}{1003} \rightarrow \frac{1232}{1081} : \Delta \frac{\chi^2}{ndf} = \frac{71}{78}$$

- Reduction of PDF errors

- $\alpha_S(m_Z) = 0.1179 \pm 0.0019$



2D:  $\alpha_S(m_Z) = 0.1179 \pm 0.0015$  (fit)  $\pm 0.0008$  (model)  $\pm 0.0008$  (scale)  $\pm 0.0001$  (PDF param.)

3D:  $\alpha_S(m_Z) = 0.1181 \pm 0.0013$  (fit)  $\pm 0.0006$  (model)  $\pm 0.0009$  (scale)  $\pm 0.0002$  (PDF param.)

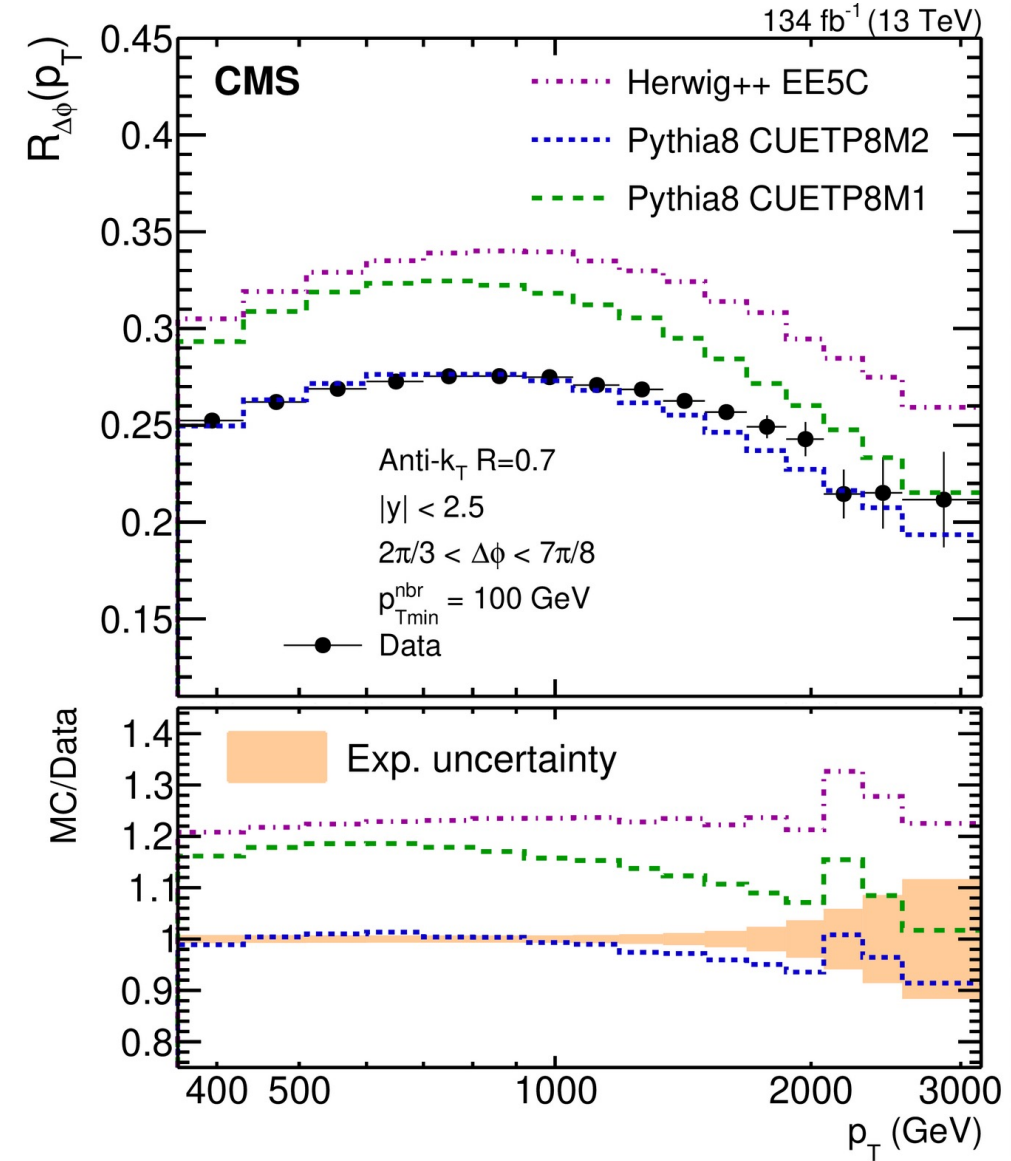
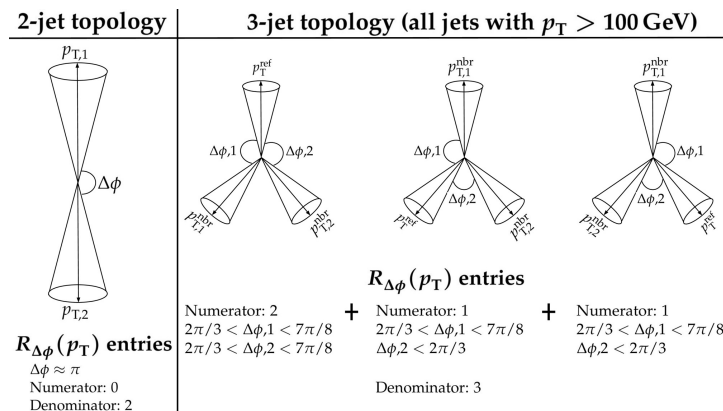
# CMS: $\alpha_S$ from Jet Azimuthal Correlation $R_{\Delta\phi}$

[arXiv:2404.16082](https://arxiv.org/abs/2404.16082)

- $R_{\Delta\phi}$  is the ratio between the number of jets in a range  $\Delta\phi$  from another jet and the total number of jets  $N_{\text{jet}}$ .

$$R_{\Delta\phi}(p_T) = \frac{\sum_{i=1}^{N_{\text{jet}}(p_T)} N_{\text{nbr}}^{(i)}(\Delta\phi, p_{T\text{min}}^{\text{nbr}})}{N_{\text{jet}}(p_T)}$$

- CMS measurement at 13 TeV, for jets with  $p_T^{\text{nbr}} > 100$  GeV,  $R=0.4$  and  $|y| < 2.5$
- The  $\Delta\phi$  range is chosen to avoid back-to-back jet topologies:  $\frac{2}{3}\pi < \Delta\phi < \frac{7}{8}\pi$ : there is a relation with 3/2 jet ratio.
- $R_{\Delta\phi}$  is measured in bins of  $p_T$  (one entry per jet)
- Compared to different MC, agreement dependent on MC tuning





# CMS $R_{\Delta\phi}$ : results

- NLO theory (NLOJET++)
- Extraction of  $\alpha_S$  from fit to data using different global PDF sets providing PDFs at different values of  $\alpha_S$

$$\chi^2 = \sum_{ij} (D_i - T_i) C_{ij}^{-1} (D_j - T_j),$$

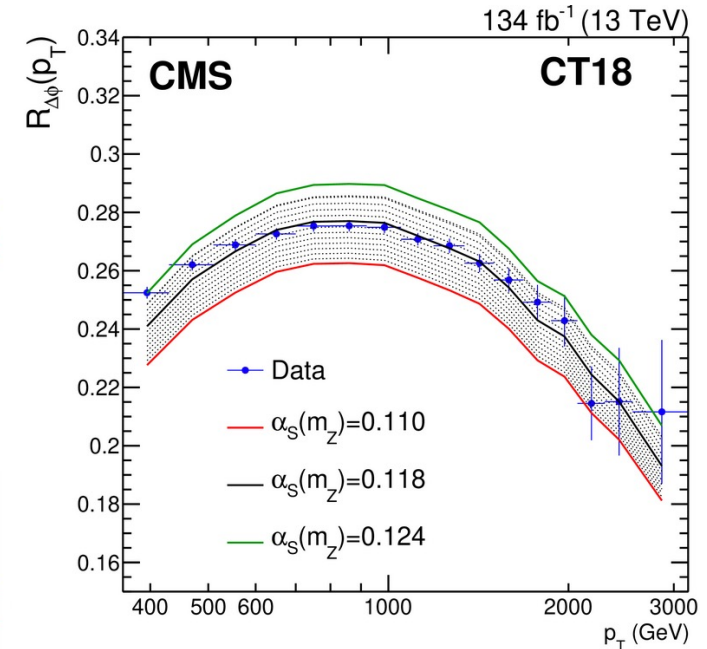
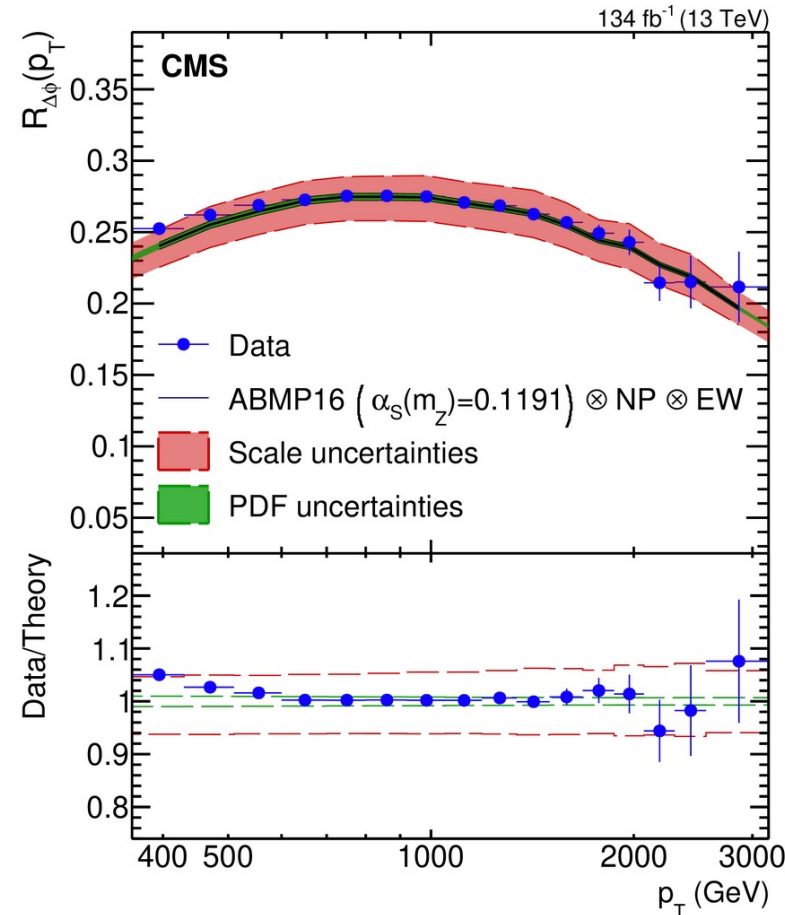
$D_i = \text{measurement}, T_i = \text{prediction},$

$C_{ij} = \text{covariance matrix (including exp. and theor. unc.)}$

Scale unc. by repeating fit with 6 different  $\mu_r, \mu_f$

- Uncertainty dominated by scale variation
- Second uncertainty is PDF choice

- $\alpha_S(m_Z) = 0.1177^{+0.0117}_{-0.0074}$



$$\alpha_S(m_Z) = 0.1177^{+0.0114}_{-0.0068} (\text{scale.}) \pm 0.0013 (\text{exp.}) \pm 0.0011 (\text{NP}) \pm 0.0010 (\text{PDF}) \pm 0.0003 (\text{EW}) \pm 0.0020 (\text{PDF choice})$$

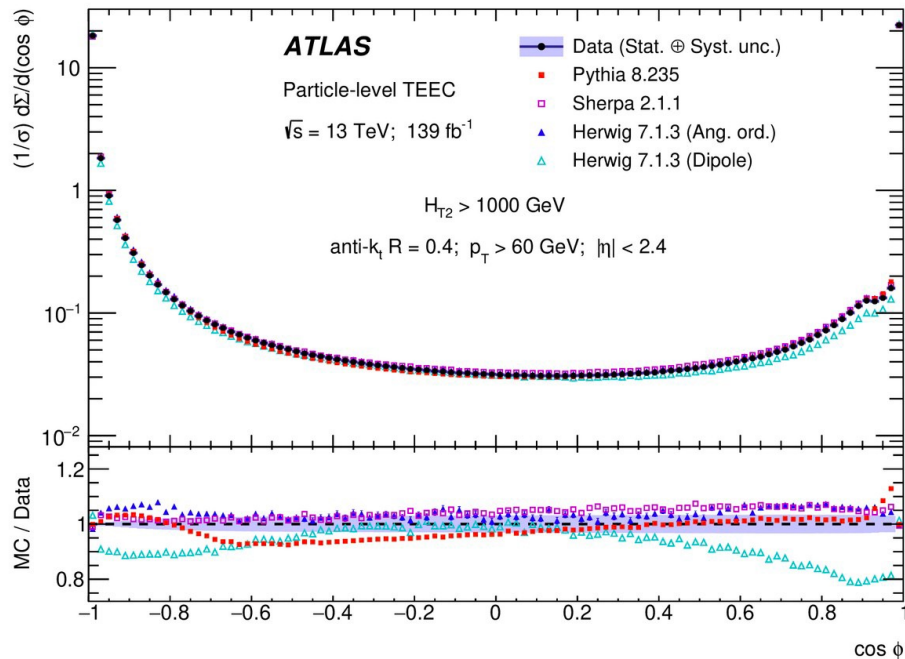
# ATLAS: $\alpha_S$ from transverse energy correlations

[JHEP07\(2023\)085](https://arxiv.org/abs/2207.085)

- Transverse energy-energy correlations (TEEC): transverse energy-weighted angular distribution of jet pairs
- Azimuthal asymmetry (ATEEC)
- ATLAS measurement in 13 TeV data, using jet R=0.4, in bins of the scalar sum of the two leading jets  $H_{T2}$

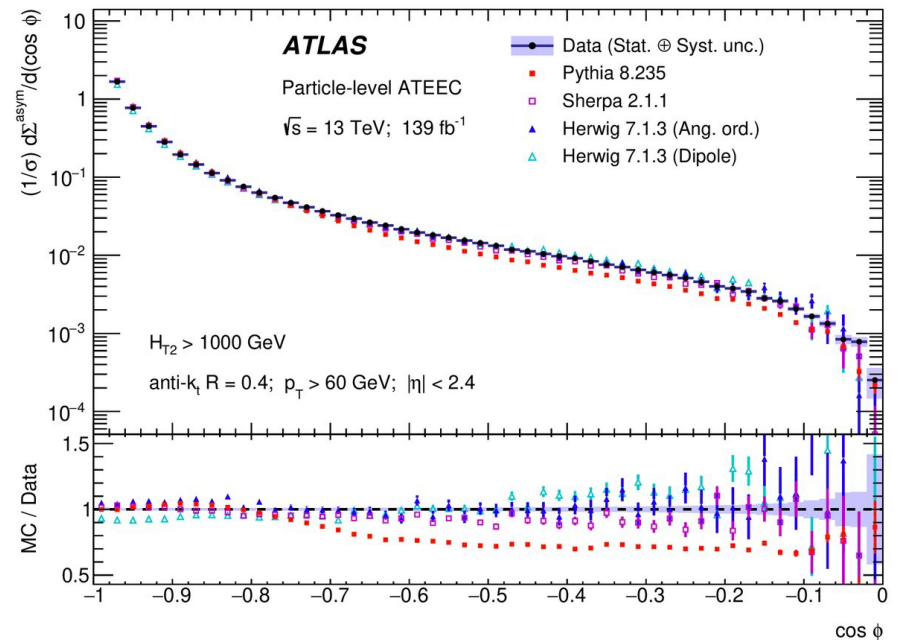
TEEC

$$\frac{1}{\sigma} \frac{d\Sigma}{d \cos \phi} \equiv \frac{1}{N} \sum_{A=1}^N \sum_{ij}^{\text{jets}} \frac{E_{Ti}^A E_{Tj}^A}{(\sum_k E_{Tk}^A)^2} \delta(\cos \phi - \cos \phi_{ij})$$



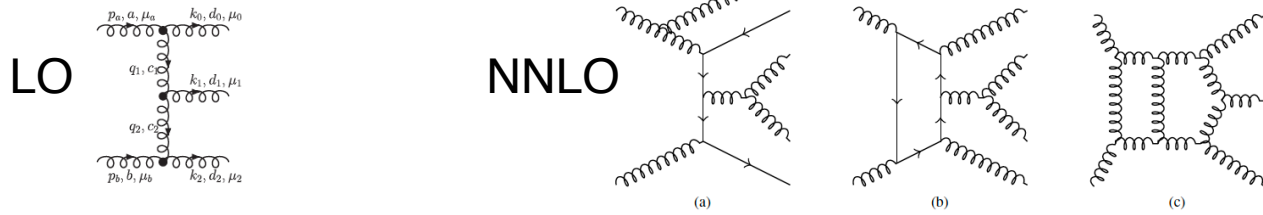
ATEEC

$$\frac{1}{\sigma} \frac{d\Sigma^{\text{asym}}}{d \cos \phi} = \frac{1}{\sigma} \frac{d\Sigma}{d \cos \phi} \Big|_{\phi} - \frac{1}{\sigma} \frac{d\Sigma}{d \cos \phi} \Big|_{\pi - \phi}$$



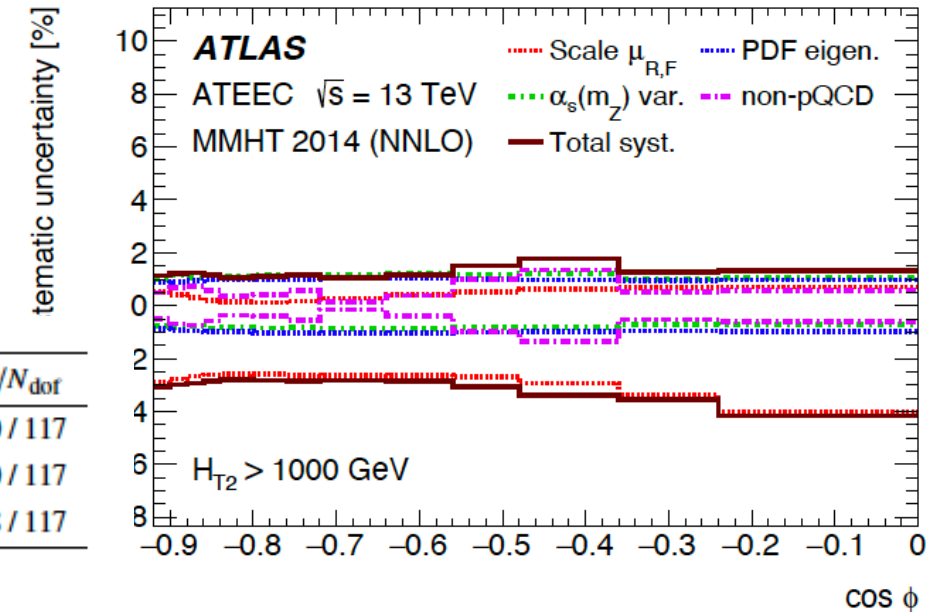
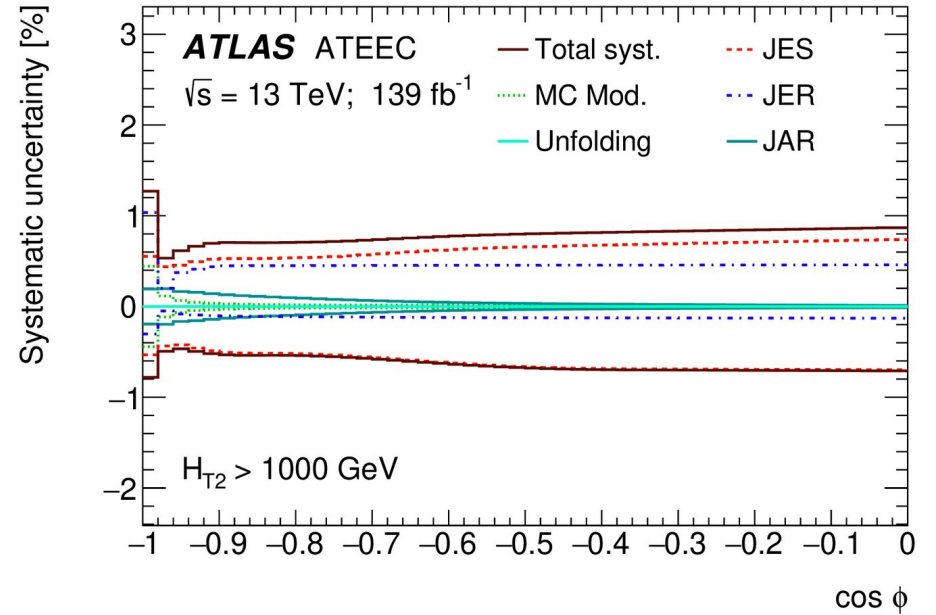
# ATLAS: TEEC and ATEEC

- Experimental uncertainty dominated by JES and by angular resolution (TEEC) and energy resolution (ATEEC)
- $|\cos\phi| < 0.92$  to avoid 2-jet configurations
- Calculated to NNLO ( $= O(\alpha_s^5)$ ) using OpenLoops2, FivePointAmplitudes and PentagonFunctions++



- $\chi^2$  fit to TEEC and ATEEC to extract  $\alpha_s$ 
  - largest uncertainty from scale variations
  - Results for different NNLO PDFs compatible within PDF uncertainty

PDF	$\alpha_s(m_Z)$ value	$\chi^2/N_{\text{dof}}$
MMHT 2014	$0.1185 \pm 0.0005$ (stat.) $\pm 0.0008$ (sys.) $^{+0.0022}_{-0.0002}$ ( $\mu$ ) $\pm 0.0011$ (PDF) $\pm 0.0004$ (NP) $\pm 0.0001$ (mod.)	110 / 117
CT14	$0.1200 \pm 0.0006$ (stat.) $\pm 0.0009$ (sys.) $^{+0.0027}_{-0.0001}$ ( $\mu$ ) $\pm 0.0016$ (PDF) $\pm 0.0005$ (NP) $\pm 0.0001$ (mod.)	110 / 117
NNPDF 3.0	$0.1199 \pm 0.0006 \pm$ (stat.) $0.0009$ (sys.) $^{+0.0027}_{-0.0002}$ ( $\mu$ ) $\pm 0.0017$ (PDF) $\pm 0.0005$ (NP) $\pm 0.0001$ (mod.)	108 / 117



# ATLAS: TEEC and ATEEC Results

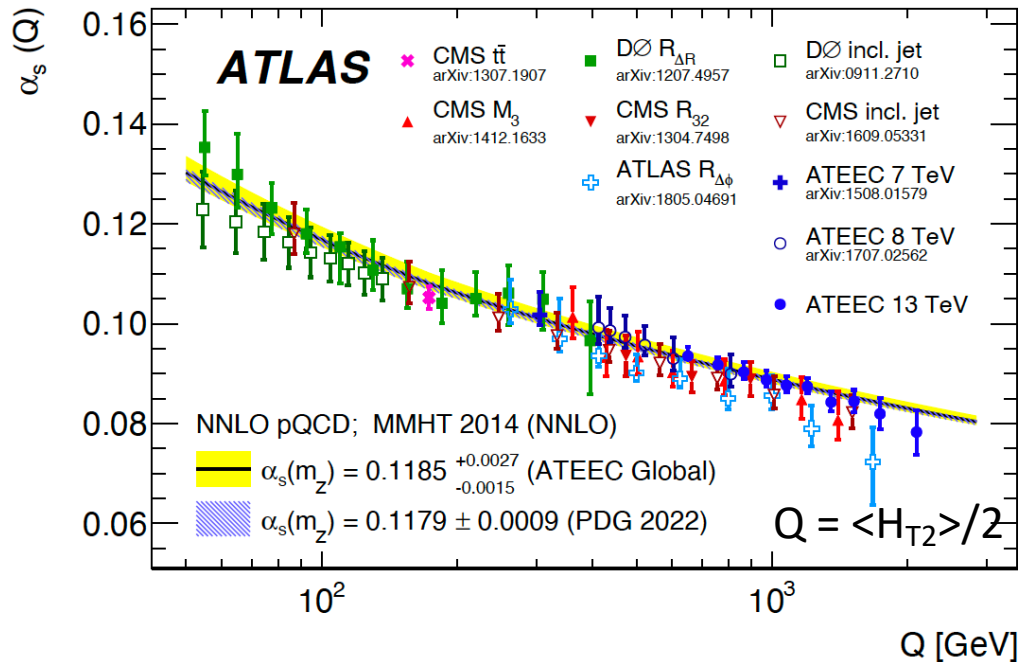
- MMHT 2014 taken as central value :

TEEC:  $\alpha_s(m_Z) = 0.1175 \pm 0.0006$  (exp.)  $^{+0.0034}_{-0.0017}$  (theo.)

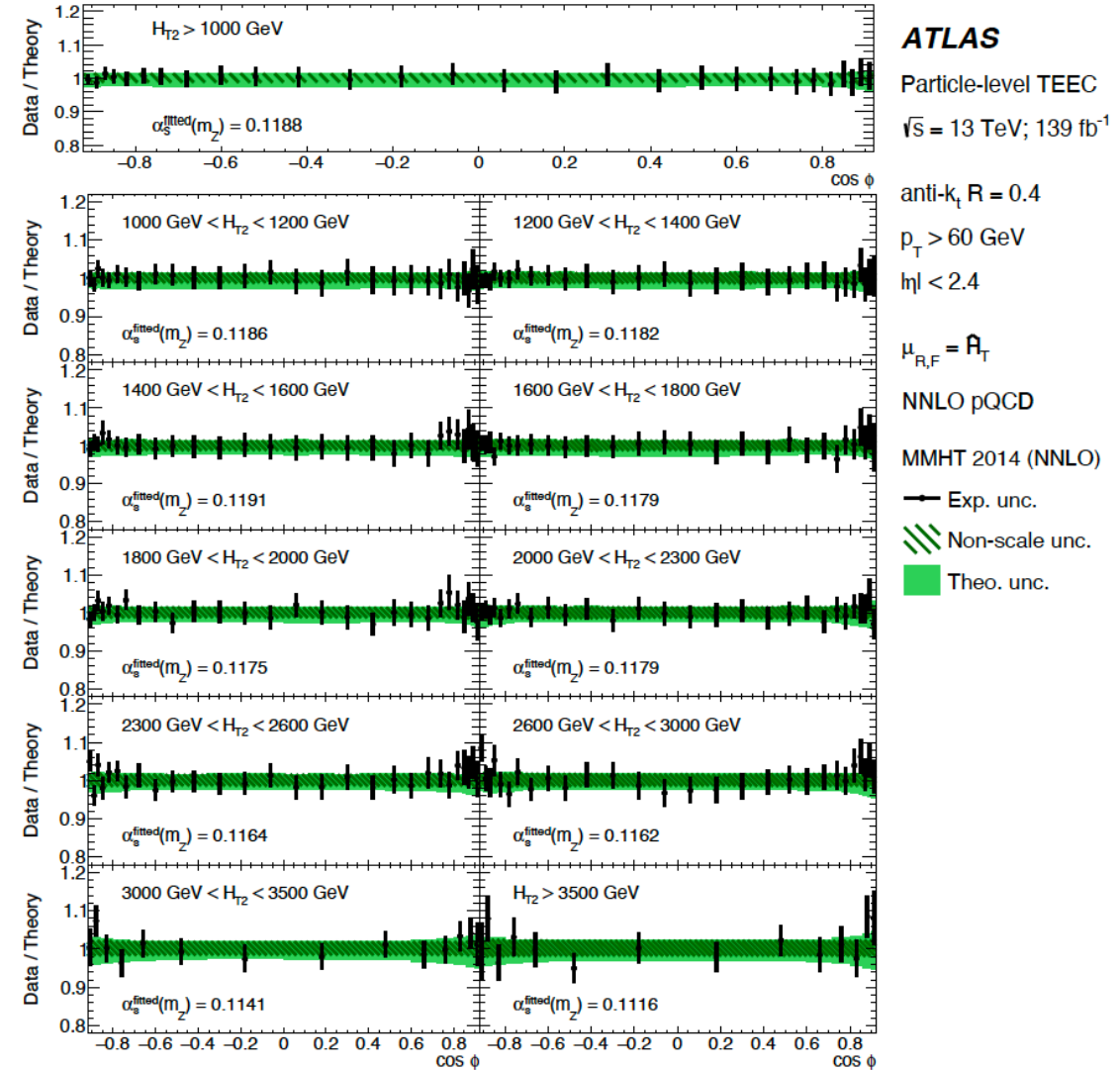
ATEEC:  $\alpha_s(m_Z) = 0.1185 \pm 0.0009$  (exp.)  $^{+0.0025}_{-0.0012}$  (theo.)

Correlation  $\rho = 0.86$

- Running of  $\alpha_s$  checked up to  $Q = 2$  TeV : no hint of new colored fermions !



## TEEC post-fit with MMHT 2014 (NNLO)



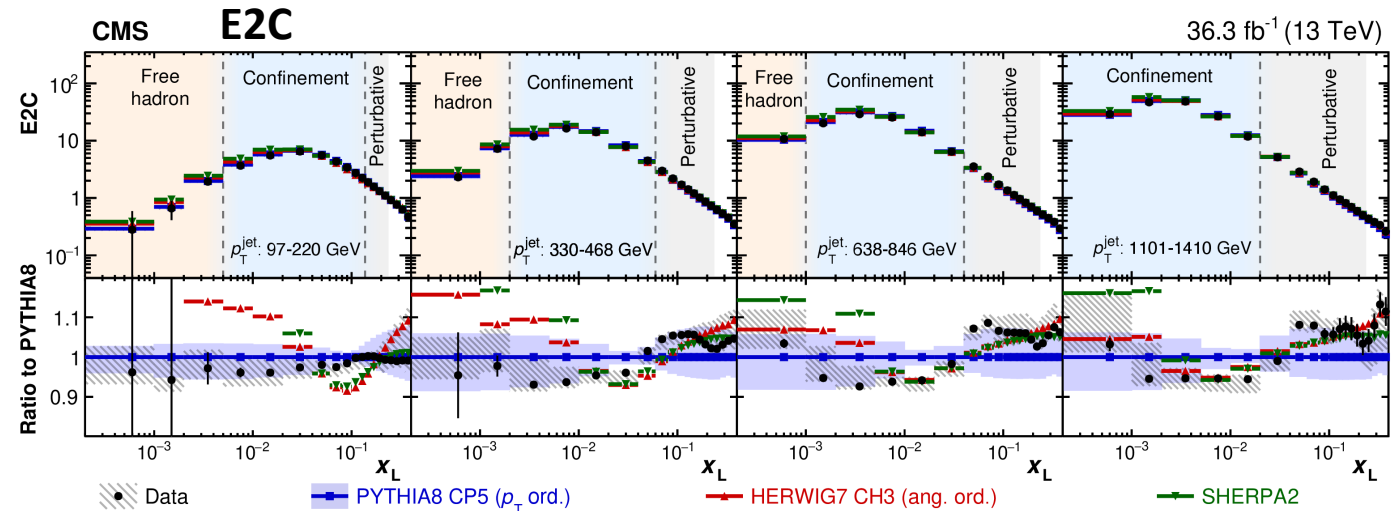
# CMS: $\alpha_s$ from Jet substructure: E3C/E2C

[arXiv:2402.13864](https://arxiv.org/abs/2402.13864)

- Two- and three-point energy correlations for particles inside jets
- Measurement made on dijets at 13 TeV using charged and neutral energy flow objects
- Jet selection: Anti-kT  $R = 0.4$ ,  $|\eta| < 2.1$ ,  $\Delta\Phi > 2.1$ ,  $97 < p_T < 1784 \text{ GeV}$
- Large- $x_L$ : perturbative region (partons), can be calculated at NLL or approximated NNLL (aNNLL)

$$E2C = \frac{d\sigma^{[2]}}{dx_L} = \sum_{i,j} \int d\sigma \frac{E_i E_j}{E^2} \delta(x_L - \Delta R_{i,j}),$$

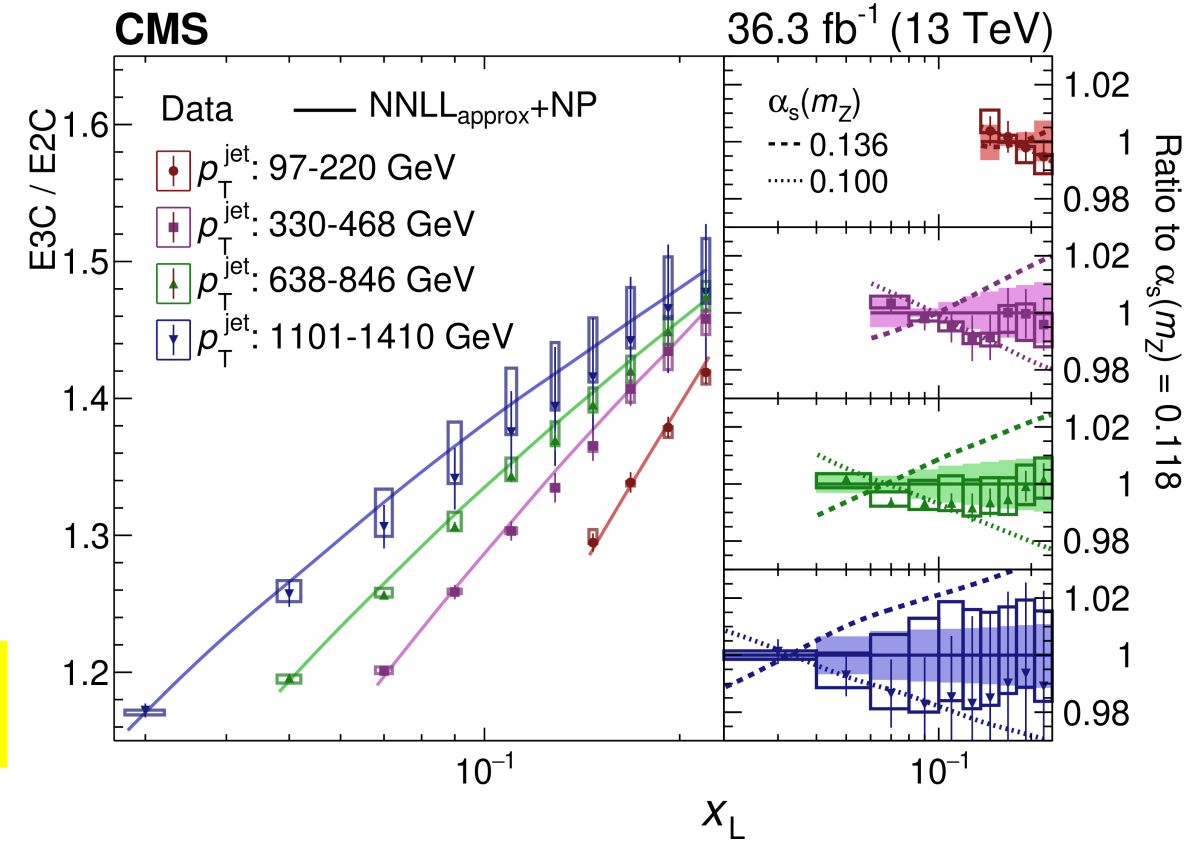
$$E3C = \frac{d\sigma^{[3]}}{dx_L} = \sum_{i,j,k} \int d\sigma \frac{E_i E_j E_k}{E^3} \delta(x_L - \max(\Delta R_{i,j}, \Delta R_{i,k}, \Delta R_{j,k})),$$



# CMS E3C/E2C : results

- The ratio E3C/E2C has a reduced sensitivity to PDF and to NP effects: NP correction uncertainty reduced to 3% on E3C/E2C ratio
- The slope of E3C/E2C is approximately proportional to  $\alpha_s(Q^2)$   
-> good observable for  $\alpha_s$  measurement
- $\chi^2$  fit to E3C/E2C using approximated NNLL gives  
- Theoretical uncertainty mainly from scale uncertainty
- $\alpha_s(m_Z) = 0.1229^{+0.0040}_{-0.0050}$

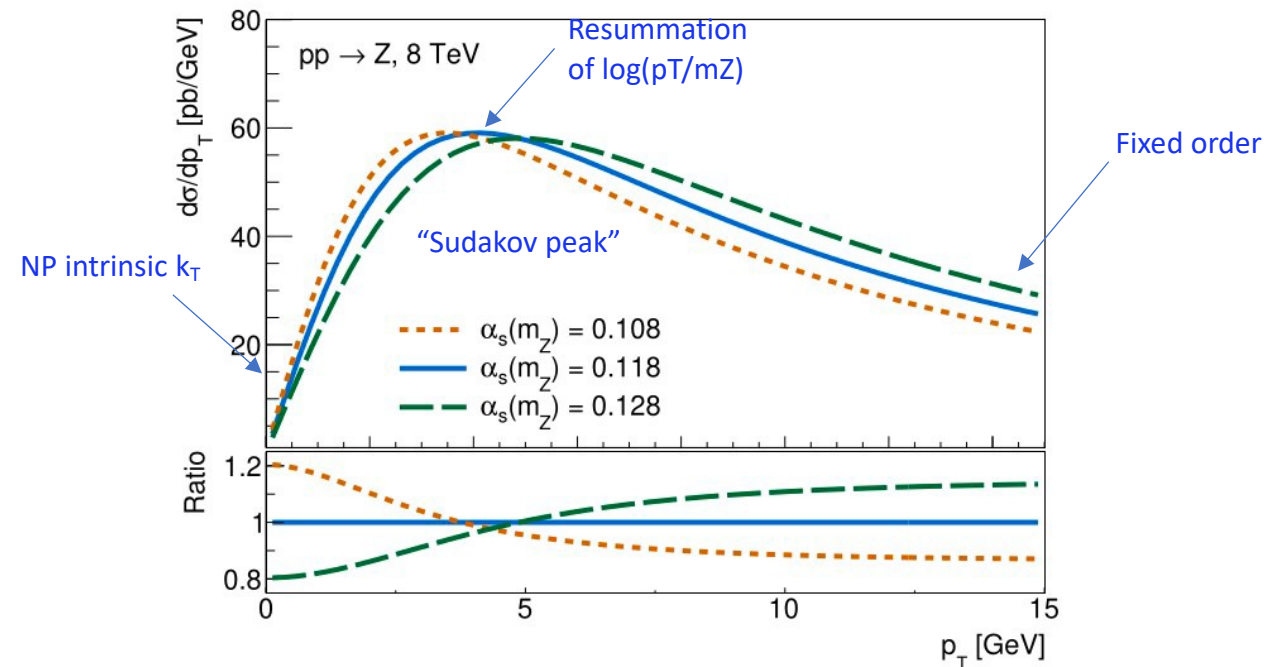
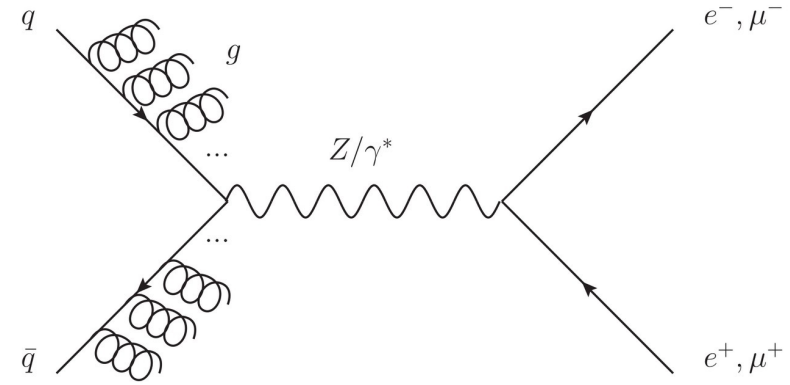
$$\alpha_s(m_Z) = 0.1229^{+0.0014}_{-0.0012} \text{ (stat.) } ^{+0.0030}_{-0.0033} \text{ (theo.) } ^{+0.0023}_{-0.0036} \text{ (exp.)}$$



# ATLAS: $\alpha_s$ from Z transverse momentum

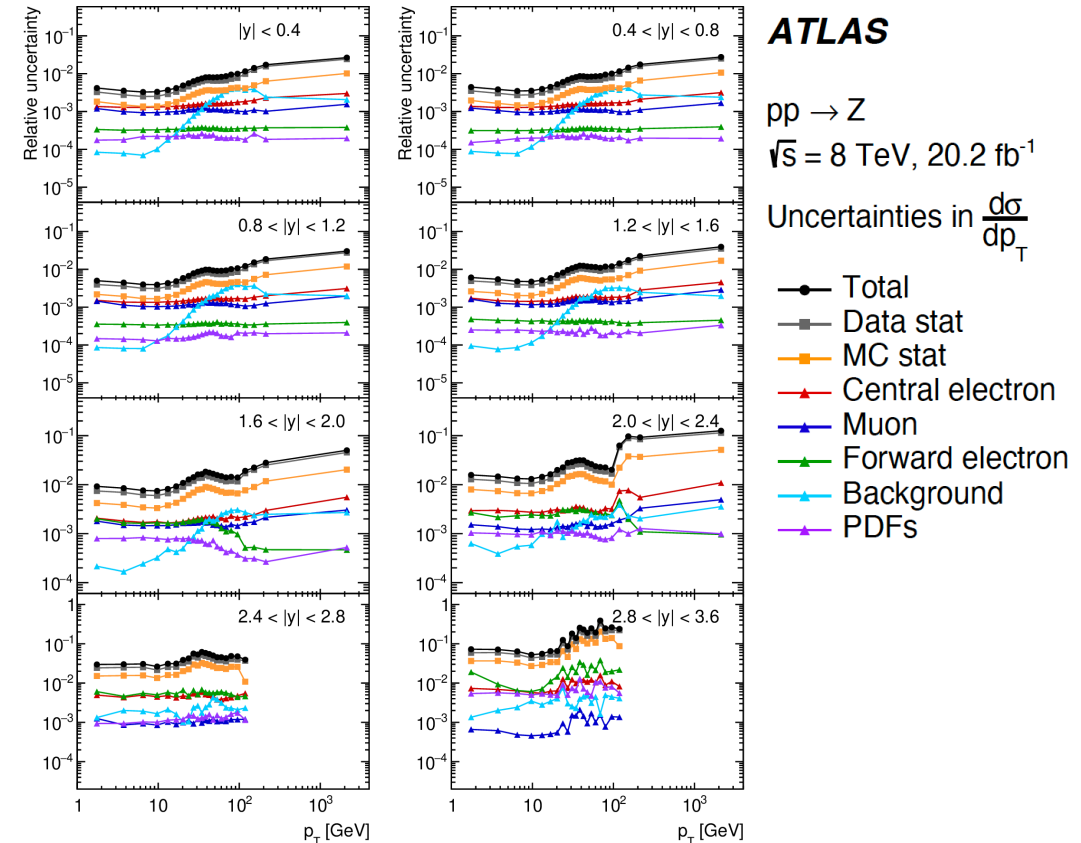
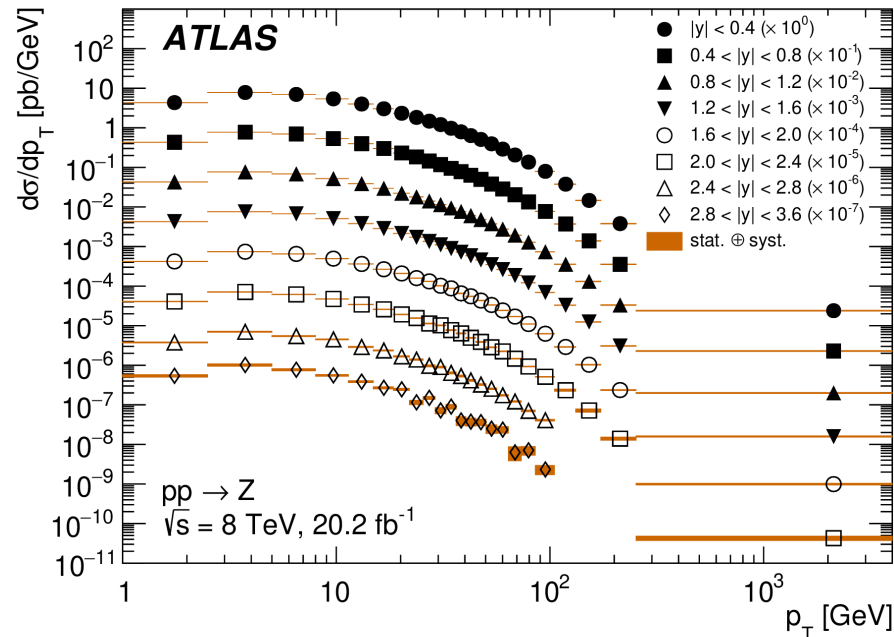
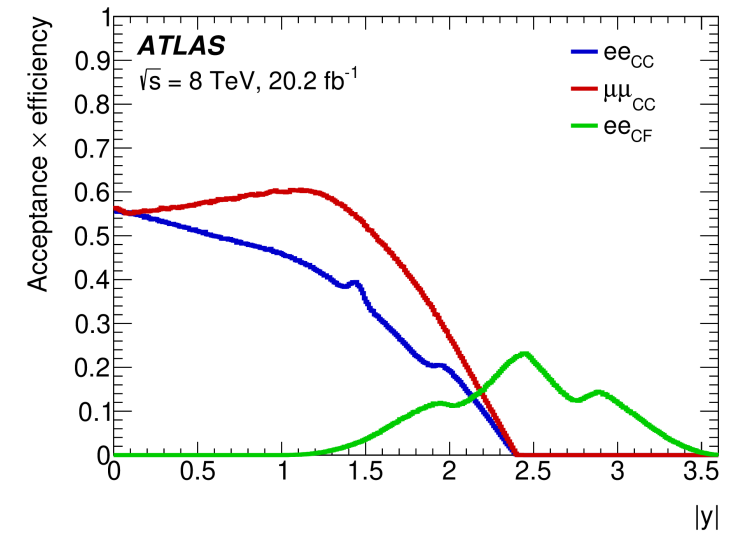
[arXiv:2309.12986](https://arxiv.org/abs/2309.12986)

- Z bosons produced in hadron collisions recoil against QCD initial-state radiation
- Z boson  $p_T$  distribution can be measured with small exp. uncertainties
- Precise resummed calculation now available up to approximated N<sup>4</sup>LL (N<sup>4</sup>LLa) matched to N3LO fixed order



# ATLAS Z $p_T$ measurement

- Using 8 TeV data ([Eur. Phys. J. C 84 \(2024\) 315](#))
- Measurement in 3 channels : central  $\mu\mu$  and ee, central-forward ee
- Decomposition in angular polynomials used to pass from fiducial measurement to full lepton phase-space
- Uncertainties generally below 1%, stat. dominated !





# ATLAS: $Zp_T \alpha_S$ extraction

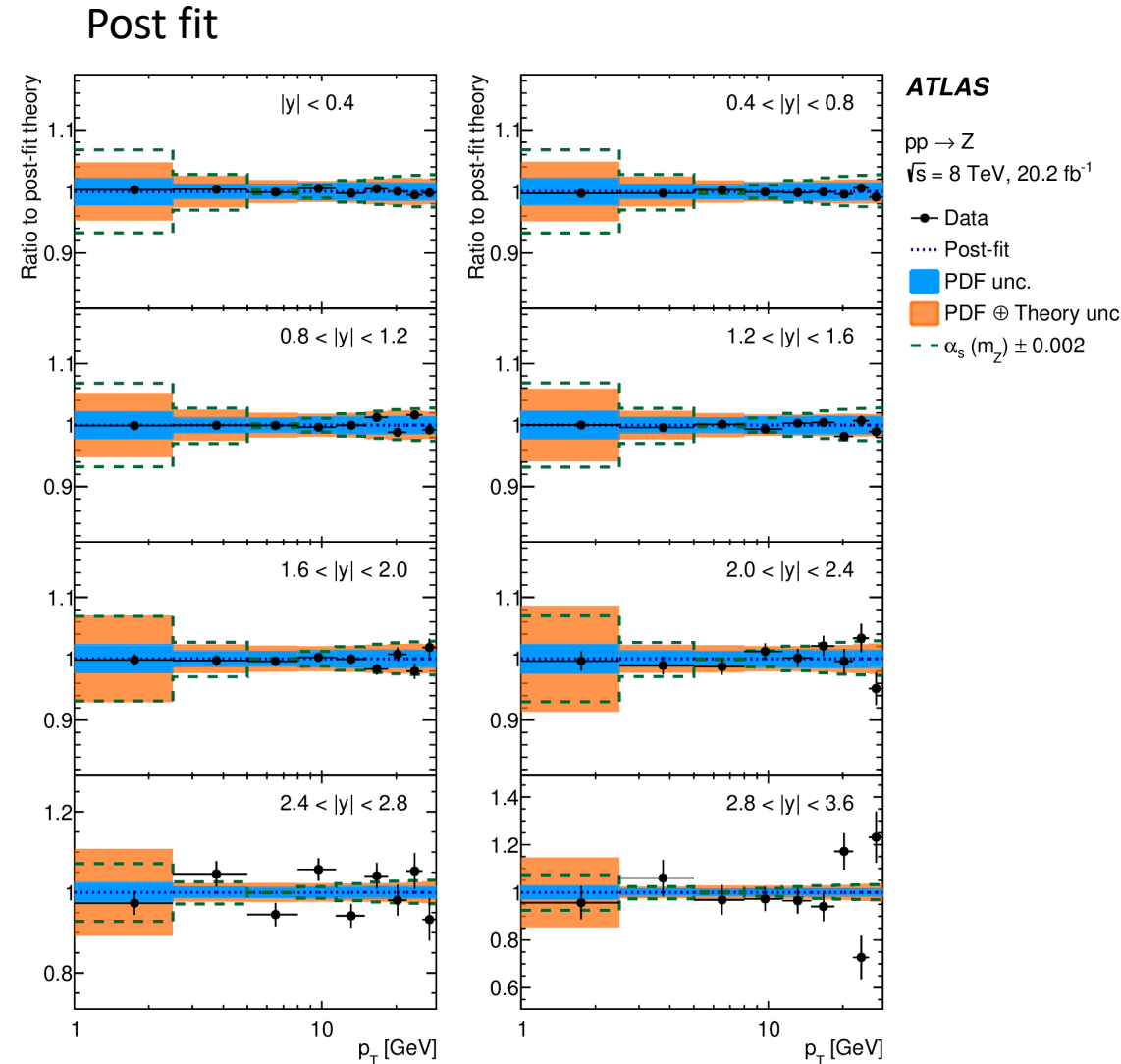
- N<sup>4</sup>LLa matched to N3LO fixed order DY calculation (Dyturbo)
- MSTH20 PDF (only set available at N3LO)
- NP “intrinsic parton  $k_T$ ” modeled with gaussian parameters and extracted from the fit, impacts very low  $p_T$
- $\alpha_S$  extracted from fit to cross section in  $y, p_T$  bins.

exp. ( $\beta_{j,exp}$ ) and PDF ( $\beta_{k,th}$ ) uncertainties treated as nuisance parameters:

$$\chi^2(\beta_{exp}, \beta_{th}) =$$

$$\sum_{i=1}^{N_{data}} \frac{\left( \sigma_i^{exp} + \sum_j \Gamma_{ij}^{exp} \beta_{j,exp} - \sigma_i^{th} - \sum_k \Gamma_{ik}^{th} \beta_{k,th} \right)^2}{\Delta_i^2} + \sum_j \beta_{j,exp}^2 + \sum_k \beta_{k,th}^2.$$

$\sigma_i^{exp/th}$  = measured / theoretical cross section  
 $\Delta_i$  = uncorrelated uncertainty  
 $\Gamma_{ij}$  = effect of 1 s.d. variation of  $k$ th syst. on  $i$ th cross section



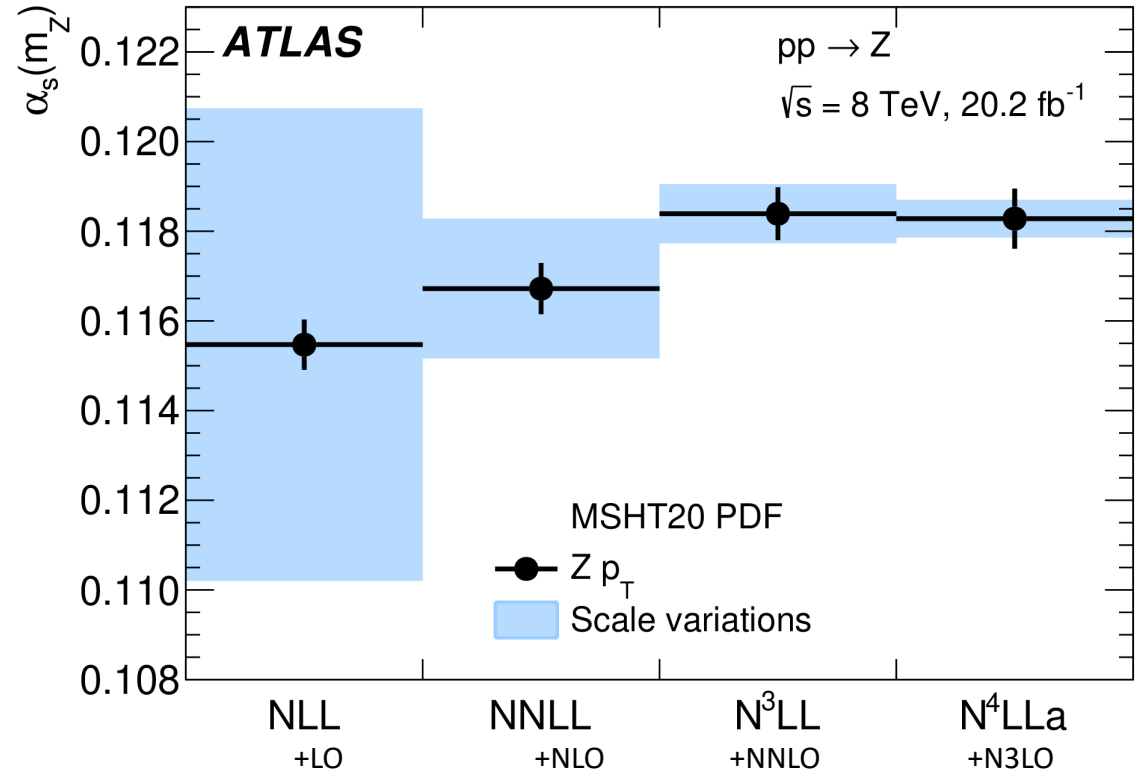
# ATLAS: $Z p_T$ results

Uncertainties ( $\times 10^{-3}$ )

Experimental uncertainty	$\pm 0.44$	
PDF uncertainty	$\pm 0.51$	
Scale variation uncertainties	$\pm 0.42$	
Matching to fixed order	0	-0.08
Non-perturbative model	+0.12	-0.20
Flavour model	+0.40	-0.29
QED ISR	$\pm 0.14$	
N <sup>4</sup> LL approximation	$\pm 0.04$	
<b>Total</b>	<b>+0.91</b>	<b>-0.88</b>

$$\alpha_s(m_Z) = 0.1183 \pm 0.0009$$

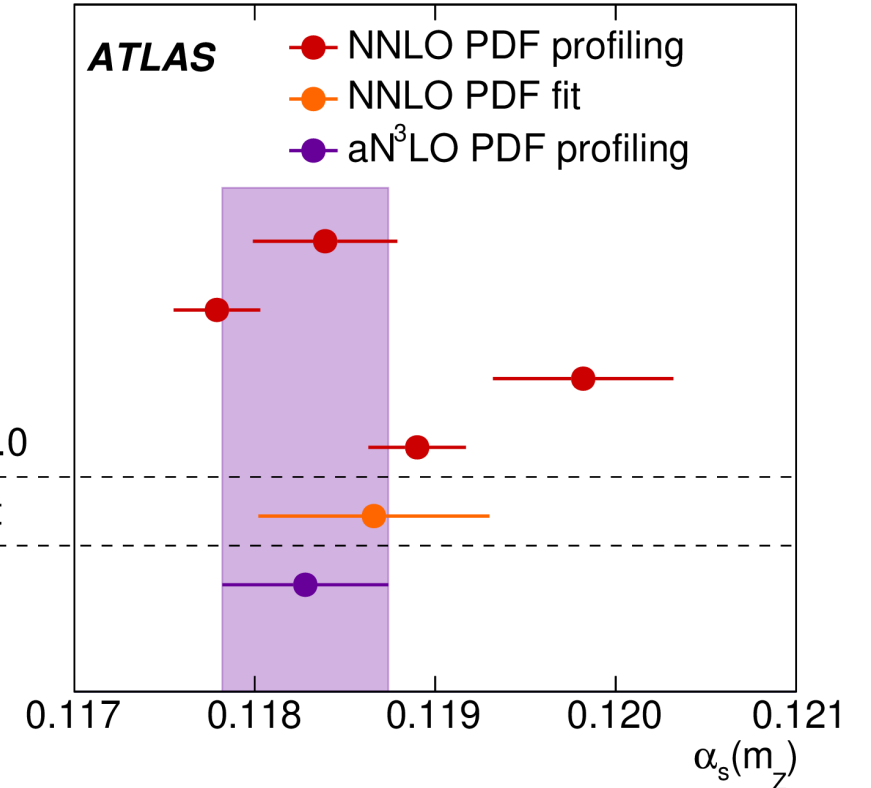
- Most precise collider measurement !
- Nice reduction of scale uncertainties observed by performing the fit at different pert. orders



# ATLAS: $Zp_T$ alternative $\alpha_s$ extractions

- Alternative fits using different PDFs available at NNLO: some tension between global PDF fits
- NNLO PDF +  $\alpha_s$  simultaneous determination from fit of  $Zp_T$  and HERA data consistent with aN3LO extraction
- The PDF +  $\alpha_s$  fit is probably the cleanest way to extract  $\alpha_s$  : only clean processes, real fit rather than nuisance parameter (linearized) approach, to be done at N3LO !

NNLO MSHT20  
NNLO NNPDF4.0  
NNLO CT18A  
NNLO HERAPDF2.0  
HERA+Z  $p_T$  PDF fit  
aN<sup>3</sup>LO MSHT20



# Summary and conclusions

New extractions of  $\alpha_S(m_Z)$  from ATLAS and CMS :

- From **Inclusive and di-jet cross sections** with simultaneous extraction of PDFs, fitting HERA data:

$$\alpha_S(m_Z) = 0.1166 \pm 0.0016 \text{ (CMS incl. jets, NNLO)}$$

$$\alpha_S(m_Z) = 0.1179 \pm 0.0019 \text{ (CMS dijets, NNLO)}$$

- From **multi-jet correlation observables sensitive to 3rd jet emission**

$$\alpha_S(m_Z) = 0.1177^{+0.0117}_{-0.0074} \text{ (CMS } R_{\Delta\phi}, \text{ NLO)}$$

$$\alpha_S(m_Z) = 0.1185^{+0.0027}_{-0.0012} \text{ (ATLAS ATEEC, NNLO)}$$

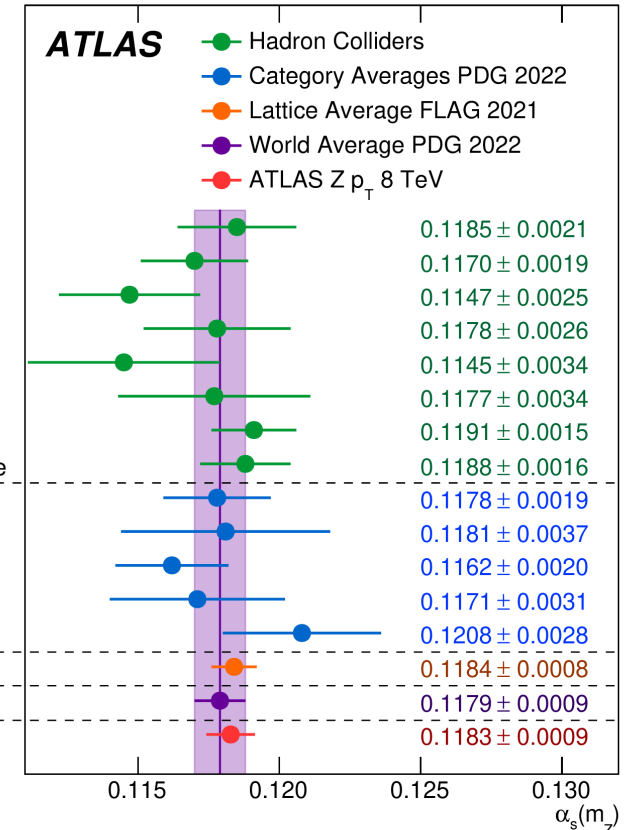
- From **jet substructure**

$$\alpha_S(m_Z) = 0.1229^{+0.0040}_{-0.0070} \text{ (CMS E3C/E2C, NNLLa)}$$

- From **Z pT** :

$$\alpha_S(m_Z) = 0.1183 \pm 0.0009 \text{ (ATLAS, N4LLa+N3LO)}$$

ATLAS ATEEC	0.1185 ± 0.0021
CMS jets	0.1170 ± 0.0019
H1 jets	0.1147 ± 0.0025
HERA jets	0.1178 ± 0.0026
CMS t̄t inclusive	0.1145 ± 0.0034
Tevatron+LHC t̄t inclusive	0.1177 ± 0.0034
CDF Z p <sub>T</sub>	0.1191 ± 0.0015
Tevatron+LHC W, Z inclusive	0.1188 ± 0.0016
τ decays and low Q <sup>2</sup>	0.1178 ± 0.0019
Q $\bar{Q}$ bound states	0.1181 ± 0.0037
PDF fits	0.1162 ± 0.0020
e <sup>+</sup> e <sup>-</sup> jets and shapes	0.1171 ± 0.0031
Electroweak fit	0.1208 ± 0.0028
Lattice	0.1184 ± 0.0008
World average	0.1179 ± 0.0009
ATLAS Z p <sub>T</sub> 8 TeV	0.1183 ± 0.0009



Summary plot with selection of most precise pp measurements

# BACKUP SLIDES

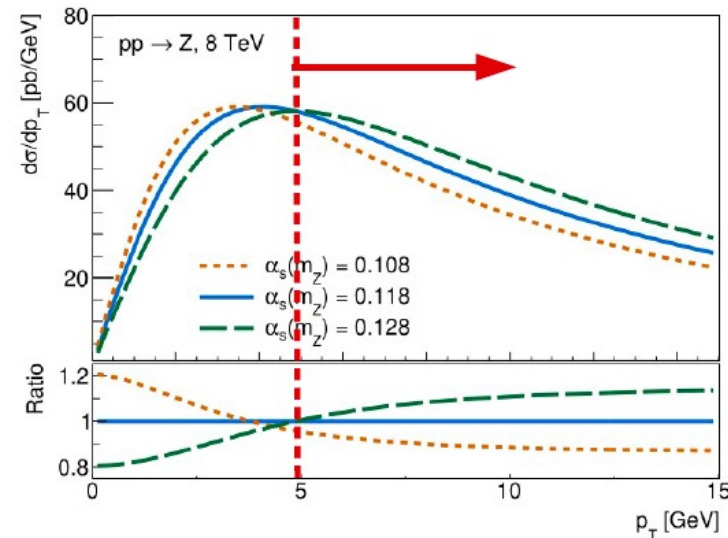
# Non perturbative QCD model

- The NP model is characterized by a non-perturbative Sudakov form factor and a prescription for regularizing the Landau pole of the  $\alpha_s$  running

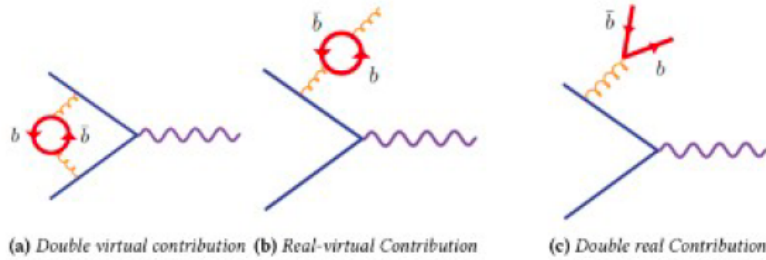
$$S_{\text{NP}}(b) = \exp \left[ -g_j(b) - g_K(b) \log \frac{m_{\ell\ell}^2}{Q_0^2} \right] \quad b_\star^2 = \frac{b^2}{1 + b^2/b_{\text{lim}}^2}$$

- The non perturbative model includes a total of 6 parameters which are either fitted to the data or varied to assess an uncertainty

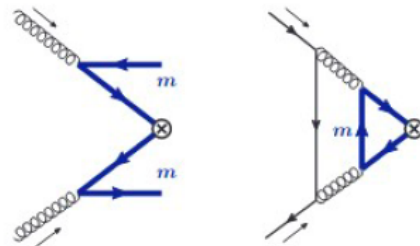
- Fits excluding the region 0-5 GeV yields  $\alpha_s(m_Z)$  with a spread of  $\pm 0.0002$ , and fit uncertainty increased from 0.00067 to 0.00071
- Correlation between  $\alpha_s(m_Z)$  and  $g$  largely reduced
- Demonstrates independence of the result from NP effects and good modelling of NP effects



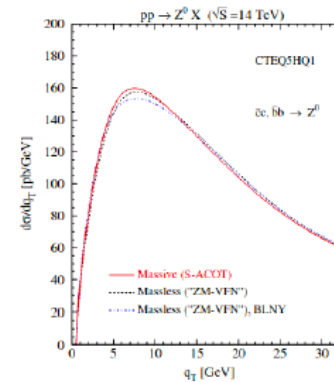
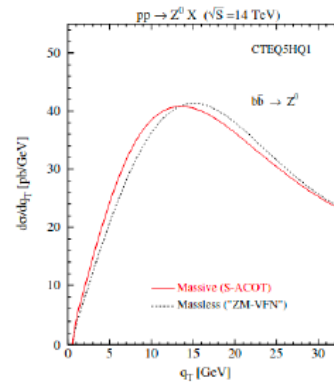
# Heavy flavour mass effects



- Secondary or final state HF mass effects: softer  $p_T$  spectrum, estimated  $\delta\alpha_s$  of the same order of the VFN evolution, with opposite sign
- Effect covered by flavour model uncertainties
- If both VFN PDFs and HFFS included, their effect would largely cancel



- 2% bb
- 6% cc



- Primary or initial state HF mass effects: softer  $p_T$  spectrum in the  $bb \rightarrow Z$ ,  $cc \rightarrow Z$  channels
- Expected to be negligible for  $\alpha_s$  (but important for the  $W/Z$   $p_T$  ratio, and for  $m_W$ )

# Orders

	Virtual		Sudakov			Real
	H[ $\delta(1-z)$ ]	H[z]	Cusp AD	Collinear, RAD	PDF	CT,V+jet
LL+LO	1	1	1-loop	0	const.	1
NLL+NLO	$\alpha_s$	C1	2-loop	1-loop	LO	$\alpha_s$
NLL*+NLO	$\alpha_s$	C1	2-loop	1-loop	NLO	$\alpha_s$
NNLL+NNLO	$\alpha_s^2$	C2	3-loop	2-loop	NLO	$\alpha_s^2$
N3LL+N3LO	$\alpha_s^3$	C3	4-loop	3-loop	NNLO	$\alpha_s^3$
N4LLa+N3LO	$\alpha_s^4$	C4	5-loop	4-loop	N3LO	$\alpha_s^4$

Known analytically

Approximated numerically

Unknown, estimated with series acceleration

Not included



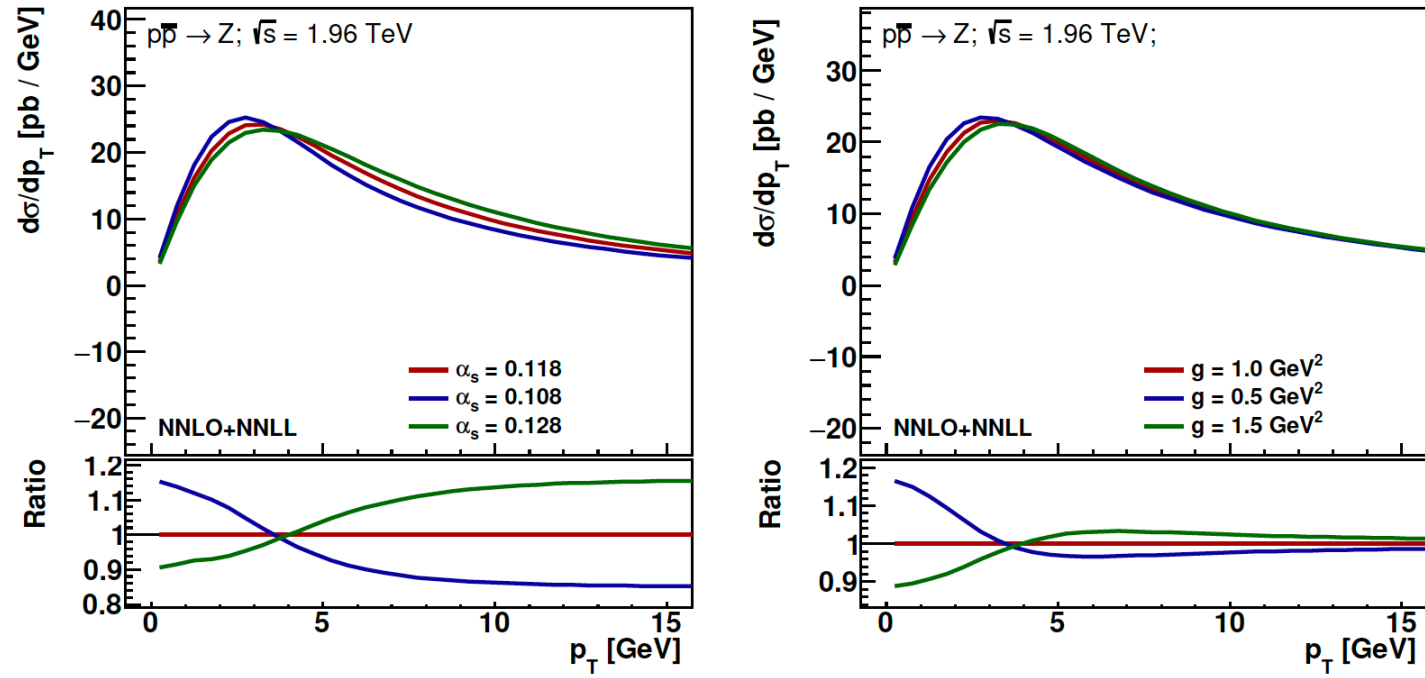
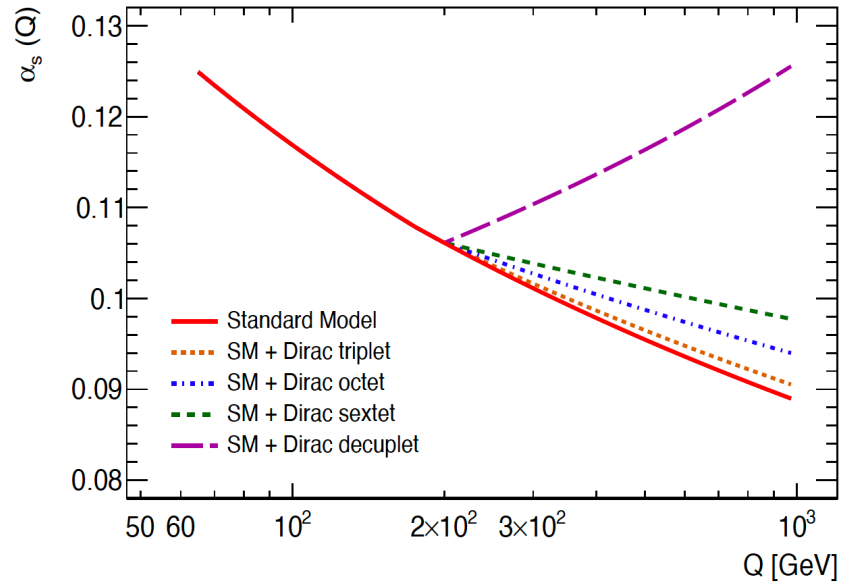


FIG. 32: Sensitivity of the Z-boson transverse-momentum distribution to  $\alpha_S(m_Z^2)$  (left) and to the nonperturbative QCD parameter  $g$  (right).



The next-to-leading order solutions to the renormalization group equation including a new fermion with mass  $m_X = 200$  GeV transforming under representations of dimension 3, 8, 6 and 10, respectively.

J. Llorente and B. P. Nachman, [Nucl. Phys. B 936 \(2018\) 106](#), [arXiv:1807.00894 \[hep-ph\]](#).

$$\frac{\partial \alpha_s}{\partial \log Q^2} = \beta(\alpha_s) = -\alpha_s^2(\beta_0 + \beta_1 \alpha_s + \mathcal{O}(\alpha_s^2))$$

$$\beta_0 = \frac{1}{4\pi} \left( 11 - \frac{2}{3} n_f \right); \quad \beta_1 = \frac{1}{(4\pi)^2} \left( 102 - \frac{38}{3} n_f \right)$$

