

Inclusive photon studies at ATLAS



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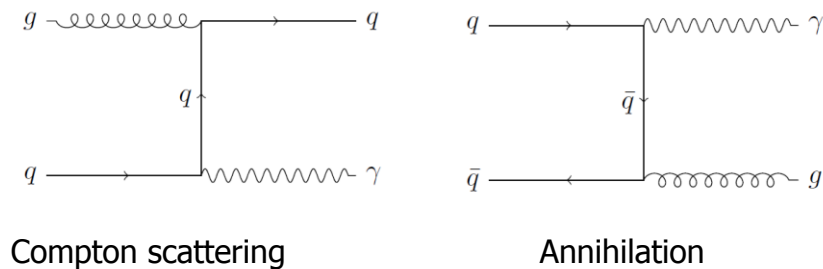
Content

- ❑ Role of photons at hadron colliders, physics motivations and challenges
- ❑ Brief overview of the most relevant ATLAS detectors in measuring photons
- ❑ Observation of an inclusive photon signal in first data
 - ❑ Event selection
 - ❑ Photon reconstruction and identification
 - ❑ Photon isolation
 - ❑ Photon purity measurement
- ❑ Towards a photon cross section measurement
- ❑ Conclusions

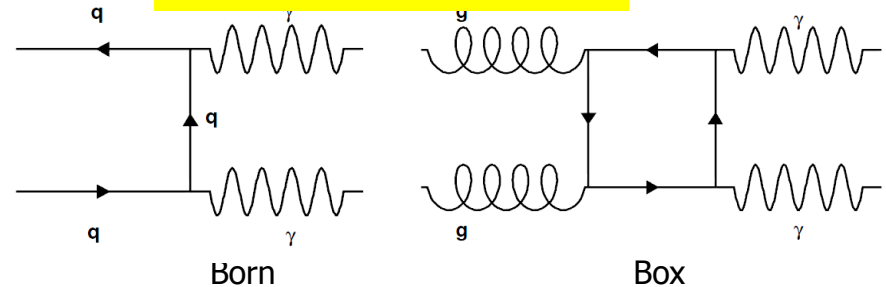
Prompt photons at Hadron Colliders

QCD is the dominant prompt photon production mechanism :

Single photon production



Diphoton production

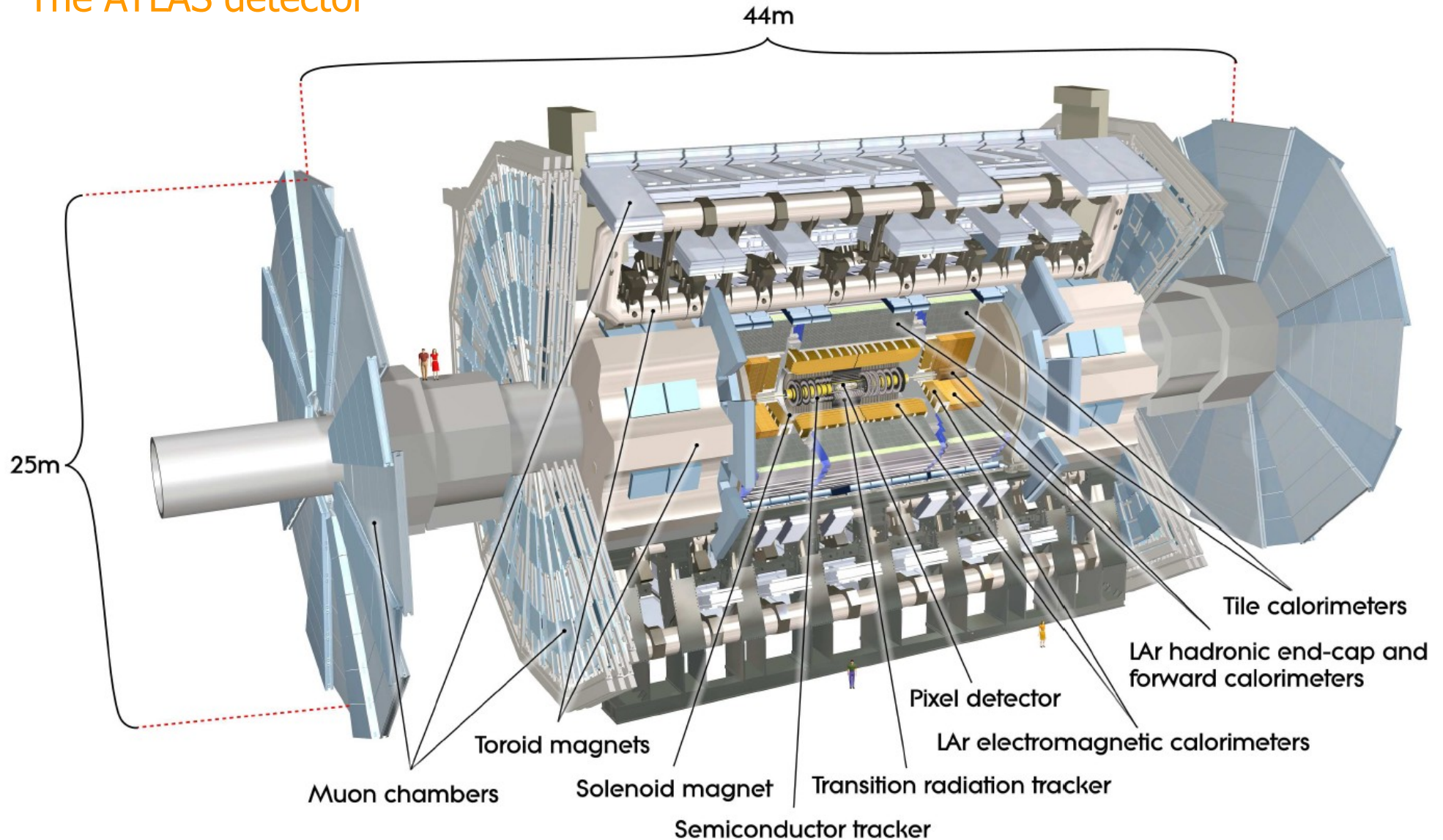


- ❑ Single photon measurements provide a test of the pQCD predictions without jets. Cross section $\sim 0.3 \mu\text{b}$ above 15 GeV : already accessible with $< 1\text{pb}^{-1}$
 - ❑ qg ('Compton') dominant contribution : probe the gluon content of the proton.
 - ❑ A photon is a 'nice' object for jet/MET calibration purpose
- ❑ Diphoton : test of perturbative QCD in various ranges of $M_{\gamma\gamma}$, $P_{T\gamma\gamma}$ and $\Delta\phi$ sensitive to the various contributions of the different amplitudes. Cross section $\sim 0.1 \text{ nb}$ above 13 GeV : already accessible with 2010 statistic ($\sim 50 \text{ pb}^{-1}$).
- ❑ Higgs, new physics might be hidden in the (di)photon channels (inclusive or exclusive):
 - ❑ $H \rightarrow \gamma\gamma$, observe or exclude gravitons decaying into a 2 photons pair? UED ?
 - ❑ Exclude decays of neutralinos?

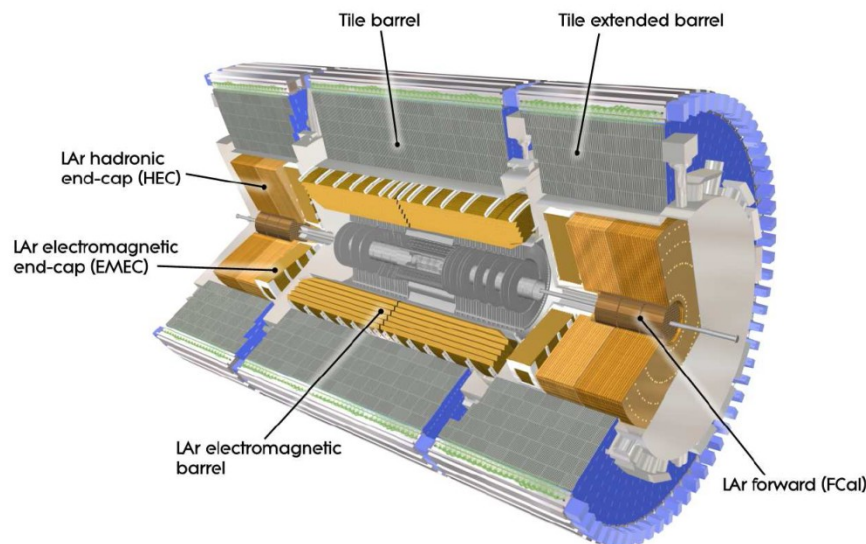
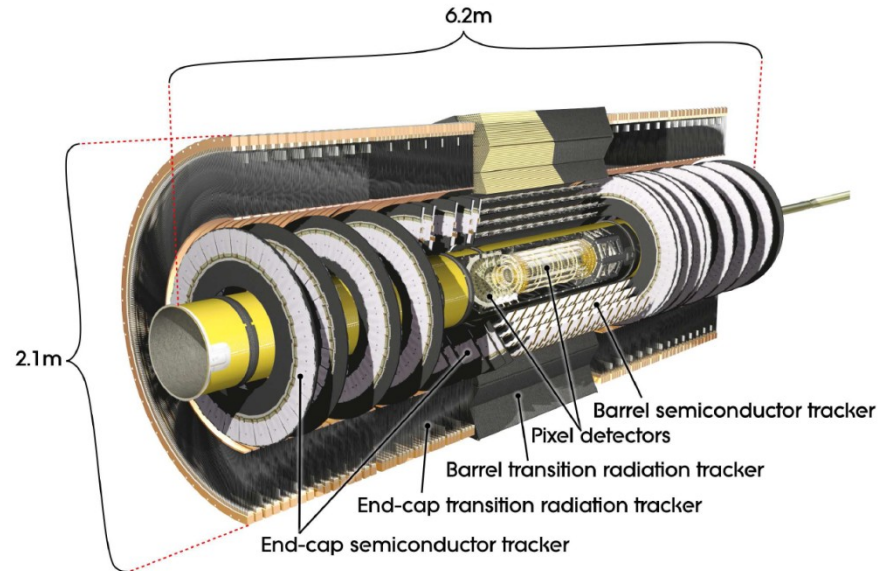
The challenges in photon physics :

- ❑ QCD dijet production cross section is order of magnitudes larger than the signal : excellent jet rejection ($\sim 10^3$ - 10^4) capability of the detector is required to extract the signal over the background
- ❑ In general don't want to trust too much on the MC information and try (as much as possible) data driven techniques to estimate the photon yields
- ❑ No clean source of photons (no decays like $Z \rightarrow e\bar{e}$ unfortunately) to be used to check photon efficiency using some tag and probe technique

The ATLAS detector



Main subsystems



Inner Detector (ID) in 2 T solenoidal B-field

- ❑ Pixel: 3 layers(b)+2x3 disks(e) $\sigma_{r\phi} \sim 10\mu\text{m}, \sigma_z \sim 115\mu\text{m}$
- ❑ SCT: 4 layers(b)+2x9 disks(e) $\sigma_{r\phi} \sim 17\mu\text{m}, \sigma_z \sim 580\mu\text{m}$
- ❑ TRT: 73 layers (b) + 2 x 160 layers (e) $\sigma_{r\phi} \sim 130\mu\text{m}$ (b)

Liquid Argon - Lead sampling calorimeter with an 'accordion' geometry :

- ❑ 3 longitudinal layers

with cell of $\Delta\eta \times \Delta\phi$:

- ❑ $(0.003-0.006) \times 0.1$ (1st layer)

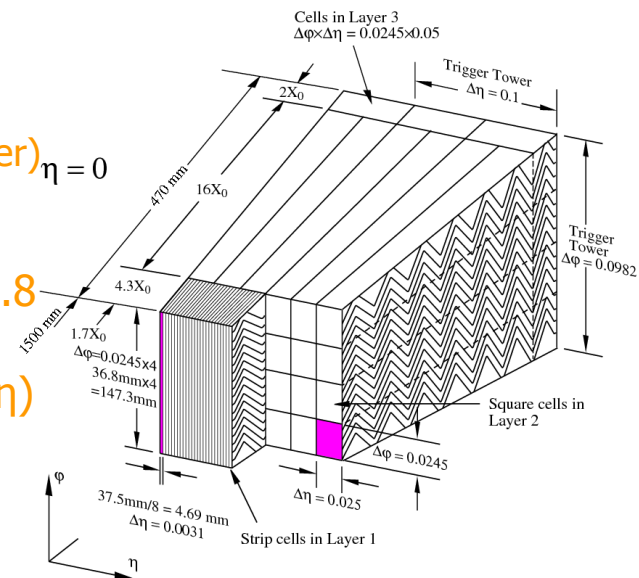
- ❑ 0.025×0.025 (2nd layer),

- ❑ 0.050×0.025 (3rd layer)

- ❑ Presampler for $|\eta| < 1.8$

$\Delta\eta \times \Delta\phi \sim 0.025 \times 0.1$

- ❑ $\sigma(E)/E = (10-17\%) (\eta) / \sqrt{E} \text{ (GeV)} \oplus 0.7\%$



Data and MC samples

Data

- ❑ Integrated luminosity : $15.8 \pm 1.7 \text{ nb}^{-1}$
- ❑ Trigger : L1 Calorimeter (hardware).
Look for energy deposition $E_T > 5 \text{ GeV}$ in a $\Delta\eta \times \Delta\phi = 0.2 \times 0.2$ window (trigger granularity : $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$)
- ❑ Data only from luminosity blocks with inner detector and EM calorimeter fully operational
- ❑ Primary vertex: require primary vertex consistent with the beam spot position
 - ❑ At least 3 tracks, associated to the primary vertex
- ❑ Total number of events : 2.27M events

Montecarlo

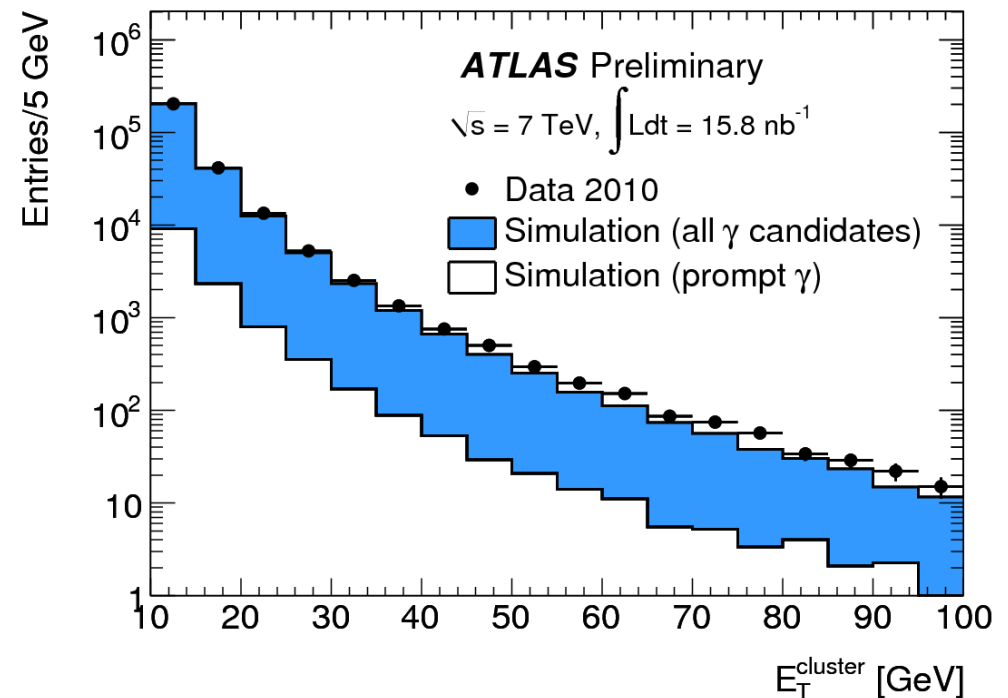
- ❑ PYTHIA (Herwig) with "ATLAS MC09 tune"
- ❑ Full simulation with GEANT4
- ❑ Full emulation of the trigger and the same L1 trigger requirement as data
- ❑ Signal sub-process: 'direct' part, $q\bar{q} \rightarrow \gamma q$ and $q\bar{q} \rightarrow \gamma g$, $p_T > 7 \text{ GeV}$ ckin hard scatt.
- ❑ Background processes :
 - ❑ non-diffractive minimum bias (MB)
 - ❑ All relevant QCD 2->2 sub-processes (QCD) $p_T > 15 \text{ GeV}$ ckin hard scattering
 - ❑ A filter mimicking L1 calorimeter trigger in event generation : $ET(\Delta\eta \times \Delta\phi < 0.18 \times 0.18) > ET(\text{threshold})$
 - ❑ $ET(\text{threshold}) = 6 \text{ GeV}$ for MB, 17 GeV for QCD

Photon reconstruction and preselection

- ❑ Seed by a cluster in EM calorimeter with 3x5 cells in 2nd layer exceeding 2.5 GeV
- ❑ Track-cluster matching :
 - ❑ No matched track : unconverted γ
 - ❑ Matched to track(s) from γ conversion in ID : converted γ . Single track conversions are also retained
 - ❑ Different cluster sizes for converted (3x7) and unconverted (3x5) photons
- ❑ Energy : determined with EM calorimeter
 - ❑ Energy calibration is optimized separately for converted and uncovered photons on Geant4 based detailed full detector simulations

Preselection :

- ❑ Require calibrated cluster $E_T > 10$ GeV
- ❑ Require pseudorapidity range covered by strips : $|\eta| < 1.37, 1.52 < |\eta| < 2.37$
- ❑ Require no overlap with non working cells/zones (5.5% inefficiency)
- ❑ 268992 γ candidates in $E_T > 10$ GeV



Data/MC comparison before photon identification using shower shapes :

- ❑ dominated by fake photons at this stage
- ❑ signal normalized to the data luminosity using Pythia LO cross section
- ❑ background scaled to match data - expected signal yield (~ 0.45 factor on absolute MC normalization)

Photon identification

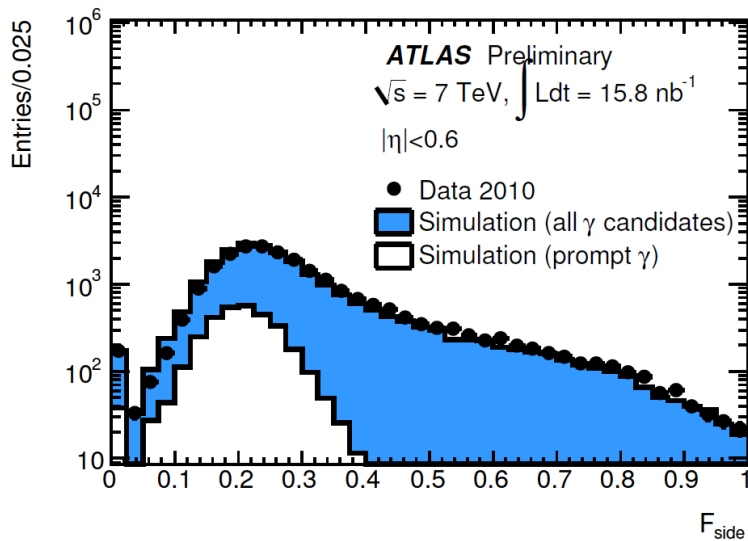
Simple cuts on shower shape variables (isEM) : 2 levels of quality are defined

❑ “loose” photon definition:

- ❑ leakage in the hadronic calorimeter
- ❑ second EM calorimeter sampling shower shapes

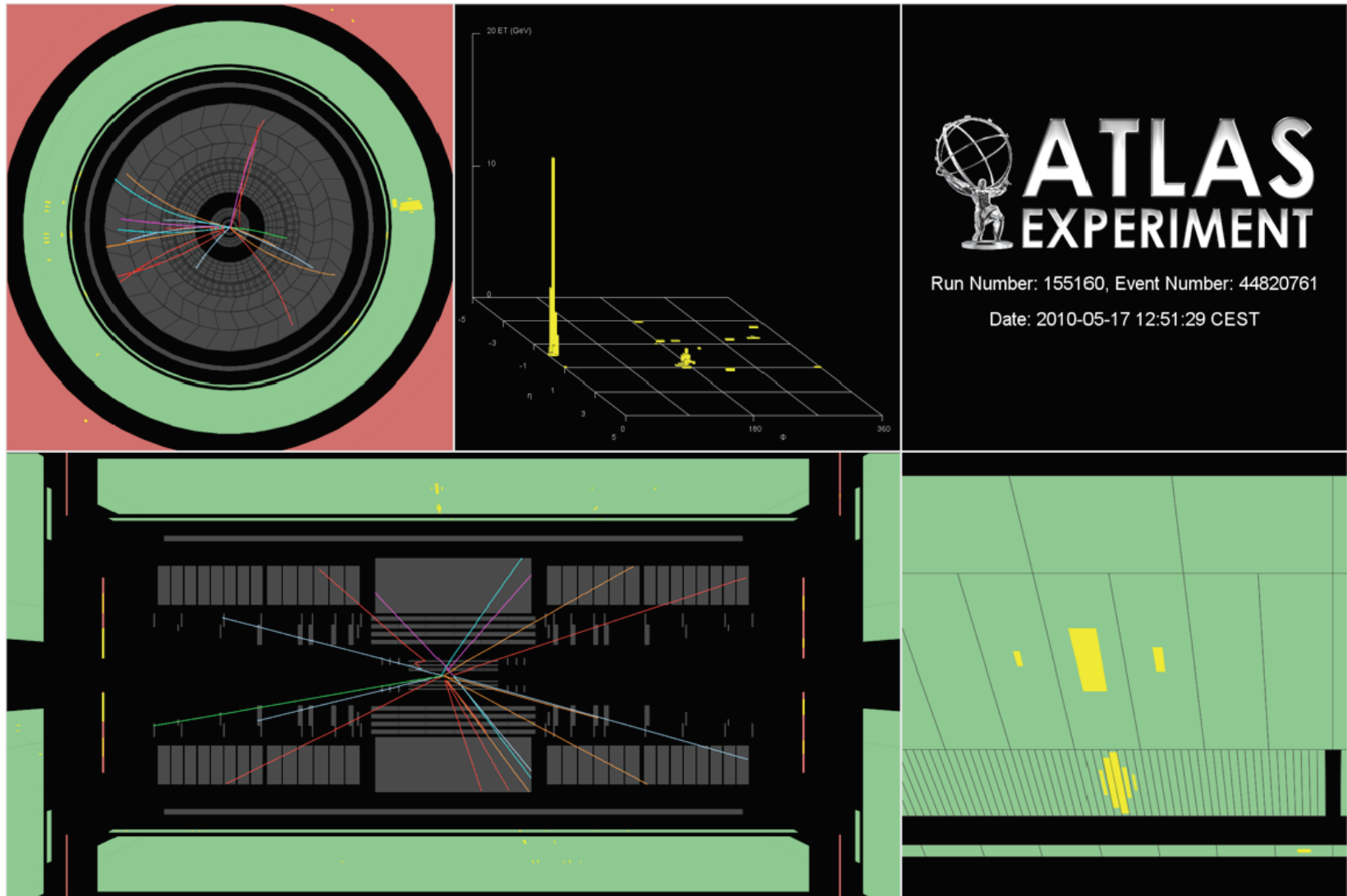
❑ “tight” photon definition :

- ❑ tighter cuts on the “loose” photon variables
- ❑ R_ϕ from 2nd sampling added
- ❑ Shower shapes cuts in the first sampling
- ❑ Different cuts for converted and unconverted photons

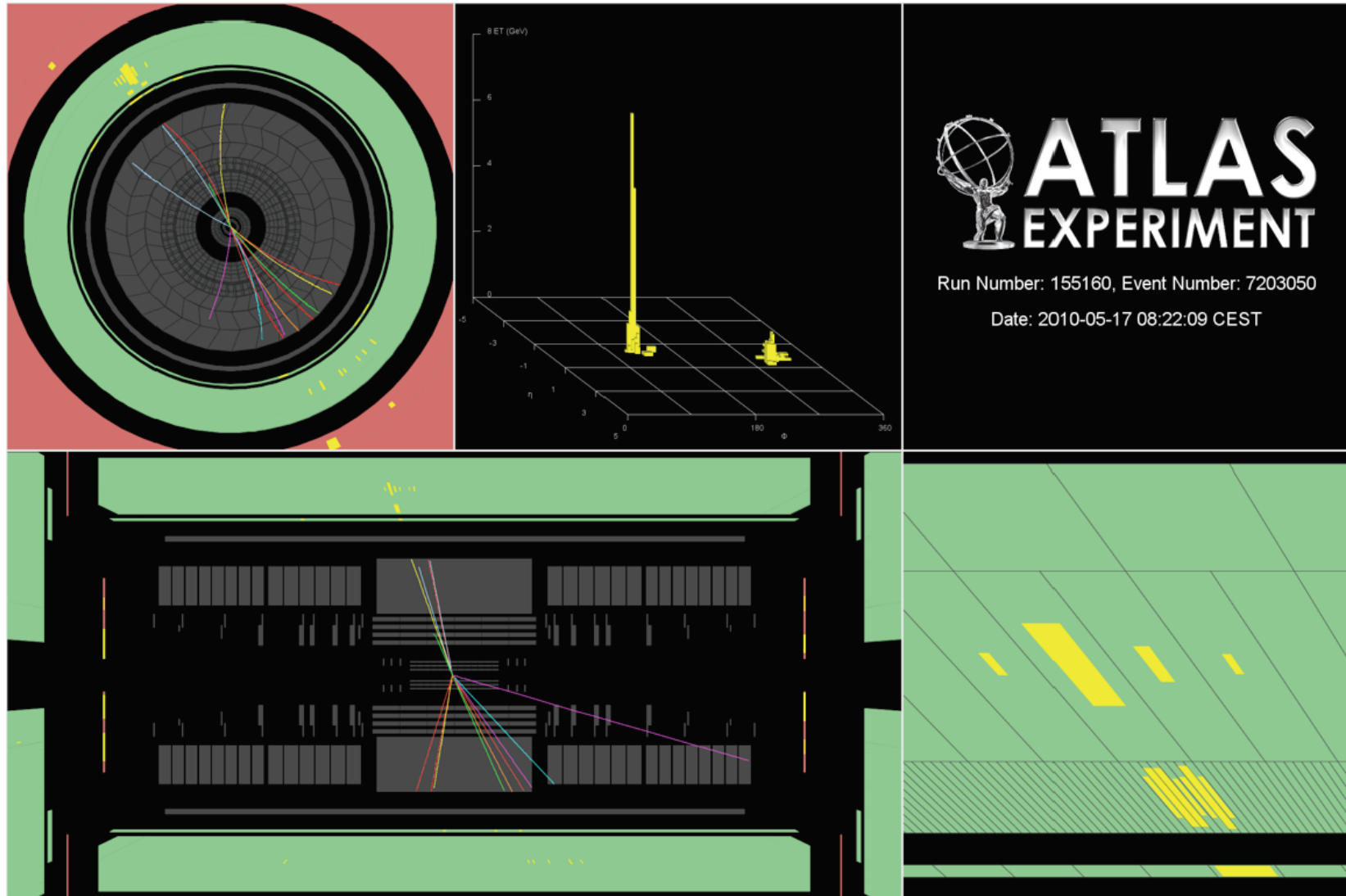


| Category | Description | Name | Loose | Tight |
|------------------|---|--------------------|-------|-------|
| Acceptance | $ \eta < 2.37$, $1.37 < \eta < 1.52$ excluded | — | | ✓ |
| Hadronic leakage | Ratio of E_T in the first sampling of the hadronic calorimeter to E_T of the EM cluster (used over the range $ \eta < 0.8$ and $ \eta > 1.37$) | R_{had_1} | ✓ | ✓ |
| | Ratio of E_T in all the hadronic calorimeter to E_T of the EM cluster (used over the range $ \eta > 0.8$ and $ \eta < 1.37$) | R_{had} | ✓ | ✓ |
| EM Middle layer | Ratio in η of cell energies in 3×7 versus 7×7 cells | R_η | ✓ | ✓ |
| | Lateral width of the shower | w_2 | ✓ | ✓ |
| | Ratio in ϕ of cell energies in 3×3 and 3×7 cells | R_ϕ | | ✓ |
| EM Strip layer | Shower width for three strips around maximum strip | w_{s3} | | ✓ |
| | Total lateral shower width | $w_{s\text{tot}}$ | | ✓ |
| | Fraction of energy outside core of three central strips but within seven strips | F_{side} | | ✓ |
| | Difference between the energy of the strip with the second largest energy deposit and the energy of the strip with the smallest energy deposit between the two leading strips | ΔE | | ✓ |
| | Ratio of the energy difference associated with the largest and second largest energy deposits over the sum of these energies | E_{ratio} | | ✓ |

A nice photon candidate



A nice fake photon candidate



Photon isolation

Isolation is necessary to get rid of the jet background and (to some extent) of the fragmentation contribution: the definition of the isolation prescription is a tricky business

❑ Calorimeter isolation

- ❑ Based on sum of energies in cells in cone $R < 0.4$ in η - ϕ around the photon, removing the cells in a 5×7 cluster

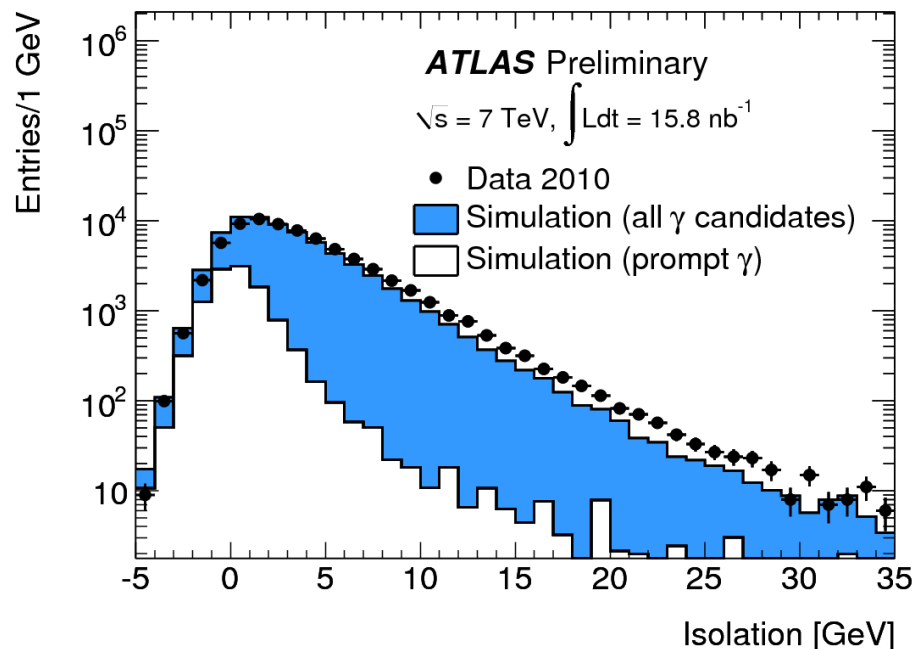
❑ Corrections for residual leakage of photon energy, using single photon MC samples

❑ Corrections for underlying event

- ❑ Using ambient energy density estimated with low- p_T jets, following M. Cacciari, G. P. Salam, S. Sapeta, "On the characterisation of the underlying event", JHEP 04 (2010) 65

❑ Signal region

- ❑ Require isolation < 3 GeV



Data/MC comparison before photon identification using shower shapes :

- ❑ dominated by fake photons

- ❑ background scaled to match data yield-expected signal

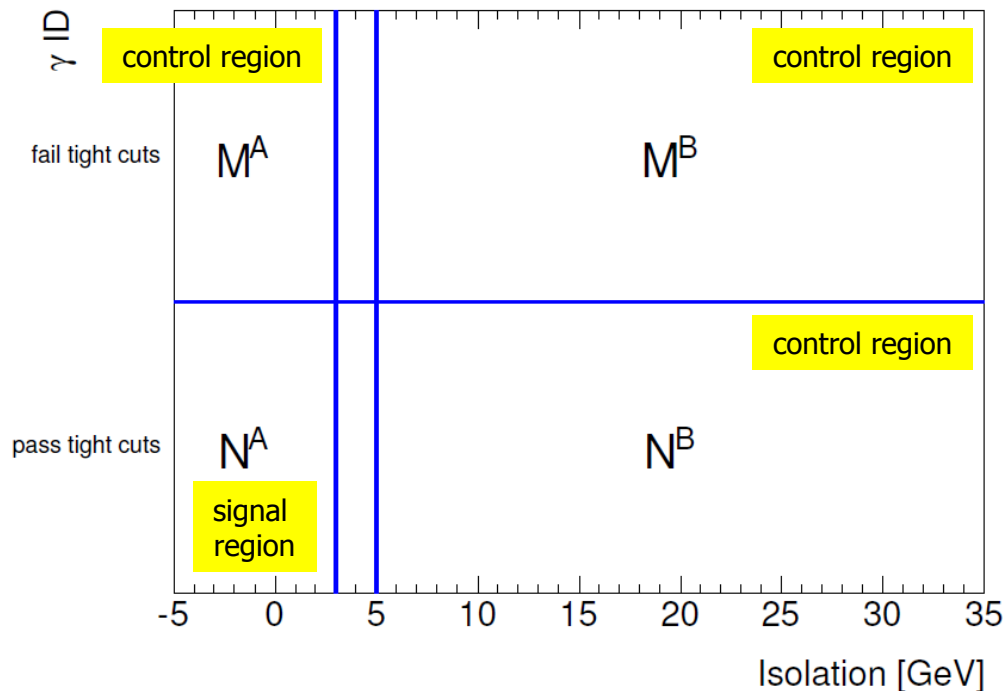
Signal extraction :

Data driven approach using a 2D-sidebands subtraction method: (tight-4 strips) variable on one axis and calorimetric isolation on the other. 2 assumptions

- ❑ No correlation between isolation and isEM for the background
- ❑ No signal in the control regions

$$N_{\text{sig}}^A = N^A - N^B \frac{M^A}{M^B}$$

$$P = 1 - \frac{N^B}{N^A} \frac{M^A}{M^B}$$



❑ Signal region (N^A):

- ❑ Calo isolation < 3 GeV; pass tight photon selection

❑ Bkg control regions:

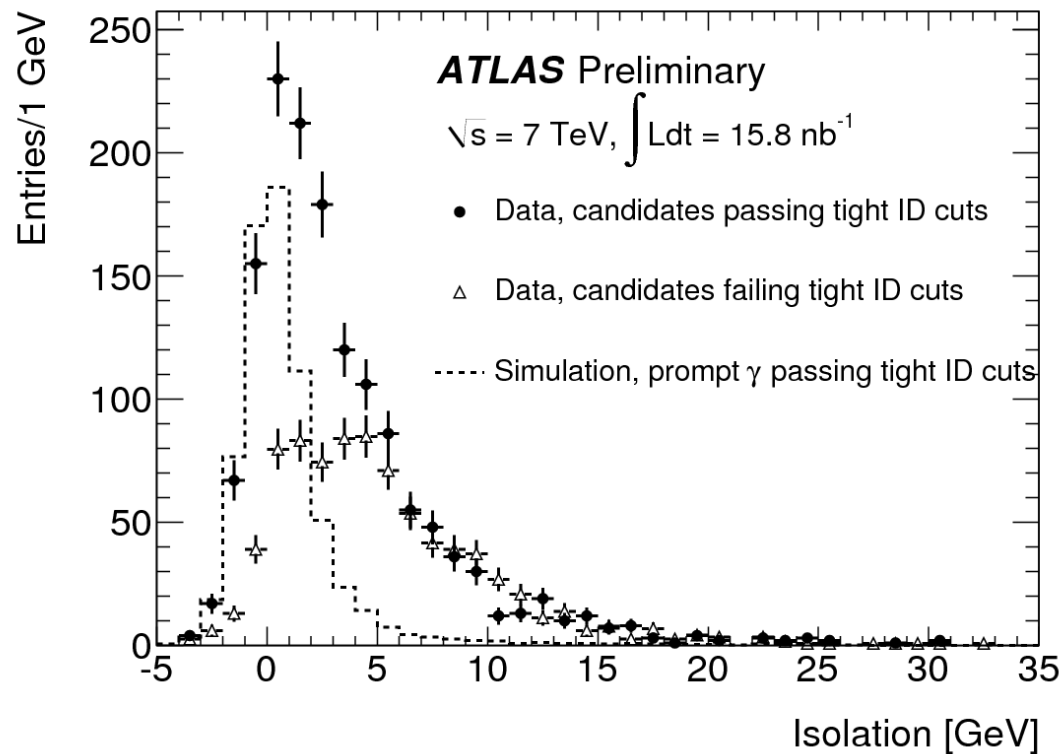
- ❑ non-isolated (N^B): Calo isolation ≥ 5 GeV, pass tight photon selection
- ❑ non-tight-ID (M^A): Calo isolation < 3 GeV, fail tight photon selection, pass tight photon selection after relaxing fracm, weta1, DeltaE, Eratio
- ❑ non-isolated and non-tight-ID (M^B): Calo isolation ≥ 5 GeV, fail tight photon selection, pass tight photon selection after relaxing fracm, weta1, DeltaE, Eratio

Evidence of direct photons in first data

Apply 2D-sideband technique to the photons candidates:

- A clear excess can be observed and is consistent with the expected shape for prompt photons from MC.

Isolation in tight ID pass/fail region



- Data candidates failing the tight ID cuts distribution normalized by the ratio N^B/M^B (same number of events in the non isolated control region)

- MC signal distribution normalized to the estimated yield in data in the signal region (divided by the expected efficiency of the isolation criterium)

- (not used to estimate the purity, just an evidence plot)

Background estimation and signal extraction :

If we take correlation and signal leakage in the control regions into account (both from MC)

□ c_x : signal leakage in the background control regions

□ R_{mc} : background pseudo-correlation factor

$$N_{sig}^A = N^A - \left[(N^B - c_1 N_{sig}^A) \frac{M^A - c_2 N_{sig}^A}{M^B - c_3 N_{sig}^A} \right] \left(\frac{N_{bkg}^A}{N_{bkg}^B} \frac{M_{bkg}^B}{M_{bkg}^A} \right)$$

R_{mc}

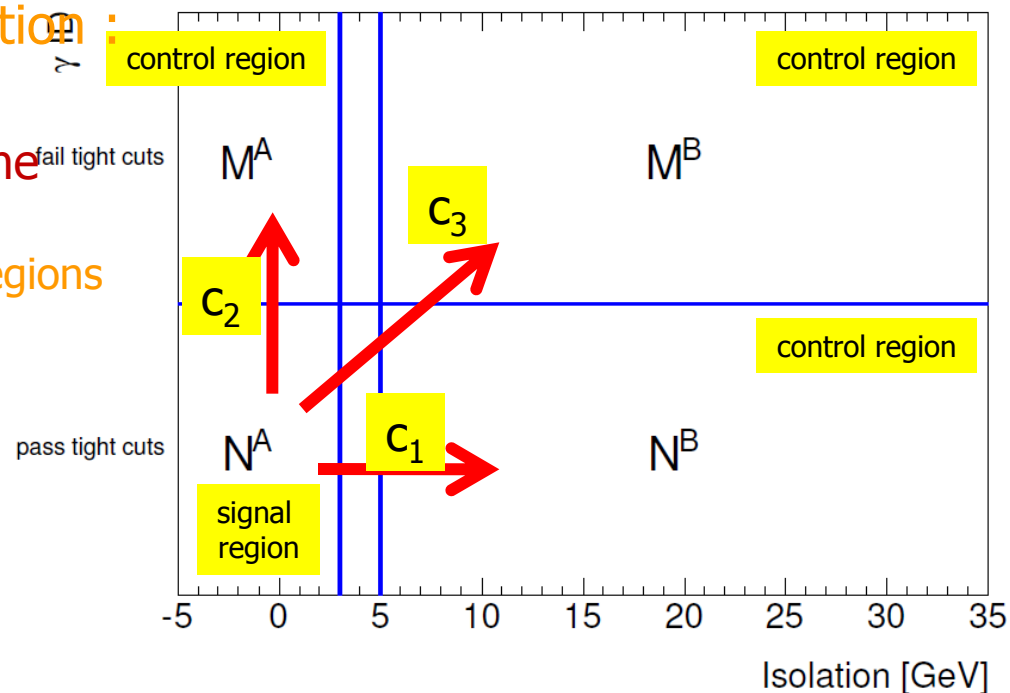
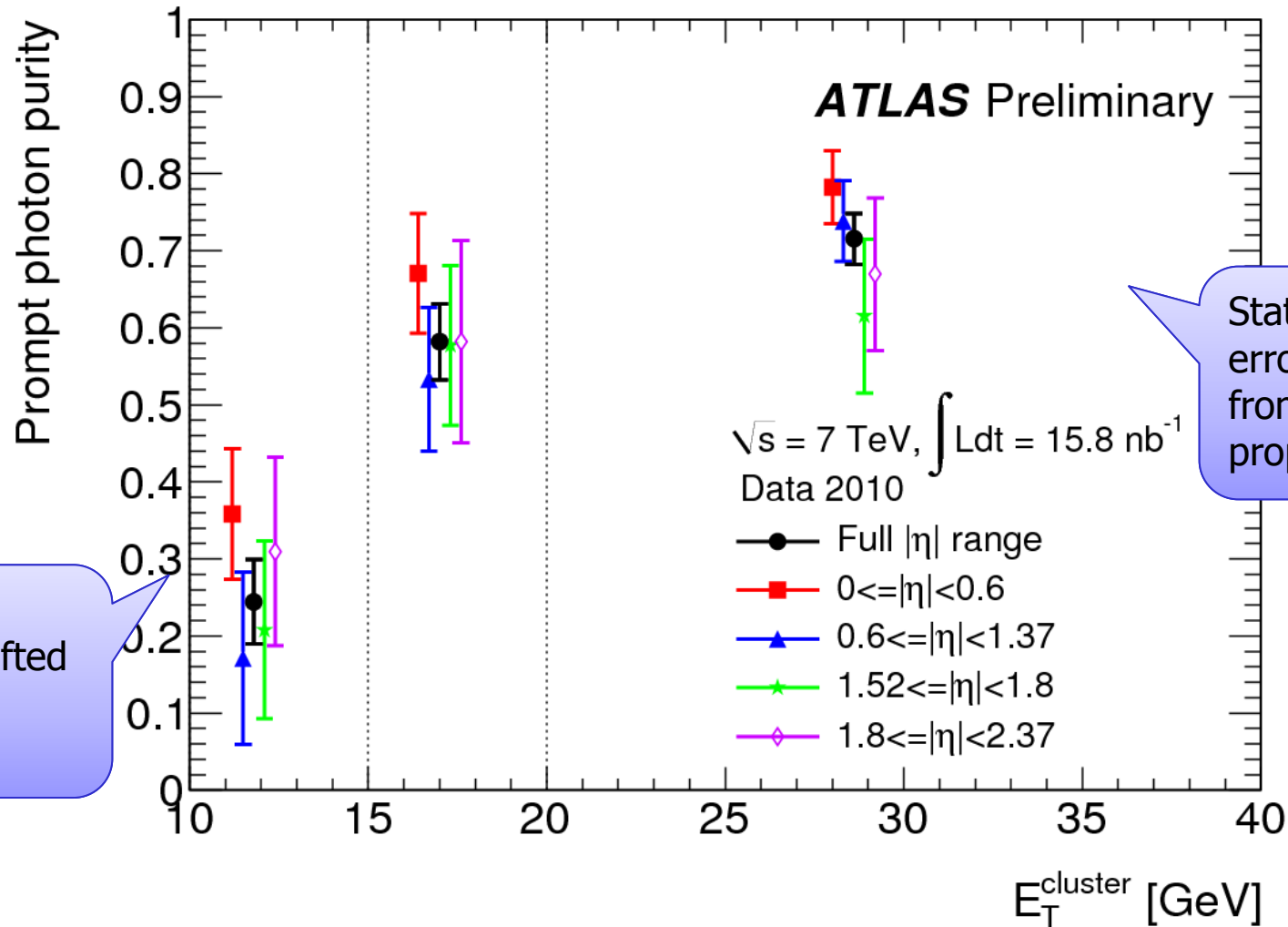


Table 2: Background pseudo-correlation factor $R = \frac{N_{bkg}^A M_{bkg}^B}{N_{bkg}^B M_{bkg}^A}$ and ratios $c_1 = \frac{N_{sig}^B}{N_{sig}^A}$, $c_2 = \frac{M_{sig}^A}{N_{sig}^A}$ and $c_3 = \frac{M_{sig}^B}{N_{sig}^A}$ between the expected signal photons in the three control regions and the expected signal photons in the signal region, in different intervals of the reconstructed photon transverse energy.

| E_T interval [GeV] | $10 \leq E_T < 15$ | $15 \leq E_T < 20$ | $E_T \geq 20$ |
|----------------------|---------------------------------|---------------------------------|--------------------------------|
| R | 1.10 ± 0.03 | 0.91 ± 0.05 | 1.02 ± 0.02 |
| c_1 | $(1.8 \pm 0.2) \times 10^{-2}$ | $(3.1 \pm 0.5) \times 10^{-2}$ | $(5.3 \pm 0.3) \times 10^{-2}$ |
| c_2 | $(18.0 \pm 0.6) \times 10^{-2}$ | $(11.3 \pm 0.7) \times 10^{-2}$ | $(6.6 \pm 0.2) \times 10^{-2}$ |
| c_3 | $(5.3 \pm 1.1) \times 10^{-3}$ | $(2.5 \pm 1.3) \times 10^{-3}$ | $(6.9 \pm 1.0) \times 10^{-3}$ |

Photon purity as a function of P_T for different η bins



Systematic uncertainties (errors are absolute)

| | pT bin [GeV] | | |
|---|--------------|-------------|-------------|
| | [10,15) | [15,20) | [20,inf) |
| Nominal purity (%) | 24.4 | 58.2 | 71.5 |
| stat error [%] | 5.4 | 4.9 | 3.3 |
| neglecting correlations, or taking Herwig-Pythia difference (-6%) [%] | 9.5 | 4.7 | 1.9 |
| relax 2 strip cuts instead of 4 to define isEM control region [%] | 21 | 2.1 | 2.9 |
| varying isolated control region [%] | 3.2 | 1.6 | 1.2 |
| leakage: changing prompt fraction by +-40% [%] | 1.1 | 1.5 | 2.4 |
| leakage: isEM eff +-5% [%] | 3.4 | 3.2 | 3.6 |
| total syst. error | 23.5 | 6.4 | 5.7 |
| total error | 24.2 | 8.1 | 6.6 |

Photon purity and yield

Table 3: Number of candidates in data, estimated signal purity and signal yield in the signal region (photon with isolation energy below 3 GeV and passing tight identification criteria), and corresponding systematic uncertainties, in three intervals of the photon transverse energy.

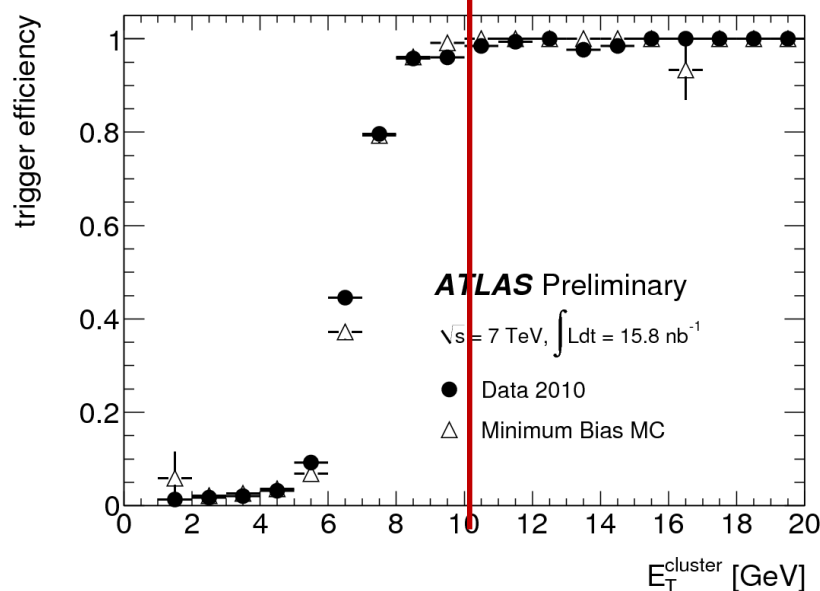
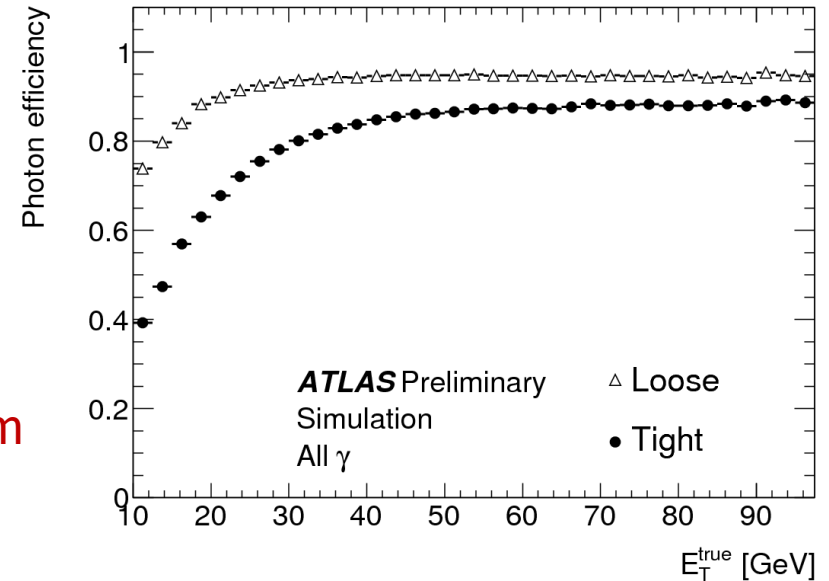
| E_T interval [GeV] | $10 \leq E_T < 15$ | $15 \leq E_T < 20$ | $E_T \geq 20$ |
|--|--------------------|--------------------|---------------|
| Number of candidates | 5271 | 1213 | 864 |
| Estimated purity P [%] | 24 ± 5 | 58 ± 5 | 72 ± 3 |
| Systematic uncertainty on P [%] | 24 | 6 | 6 |
| Estimated signal yield N_{sig}^A | 1289 ± 297 | 706 ± 69 | 618 ± 42 |
| Systematic uncertainty on N_{sig}^A | 1231 | 77 | 49 |

Towards the first cross section measurement : offline and trigger efficiencies

❑ Photon identification efficiency determined from PYTHIA MC for signal. Main expected systematic uncertainties :

- ❑ Material description in MC : a few %
- ❑ Cross-talk btw calorimeter cells : $\sim 2\%$
- ❑ Data/MC comparison (shower shape) : 5-10 %
- ❑ Converted/Unconverted γ classification : $\sim 1\%$

❑ More 'data driven' studies and extrapolation from electrons ongoing



- ❑ Trigger efficiency determined from data, relative to photon reconstruction and offline selection, from samples of :
 - ❑ Minimum bias trigger
 - ❑ Lower threshold L1 calorimeter trigger
- ❑ $\sim 100\%$ for $E_T > 10 \text{ GeV}$. Systematic uncertainty $< 0.3 \%$, estimated from MC of signal and/or BG

Conclusion and next steps

- ❑ From 15.8 nb^{-1} of 7 TeV pp collisions collected with the ATLAS detector, we successfully extracted a statistically significant prompt photon signal for $E_T > 15 \text{ GeV}$.
- ❑ In $E_T > 20 \text{ GeV}$, a prompt photon yield was measured to be 618 ± 72 with a purity of $72 \pm 7 \%$. [Reference : ATLAS-CONF-2010-077](#)
- ❑ A first inclusive isolated cross section paper is in the pipeline : extended p_T range, additional photon purity estimation techniques, more studies on photon identification
- ❑ Evidence of prompt diphoton signal soon public and a first measurement will be ready by spring 2011

Isolation requirements

Isolation is not only an additional ID cuts, it has strict connections with physics:

- ❑ Maintain a high efficiency for retaining real photons while removing most of the jet backgrounds and (to some extent) the fragmentation contribution
 - ❑ require the isolation energy in a cone surrounding the photon be as small as possible while retaining a high (80-90%) efficiency for real photons reducing the fragmentation contributions
- ❑ Be relatively independent of the instantaneous luminosity and UE
 - ❑ Need a dynamic definition of isolation, taking into account the instantaneous luminosity and UE contribution for that particular event
- ❑ Be relatively independent of the photon energy
 - ❑ Isolation energy increase for high p_T photons since there is a leakage outside of the cluster.
- ❑ Be “kind” with respect to the theoretical calculation
 - ❑ Cone isolation is perfectly fine but the parameters have to be chosen carefully in order to preserve consistency with the theory: cone size not too small ($R \sim 0.4/0.5$ fine) and energy in the cone not too small (few %?)

And actually we saw diphoton events in first data

... although not exactly the most interesting ones...

